

60. A Generalization of Cauchy-Riemann Equations on a Riemannian Symmetric Space and the H^p Space Theory

By Kōichi SAKA

Department of Mathematics, Akita University

(Communicated by Kunihiko KODAIRA, M. J. A., Sept. 12, 1979)

We consider a generalization of Cauchy-Riemann equations in a Riemannian symmetric space and we extend the theory of H^p spaces by using this generalization.

We list some examples of generalizations of Cauchy-Riemann equations.

(a) E. M. Stein and C. Weiss [5] have defined Cauchy-Riemann equations in the n -dimensional Euclidean space in the following setting :

$$(1) \quad \sum_{i=1}^n \partial u_i / \partial x_i = 0, \quad \partial u_i / \partial x_j = \partial u_j / \partial x_i.$$

They obtained that each u_i is harmonic and that $|u|^p$ is subharmonic if $p \geq (n-2)/(n-1)$ where $|u| = (|u_1|^2 + \cdots + |u_n|^2)^{1/2}$.

(b) C. Fefferman and E. M. Stein [3] directly generalized the system (1) in the n -dimensional Euclidean space.

(c) The system (1) was extended to a compact Lie group by R. R. Coifman and G. Weiss [2].

(d) Let M be a Riemannian manifold and let d be the exterior differential operator on M and δ the codifferential operator. Then the deRham-Hodge equations $d\omega = \delta\omega = 0$ can be considered as a generalization of Cauchy-Riemann equations.

(e) The "spinor" system given by the Dirac operator on a spin manifold is a generalization of Cauchy-Riemann equations (see M. F. Atiyah [1]).

In this paper an extension of all these examples in a Riemannian symmetric space will be given as follows :

(i) We consider a homogeneous vector bundle over a Riemannian symmetric space such that its fiber is a Clifford algebra.

(ii) Next we consider C^∞ cross sections on such a homogeneous vector bundle in Lie algebra level (see Definition 1).

(iii) A generalization of Cauchy-Riemann equations is given by a certain differential operator d and its dual δ operating on such C^∞ cross sections, that is,

$$(2) \quad d\omega = \delta\omega = 0$$

(see Definition 2). The examples (a), (b), (c) and (d) will arise when the

Clifford algebra is an exterior algebra. The example (e) will arise when the Clifford algebra is a “spinor” algebra.

In Theorems 1 and 2 we shall see that a solution ω of the system (2) is harmonic and $|\omega|^p$ is subharmonic if $p \geq (n-2)/(n-1)$ in a certain sense, and using these properties we can extend results of the H^p space theory (the Poisson representation theorem, F. and M. Riesz’s theorem, etc.).

Let (\mathfrak{G}, σ) be an effective orthogonal symmetric Lie algebra where \mathfrak{G} is a Lie algebra over R and σ is an involutive automorphism of \mathfrak{G} . In this paper we assume that (\mathfrak{G}, σ) is of the noncompact type, of the compact type or of the Euclidean type. Let $\mathfrak{G} = \mathfrak{K} + \mathfrak{P}$ be the decomposition of \mathfrak{G} into the eigenspaces of σ for the eigenvalue $+1$ and -1 , respectively. Let (G, K) be a Riemannian symmetric pair associated with (\mathfrak{G}, σ) . Let m and n denote the dimensions of \mathfrak{K} and \mathfrak{P} , respectively. To avoid triviality we assume that $n \geq 2$. We denote by B the Killing form of \mathfrak{G} . We choose once and for all an orthogonal basis $Z_1, \dots, Z_m, X_1, \dots, X_n$ of \mathfrak{G} with respect to the Killing form B such that $Z_j \in \mathfrak{K}, j=1, \dots, m$ and $X_i \in \mathfrak{P}, i=1, \dots, n$. Moreover, we suppose that

$$B(Z_j, Z_j) = -1, \quad j=1, \dots, m$$

and

- (i) if $B(X_i, X_i) > 0, i=1, \dots, n$ then $B(X_i, X_i) = 1,$
- (ii) if $B(X_i, X_i) < 0, i=1, \dots, n$ then $B(X_i, X_i) = -1,$
- (iii) if $B(X_i, X_i) = 0, i=1, \dots, n$ then $\{X_i\}$ is orthonormal with respect to an inner product which is invariant under $Ad(k) (k \in K).$

