

## 16. On the Periods of Enriques Surfaces. II

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This is a continuation of [4], and here we report on our result on the image of the period map for Enriques surfaces.

Let  $S$  be an Enriques surface defined over the field of complex numbers. Then there corresponds to  $S$  a point  $\lambda(S)$ , called the period of  $S$ , which is in the period space  $D/\Gamma$ . First we recall the construction of  $D$  and  $\Gamma$ . Let  $T$  be the universal covering of  $S$ . Then  $T$  is a K3 surface, and hence the homology group  $H_2(T, \mathbf{Z})$ , given with the intersection product, is isomorphic to a unique even unimodular euclidean lattice  $\Lambda$  of signature (3, 19). Moreover, if we associate the involution  $\tau$  induced by the covering transformation, the pair  $(H_2(T, \mathbf{Z}), \tau)$  is isomorphic to a standard pair  $(\Lambda, \rho)$  (see [4], §3). Let  $\Lambda(-1)$  denote the  $(-1)$ -eigenspace of  $\rho$ . Then  $D$  consists of non-zero linear maps  $\omega: \Lambda(-1) \rightarrow \mathbf{C}$ , modulo multiplications by constants, which satisfy the Riemann bilinear relations

$$\omega \cdot \omega = 0, \quad \omega \cdot \bar{\omega} > 0,$$

the product being induced by that on  $\Lambda(-1)$ . On the other hand,  $\Gamma$  is the group of those automorphisms of  $\Lambda(-1)$  which are the restrictions of the automorphisms of  $\Lambda$  commuting with  $\rho$ .

An element  $e$  of  $\Lambda(-1)$  is called a *root* if it satisfies  $e^2 = -2$ . From the explicit description of  $\Lambda(-1)$  in [4], we infer that such elements exist. If  $e$  is a root, we define a hypersurface  $H_e$  of  $D$  by the condition  $\omega(e) = 0$ . We shall use  $H_e/\Gamma$  to denote  $H_e\Gamma/\Gamma$ .

**Main Theorem.** *There exists only a finite number of  $\Gamma$ -equivalence classes of the roots  $e$  in  $\Lambda(-1)$ , and if  $\lambda$  is a point of  $D/\Gamma$  outside of the union of the hypersurfaces  $H_e/\Gamma$ , then  $\lambda$  is the period of an Enriques surface  $S$ , which is uniquely determined by  $\lambda$ . Moreover, any point of  $H_e/\Gamma$  is not the period of an Enriques surface.*

The basic idea of the proof is that of [3].

First, by the construction in [4], each Enriques surface  $S$  is birationally equivalent to a double covering of  $\mathbf{P}^1 \times \mathbf{P}^1$ . We take a system of 2-way homogeneous coordinates  $(Y_1, Y_2; Z_1, Z_2)$  and fix the projection onto the second factor. Then the branch locus of the covering consists of the two fibres  $\Gamma_i$  defined by  $Z_i = 0$ ,  $i = 1, 2$ , and a curve  $B_E^0$  of bidegree (4, 4), which has two 2-fold double points at  $P_i$  on  $\Gamma_i$ , having the contact of order 4 with  $\Gamma_i$  at  $P_i$ ,  $i = 1, 2$ . An Enriques surface  $S$ , with an elliptic

pencil being specified, is said to be of *special type*, if  $P_1$  and  $P_2$  are on a section  $Y_1 = \beta Y_2$  for some constant  $\beta$  (possibly  $\infty$ ). Suppose this is not the case. Then we may assume that  $P_i$  is given by  $Y_i = Z_i = 0$ ,  $i=1, 2$ . Hence  $B_E^0$  is defined by a linear combination of the monomials

$$Y_1^i Y_2^{4-i} Z_1^j Z_2^{4-j}, \quad 4 \leq i+2j \leq 8.$$

In order to obtain a model for the universal covering  $T$ , we consider the double covering  $\pi: P^1 \rightarrow P^1$  branched at  $Z_1=0$  and  $Z_2=0$ . Pulling  $B_E^0$  back by  $\pi$ , and applying two elementary transformations, we see that  $T$  is birationally equivalent to a double covering of  $P^1 \times P^1$ , whose branch locus  $B$  is of bidegree  $(4, 4)$ , and is defined by a linear combination of the monomials

$$(1) \quad Y_1^i Y_2^{4-i} Z_1^j Z_2^{4-j}, \quad i+j \equiv 0 \pmod{2}.$$

The covering transformation is induced by

$$(2) \quad I: (Y_1, Y_2; Z_1, Z_2) \rightarrow (-Y_1, Y_2; -Z_1, Z_2),$$

and the interchange of the sheets of  $T \rightarrow P^1 \times P^1$ .

Next we consider Baily-Borel's compactification  $(D/\Gamma)^*$  of  $D/\Gamma$ . Suppose that the branch locus  $B$  degenerates into a singular one. Then we take a 1-parameter family of the divisors defined by (1), whose generic member is non-singular. This determines a point in  $(D/\Gamma)^*$ , which can be thought of as the period corresponding to  $B$ . Note that this point may depend on the choice of the 1-parameter family.

If  $B$  passes through a fixed point of  $I$  defined by (2), say  $Y_1 = Z_1 = 0$ , then the corresponding double covering  $T$  is still birationally equivalent to a  $K3$  surface.  $T$  has a double point over  $Y_1 = Z_1 = 0$ , and this is a fixed point of the involution  $\iota$  induced by  $I$  and the interchange of the sheets. Therefore the quotient space  $T/\iota$  is not an Enriques surface, but a rational surface with a rational quadruple point. In this case the period is in  $H_e/\Gamma$  for some root  $e$ .

If  $B$  has infinitely near triple points, a quadruple point, or a double component, then the corresponding  $K3$  surface degenerates into a union of two rational surfaces intersecting along an elliptic curve (in "generic" cases). In this case the corresponding period is in the boundary of  $(D/\Gamma)^*$ . If  $B$  does not pass through the fixed points of  $I$ , then the corresponding degeneration of Enriques surface is a rational surface with a double curve along an elliptic curve. If  $B$  passes through a fixed point of  $I$ , it corresponds to a union of six rational surfaces, which consists of two rational surfaces  $S_1, S_2$  intersecting transversally along a rational curve, and four  $P^2$ 's, each of which intersects  $S_1$  and  $S_2$  like three coordinate planes in  $C^3$ .

In these two cases, the period does not depend on the choice of the 1-parameter family which we use. This fact follows from the extension theorem of Borel [2] and others. The same extension theorem allows us to restrict our consideration to generic cases.

Finally suppose that  $B$  has a triple or quadruple component. Then that component is of the form  $Y_1Z_2 - \alpha Y_2Z_1 = 0$  with some constant  $\alpha$ . These cases can be reduced to the cases of lower multiplicities by blowing up along the multiple component (cf. [3], §§ 10–12. But the situation is more transparent here than it was there). In the case of triple components, the corresponding periods lie in the closure of the union of the hypersurfaces  $H_e/\Gamma$ . The case of quadruple components corresponds to Enriques surfaces of special type and their degenerations.

### References

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