

91. The Space of Distributions Treated as a Ranked Space

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For the space (\mathcal{D}) , K. Kunugi pointed out in [4] that, if we use the method of ranked spaces introduced by Kunugi in 1954 [3], (\mathcal{D}) can be treated as a space having a countable base (cf. [6, III, § 1]). In this paper we will show that the same assertion also holds for the space (\mathcal{D}') of distributions. Indeed, the space (\mathcal{D}') can be defined as a ranked space having a countable base, in such a way that the r -convergence in the ranked space (\mathcal{D}') coincides with the weak convergence in (\mathcal{D}') (cf. [6, III, § 3]). We moreover show that the ranked space (\mathcal{D}') so defined is a complete ranked vector space satisfying the r -second countability axiom, and show that the family of r -Borel sets in the ranked space (\mathcal{D}') coincides with the family of Borel sets in the weak topology of (\mathcal{D}') .

For notations and definitions in the distribution theory and the ranked space theory we refer to [6] and [5], respectively. In particular, we say that the base of a ranked space E is countable if, for each $p \in E$ and for each $n \in N$, where $N = \{0, 1, 2, \dots\}$, preneighborhoods of p of rank n are at most countable infinity; and say that a ranked space E satisfies the r -second countability axiom if there exists a countable collection \mathcal{W} of preneighborhoods such that, for any r -open set O in E and any point $p \in O$, there exists a $W \in \mathcal{W}$ such that $p \in W \subset O$. We call the members of the smallest σ -algebra which contains all of the r -open sets in a ranked space E the r -Borel sets in E .

We first give the definition of the ranked space (\mathcal{D}) in a slight modification of the definition of Kunugi. For $l \in N$, by (\mathcal{D}_l) we denote the vector subspace of (\mathcal{D}) consisting of all functions of (\mathcal{D}) which vanish outside the set $K(l) = \{x = (x_1, \dots, x_n) \in \mathbf{R}^n : |x_i| \leq l + 1 \text{ for } i = 1, \dots, n\}$. Consider in (\mathcal{D}_l) the countable system of norms:

$$\|\phi\|_m = \sup \{ \sup_x |D^p \phi(x)| : p = (p_1, \dots, p_n), p_i \leq m \text{ for } i = 1, \dots, n \}, \quad (m \in N).$$

Corresponding to $m \in N$ and $\varepsilon > 0$, consider the set $\{\phi \in (\mathcal{D}_l) : \|\phi\|_m < \varepsilon\}$, denoted by $S(l, m, \varepsilon)$. We define, for each $l \in N$, the ranked space (\mathcal{D}_l) as a ranked space $((\mathcal{D}_l), \mathcal{C}\mathcal{V}^l(\phi), \mathcal{C}\mathcal{V}_m^l)$ provided with $\mathcal{C}\mathcal{V}^l(\phi) = \{\phi + S(l, m, \varepsilon) : m \in N, \varepsilon > 0\}$ and $\mathcal{C}\mathcal{V}_m^l = \{\phi + S(l, m, 1/2^m) : \phi \in (\mathcal{D}_l)\}$; and define the ranked space (\mathcal{D}) as a ranked space $((\mathcal{D}), \mathcal{C}\mathcal{V}(\phi), \mathcal{C}\mathcal{V}_m)$ provided with $\mathcal{C}\mathcal{V}(\phi) = \{\phi + S(l, m, \varepsilon) : l, m \in N, \varepsilon > 0\}$ and $\mathcal{C}\mathcal{V}_m = \{\phi + S(l, m, 1/2^m) : l \in N, \phi \in (\mathcal{D})\}$. We will denote the preneighborhood $\phi + S(l, m, 1/2^m)$ of rank m of ϕ

by $V(\phi; l, m)$.

