

## 76. Some Explicit Formulae in the Theory of Numbers

### A Remark on the Riemann Hypothesis

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§ 1. Introduction. We put for  $x \geq 1$  and for  $0 < \alpha \leq 1$ ,

$$H(x, \alpha) = \sum_{1 \leq n \leq x} \sum_{1 \leq h \leq \alpha n} \log(h, n) - \alpha \sum_{1 \leq n \leq x} \sum_{1 \leq h \leq n} \log(h, n) + \frac{1}{2} \sum_{n \leq x} \log n,$$

where  $(h, n)$  is the greatest common divisor of  $h$  and  $n$ . We put for irrational  $\alpha$

$$F(x, \alpha) = H(x, \alpha) + xZ_\alpha(1)$$

and for rational  $\alpha = a/q$  with  $(a, q) = 1$ ,

$$F(x, \alpha) = H(x, a/q) - (x/2q) \log(x/q) + x(\lambda_1(a/q) + \lambda_2(a/q)) - (x/q)(\lambda_3(a/q) + \lambda_4(a/q)),$$

where we put

$$\lambda_j(a/q) = \sum_{b=1}^q \left( \left\{ \frac{ab}{q} \right\} - \frac{1}{2} \right) \nu_j(b) \quad \text{for } 1 \leq j \leq 4, \nu_1(b) = 1/b,$$

$\nu_2(b) = 1/(b+q)$ ,  $\nu_3(b) = \log(1+b/q)$  and  $\nu_4(b) = 2 + \gamma_0 - \gamma_{b/q}$  with

$$\gamma_\eta = - \int_1^\infty \frac{\{y\} dy}{(y+\eta)^2},$$

$\{y\}$  is the fractional part of  $y$  and  $Z_\alpha(1)$  is defined below. Under these notations we have shown in [2] the following two theorems which are stated in a slightly different way.

**Theorem 1.** *The Riemann Hypothesis is equivalent to the statement that for any positive  $\varepsilon$  and for  $X > X_0$ ,*

$$\int_0^1 |F(X, \alpha)|^2 d\alpha \ll X^{1+\varepsilon}.$$

**Theorem 2.** *Let  $Q$  be an integer  $\geq 1$ . Let  $f_1, f_2, \dots, f_A$  be the Farey series of order  $Q$ , namely,  $f_i = a_i/q_i$  with integral  $a_i$  and  $q_i$ ,  $(a_i, q_i) = 1$ ,  $0 < a_i \leq q_i$ ,  $0 < q_i \leq Q$  and  $f_1 < f_2 < \dots < f_A$ . Then the Riemann Hypothesis is equivalent to the statement that for any positive  $\varepsilon$  and for  $Q > Q_0$ ,*

$$\sum_{i=1}^A |F(Q, a_i/q_i)|^2 \ll Q^{3+\varepsilon}.$$

In fact, the gap between above Theorem 1 and our previous Theorem 1 in [2] can be filled by the proof of Lemma 3 below and the gap between above Theorem 2 and our previous Theorem 2 in [2] will be filled in § 2. The purpose of the present note is to give, by the classical methods, an explicit relation between  $F(X, \alpha)$  for an individual

$\alpha$  and the totality of the non-trivial zeros of the Riemann zeta function  $\zeta(s)$ .

For this purpose we need some properties of the zeta function  $Z_\alpha(s)$  defined by

$$Z_\alpha(s) = \sum_{n=1}^{\infty} \frac{\{\alpha n\} - 1/2}{n^s}.$$

If  $\alpha$  is rational and is  $= a/q$ ,  $(a, q) = 1$ , then

$$Z_\alpha(s) = q^{-s} \sum_{b=1}^q \left( \left\{ \frac{ab}{q} \right\} - \frac{1}{2} \right) \zeta \left( s, \frac{b}{q} \right),$$

where  $\zeta(s, w)$  for  $0 < w \leq 1$  is the Hurwitz zeta function defined by  $\zeta(s, w) = \sum_{n=0}^{\infty} (n+w)^{-s}$ . Using the well known properties (cf. p. 37 of [9] and p. 114 and p. 115 of [8]) of  $\zeta(s, w)$  we can show

**Theorem 3.** *Let  $Q > Q_0$ . Then*

$$\int_1^Q \left( \int_1^v F \left( y, \frac{a}{q} \right) \frac{dy}{y} \right) \frac{dv}{v} = \sum_{\rho} Z_{a/q}(\rho) \frac{Q^\rho}{\rho^3} + A_1 \log^2 Q + A_2 \log Q + A_3 + O(Q^{-1+\delta}),$$

where  $\rho$  runs over all non-trivial zeros of  $\zeta(s)$ ,  $A_1, A_2$  and  $A_3$  are some constants independent of  $Q$  and  $\delta$  is an arbitrary small positive number.

