

## 56. On the Zeros of Dirichlet's $L$ -Functions.

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We put  $h = \varphi(k)$  where  $k$  is a positive integer and  $\varphi(k)$  is Euler's function. Let  $\chi(n)$  denote one of the  $h$  Dirichlet's characters with modulus  $k$ .  $\bar{\chi}$  is the conjugate complex character of  $\chi$ .  $\zeta(s, w)$  and  $L(s, \chi)$  denote the functions defined for  $\sigma > 1$  by  $\sum_{n=0}^{\infty} (n+w)^{-s}$  and  $\sum_{n=1}^{\infty} \chi(n)n^{-s}$  respectively, where  $0 < w \leq 1$  and  $s = \sigma + ti$ . Throughout the paper, the notations  $A \ll B$  and  $A = O(B)$  for  $B > 0$  show that  $|A| \leq KB$ , where  $K$  is a positive absolute constant.

We know from the recent work of Rodoskiï ([11], Theorem 1.) that the number of  $L(s, \chi)$  which have a zero in the rectangle

$$1 - \frac{\psi(k)}{\log kT} \leq \sigma \leq 1, \quad |t - T_1| \leq K \log^2 kT$$

where  $\frac{1}{4} \log k \geq \psi(k) \geq \log \log k$  and  $T = |T_1| + 2$  does not exceed  $B \exp(A \psi(k) + 5 \log \log kT)$ . From this we are able to deduce that the total number of zeros of all the  $L$ -functions with modulus  $k$  in the above rectangle does not exceed

$$C \exp(A \psi(k) + 8 \log \log kT) \tag{1}$$

where  $A, B, C$  and  $K$  are positive absolute constants.

The aim of this paper is to estimate the total number  $N(\alpha, T)$  of zeros of all the  $L$ -functions with modulus  $k$  in the rectangle

$$\alpha \leq \sigma \leq 1, \quad |t| \leq T$$

using Ingham's method [7]. The main result is that, if

$$\zeta\left(\frac{1}{2} + ti, w\right) - w^{-\frac{1}{2} - ti} = O(|t|^c) \tag{2}$$

where  $c$  is a positive absolute constant, then

$$N(\alpha, T) = O\{k^{\alpha} T^{c\alpha} (T+k)^2\}^{1-\alpha} \log^{\alpha} kT\}$$

for  $\frac{1}{2} \leq \alpha \leq 1$ ,  $T \geq 2$ . From this we are also able to deduce (1) and so Rodoskiï's main theorem ([11], Theorem 2.) in the theory of primes in an arithmetic progression.

We use some well known theorems in the theory of functions in the following forms.

**Theorem A.** (Jensen, [6], Theorem D, p. 49.) Suppose that

$H(s)$  is regular in the circle  $|s-s_0| \leq R$ , and has  $\nu$  zeros in  $|s-s_0| \leq r (< R)$ . Then if  $H(s_0) \neq 0$

$$\left(\frac{R}{r}\right)^\nu \leq \frac{M}{|H(s_0)|}$$

where  $M$  is the maximum of  $|H(s)|$  on  $|s-s_0|=R$ .

**Theorem B.** *Littlewood*, [13], p. 132.) Let  $C$  denote the rectangle bounded by the lines  $\sigma=\sigma_1$ ,  $\sigma=\sigma_2$  ( $\sigma_1 < \sigma_2$ ),  $t=T$ ,  $t=-T$ . Let  $h(s)$  be analytic and not zero on  $C$ , and meromorphic inside it. Let  $N^*(a)$  denote the excess of the number of zeros of  $h(s)$  over the number of poles in the part of the rectangle where  $\sigma > a$ . Then

$$2\pi \int_{\sigma_1}^{\sigma_2} N^*(\sigma) d\sigma = \int_{-T}^T \{ \log |h(\sigma_1+ti)| - \log |h(\sigma_2+ti)| \} dt \\ + \int_{\sigma_1}^{\sigma_2} \{ \arg h(\sigma+Ti) - \arg h(\sigma-Ti) \} d\sigma.$$

**Theorem C.** (*Doetsch*, [3].) Suppose that  $g(s, \chi)$  is regular and  $G(s) = \sum_{\chi} |g(s, \chi)|^2$  is bounded in the strip  $\sigma_1 \leq \sigma \leq \sigma_2$ . We write  $M(\sigma) = \sup_{\Re s = \sigma} G(s)$  then

$$M(\sigma) \leq M(\sigma_1)^{\frac{\sigma_2-\sigma}{\sigma_2-\sigma_1}} M(\sigma_2)^{\frac{\sigma-\sigma_1}{\sigma_2-\sigma_1}}$$

**Theorem D.** (*Hardy-Ingham-Pólya*, [4].) Suppose that  $g(s, \chi)$  is regular and  $G(s) = \sum_{\chi} |g(s, \chi)|^2$  is bounded in the strip  $\sigma_1 \leq \sigma \leq \sigma_2$ . We write  $J(\sigma) = \int_{-\infty}^{\infty} G(\sigma+ti) dt$ . If  $J(\sigma_1)$  and  $J(\sigma_2)$  are convergent, then  $J(\sigma)$  is convergent and

$$J(\sigma) \leq J(\sigma_1)^{\frac{\sigma_2-\sigma}{\sigma_2-\sigma_1}} J(\sigma_2)^{\frac{\sigma-\sigma_1}{\sigma_2-\sigma_1}}$$

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### 1. On $N(a, T)$ .