Lemma 1. *For some $\alpha > 0$, let $M \subset \{\phi \in (\mathcal{D}_l) : \|\phi\|_{m+1} \leq \alpha\}$. Then, for any $\varepsilon > 0$, there exist $\phi_1, \dots, \phi_s \in M$ such that $M \subset \bigcup_{i=1}^s \{\phi_i + S(l, m, \varepsilon)\}$ (cf., for example, [1, p. 55]).*

Proposition 1. *For each ranked space (\mathcal{D}_l) ($l \in N$), there exists a countable set which is dense in the ranked space (\mathcal{D}_l) .*

A functional defined on (\mathcal{D}) is r -continuous in the ranked space (\mathcal{D}) if and only if it is r -continuous in every ranked space (\mathcal{D}_l) ($l \in N$); a functional defined on (\mathcal{D}_l) is r -continuous in the ranked space (\mathcal{D}_l) if and only if it is continuous in the topology of the ordinary sense defined by the countable system $\|\cdot\|_m$ ($m \in N$) of norms (see [1, p. 19]); and a linear functional defined on (\mathcal{D}) is a distribution if and only if it is r -continuous in the ranked space (\mathcal{D}) .

We denote the set $\{\phi \in (\mathcal{D}_l) : \|\phi\|_m \leq 1\}$ by B_m^l . For a linear functional f defined on (\mathcal{D}) , we define $\|f\|_m^l = \sup T_l$ if T_l is bounded above, and $= +\infty$ if T_l is unbounded above, where $T_l = \{|f(\phi)|; \phi \in B_m^l\}$.

Lemma 2. *Let*

$$A = \{f \in (\mathcal{D}') : \|f\|_{m_i}^i < \alpha_i \text{ for } i=0, 1, \dots, j\},$$

$$B = \{f \in (\mathcal{D}') : \|f\|_{m_i}^i < \beta_i \text{ for } i=0, 1, \dots, j'\}.$$

Then, if $A \supset B$, $j \leq j'$ and $n_0 \leq n_1 \leq \dots \leq n_j$, it holds that $m_i \geq n_i$ for $i=0, 1, \dots, j$.

Lemma 3. *Let A and B be as in Lemma 2. If $A \supset B$ and $n_0 \leq n_1 \leq \dots \leq n_{j'}$, then $j \leq j'$.*

Lemma 2 can elementarily be proved, and Lemma 3 is immediate from Lemma 2.

Corresponding to a system of non-negative integers: $m_0 \leq m_1 \leq \dots \leq m_j$, consider the set

$$\{f \in (\mathcal{D}') : \|f\|_{m_i}^i < 1/2^j \text{ for } i=0, 1, \dots, j\},$$

denoted by $K(j, \{m_i\})$. Then

Lemma 4. *$K(j, \{m_i\}) \supset K(j', \{m'_i\})$ holds if and only if $j \leq j'$ and $m_i \geq m'_i$ for $i=0, 1, \dots, j$.*

Proof. The “if” part is immediate from the fact that $\|f\|_{m'}^l \geq \|f\|_m^l$ if $m' \leq m$. The “only if” part follows from Lemmas 2 and 3.

Let $f \in (\mathcal{D}')$. Corresponding to each $j \in N$ and each system of non-negative integers: $m_0 \leq m_1 \leq \dots \leq m_j$, we define a preneighborhood of f by $f + K(j, \{m_i\})$. A preneighborhood $f + K(j, \{m_i\})$ is said to be of rank j . Denote by $\mathcal{C}\mathcal{V}(f)$ the family of all preneighborhoods of f and by $\mathcal{C}\mathcal{V}_j$ the family of all preneighborhoods of rank j . Then, the space (\mathcal{D}') provided with $\mathcal{C}\mathcal{V}(f)$ ($f \in (\mathcal{D}')$) and $\mathcal{C}\mathcal{V}_j$ ($j \in N$) becomes a ranked space. Moreover, as is easily seen, the base of the ranked space (\mathcal{D}') is countable. From now on the ranked space (\mathcal{D}') means the ranked space (\mathcal{D}') so defined. We will denote the preneighborhood $f + K(j, \{m_i\})$ of rank j of f by $V(f; j, \{m_i\})$.