We may consider elements of \mathfrak{G} as left invariant differential operators on G . We denote by e_1, \dots, e_n a basis of the vector space \mathfrak{P} corresponding to X_1, X_2, \dots, X_n . We denote by $C_+(\mathfrak{P}), C_-(\mathfrak{P})$ and $C_0(\mathfrak{P})$ the Clifford algebras defined by symmetric bilinear forms $(e_i | e_j)_+ = \delta_{ij}, (e_i | e_j)_- = -\delta_{ij}$ and $(e_i | e_j)_0 = 0, i, j=1, \dots, n,$ respectively. We denote by $\tilde{C}_+(\mathfrak{P}), \tilde{C}_-(\mathfrak{P})$ and $\tilde{C}_0(\mathfrak{P})$ the complexifications of $C_+(\mathfrak{P}), C_-(\mathfrak{P})$ and $C_0(\mathfrak{P}),$ respectively. $C(\mathfrak{P})$ denotes any one of $C_+(\mathfrak{P}), C_-(\mathfrak{P})$ and $C_0(\mathfrak{P}),$ and $\tilde{C}(\mathfrak{P})$ denotes its complexifications. We denote by $C^\infty(G; C(\mathfrak{P}))$ and $C^\infty(G; \tilde{C}(\mathfrak{P}))$ the spaces of all C^∞ functions on G with values in $C(\mathfrak{P})$ and $\tilde{C}(\mathfrak{P}),$ respectively. Let $\{c_{ij}^k\}$ be a set of constants such that

$$ad(Z_k)X_j = \sum_{i=1}^n c_{ij}^k X_i, \quad k=1, \dots, m, \quad j=1, \dots, n,$$

where ad is the adjoint representation of \mathfrak{G} . We define a linear mapping $\tau(Z) : \tilde{C}(\mathfrak{P}) \rightarrow \tilde{C}(\mathfrak{P}), Z \in \mathfrak{K}$ as follows :

- (i) When $\tilde{C}(\mathfrak{P}) = \tilde{C}_+(\mathfrak{P}),$ we set $\tau(Z_k) =$ left Clifford multiplication by $(1/4) \sum_{i,j} c_{ij}^k e_i e_j, \quad k=1, \dots, m.$
- (ii) When $\tilde{C}(\mathfrak{P}) = \tilde{C}_-(\mathfrak{P}),$ we set $\tau(Z_k) =$ left Clifford multiplication by

$$-(1/4) \sum_{i,j} c_{ij}^k e_i e_j, \quad k=1, \dots, m.$$

(iii) When $\tilde{C}(\mathfrak{R}) = \tilde{C}_0(\mathfrak{R})$, we set

$$\tau(Z_k) = \sum_{i,j} c_{ij}^k e_i \iota(e_j), \quad k=1, \dots, m.$$

A mapping $\iota(e_j) : \tilde{C}(\mathfrak{R}) \rightarrow \tilde{C}(\mathfrak{R})$, $j=1, \dots, n$, is as follows: If $\xi \in \tilde{C}(\mathfrak{R})$ has a form $\xi = \xi_1 + e_j \xi_2$ where all terms of ξ_1 and ξ_2 do not contain e_j , then we set $\iota(e_j)\xi = \xi_2$.

Definition 1. We put

$$C_r^\infty(G; \tilde{C}(\mathfrak{R})) = \{\omega \in C^\infty(G; \tilde{C}(\mathfrak{R})) : Z\omega = \tau(-Z)\omega \text{ for all } Z \in \mathfrak{R}\}$$

and

$$C_r^\infty(G; C(\mathfrak{R})) = \{\omega \in C^\infty(G; C(\mathfrak{R})) : Z\omega = \tau(-Z)\omega \text{ for all } Z \in \mathfrak{R}\}.$$

We set

$$(\omega, \xi) = \int_G \langle \omega(g), \xi(g) \rangle dg$$

for suitable elements $\omega, \xi \in C^\infty(G; \tilde{C}(\mathfrak{R}))$, where the inner product $\langle \cdot, \cdot \rangle$ is a natural inner product in $\tilde{C}(\mathfrak{R})$.

Definition 2. We define an operator

$$d : C_r^\infty(G; \tilde{C}(\mathfrak{R})) \rightarrow C_r^\infty(G; \tilde{C}(\mathfrak{R}))$$

by

$$d\omega(g) = \sum_{i=1}^n e_i X_i \omega(g)$$

and an operator $\delta : C_r^\infty(G; \tilde{C}(\mathfrak{R})) \rightarrow C_r^\infty(G; \tilde{C}(\mathfrak{R}))$ to be the formally adjoint operator of d with respect to the inner product (\cdot, \cdot) .

We now come to the definition of a generalization of Cauchy-Riemann equations. We define it by equations

$$(3) \quad d\omega = \delta\omega = 0$$

for $\omega \in C_r^\infty(G; \tilde{C}(\mathfrak{R}))$.