Let  $\mu(n)$  denote Möbius's function. We define  $Q(s, \chi, z) = \sum_{n < z} \chi(n) \mu(n) n^{-s}$ ,  $f(s, \chi, z) = L(s, \chi) Q(s, \chi, z) - 1$ ,  $h(s, \chi, z) = 1 - f^2(s, \chi, z)$ ,  $K(\sigma, T, z) = \text{Max}(T-1.5 \leq |t| \leq T+1.5) \sum_{\chi} |f(\sigma+ti, \chi, z)|^2$ ,

and  $I(\sigma, T, z) = \int_{-T}^T \sum_{\chi} |f(\sigma+ti, \chi, z)|^2 dt$ .

**Lemma 1.** If  $\frac{1}{2} + 2\delta \leq a < 1$  ( $\delta > 0$ ), then

$$N(a, T) \ll \frac{1}{\delta} \left\{ I(a-\delta, T, z) + I(2, T, z) \right\} + \\ + \frac{1}{\delta^2} \left\{ \text{Max}(a-2\delta \leq \sigma \leq 4) K(\sigma, T, z) - \log(2 - \exp K(2, T, z)) \right\}.$$

**Proof.** Let  $m$  be the number of zeros of the function

$$H(s, T, z) = \prod_x h(s + Ti, \chi, z) + \prod_x h(s - Ti, \chi, z)$$

on the segment  $\alpha < \sigma < 2, t = 0$ . Hence  $m$  cannot exceed  $\nu(\alpha, T, z)$  the number of zeros of  $H(s, T, z)$  in the circle  $|s - 2| \leq 2 - \alpha$ . It is well known that

$$|\sum_x \arg h(\alpha + Ti, \chi, z)| \leq (m + 1) \pi \ll \nu(\alpha, T, z). \tag{3}$$

On the other hand

$$\left(\frac{2 - \alpha + \delta}{2 - \alpha}\right)^{\nu(\alpha, T, z)} \leq \text{Max}(|s - 2| = 2 - \alpha + \delta) \left| \frac{H(s, T, z)}{H(2, T, z)} \right| \tag{4}$$

by Theorem A. Further we have

$$\begin{aligned} H(2, T, z) &= 2 \Re \prod_x h(2 + Ti, \chi, z) = 2 \Re \prod_x \{1 - f^2(2 + Ti, \chi, z)\} \\ &\geq 2 \{1 - (\prod_x (1 + |f(2 + Ti, \chi, z)|^2) - 1)\} \\ &\geq 4 - 2 \exp \sum_x |f(2 + Ti, \chi, z)|^2, \end{aligned} \tag{5}$$

$$\begin{aligned} \text{Max}(|s - 2| = 2 - \alpha + \delta) |H(s, T, z)| &\leq \exp \{ \text{Max}(|s - 2| \leq 2 - \alpha + \delta) \sum_x |f(s + Ti, \chi, z)|^2 \} \\ &+ \exp \{ \text{Max}(|s - 2| \leq 2 - \alpha + \delta) \sum_x |f(s - Ti, \chi, z)|^2 \} \\ &\leq 2 \exp \{ \text{Max}(\alpha - \delta \leq \sigma \leq 4 - \alpha + \delta) K(\sigma, T, z) \}. \end{aligned} \tag{6}$$

From (4), (5) and (6) we get

$$\begin{aligned} \nu(\alpha, \pm T, z) &\ll \frac{1}{\delta} \left\{ \text{Max}(\alpha - \delta \leq \sigma \leq 4 - \alpha + \delta) K(\sigma, T, z) \right. \\ &\quad \left. + \log 2 - \log(4 - 2 \exp K(2, T, z)) \right\} \end{aligned}$$

Now we put

$$\sigma_1 = \alpha - \delta, \sigma_2 = 2, h(s) = h(s, \chi, z), N^*(\alpha) = N^*(\alpha, T, \chi)$$

in Theorem B. We write  $N^*(\alpha, T) = \sum_x N^*(\alpha, T, \chi)$ . Noting that

$$N^*(\alpha, T) \leq \frac{1}{\delta} \int_{\alpha - \delta}^{\alpha} N^*(\sigma, T) d\sigma \leq \frac{1}{\delta} \int_{\alpha - \delta}^2 N^*(\sigma, T) d\sigma \text{ and } N(\alpha, T) \leq N^*(\alpha, T) \text{ for } \frac{1}{2} + 2\delta \leq \alpha < 1, \text{ we obtain the result.}$$

