

11. Note on the Replicas of Matrices.

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The concept of the replicas of matrices was introduced by C. Chevalley¹⁾ and very interesting application of it to the study of algebraic Lie groups was given in a joint paper by himself and H. F. Tuan²⁾. Chevalley determined the replicas of matrices over a field of characteristic zero and H. F. Tuan³⁾ gave an elementary proof to the same result and in fact in a somewhat general form. In the present note⁴⁾ we shall prove Chevalley's results in a somewhat different way and obtain some properties of the replicas which shall be used in a forthcoming paper⁵⁾.

§ 1. A replica B of a matrix A , of degree n with coefficients in a field K ⁶⁾, is any matrix B which admits as its invariants all the tensor invariants of A , where A is meant to be the symbol of infinitesimal, not a finite transformation. Let \mathfrak{M} be the vector space on which our matrices operate, \mathfrak{M}^* the space of contravariant vectors, and \mathfrak{T}_{rs} the space of r times contravariant and s times covariant tensors. We denote by A^* and A_{rs} the matrices of linear transformations which are induced by A in \mathfrak{M}^* and \mathfrak{T}_{rs} respectively.

Lemma 1. Any matrix A may be represented in the form

$$(1) \quad A = A_0 + A', \quad A_0 A' = A' A_0$$

where A is a nilpotent matrix and A' is a matrix with simple elementary divisors. If A is given, A and A' are determined uniquely.

Proof. \mathfrak{M} is the direct sum of the eigen-spaces: $\mathfrak{M} = \sum_{\lambda} \mathfrak{M}_{\lambda}$,

where \mathfrak{M}_{λ} denotes the eigen-space for a characteristic root λ of A . We define the matrix (or linear transformation) A' by the equations

$$A'x = \lambda x, \quad \text{for } x \in \mathfrak{M}_{\lambda}$$

A' commutes with A and, if we put $A_0 = A - A'$, A_0 is nilpotent and commutes with A' . The uniqueness of this representation can be proved easily from the commutability of A and A' .

1) C. Chevalley, On a kind of new relationship between matrices, Amer. J. Math. Vol. 65 (1943). I have not yet access to this paper and the results obtained in this note may perhaps have much contact with Chevalley's paper.

2) C. Chevalley and H. F. Tuan, On algebraic Lie algebras, Proc. Nat. Acad. Sci. U. S. A. (1946).

3) H. F. Tuan, A note on the replicas of nilpotent matrices, Bull. Amer. Math. Soc. (1945).

4) I express my hearty thanks to M. Gotô for his kind remarks during the preparation of this note.

5) Y. Matsushima, On algebraic Lie groups and algebras, Journ. of the Math. Soc. of Japan, Vol. 1 No. 1 (1948).

6) In the following we assume for simplicity that the field K is algebraically closed.

Lemma 2. The matrices A_0 and A' in Lemma 1 are the replicas of A .

Proof. Let $A=A_0+A'$ be the representation of Lemma 1. Then $A_{rs}=(A_0)_{rs}+A'_{rs}$, $(A_0)_{rs}(A')_{rs}=(A')_{rs}(A_0)_{rs}$ and $(A_0)_{rs}$ is a nilpotent matrix and A'_{rs} is a matrix with simple elementary divisors. We denote by \mathfrak{X}_κ the eigen-space of $\mathfrak{X}=\mathfrak{X}_{rs}$ for a characteristic root κ of A_{rs} . Then, if $F_\kappa \in \mathfrak{X}_\kappa$, we have $A'_{rs}F_\kappa = \kappa F_\kappa$ (see, Lemma 1). Let $F \in \mathfrak{X}_{r_s}$ and $A_{rs}F=0$. Since F belongs to \mathfrak{X}_0 , we have $A'_{rs}F=0$. This shows that A' is a replica of A and therefore $A_0=A-A'$ is also a replica of A .

Let $\lambda_1, \lambda_2, \dots, \lambda_k$ be the distinct characteristic roots of A and l the maximal number of these characteristic roots which are linearly independent with respect to the prime field P in K .