For irrational  $\alpha$ , our knowledge of  $Z_\alpha(s)$  seems to be scarce except Hecke's [4] for quadratic irrational  $\alpha$  (cf. also Hardy and Littlewood [3]). Let  $D$  be a positive square free integer  $\equiv 2$  or  $3 \pmod{4}$  and let  $\eta$  be the fundamental unit of the quadratic number field  $Q(\sqrt{D})$  or the square of it as in [4]. Then as a simple application of Hecke's work [4], we can show

**Theorem 4.** *Let  $X > X_0$ . Then*

$$\int_1^X \left( \int_1^v F(y, 1/\sqrt{D}) \frac{dy}{y} \right) \frac{dv}{v} = \sum_{\rho} Z_{1/\sqrt{D}}(\rho) \frac{X^\rho}{\rho^3} + \sum_{n=-\infty}^{+\infty} C_n X^{\frac{2\pi i n}{\log \eta}} + A_1 \log^3 X + A_2 \log^2 X + A_3 \log X + O(X^{-1+\delta}),$$

where  $\delta$  is an arbitrary small positive number,  $A_1, A_2, A_3$  and  $C_n$  are some constants independent of  $X$  and  $C_n \ll n^{-2+\delta}$ .

We shall prove Theorem 2 in § 2 and Theorem 4 in § 3. Since the proof of Theorem 3 is similar to that of Theorem 4, we shall omit it. We always denote positive absolute constants by  $C$  and arbitrarily small positive numbers by  $\varepsilon$  and write  $s = \sigma + it$ .

**§ 2. Proof of Theorem 2.** It is enough to prove the following two lemmas. Let  $0 < a \leq q \leq Q$ ,  $(a, q) = 1$  and  $Q > Q_0$ .

**Lemma 1.**

$$Q \sum_{n \leq Q} \frac{\{an/q\} - 1/2}{n} = -\frac{1}{2} \frac{Q}{q} \log(Q/q) + Q \left( \lambda_1 \left( \frac{a}{q} \right) + \lambda_2 \left( \frac{a}{q} \right) \right) - \frac{Q}{q} \left( \lambda_3 \left( \frac{a}{q} \right) - \sum_{b=1}^q \left( \left\{ \frac{ab}{q} \right\} - \frac{1}{2} \right) \gamma_{b/q} \right) + S \left( \frac{a}{q} \right) - \tilde{S} \left( \frac{a}{q} \right),$$

where we put

$$S\left(\frac{a}{q}\right) = \frac{Q}{q} \sum_{b=1}^q \left( \left\{ \frac{ab}{q} \right\} - \frac{1}{2} \right) I \quad \text{with} \quad I = \int_{Q/q}^{\infty} \frac{\{y - (b/q)\} - (1/2)}{y^2} dy$$

and

$$\tilde{S}\left(\frac{a}{q}\right) = \sum_{b=1}^q \left( \left\{ \frac{ab}{q} \right\} - \frac{1}{2} \right) \left( \left\{ \frac{Q-b}{q} \right\} - \frac{1}{2} \right).$$

**Proof.** Since the left hand side is

$$= Q \left( \lambda_1 \left( \frac{a}{q} \right) + \lambda_2 \left( \frac{a}{q} \right) \right) + \frac{Q}{q} \sum_{b=1}^q \left( \left\{ \frac{ab}{q} \right\} - \frac{1}{2} \right) \sum_{1 < m \leq (Q-b)/q} \left( m + \frac{b}{q} \right)^{-1}$$

and

$$\sum_{1 < m \leq (Q-b)/q} \left( m + \frac{b}{q} \right)^{-1} = \log \frac{Q}{q} - \log \left( 1 + \frac{b}{q} \right) + \gamma_{b/q} + I - \frac{q}{Q} \left( \left\{ \frac{Q-b}{q} \right\} - \frac{1}{2} \right),$$

we get our Lemma 1. Q. E. D.

**Lemma 2.**  $\sum_{q \leq Q} \sum'_a |S(a/q)|^2 \ll Q^{3+\epsilon}$  and  $\sum_{q \leq Q} \sum'_a |\tilde{S}(a/q)|^2 \ll Q^{3+\epsilon}$ , where the dash indicates that we sum over all  $a$  in  $1 \leq a \leq q$  with  $(a, q) = 1$ .