2. On  $\rho(n, z)$ .

We define

$$\rho(n) = \rho(n, z) = \begin{cases} \sum_{d|n} 1. & (n, k) = 1 \\ \frac{\alpha \leq \frac{n}{z}}{0} & (n, k) > 1 \end{cases} \text{ for } z \geq k,$$

and

$$D(x) = D(x, z, m) = \sum_{\substack{n \leq x \\ n \equiv m \pmod{k}}} \rho(n, z).$$

**Lemma 2.**  $D(x, z, m) \ll \frac{1}{k} x \log x$ .

**Proof.** If  $(m, k) = 1$ , then the number of the solutions of

$$\lambda d \equiv m \pmod{k}, \quad 1 \leq \lambda \leq \left[ \frac{x}{d} \right]$$

does not exceed

$$\frac{1}{k} \left[ \frac{x}{d} \right] + 1 \leq \frac{x}{kd} + 1$$

Hence

$$D(x, z, m) \leq \sum_{\substack{n \leq x \\ n \equiv m \pmod{k}}} \sum_{\substack{d|n \\ d \leq \frac{x}{k}}} 1 \leq \sum_{\substack{d|n \\ a \leq \frac{x}{k}}} \left( \frac{x}{kd} + 1 \right) \ll \frac{1}{k} x \log x.$$

**Lemma 3.**  $\sum_{\substack{n \geq z \\ n \equiv m \pmod{k}}} \frac{\rho(n)}{n^{1+\delta}} \ll \frac{1}{k} \frac{\log z}{\delta z^\delta}$  for  $0 < \delta \leq 3$ .

**Proof.**  $\sum_{\substack{n \geq z \\ n \equiv m \pmod{k}}} \frac{\rho(n)}{n^{1+\delta}} = \sum_{n \geq z} \frac{D(n) - D(n-1)}{n^{1+\delta}} \leq \sum_{n \geq z} D(n) \left( \frac{1}{n^{1+\delta}} - \frac{1}{(n+1)^{1+\delta}} \right)$

$$\leq (1+\delta) \int_z^\infty \frac{D(u) du}{u^{2+\delta}} \ll \frac{1}{k} \int_z^\infty \frac{\log u}{u^{1+\delta}} du$$

by Lemma 2. By the substitution  $u = zv^\delta$

$$\int_z^\infty \frac{\log u}{u^{1+\delta}} du = \int_1^\infty \frac{\log z}{\delta z^\delta v^\delta} dv + \int_1^\infty \frac{\log v}{z^\delta v^\delta} dv \ll \frac{\log z}{\delta z^\delta}$$

**Lemma 4.**  $\sum_{\substack{m, n \geq z \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{(mn)^{1+\delta}} \ll \frac{1}{k} \frac{\log z}{\delta^3 z^\delta}$  for  $0 < \delta \leq 3$ .

**Proof.** Let  $d(m)$  be the number of divisors of  $m$ . Since

$$\sum_{m \geq z} \frac{\rho(m)}{m^{1+\delta}} \leq \sum_{m \geq 1} \frac{d(m)}{m^{1+\delta}} = \zeta^2(1+\delta)$$

we have

$$\sum_{\substack{m, n \geq z \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{(mn)^{1+\delta}} = \sum_{m \geq z} \frac{\rho(m)}{m^{1+\delta}} \sum_{\substack{n \geq z \\ n \equiv m \pmod{k}}} \frac{\rho(n)}{n^{1+\delta}} \ll \zeta^2(1+\delta) \frac{\log z}{k \delta^3 z^\delta} \ll \frac{1}{k} \frac{\log z}{\delta^3 z^\delta}.$$

**Lemma 5.** 
$$\sum_{\substack{m < n \leq x \\ n \equiv m \pmod{k}}} \frac{\rho(m)\rho(n)}{\sqrt{mn}} \ll \frac{1}{k} x \log^2 x.$$

**Proof.** By the above method, we can easily deduce the result.

**Lemma 6.** 
$$\sum_{\substack{m < n \leq x \\ n \equiv m \pmod{k}}} \frac{\rho(m)\rho(n)}{n-m} \ll \frac{1}{k} x \log^4 x.$$

**Proof.**

$$\begin{aligned} \sum_{\substack{m < n \leq x \\ n \equiv m \pmod{k}}} \frac{\rho(m)\rho(n)}{n-m} &= \sum_{\substack{lk \leq x \\ l \equiv k \pmod{k}}} \frac{1}{lk} \sum_{m=1}^{x-lk} \rho(m)\rho(m+lk) \\ &\leq \frac{1}{k} \sum_{l \leq x} \frac{1}{l} x \log^2 x \sum_{d|lk} \frac{1}{d} \ll \frac{1}{k} x \log^4 x \end{aligned}$$

by a well known lemma. ([5], Lemma B2.)

**Lemma 7.** 
$$\sum_{\substack{m < n \leq x \\ n \equiv m \pmod{k}}} \frac{\rho(m)\rho(n)}{\sqrt{mn} \log \frac{n}{m}} \ll \frac{1}{k} x \log^4 x.$$

**Proof.** From the inequality  $\frac{1}{\sqrt{mn} \log \frac{n}{m}} < \frac{1}{\sqrt{mn}} + \frac{1}{n-m}$ , the result is obvious by Lemma 5 and Lemma 6.