Suppose that $\lambda_1, \lambda_2, \dots, \lambda_l$ are linearly independent. Then we have

$$(2) \quad \lambda_i = \sum_{j=1}^l r_{ij} \lambda_j \quad r_{ij} \in P \quad (i=1, 2, \dots, k)$$

Further, let E_i be the matrix of projection of \mathfrak{M} on \mathfrak{M}_{λ_i} , *i.e.* if

$x = \sum_{i=1}^k x_i$, $x_i \in \mathfrak{M}_{\lambda_i}$, is a vector of \mathfrak{M} , we define E_j by the equation

$$E_i x = x_i.$$

From the definition of A' , we have $A' = \sum_{i=1}^k \lambda_i E_i$

If we put

$$(3) \quad A_j = \sum_{i=1}^k r_{ij} E_i \quad (j=1, 2, \dots, l)$$

we can represent A in the form

$$(4) \quad A = A_0 + \lambda_1 A_1 + \lambda_2 A_2 + \dots + \lambda_l A_l$$

and A_j ($j=1, \dots, l$) are matrices with simple elementary divisors and their characteristic roots belong to the prime field P .

Lemma 3. The matrices A_1, A_2, \dots, A_l defined by (3) are the replicas of A .

Proof. Let F be a tensor of \mathfrak{X}_{r_s} and let $A_{rs}F=0$. F belongs to the eigen-space \mathfrak{X}_0 for the characteristic root zero of A_{rs} . If we denote by $\mathfrak{M}_{-\lambda_i}^*$ the eigen-space of \mathfrak{M}^* for a characteristic root $-\lambda_i$ of A^* , we have

$$\mathfrak{X}_0 = \sum \mathfrak{M}_{-\lambda_{i_1}}^* \times \mathfrak{M}_{-\lambda_{i_2}}^* \times \dots \times \mathfrak{M}_{-\lambda_{i_r}}^* \times \mathfrak{M}_{\lambda_{j_1}} \times \dots \times \mathfrak{M}_{\lambda_{j_s}}$$

where \times mean direct (Kronecker) product and the summation is extended over all combinations $(-\lambda_{i_1}, \dots, -\lambda_{i_r}, \lambda_{j_1}, \dots, \lambda_{j_s})$ such that

$$-\lambda_{i_1} - \dots - \lambda_{i_r} + \dots + \lambda_{j_s} = 0.$$

Clearly it is sufficient to prove that $(A_i)_{rs}F=0$, for

$$F \in \mathfrak{M}_{-\lambda_{i_1}}^* \times \dots \times \mathfrak{M}_{-\lambda_{i_r}}^* \times \mathfrak{M}_{\lambda_{j_1}}^* \times \dots \times \mathfrak{M}_{\lambda_{j_s}}.$$

If we operate A_i on F , F is multiplied by

$$-r_{i_1 i} - \dots - r_{i_r i} + r_{j_1 i} + \dots + r_{j_s i}.$$

But since

$$-\lambda_{i_1} - \dots - \lambda_{i_r} + \lambda_{j_1} + \dots + \lambda_{j_s} = \sum_{t=1}^l (-r_{i_1 t} - \dots - r_{i_r t} + r_{j_1 t} + \dots + r_{j_s t}) \lambda_t = 0,$$

we have $-r_{i_1 t} - \dots - r_{i_r t} + r_{j_1 t} + \dots + r_{j_s t} = 0$. ($t=1, 2, \dots, l$)

Consequently we have $(A_t)_{rs} F = 0$.

The matrix A can be transformed into the following form :

(5)

$$A = \begin{pmatrix} A_1 & & & \\ & A_2 & & \\ & & \ddots & \\ & & & A_k \end{pmatrix}, \text{ where } A_t = \begin{pmatrix} \lambda_t & & & \\ & \lambda_t & & \\ & & 1 & \\ & & & 1\lambda_t \\ & & & & \lambda_t \\ & & & & & 1 \\ & & & & & & 1\lambda_t \end{pmatrix}$$