Proposition 2. (1) *The family of preneighborhoods in the ranked space (\mathcal{D}') satisfies the axioms (B) and (C) of Hausdorff (see [2, p. 213]).*

(2) *The ranked space (\mathcal{D}') is a ranked vector space satisfying the condition (b) of Proposition 29 in [5].*

Lemma 5. *Let $V(f; j, \{m_i\}) \supset V(f; j', \{m'_i\}) \supset V(g; j'', \{n_i\})$ and $j < j'$. Then,*

- (1) $(j <)j' \leq j''$, and
- (2) $m_i \geq m'_i$ for $i=0, 1, \dots, j$ and $m'_i \geq n_i$ for $i=0, 1, \dots, j'$.

This can be proved by using Lemmas 2 and 3. Lemmas 4 and 5 play a central role in our methods.

Lemma 6. *Let $\{f_j\}$ be a Cauchy sequence in the ranked space (\mathcal{D}') . Then, for any $\phi \in (\mathcal{D})$, $\{f_j(\phi)\}$ is a Cauchy sequence in the complex number field.*

Proof. By the assumption, there exists a canonical fundamental sequence $u = \{V_i = V(g_i; k_i, \{m_i^t\})\}$ such that, for every $i \in N$, a j_i can be found with the property that, if $j \geq j_i$, then $f_j \in V_i$. Since u is canonical, $i \leq k_i$. Let $\phi (\neq 0) \in (\mathcal{D})$. Then, ϕ belongs to some (\mathcal{D}_l) . For each $i \geq l$, consider the member m_i^t of $\{m_i^t: t=0, 1, \dots, k_i\}$ and the member m_i^i of $\{m_i^t: t=0, 1, \dots, k_i\}$. Then, by Lemma 5 and the fact that u is canonical, $m_i^t \geq m_i^i$ holds. Therefore, if we put $\psi = (1/\kappa)\phi$, where $\kappa = \|\phi\|_{m_i^i}$, then, $\|\psi\|_{m_i^i} \leq \|\psi\|_{m_i^t} = 1$. On the other hand; for $j', j'' \geq j_i$, $\|f_{j'} - f_{j''}\|_{m_i^i} < 1/2^{k_i-1}$. Hence, $|f_{j'}(\phi) - f_{j''}(\phi)| < \kappa/2^{k_i-1}$.

Theorem 1. *Let $f_j, f \in (\mathcal{D}')$ ($j=1, 2, \dots$). Then, $\{f_j\}$ r -converges to f in the ranked space (\mathcal{D}') if and only if $\{f_j\}$ converges weakly to f .*

Proof. The "if" part. For each $l \in N$, an $m_l \in N$ can be found with the property that, for each $i \in N$, there exists a k_i^l such that, if $j \geq k_i^l$, then $\|f - f_j\|_{m_i^l} < 1/2^i$ (see [1, p. 57]). Then, we can choose $\{m_i\}$ in such a way that $m_i \leq m_{i+1}$. For such a $\{m_i\}$, define the sequence of preneighborhoods $\{V_i = V(f; i, \{m_0 \leq \dots \leq m_i\})\}$. Then, the sequence is fundamental and $f_j \in V_i$ for every $j \geq \max(k_i^0, k_i^1, \dots, k_i^i)$. The "only if" part is immediate from Lemma 6.

Theorem 2. *The ranked space (\mathcal{D}') is complete.*

Proof. For a fundamental sequence $\{V_i = V(g_i; k_i, \{m_i^t\})\}$, by Lemma 6 there exists $\lim g_i(\phi)$ for any $\phi \in (\mathcal{D})$. Set $g(\phi) = \lim g_i(\phi)$. Then $g \in (\mathcal{D}')$ (see [1, p. 68]). Moreover $g \in \bigcap V_i$.

Lemma 7. *Consider a system of non-negative integers: $m_0 \leq m_1 \leq \dots \leq m_j$. Let, for some $\alpha > 0$,*

$$M \subset \{f \in (\mathcal{D}') : \|f\|_{m_i}^i \leq \alpha \text{ for } i=0, 1, \dots, j\}.$$

Then, there exist $f_1, \dots, f_p \in M$ such that $M \subset \bigcup_{k=1}^p V(f_k; j, \{m_i+1\})$.