**3. On  $K(\sigma, T, z)$ .**

For  $\sigma > 1$ , we have

$$f(s, \chi, z) = \sum_{n=1}^{\infty} \chi(n)n^{-s} \sum_{\substack{n < z \\ d|n}} \mu(d) \chi(n)n^{-s} - 1 = \sum_{n=1}^{\infty} a_n n^{-s}.$$

where  $a_n = \chi(n) \sum_{\substack{d|n \\ d < z}} \mu(d)$  for  $n = 2, 3, \dots$  and  $a_1 = 0$ . Therefore

$$|f(1 + \delta + ti, \chi, z)|^2 = \sum_{\substack{m, n \geq z \\ d|n}} \frac{\bar{\chi}(m)\chi(n)}{(mn)^{1+\delta}} \sum_{\substack{d|m \\ d < z}} \mu(d) \sum_{\substack{d|n \\ d < z}} \mu(d) \left(\frac{m}{n}\right)^{ti}.$$

Hence

$$\sum_x |f(1 + \delta + ti, \chi, z)|^2 = \sum_{\substack{m, n \geq z \\ n \equiv m \pmod{k} \\ (m, k) = 1}} \frac{\varphi(k)}{(mn)^{1+\delta}} \sum_{\substack{d|m \\ d < z}} \mu(d) \sum_{\substack{d|n \\ d < z}} \mu(d) \left(\frac{m}{n}\right)^{ti}. \tag{7}$$

For  $n > 1$

$$\left| \sum_{\substack{d|n \\ d < z}} \mu(d) \right| = \left| \sum_{\substack{d|n \\ z \leq d \leq n}} \mu(d) \right| \leq \sum_{\substack{d|n \\ z \leq d \leq n}} 1 = \sum_{\substack{d|n \\ d \leq \frac{n}{z}}} 1 \tag{8}$$

**Lemma 8.** If  $z \geq k$  and  $0 < \delta \leq 3$ , then  $K(1 + \delta, T, z) \ll \frac{1}{\delta^4}$ .

Further if  $k$  is sufficiently large, then  $K(2, T, z) \leq \frac{1}{2}$ .

**Proof.** From (7) and (8), and by Lemma 4

$$\sum_x |f(1+\delta+ti, \chi, z)|^2 \ll k \sum_{\substack{m, n \geq z \\ n \equiv m \pmod{k}}} \frac{\rho(m)\rho(n)}{(mn)^{1+\delta}} \ll \frac{\log z}{\delta^3 z^\delta}$$

From this the result is obvious.

For  $\sigma = \frac{1}{2}$  we use the inequality

$$|f(\frac{1}{2}+ti, \chi, z)|^2 \leq 2 (|L(\frac{1}{2}+ti, \chi)|^2 |Q(\frac{1}{2}+ti, \chi, z)|^2 + 1). \tag{9}$$

Since

$$L(s, \chi) = \sum_{\nu=1}^k \chi(\nu)\nu^{-s} + \sum_{\nu=1}^k \chi(\nu) k^{-s} (\zeta(s, \nu k^{-1}) - (\nu k^{-1})^{-s})$$

we have

$$\text{Max} (T-1.5 \leq |t| \leq T+1.5) |L(\frac{1}{2}+ti, \chi)| \ll \sqrt{k} T^c. \tag{10}$$

by (2). On the other hand

$$|Q(\frac{1}{2}+ti, \chi, z)|^2 = \sum_{\substack{m < z \\ n < z}} \frac{\mu(m)\mu(n)\bar{\chi}(m)\chi(n)}{\sqrt{mn}} \left(\frac{m}{n}\right)^{ti}$$

whence

$$\sum_x |Q(\frac{1}{2}+ti, \chi, z)|^2 = \varphi(k) \sum_{\substack{m < z, n < z \\ n \equiv m \pmod{k} \\ (m, k)=1}} \frac{\mu(m)\mu(n)}{\sqrt{mn}} \left(\frac{m}{n}\right)^{ti}. \tag{11}$$

**Lemma 9.** If  $z \geq k^2$ , then  $K(\frac{1}{2}, T, z) \ll kT^{2c} z$ .

**Proof.** We have by (10) and (11)

$$\begin{aligned} K(\frac{1}{2}, T, z) &\ll (\sqrt{k}T^c)^2 \text{Max} (T-1.5 \leq |t| \leq T+1.5) \sum_x |Q(\frac{1}{2}+ti, \chi, z)|^2 \\ &\ll kT^{2c} k \sum_{\substack{m < z, n < z \\ n \equiv m \pmod{k}}} \frac{1}{\sqrt{mn}} = k^2 T^{2c} \sum_{m < z} \frac{1}{\sqrt{m}} \sum_{\substack{n < z \\ n \equiv m \pmod{k}}} \frac{1}{\sqrt{n}} \\ &\ll k^2 T^{2c} \sum_{m < z} \frac{1}{\sqrt{m}} \left(\frac{\sqrt{z}}{k} + 1\right) \ll k T^{2c} (z + k\sqrt{z}) \ll k T^{2c} z \end{aligned}$$