Let $x^{\alpha i}$ ($\alpha=0, 1, \dots, \omega$, $\omega+1$ being the number of blockes in A ; $i=0, 1, \dots, k_\alpha$) be the contravariant variables such that

$$\begin{cases} A x^{0i} = \lambda_j x^{0i} & (i=0, 1, \dots, k_0) \\ A x^{\alpha 0} = \lambda_j x^{\alpha 0} & (\alpha=1, 2, \dots, \omega) \\ A x^{\alpha i} = x^{\alpha i-1} + \lambda_j x^{\alpha i} & (\alpha=1, 2, \dots, \omega; i=1, 2, \dots, k_\alpha) \end{cases}$$

and $y_{\alpha i}$ ($\alpha=0, 1, \dots, \omega$; $i=0, 1, \dots, k_\alpha$) be the covariant variables such that

$$\begin{cases} A y_{0i} = -\lambda_j y_{0i} & (i=0, 1, \dots, k_0) \\ A y_{\alpha i} = -\lambda_j y_{\alpha i} - y_{\alpha i+1} & (\alpha=1, 2, \dots, \omega; i=0, 1, \dots, k_\alpha-1) \\ A y_{\alpha k_\alpha} = -\lambda_j y_{\alpha k_\alpha} & (\alpha=1, 2, \dots, \omega) \end{cases}$$

We put

$$(6) \begin{cases} F_i^k = y_{0i} x^{0k} \\ F_\alpha^\beta = y_{\alpha k_\alpha} x^{\beta 0}, \\ F_\alpha^\beta = y_{\alpha k_\alpha - 1} x^{\beta 0} + y_{\alpha k_\alpha} x^{\beta 1} \\ \dots \dots \dots \\ F_\alpha^\beta = y_{\alpha k_\alpha - k'} x^{\beta 0} + y_{\alpha k_\alpha - k' + 1} x^{\beta 1} + \dots + y_{\alpha k_\alpha} x^{\beta k'}, \end{cases}$$

where $k' = \min(k_\alpha, k_\beta)$, $\alpha, \beta = 1, 2, \dots, \omega$.

Then, these F 's are invariant tensors of A in $\mathfrak{X}_{11}^{(7)}$, as we can verify easily. Let now B be any replica of A . Since the matrix B admits as its invariants all tensors from (6), we see that the matrix B must have in this coordinate system the form :

7) These invariants were found by M. Gotô.

$$(7) \quad B = \begin{pmatrix} B_1 & & & \\ & B_2 & & \\ & & \dots & \\ & & & B_k \end{pmatrix}, \text{ where } B_i = \begin{pmatrix} \mu_i & & & \\ & \mu_i & & \\ & * & \mu_i & \\ & & & \mu_i \end{pmatrix}$$

where $\mu_1, \mu_2, \dots, \mu_k$ are characteristic roots of B .

Lemma 4. The linear relation in $\lambda_i, \sum_{i=1}^k r_i \lambda_i = 0, r_i \in P$, implies the

same relation in $\mu_i : \sum_{i=1}^k r_i \mu_i = 0$.

Proof. First let P be of characteristic $p \neq 0$. We consider r_i as rational integers mod p . Since the matrices A and B have the forms (5) and (7), there exist covariant vectors x_1, \dots, x_k such that

$$Ax_i = \lambda_i x_i, Bx_i = \mu_i x_i, i = 1, 2, \dots, k.$$

Put

$$F = \underbrace{x_1 \dots x_1}_{r_1 \text{ times}} \underbrace{x_2 \dots x_2}_{r_2 \text{ times}} \dots \underbrace{x_k \dots x_k}_{r_k \text{ times}}$$

F is a tensor invariant of A , since, from the above relation, we have $A_{rs} F = 0$, where $s = r_1 + r_2 + \dots + r_k$. As B is a replica of A , F must be an invariana of B and this implies $\sum_{i=1}^k r_i \mu_i = 0$. The proof for the case of characteristic zero runs analogously, if we choose, as we may, r_i as rational integers.

We represent B in the form :

$$B = B_0 + B', \quad B_0 B' = B' B_0.$$

where B is a nilpotent matrix and B' is a matrix with simple elementary divisors. We may prove the following.

Lemma 5. The matrix B_0 is a replica of the matrix A_0 .