Proof. Let $i \in \{0, 1, \dots, j\}$ and set $\varepsilon = 1/(\alpha \cdot 2^{j+2})$. Then, by Lemma 1 there exist $\phi_1^i, \dots, \phi_{t_i}^i \in B_{m_i+1}^i$ such that $B_{m_i+1}^i \subset \bigcup_{s=1}^{t_i} \{\phi_s^i + S(i, m_i, \varepsilon)\}$. We put $t = \sum t_i$, and we make correspond to each $f \in M$ a point $\nu(f)$ of t -dimensional complex Euclidean space defined by

$$\nu(f) = (f(\phi_0^0), \dots, f(\phi_{i_0}^0), f(\phi_1^1), \dots, f(\phi_{i_1}^1), \dots, f(\phi_1^j), \dots, f(\phi_{i_j}^j)).$$

Set $H = \{\nu(f) : f \in M\}$. Then, by the assumption, there exists a finite covering of H consisting of solid spheres $\{O_k : k = 1, \dots, p\}$ with $\text{diam}(O_k) < 1/2^{j+2}$ and such that $O_k \cap H \neq \emptyset$. The desired assertion is true for a system $f_k \in M$ ($k = 1, \dots, p$) so chosen that $\nu(f_k) \in O_k$.

Theorem 3. *The ranked space (\mathcal{D}') satisfies the r -second countability axiom.*

Proof. Denote a system of non-negative integers: $m_0 \leq m_1 \leq \dots \leq m_j$ by τ . Corresponding to a τ and a $k \in N$, set $K_{\tau k} = \{f \in (\mathcal{D}') : \|f\|_{m_i}^i \leq k+1 \text{ for } i=0, 1, \dots, j\}$. For each $K_{\tau k}$, by Lemma 7 there exist $f_{\tau k s} \in K_{\tau k}$ ($s=1, \dots, p_{i,k}$) such that $K_{\tau k} \subset \bigcup_{s=1}^{p_{i,k}} V(f_{\tau k s}; j, \{m_i+1\})$. The desired assertion is true for the countable collection consisting of all the preneighborhoods so chosen for all pairs τ, k .

Denote by \mathcal{B}_w the family of Borel sets in the weak topology of (\mathcal{D}') and by \mathcal{B}_R the family of r -Borel sets in the ranked space (\mathcal{D}') .

Lemma 8. *Every set which is open in the weak topology of (\mathcal{D}') is also r -open in the ranked space (\mathcal{D}') .*

Proof. Consider a weak neighborhood of $f \in (\mathcal{D}') : W(f) = f + \{g \in (\mathcal{D}') : |g(\phi_t)| < \varepsilon \text{ for } t=1, \dots, s\}$. For $r = f + g \in W(f)$, consider any canonical fundamental sequence $u = \{V_j = V(r; k_j, \{m_i^j \leq \dots \leq m_{k_j}^j\})\}$ of center r . Take an $l \in N$ such that $(\mathcal{D}_l) \ni \phi_1, \dots, \phi_s$, and let j be the smallest integer such that $l \leq k_j$. Take a j' such that $k_{j'} \geq \max(l, (l+1)/\alpha)$, where $\lambda = \max_i \|\phi_i\|_{m_i^i}$ and $\alpha = \min_i (\varepsilon - |g(\phi_i)|)$. Then, we have $V_{j'} \subset W(f)$.

Lemma 9. *Let $M = \{f \in (\mathcal{D}') : \|f\|_m^l \leq \alpha\}$. Then, $M \in \mathcal{B}_w$.*

Proof. By Proposition 1, there exists a countable set $\{\phi_j\}$ which is \ast -dense in B_m^l . For each $k \in \{1, 2, \dots\}$, put $M_k = \{f \in (\mathcal{D}') : |f(\phi_j)| \leq \alpha \text{ for } j=1, \dots, k\}$. Then, $M = \bigcap_{k=1}^\infty M_k$.

From Lemmas 8 and 9 and Theorem 3, it follows that

Theorem 4. \mathcal{B}_R coincides with \mathcal{B}_w .

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