Now we write  $g(s, \chi, z) = \frac{s-1}{s \cos \frac{s}{2T}} f(s, \chi, z)$ ,  $G(s, z) = \sum_x |g(s, \chi, z)|^2$ ,

and  $M(\sigma, z) = \sup_{\Re s = \sigma} G(s, z)$  for  $\frac{1}{2} \leq \sigma \leq 1+\delta$ ,  $0 < \delta \leq 3$ . In this strip,  $g(s, \chi, z)$  is regular and satisfies

$$e^{-\frac{t|t|}{x}} |f(s, \chi, z)|^2 \left| \frac{s-1}{s} \right|^2 \ll |g(s, \chi, z)|^2 \ll e^{-\frac{|t|}{x}} |f(s, \chi, z)|^2. \tag{12}$$

Therefore  $G(s, z)$  is certainly bounded in this strip for fixed  $k, T$  and  $z$ .

**Lemma 10.** If  $z = k(T+k)$ , then

$$K(\sigma, T, z) \ll \begin{cases} \{k^4 T^{4c} (T+k)^2\}^{1-\sigma} \log^4 kT. & (\frac{1}{2} \leq \sigma \leq 1) \\ \log^4 kT. & (1 \leq \sigma \leq 4) \end{cases}$$

Proof. By Lemma 8, Lemma 9 and (12), we have

$$M(1 + \delta, z) \ll \frac{1}{\delta^4}, \quad M\left(\frac{1}{2}, z\right) \ll kT^{2c} z$$

if  $z \geq k^2$ . Put  $z = k(T+k)$ , and use Theorem C, then we get

$$M(\sigma, z) \ll \{k^2 T^{2c} (T+k)\}^{\frac{1+\delta-\sigma}{\frac{1}{2}+\delta}} \left\{\frac{1}{\delta^4}\right\}^{\frac{\sigma-\frac{1}{2}}{+\delta}}$$

Further we put  $\delta = \frac{a}{\log kT}$  ( $a > 0$ ), then we have

$$M(\sigma, z) \ll \{k^2 T^{2c} (T+k)\}^{2(1-\sigma)} (\log^4 kT)^{2\sigma-1}$$

for  $\frac{1}{2} \leq \sigma \leq 1+\delta$ . Thus we get the result by (12).

4. On I ( $\sigma, T, z$ ).

Lemma 11. If  $z \geq kT$  and  $0 < \delta \leq 1$ , then  $I(1+\delta, T, z) \ll \frac{1}{\delta^5}$ .

Proof. From (7) we have

$$\int_{-x}^x \sum |f(1+\delta+ti, \chi, z)|^2 dt \ll kT \sum_{n \geq z} \frac{\rho^2(n)}{n^{2+2\delta}} + k \sum_{\substack{n > m \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{(mn)^{1+\delta} \log \frac{n}{m}} \quad (13)$$

if  $z \geq k$ . We know from Ingham's estimation ([7], p. 260) that

$$\sum_{n \geq z} \frac{\rho^2(n)}{n^{2+2\delta}} \leq \sum_{n \geq z} \frac{d^2(n)}{n^{2+2\delta}} \ll \frac{1}{\delta^3 z}. \quad (14)$$

On the other hand

$$\begin{aligned} \sum_{\substack{n > m \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{(mn)^{1+\delta} \log \frac{n}{m}} &< \sum_{\substack{n > m \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{(mn)^{1+\delta}} + \sum_{\substack{n > m \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{m^\delta n^{1+\delta} \sqrt{mn} \log \frac{n}{m}} \\ &< \frac{1}{k\delta^4} + \sum_{\substack{n > m \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{\sqrt{mn} \log \frac{n}{m}} \int_1^\infty \frac{1+\delta}{x^{2+\delta}} dx \end{aligned}$$

by Lemma 4. Since

$$\begin{aligned} \sum_{\substack{n > m \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{\sqrt{mn} \log \frac{n}{m}} \int_1^\infty \frac{1+\delta}{x^{2+\delta}} dx &= \int_1^\infty \frac{1+\delta}{x^{2+\delta}} \left( \sum_{\substack{m < n \leq x \\ n \equiv m \pmod{k}}} \frac{\rho(m) \rho(n)}{\sqrt{mn} \log \frac{n}{m}} \right) dx \\ &\ll \frac{1}{k} \int_1^\infty \frac{\log^4 x}{x^{1+\delta}} dx \ll \frac{1}{k\delta^5} \quad (15) \end{aligned}$$

by Lemma 7. The result is obvious from (13), (14) and (15) if  $z \geq kT$ .