Example 1. We put $G = R^n$, the n -dimensional Euclidean space and $K = \{0\}$. Then (G, K) is a Riemannian symmetric pair of the Euclidean type. The Clifford algebra $C_0(R^n)$ is the exterior algebra of R^n . For a 1-form $\omega \in C^\infty(R^n; C_0(R^n))$, the system (3) is the system (1) of Cauchy-Riemann equations in the sense of E. M. Stein and G. Weiss [5]. In general, for any form $\omega \in C^\infty(R^n; C_0(R^n))$, the system (3) is a generalization of Cauchy-Riemann equations in the sense of C. Fefferman and E. M. Stein [3].

Example 2. Let (G, K) be a Riemannian symmetric pair as before and let $M = G/K$ be the Riemannian symmetric space. We denote by $\wedge^* T(M)$ the exterior algebra generated by the dual of the tangent bundle over M . The bundle $\wedge^* T(M)$ is a homogeneous vector bundle over M associated with the adjoint representation $(\text{Ad}(k), C_0(\mathfrak{R}))$ of K . Then the space $\Gamma^\infty(\wedge^* T(M))$ of all C^∞ cross sections of $\wedge^* T(M)$ is isomorphic to the space

$$C_{Ad}^\infty(G; C_0(\mathfrak{R})) = \{\omega \in C^\infty(G; C_0(\mathfrak{R})) : \omega(gk) = \text{Ad}(k^{-1})\omega(g), k \in K, g \in G\}$$

and this may be considered in Lie algebra level as the space

$$C_{ad}^\infty(G; C_0(\mathfrak{F})) = \{\omega \in C^\infty(G; C_0(\mathfrak{F})) : Z\omega = ad(-Z)\omega, Z \in \mathfrak{R}\}.$$

Hence a solution of equations (3) corresponds to a harmonic form in the sense of deRham-Hodge. If G is a semisimple compact connected Lie group and G^* is the subgroup $\{(x, x) : x \in G\}$ of the product group $G \times G$, then $(G \times G, G^*)$ is a Riemannian symmetric pair of the compact type and G can be regarded as the Riemannian symmetric space $G \times G/G^*$. In this case, for a 1-form $\omega \in C_{Ad}^\infty(G \times G \times R_+; C_0(\mathfrak{F}))$, where R_+ is the positive half line, the system (3) corresponds to the system of R. R. Coifman and G. Weiss [2].

Example 3. Let V be a real vector space with even dimension $n = 2l$. Let Q_j be the transformation of the complexified Clifford algebra $\tilde{C}_-(V)$ given by right Clifford multiplication by $\sqrt{-1}e_{2j-1}e_{2j}$, $j = 1, \dots, l$. We define

$$S(V) = \{\omega \in \tilde{C}_-(V) : Q_j\omega = -\omega, j = 1, \dots, l\}.$$

We put $G = R^n$ (n even) and $K = \{0\}$. Then, for $\omega \in C^\infty(R^n; S(R^n)) \subset C^\infty(R^n; \tilde{C}_-(R^n))$, a solution of the system (3) is a harmonic spinor for the Dirac operator.

Let (G, K) be a Riemannian symmetric pair associated with an effective orthogonal symmetric Lie algebra (\mathfrak{G}, σ) of the noncompact type and let M be the Riemannian symmetric space G/K with even dimension $n = 2l$. $S(M)$ denotes a homogeneous vector bundle associated with a representation $(\tilde{Ad}(k), S(\mathfrak{F}))$ of K where $\tilde{Ad}(k)$ is a lifting of $Ad(k)$ ($k \in K$) to $Spin(\mathfrak{F})$. Then, for

$$\omega \in C_{Ad}^\infty(G; S(\mathfrak{F})) \subset C_{Ad}^\infty(G; \tilde{C}_-(\mathfrak{F})),$$

a solution of the system (3) corresponds to a harmonic spinor for the Dirac operator on $S(M)$.

Theorem 1 (Harmonicity). *Suppose that ω is a solution of the system (3) in $C_r^\infty(G; \tilde{C}(\mathfrak{F}))$.*

(i) *When \mathfrak{G} is of the noncompact type, we have*

$$\left(\sum_{j=1}^n X_j^2 - 2 \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_+(\mathfrak{F}) \text{ or } \tilde{C}_-(\mathfrak{F})$$

and

$$\left(\sum_{j=1}^n X_j^2 - \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_0(\mathfrak{F}).$$

(ii) *When \mathfrak{G} is of the compact type, we have*

$$\left(\sum_{j=1}^n X_j^2 + 2 \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_+(\mathfrak{F}) \text{ or } \tilde{C}_-(\mathfrak{F})$$

and

$$\left(\sum_{j=1}^n X_j^2 + \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_0(\mathfrak{F}).$$

(iii) *When \mathfrak{G} is of the Euclidean type, we have*

$$\left(\sum_{j=1}^n X_j^2\right)\omega = 0.$$

Theorem 2 (Subharmonicity). *Suppose that ω is a solution of the system (3) in $C_r^\infty(G; C(\mathfrak{R}))$ and $p \geq (n-2)/(n-1)$.*