Proof. we take the basis $\{v_1 \dots v_m \ u_{11} \dots u_{1m_1} \dots u_{q1} \dots u_{qnq}\}$ of the eigen-space \mathfrak{X}_κ of \mathfrak{X}_{rs} for a characteristic root κ or A_{rs} such that

$$(8) \quad \begin{cases} A_{rs} v_i = \kappa v_i & (i = 1, 2, \dots, m) \\ A_{rs} u_{ij} = \kappa u_{ij} + u_{i,j+1} & (j \neq n_i, i = 1, 2, \dots, q) \\ A_{rs} u_{in_i} = \kappa u_{in_i} & (i = 1, 2, \dots, q) \end{cases}$$

We will show that $A_{rs} F = A_{rs}' F, F \in \mathfrak{X}_\kappa$ implies $B_{rs} F = B_{rs}' F$. We represent F in the form $F = \underset{\kappa}{F_\kappa}, F_\kappa \in \mathfrak{X}_\kappa$. Since

$$A_{rs} F = \underset{\kappa}{A_{rs} F_\kappa} = A_{rs}' F = \sum_{\kappa} \kappa F_\kappa$$

We have

$$A_{r_s}F_\kappa = \kappa F_\kappa.$$

From this we see easily that F_κ has the following form :

$$F_\kappa = \sum_{i=1}^m \alpha_i v_i + \sum_{i=1}^q \beta_i u_{in_i}$$

Since B_{r_s} is evidently a replica of A_{r_s} , we have from (5), (7) and (8) the following equations :

$$\begin{cases} B_{r_s}v_i = \nu v_i & (i=1, 2, \dots, m) \\ B_{r_s}u_{ij} = \nu u_{ij} + \gamma_{ij+1}u_{ij+1} + \dots + \gamma_{in_i}u_{in_i} & (j \neq n_i, i=1, 2, \dots, q) \\ B_{r_s}u_{in_i} = \nu u_{in_i} & (i=1, 2, \dots, q) \\ B_{r_s}'F_\kappa = \nu F_\kappa, F_\kappa \in \mathfrak{F}_\kappa. \end{cases}$$

Hence we have

$$B_{r_s}F_\kappa = \nu F_\kappa = B_{r_s}'F_\kappa \text{ and } B_{r_s}F_\kappa = B_{r_s}'F_\kappa,$$

which shows that B is a replica of A_0 .

From these lemmas we may prove the following

Theorem. A necessary and sufficient condition that a matrix B is a replica of the matrix A is that B is of the form,

$$(9) \quad B = \tilde{A}_0 + \mu_1 A_1 + \mu_2 A_2 + \dots + \mu_l A_l$$

where \tilde{A}_0 is an arbitrary replica of the nilpotent matrix A_0 and μ_i ($i=1, 2, \dots, l$) are arbitrary elements of the field K .

Proof. The sufficiency may be seen from the Lemma 2 and 3. Let, conversely, B be a replica of A . We represent B , as in Lemma 1, in the form $B = B_0 + B'$.

Then we have from Lemma 5 $B_0 = \tilde{A}_0$, where \tilde{A}_0 is a replica of A_0 . The relations (2) and Lemma 4 imply the relations,

$$(10) \quad \mu_i = \sum_{j=1}^l r_{ij} \mu_j, \quad r_{ij} \in P \quad (i=1, 2, \dots, k)$$

where μ_i are defined in (7). From the representation

$$B' = \mu_1 E_1 + \mu_2 E_2 + \dots + \mu_k E_k$$

and (10), we have

$$B' = \sum_{i=1}^k \sum_{j=1}^l r_{ij} \mu_j E_i = \sum_{j=1}^l \mu_j \sum_{i=1}^k r_{ij} E_i = \sum_{j=1}^l \mu_j A_j.$$

Consequently we have

$$B = \tilde{A}_0 + \sum_{i=1}^l \mu_i A_i$$

Now the replicas of nilpotent matrices have been determined by Chevalley and H. E. Tuan and have the following forms :

$$\tilde{A} = \begin{cases} \nu A_0, & \text{if the characteristic of the field } K \text{ is zero,} \\ \sum_{i=1}^t \nu_i A_0^{p^i}, & \text{if the characteristic of the field } K \text{ is } p \neq 0. \end{cases}$$

§ 2. Let \mathfrak{M} be the direct of some tensor spaces and \mathfrak{N} a subspace of \mathfrak{M} which is invariant under A .