**Lemma 12.** If  $z \geq k^2$ , then  $I(\frac{1}{2}, T, z) \ll kT^{2\sigma} (kT+z) \log z$ .

**Proof.** From (9) we have

$$\int_{-T}^T |f(\frac{1}{2} + ti, \chi, z)|^2 dt \ll (\sqrt{k} T^c)^2 \int_{-T}^T |Q(\frac{1}{2} + ti, \chi, z)|^2 dt + T.$$

Further

$$\begin{aligned} \int_{-T}^T \sum_{\chi} |Q(\frac{1}{2} + ti, \chi, z)|^2 dt &\ll kT \log z + k \sum_{\substack{m < n < z \\ n \equiv m \pmod{k}}} \frac{1}{\sqrt{mn} \log \frac{n}{m}} \\ &\ll kT \log z + k \left( \sum_{\substack{m < n < z \\ n \equiv m \pmod{k}}} \frac{1}{\sqrt{mn}} + \sum_{\substack{m < n < z \\ n \equiv m \pmod{k}}} \frac{1}{n-m} \right) \ll kT \log z + z \log z + k\sqrt{z} \end{aligned}$$

by (11). Hence we get the result.

We write

$$J(\sigma, z) = \int_{-\infty}^{\infty} G(\sigma + ti, z) dt.$$

It is easy to see that

$$J(\sigma, z) \ll \int_0^{\infty} e^{-u} \left( \int_{-Tu}^{Tu} \sum_{\chi} |f(\sigma + ti, \chi, z)|^2 dt \right) du. \quad (16)$$

**Lemma 13.** If  $z = k(T+k)$ , then

$$I(\sigma, T, z) \ll \{k^4 T^{4c} (T+k)^2\}^{1-\sigma} \log^7 kT. \left( \frac{1}{2} \leq \sigma \leq 1 - \frac{a}{\log kT} \right)$$

**Proof.** By Lemma 11, Lemma 12 and (16), we have

$$J(1+\delta, z) \ll \frac{1}{\delta^5}, \quad J(\frac{1}{2}, z) \ll kT^{2c} (kT+z) \log z$$

if  $z \geq k(T+k)$ . Put  $z = k(T+k)$ , and use Theorem D, then we get

$$J(\sigma, z) \ll \{k^2 T^{2c} (T+k) \log kT\}^{\frac{1+\delta-\sigma}{\frac{1}{2}+\delta}} \left\{ \frac{1}{\delta^5} \right\}^{\frac{\sigma-\frac{1}{2}}{\frac{1}{2}+\delta}}$$

Further we put  $\delta = \frac{a}{\log kT}$  ( $a > 0$ ), then we have

$$\begin{aligned} J(\sigma, z) &\ll \{k^2 T^{2c} (T+k) \log kT\}^{2(1-\sigma)} (\log kT)^{5(2\sigma-1)} \\ &\ll \{k^4 T^{4c} (T+k)^2\}^{1-\sigma} \log^5 kT \end{aligned}$$

for  $\frac{1}{2} \leq \sigma \leq 1$ . Thus we get the result by (12).

### 5. Main theorem.

**Theorem 1.** If  $\frac{1}{2} \leq \alpha \leq 1$  and  $T \geq 2$ , then



$$N(a, T) \ll \{k^a T^{4a} (T+k)^2\}^{1-a} \log^8 kT.$$

Proof. Take  $\delta = \frac{a}{\log kT}$  ( $a > 0$ ), and insert the results of Lemma 8, Lemma 10, Lemma 11 and Lemma 13 in Lemma 1, we get the above estimation for  $\frac{1}{2} + 2\delta \leq a < 1$ . But if  $\frac{1}{2} \leq a \leq \frac{1}{2} + 2\delta$ , then it is well known that  $N(a, T) \ll kT \log kT$ . Therefore we obtain the main theorem.

### 6. Application.

As an application of the main theorem we shall investigate Hoheisel's Problem [7] concerning the difference between consecutive primes in an arithmetic progression.

We know from Hadamard's theory ([8], p. 507.) that if  $\chi$  is a primitive character with modulus  $k$  ( $> 1$ ), then

$$\frac{L'}{L}(s, \chi) = b - \frac{1}{2} \frac{\Gamma'}{\Gamma} \left( \frac{s+a}{2} \right) + \sum_{\rho} \left( \frac{1}{s-\rho} + \frac{1}{\rho} \right) \quad (17)$$

where

$$a = \begin{cases} 0 & \chi(-1) = 1. \\ 1 & \chi(-1) = -1. \end{cases}$$

and  $\rho(\chi) = \rho = \beta + \gamma i$  is a typical zero of  $L(s, \chi)$  those for which  $\gamma = 0$ ,  $\beta \leq 0$  being excluded. If we put  $s = 2$  in (17), then we get

$$b + \sum_{|\gamma| < 1} \frac{1}{\rho} \ll \log k.$$

Now we consider

$$\frac{1}{2\pi i} \int_{\xi}^{\infty} \frac{x^s}{s} \left( -\frac{L'}{L}(s, \chi) \right) ds$$

where the integral is taken in the positive sense round the rectangle with vertices  $\xi \pm Ti$ ,  $\eta \pm Ti$ . Take  $\xi = 1 + \frac{1}{\log x}$ , and  $\eta \rightarrow -\infty$ , then we have

$$\sum_{n \leq x} \chi(n) \Lambda(n) + \sum_{|\gamma| < x} \frac{x^{\rho}}{\rho} + b \ll \frac{x}{T} \log^2(kx)$$

for  $x \geq T \geq 2$ , where  $\Lambda(n)$  is the Mangoldt function. It is easily seen that the result is valid for the imprimitive character if its leader  $f$  is greater than 1. From this we obtain the extended Landau's theorem [9].