**Proof.** We denote the sum  $\sum_{1 \leq n \leq q-1}$  by  $\sum''_n$ .

$$\begin{aligned} S\left(\frac{a}{q}\right) &= \frac{Q}{q} \sum'_b \left( \sum''_m \frac{\sin(2\pi mab/q)}{m\pi} + O\left(\left\| \frac{ab}{q} \right\|^{-1}\right) \right) \\ &\quad \times \left( \sum''_k \frac{\text{Im}(e(-kb/q)I(k))}{k\pi} + O((q(Q/q)^2)^{-1}) \right) + O(1) \\ &\ll \frac{Q}{q} \sum''_k \sum''_m \frac{|I(k)|}{mk} \left( \left| \sum''_b e\left(\frac{b}{q}(k+ma)\right) \right| + \left| \sum''_b e\left(\frac{b}{q}(k-ma)\right) \right| \right) \\ &\quad + \frac{q \log q}{Q} + 1 \\ &\ll q \sum''_k \sum''_m (k^2 m)^{-1} + q \sum''_k \sum''_m (k^2 m)^{-1} \\ &\quad + \sum''_k \sum''_m \left( k^2 m \left\| \frac{k+ma}{q} \right\|^{-1} \right) + \sum''_k \sum''_m \left( k^2 m \left\| \frac{k-ma}{q} \right\|^{-1} \right) \\ &\quad + \log q \\ &= S_1\left(\frac{a}{q}\right) + S_2\left(\frac{a}{q}\right) + S_3\left(\frac{a}{q}\right) + S_4\left(\frac{a}{q}\right) + \log q, \end{aligned}$$

say, where

$$I(k) = \int_{Q/q}^{\infty} \frac{e(ky)}{y^2} dy \ll (k(Q/q)^2)^{-1}, \quad e(x) = \exp(2\pi i x),$$

$\|x\| = \text{Min}(\{x\}, 1 - \{x\})$  and we have used the expression

$$\{y\} - \frac{1}{2} = \sum_{k=1}^{\infty} \frac{\sin(2k\pi y)}{k\pi}$$

if  $y$  is not an integer.

$$\sum_{q \leq Q} \sum'_a \left| S_1\left(\frac{a}{q}\right) \right|^2 \ll \sum_{q \leq Q} q^2 \log q \sum'_a \sum''_k \sum''_m (k^2 m)^{-1}$$

$$\begin{aligned} &\ll \sum_{q \leq Q} q^2 \log q \sum_{a|q} \sum_{\substack{m \\ (m,q)=d}}'' \frac{1}{m} \sum_k'' \frac{1}{k^2} \sum_{\substack{a \\ q|k+ma}}' \cdot 1 \\ &\ll \sum_{q \leq Q} q^2 \log q \sum_{a|q} \sum_{a|m}'' \sum_{a|k}'' dk^{-2} m^{-1} \ll Q^3 \log^2 Q. \end{aligned}$$

Similarly, we get the same upper bound for the sum of  $S_2(a/q)$ 's.

$$\begin{aligned} \sum_{q \leq Q} \sum_a' \left| S_3\left(\frac{a}{q}\right) \right|^2 &\ll \sum_{q \leq Q} \log q \sum_a' \sum_{\substack{k \\ q|k+ma}}'' \sum_m'' \left( k^2 m \left\| \frac{k+ma}{q} \right\|^2 \right)^{-1} \\ &\ll \sum_{q \leq Q} \log q \sum_c'' \left\| c/q \right\|^{-2} \sum_{a|q} \sum_{\substack{m \\ (m,q)=d}}'' m^{-1} \sum_k'' k^{-2} \sum_{k+ma \equiv c \pmod{q}}' \cdot 1 \\ &\ll \sum_{q \leq Q} q^2 \log^2 q \left( \sum_{a|q} 1 \right) \ll Q^3 \log^3 Q. \end{aligned}$$

Similarly, we get the same upper bound for the sum of  $S_4(a/q)$ 's. Thus we get  $\sum_{q \leq Q} \sum_a' |S(a/q)|^2 \ll Q^3 \log^3 Q$ . In the same manner, we get  $\sum_{q \leq Q} \sum_a' |\tilde{S}(a/q)|^2 \ll Q^3 \log^5 Q$ . Q.E.D.

§ 3. Proof of Theorem 4. We suppose first that  $\alpha$  is irrational and remark the following lemma and its corollary.

**Lemma 3.** For almost all irrational  $\alpha$ ,  $Z_\alpha(s)$  is regular in  $\text{Re } s > 0$  and  $Z_\alpha(s) \ll (\log T)^{2+\epsilon}$  for  $\sigma \geq 1 - C/\log T$ ,  $|t| \leq T$  and  $T > T_0$ .