We denote by \tilde{A} the matrix of linear transformation which is in-

duced by A in \mathfrak{N} . we shall consider the connection between the replicas of A and those of \bar{A} .

Lemma 6. Let \mathfrak{N} be the subspace of \mathfrak{M} which is invariant under A and \bar{A} the matrix of linear transformation which is induced by A in \mathfrak{N} . Then \mathfrak{N} is invariant under all replicas of A and the matrices of linear transformations which are induced by the replicas of A in \mathfrak{N} are replicas of the matrix \bar{A} , and conversely any replica of \bar{A} is induced by a replica of A .

Proof. Let m be the maximal number of the characteristic roots of \bar{A} which are linearly independent with respect to P .

Let $\lambda_1, \lambda_2, \dots, \lambda_m, \lambda_{l+1}, \dots, \lambda_q$ be the distinct characteristic roots of \bar{A} and let $\lambda_1, \dots, \lambda_m$ be linearly independent.

Let l and $\lambda_1, \dots, \lambda_m, \dots, \lambda_l, \lambda_{l+1}, \dots, \lambda_q, \dots, \lambda_k$ be defined for A analogously.

We denote by \mathfrak{N}_{λ_i} the eigen-space of \mathfrak{N} for a characteristic root λ_i of \bar{A} and by E_i' the matrix of projection of \mathfrak{N} on \mathfrak{N}_{λ_i} . Since $\mathfrak{N}_{\lambda_i} \supseteq \mathfrak{N}_{\lambda_i}$, \mathfrak{N} is invariant under E_i and $\bar{E}_i = E_i'$ or $\bar{E}_i = 0$ according as λ_i is a characteristic root of \bar{A} or not. Hence, by (3) and Theorem of § 1, \mathfrak{N} is invariant under all replicas of A .

Let
$$\lambda_i = \sum_{j=1}^l r_{ij} \lambda^j \quad (i=1, 2, \dots, k),$$

where r_{ij} are elements of P and where $r_{ij} = 0$ for

$$l+1 \leq i \leq q, \quad m+1 \leq j \leq l.$$

If we put

$$A_j' = \sum_{i=1}^k r_{ij} E_i' \quad (j=1, 2, \dots, m),$$

where $E_i' = 0$ if λ_i is not a characteristic root of \bar{A} , then we may represent \bar{A} in the form

$$(12) \quad \bar{A} = A_0' + \lambda_1 A_1' + \lambda_2 A_2' + \dots + \lambda_m A_m'$$

and every replica of \bar{A} is represented in the form,

$$(13) \quad \sum_t \nu_t (A_0')^{p^t} + \sum_{i=1}^m \mu_i A_i'.$$

But we verify easily that

$$\bar{A}_j = A_j' \text{ for } 1 \leq j \leq m \text{ and } \bar{A}_j = 0 \text{ for } m+1 \leq j \leq l.$$

From these relations, we get $\bar{A}_0 = A_0'$ and $\bar{A}_0^{p^t} = (A_0')^{p^t}$.

Let B be a replica of A . Then B is represented in the form.

$$B = \sum_t \nu_t A_0^{p^t} + \sum_{i=1}^l \mu_i A_i.$$

Then $\bar{B} = \sum_t \nu_t (A_0')^{p^t} + \sum_{i=1}^m \mu_i A_i'$ is a replica of \bar{A} . Conversely we see easily that any replica of \bar{A} is induced by a replica of A .

Lemma 7. Let

Let \mathfrak{M} be the direct sum of some tensor spaces and \mathfrak{N} a subspace of \mathfrak{M} which is invariant under A . We denote by \tilde{A} the matrix of linear transformation which is induced by A in \mathfrak{N} . Then \mathfrak{N} is invariant under all replicas of A and the matrices of linear transformations which are induced by the replicas of A in \mathfrak{N} are replicas of the matrix \tilde{A} and conversely any replica of \tilde{A} is induced by a replica of A in \mathfrak{N} .