(i) *When \mathfrak{G} is of the compact type we have*

$$\left(\sum_{j=1}^n X_j^2 + 2 \sum_{k=1}^m Z_k^2 \right) |\omega|^p \geq 0 \quad \text{if } C(\mathfrak{R}) = C_+(\mathfrak{R}) \text{ or } C_-(\mathfrak{R})$$

and

$$\left(\sum_{j=1}^n X_j^2 + \sum_{k=1}^m Z_k^2 \right) |\omega|^p \geq 0 \quad \text{if } C(\mathfrak{R}) = C_0(\mathfrak{R}).$$

(ii) *When \mathfrak{G} is of the noncompact type or of the Euclidean type we have*

$$\left(\sum_{j=1}^n X_j^2 \right) |\omega|^p \geq 0.$$

Next we will present an extension of H^p spaces. Let R be the real line and let R_+ be the positive half line. We put $G_+ = G \times R_+$ and $\mathfrak{R}' = \mathfrak{R} + R$. We define H^p spaces ($p > 0$) given by

$$H^p = \left\{ \omega \in C_r^\infty(G_+; C(\mathfrak{R}')) : d\omega = \delta\omega = 0, \right. \\ \left. \|\omega\|_{H^p} = \sup_{t>0} \left(\int_G |\omega(x, t)|^p dx \right)^{1/p} < \infty \right\}.$$

We can construct a Poisson semigroup $\{P_t\}_{t>0}$ defined on $L^p(G)$, $1 \leq p \leq \infty$, by the Laplacian $\sum_{j=1}^n X_j^2 + c \sum_{k=1}^m Z_k^2$ where

$$c = \begin{cases} 2 & \text{if } C(\mathfrak{R}') = C_+(\mathfrak{R}') \text{ or } C_-(\mathfrak{R}') \\ 1 & \text{if } C(\mathfrak{R}') = C_0(\mathfrak{R}') \end{cases}$$

(see K. Saka [4]). The Poisson semigroup $\{P_t\}_{t>0}$ can be also defined on the space $L^p(G; C(\mathfrak{R}'))$ of all L^p -functions on G with values in $C(\mathfrak{R}')$.

A following theorem is an extension of the representation theorem and F. and M. Riesz's theorem. The theorem can be proved from Theorems 1 and 2 (see K. Saka [4]).

Theorem 3. *Assume that $1 \leq p \leq \infty$.*

(i) *Suppose that \mathfrak{G} is of the compact type and $\omega \in H^p$. Then ω can be represented as a Poisson integral $P_t f$ of a certain element f in $L^p(G; C(\mathfrak{R}'))$.*

(ii) *Suppose that \mathfrak{G} is of the noncompact type or of the Euclidean type and $\omega \in H^p$ satisfies the relation*

$$(4) \quad \omega(gk, t) = \omega(g, t) \quad \text{for } k \in K, t \in R_+ \text{ and } g \in G.$$

Then ω can be represented as a Poisson integral $P_t f$ of a certain element f in $L^p(G; C(\mathfrak{R}'))$.

A following characterization theorem can be derived from Theorem 2 (see K. Saka [4]).

Theorem 4. *Assume that $(n-1)/n < p < \infty$ and that ω is a solution of the system (3) in $C_r^\infty(G_+; C(\mathfrak{R}'))$.*

(i) *Either suppose that \mathfrak{G} is of the compact type, or*

(ii) *suppose that \mathfrak{G} is of the noncompact type or of the Euclidean type and ω satisfies the relation (4). Then $\omega \in H^p$ if and only if*

$$\sup_{t>0} |\omega(g, t)| = \omega^+(g) \in L^p(G).$$

In this case, there are positive constants C and C' such that

$$\|\omega\|_{H^p} \leq C \|\omega^+\|_p \leq C' \|\omega\|_{H^p}.$$

Details of these results will appear elsewhere.

References

- [1] M. F. Atiyah: Classical groups and classical differential operators on manifolds. C.I.M.E. III, Differential Operators on Manifold, Coordinatore, E. Vesentini, pp. 5–48 (1975).
- [2] R. R. Coifman and G. Weiss: Invariant systems of conjugate harmonic functions associated with compact Lie group. *Studia Math.*, **44**, 301–308 (1972).
- [3] C. Fefferman and E. M. Stein: H^p spaces of several variables. *Acta Math.*, **129**, 137–193 (1972).
- [4] K. Saka: The representation theorem and the H^p space theory associated with semigroups on Lie groups. *Tôhoku Math. J.*, **30**, 131–151 (1978).
- [5] E. M. Stein and G. Weiss: On the theory of harmonic functions of several variables. I. *Acta Math.*, **103**, 25–62 (1960).