**Proof.** We remark that  $\sum_{n \leq y} (\{n\alpha\} - 1/2) \ll (\log y)^{2+\epsilon}$  for  $y > y_0$ , and for almost all irrational  $\alpha$  (cf. p. 38 of Lang [7]). Now let  $\alpha$  satisfy this inequality and  $N$  be an integer  $\geq 1$ . Then for  $\text{Re } s > 1$

$$Z_\alpha(s) = \sum_{n < N} \frac{\{n\alpha\} - 1/2}{n^s} + s \int_N^\infty \frac{\sum_{N \leq n \leq y} (\{n\alpha\} - 1/2)}{y^{s+1}} dy.$$

Hence  $Z_\alpha(s)$  is regular for  $\text{Re } s > 0$  and the rest can be proved in the same way as p. 114 of [8]. Q.E.D.

**Corollary.** For almost all irrational  $\alpha$ ,

$$F(X, \alpha) = O(X \exp(-C\sqrt{\log X})).$$

Since  $H(X, \alpha) = -\sum_{d \leq X} \Lambda(d) (\{d\alpha\} - 1/2)$ , we get the above corollary, as usual, by the contour integral of  $(\zeta'/\zeta)(s) Z_\alpha(s) X^s/s$  using Lemma 3 and p. 69 of [8], where  $\Lambda(d)$  is the von Mangoldt function.

Now we shall prove our Theorem 4 and suppose that  $\alpha = 1/\sqrt{D}$  as in § 1. We need the following lemma due to Hecke [4].

**Lemma 4.** i)  $Z_{1/\sqrt{D}}(s)$  is regular for  $\text{Re } s > 0$  and in  $\text{Re } s \leq 0$  has only simple poles at most at the points

$$s = -2n \pm \frac{2\pi ik}{\log \eta}, \quad n, k = 0, 1, 2, \dots$$

ii)  $H(s) Z_{1/\sqrt{D}}(s) \ll |t|^{1-\sigma+\epsilon}$  for  $-1 \leq \sigma \leq 1$ , where  $H(s) = \prod_{n=0}^\infty (1 - \eta^{-s-2n})$ . Now we consider the integral

$$I = \int_1^X \left( \int_1^v F(y, \alpha) \frac{dy}{y} \right) \frac{dv}{v}.$$

$$I = Z_\alpha(1)(X - 1 - \log X) - \frac{1}{2} \sum_{n \leq X} \left( \sum_{d \leq n} \Lambda(d) \left( \{d\alpha\} - \frac{1}{2} \right) \right) \left( \log \frac{X}{n} \right)^2.$$

Here we remark that for any integral  $k > k_0$ , we can take  $T_k$  such that

$$\frac{2\pi k}{\log \eta} < T_k < \frac{2\pi(k+1)}{\log \eta}, \quad \frac{\zeta'}{\zeta}(\sigma \pm iT_k) \ll \log^2 T_k$$

and  $H(\sigma \pm iT_k)^{-1} \ll 1$  for  $-1 \leq \sigma \leq 2$ . With this  $T_k$  we have first

$$I = Z_\alpha(1)(X-1-\log X) + \frac{1}{2\pi i} \int_{2-iT_k}^{2+iT_k} \frac{\zeta'}{\zeta}(s) Z_\alpha(s) \frac{X^s}{s^3} ds + O\left(\frac{X^2}{T_k^2}\right).$$

Next, we move the line of the integration to  $(-1+\delta-iT_k, -1+\delta+iT_k)$  for any small positive  $\delta < 1$ . Then

$$\begin{aligned} I &= Z_\alpha(1)(X-1-\log X) + \sum_{|\operatorname{Im} \rho| < T_k} Z_\alpha(\rho) \frac{X^\rho}{\rho^3} + \sum_{n=-k}^k C_n X^{2\pi i n / \log \eta} \\ &\quad - XZ_\alpha(1) + A_1 \log^3 X + A_2 \log^2 X + A_3 \log X + O(X^2 T_k^{-2}) \\ &\quad + O\left(T_k^{-3} \log^2 T_k \int_{-1+\delta}^2 X^\sigma |Z_\alpha(\sigma \pm iT_k)| d\sigma\right) \\ &\quad + O\left(X^{-1+\delta} \int_{-T_k}^{T_k} \left| \frac{\zeta'}{\zeta}(-1+\delta+it) \right| |Z_\alpha(-1+\delta+it)| |-1+\delta+it|^{-3} dt\right). \end{aligned}$$

The last two terms are  $\ll X^2 (\log^2 T_k) T_k^{-(1+\delta/2)} + X^{-1+\delta}$ . Letting  $k$  tend to  $\infty$ , we get our Theorem 4.

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