**Theorem 2.** If  $x \geq T \geq 2$ , then

$$\sum_{n \leq x} \chi(n) \Lambda(n) - E(\chi) x + \sum_{|\gamma| < x} \frac{x^{\rho}}{\rho} + E_1(\chi) \frac{x^{\beta_1}}{\beta_1} \ll \frac{x}{T} \log^3(kx)$$

where

$$E(\chi) = \begin{cases} 1 & \chi = \chi_0 \\ 0 & \chi \neq \chi_0, \end{cases} \quad E_l(\chi) = \begin{cases} 1 & \chi = \chi_l \\ 0 & \chi \neq \chi_l, \end{cases}$$

$\chi_0$  is the principal character,  $\chi_l$  is the special real character in  $\beta_l$  is the special real zero in Page's theory ([10], Lemma 9), and

$$\sum'_{|\gamma| < T} \frac{x^\rho}{\rho} = \sum_{\substack{|\gamma| < T \\ \rho \neq \beta_1, \rho \neq 1 - \beta_1}} \frac{x^\rho}{\rho}$$

If we write

$$\psi(x; k, l) = \sum_{\substack{n \leq x \\ n \equiv l \pmod{k}}} \Lambda(n)$$

for  $(k, l) = 1$ , then we have

$$\begin{aligned} \psi(x; k, l) &= \frac{1}{h} \sum_{n \leq x} \Lambda(n) \sum_z \chi(n) \bar{\chi}(l) = \frac{1}{h} \sum_z \bar{\chi}(l) \sum_{n \leq x} \chi(n) \Lambda(n) \\ &= \frac{x}{h} - \frac{\bar{\chi}_l(l)}{h} \frac{x^{\beta_1}}{\beta_1} - \frac{1}{h} \sum_z \bar{\chi}(l) \sum'_{\substack{|\gamma| < T \\ \rho = \rho(\chi)}} \frac{x^\rho}{\rho} + O\left(\frac{x \log^2(kx)}{T}\right) \end{aligned} \quad (18)$$

by Theorem 2.

Since

$$\left| \frac{(x+y)^\rho - x^\rho}{\rho} \right| = \left| \int_x^{x+y} u^{\rho-1} du \right| \leq \int_x^{x+y} u^{\beta-1} du \leq yx^{\beta-1}$$

if  $0 < y \leq x$ , we have

$$\begin{aligned} &\psi(x+y; k, l) - \psi(x; k, l) \\ &= \frac{y}{h} + E_l(\chi) O\left(\frac{yx^{\beta_1-1}}{h}\right) + \frac{y}{h} O\left(\sum'_{|\gamma| < T} x^{\beta-1}\right) + O\left(\frac{x \log^2(kx)}{T}\right) \end{aligned} \quad (19)$$

It was proved by Tchudakoff [12] that

$$\beta \leq |-\omega(T), \omega(T) = \frac{b_1}{\text{Ma } x\{\log k, (\log T)^\mu\}}$$

if  $|\gamma| < T$  where  $b_1$  and  $\mu$  are positive absolute constants. For example we can take  $\mu = \frac{4}{5} + \varepsilon$  ( $\varepsilon > 0$ ) by Titchmarsh [14].

Now, by Theorem 1,

$$\begin{aligned} \sum'_{|\gamma| < T} x^{\beta-1} &= \sum'_{|\gamma| < T} \left\{ x^{-1} + \int_0^\beta x^{\sigma-1} \log x d\sigma \right\} \ll x^{-1} N(0, T) + \int_0^1 \sum'_{\substack{|\gamma| < T \\ \beta \geq \sigma}} x^{\sigma-1} \log x d\sigma \\ &\ll x^{-1} kT \log kT + \int_0^1 N(\sigma, T) x^{\sigma-1} \log x d\sigma \end{aligned}$$

$$\begin{aligned} &\ll x^{-1} kT \log kT + \int_0^{1-\omega(T)} \{k^4 T^{4c} (T+k)^2\}^{1-\sigma} (\log^8 kT) x^{\sigma-1} \log x \, dx \\ &\ll \frac{T}{x} k \log kT + \left\{ \frac{k^4 T^{4c} (T+k)^2}{x} \right\}^{\omega(T)} (\log^8 kT) \log x \end{aligned} \quad (20)$$

if

$$k^4 T^{4c} (T+k)^2 \leq x \quad (21)$$

Now we assume that

$$\log k \leq \frac{1}{20} b_1 \varepsilon \frac{\log x}{\log \log x} \quad (0 < \varepsilon < 1) \quad (22)$$

If we take

$$T = x^\lambda, \quad \lambda = \frac{1-\varepsilon}{2+4^c} \quad (23)$$

then

$$k^4 T^{4c} (T+k)^2 \leq 2k^6 T^{2+4c} \leq x^{1-\frac{\varepsilon}{2}} \quad (24)$$

when  $x$  is sufficiently large, and so (21) is satisfied.

Hence, by (19) and (20)

$$\begin{aligned} \psi(x+y; k, l) - \psi(x; k, l) &= \frac{y}{h} + E_1(\chi) O\left(\frac{y x^{\beta_1-1}}{h}\right) \\ &+ \frac{y}{h} O\left\{ \frac{T}{x} k \log kT + \exp\left(\omega(T) \log \frac{k^4 T^{4c} (T+k)^2}{x}\right) (\log^8 kT) \log x \right\} \\ &+ \frac{y}{h} O\left(\frac{hx \log^2(kx)}{yT}\right) \end{aligned}$$

Using (22), (23) and (24), we have, when  $x$  is sufficiently large,

$$\begin{aligned} \frac{T}{x} k \log kT &\ll \exp\left\{- (1-\lambda) \log x + \frac{1}{20} b_1 \varepsilon \frac{\log x}{\log \log x} + \log \log x\right\} \\ &\ll \exp\left\{- \left(1 - \frac{3}{2} \lambda\right) \log x\right\}, \\ \exp\left\{\omega(T) \log \frac{k^4 T^{4c} (T+k)^2}{x}\right\} (\log^8 kT) \log x \\ &\ll \exp\left\{9 \log \log x - \omega(T) \frac{\varepsilon}{2} \log x\right\}, \\ \frac{hx \log^2(kx)}{yT} &\ll \exp\left\{(1-\lambda) \log x + \frac{1}{20} b_1 \varepsilon \frac{\log x}{\log \log x} + 2 \log \log x - \log y\right\} \\ &\ll \exp\left\{- \frac{1}{4} \varepsilon \log x\right\} \end{aligned}$$

if  $y \geq x^{\frac{1+4c}{2+4c} + \varepsilon} \geq x^{1-\lambda + \frac{\varepsilon}{2}}$ .

Hence we obtain

$$\begin{aligned} \psi(x+y; k, l) - \psi(x; k, l) &= \frac{y}{h} + E_1(x) O\left(\frac{yx^{\beta_1-1}}{h}\right) + \\ &+ \frac{y}{h} O\left\{\exp\left(9 \log \log x - \omega(T) \frac{\varepsilon}{2} \log x\right)\right\} + \frac{y}{h} O\{\exp(-b_2 \log x)\}. \end{aligned} \quad (25)$$

where  $b_2 = b_2(\varepsilon)$  is a positive constant.

Now

$$9 \log \log x - \omega(T) \frac{\varepsilon}{2} \log x \leq 9 \log \log x - \frac{1}{2} b_1 \varepsilon \frac{\log x}{\log k} \leq -\frac{1}{20} b_1 \varepsilon \frac{\log x}{\log k}$$

if  $\lambda^\mu (\log x)^\mu \leq \log k \leq \frac{b_1 \varepsilon}{20} \frac{\log x}{\log \log x}$ . On the other hand

$$9 \log \log x - \omega(T) \frac{\varepsilon}{2} \log x \leq 9 \log \log x - \frac{b_1 \varepsilon \log x}{2\lambda^\mu (\log x)^\mu} \leq -b_3 (\log x)^{1-\mu}$$

if  $\log k \leq \lambda^\mu (\log x)^\mu$  and  $x$  is sufficiently large where  $b_3 = b_3(\varepsilon)$  is a positive constant. Thus we conclude the following Lemma.

**Lemma 14.** If  $(l, k) = 1$  and  $x^{\frac{1+4\varepsilon}{2+4\varepsilon} + \varepsilon} \leq y \leq x$  ( $\varepsilon > 0$ ), then there exist positive constants  $A = A(\varepsilon)$ ,  $B = B(\varepsilon)$  and  $C = C(\varepsilon)$  such that

$$\begin{aligned} \psi(x+y; k, l) - \psi(x; k, l) &= \frac{y}{h} + E_1(x) O\left(\frac{yx^{\beta_1-1}}{h}\right) + \\ &+ \begin{cases} O\left\{\frac{y}{h} \exp\left(-A \frac{\log x}{\log k}\right)\right\}. & C(\log x)^\mu \leq \log k \leq B \frac{\log x}{\log \log x} \\ O\left\{\frac{y}{h} \exp\left(-A (\log x)^{1-\mu}\right)\right\}. & \log k \leq C(\log x)^\mu \end{cases} \end{aligned}$$

From this we can prove the following theorem by usual method.

**Theorem 3.** If  $(k, l) = 1$ ,  $x^{\frac{1+4\varepsilon}{2+4\varepsilon} + \varepsilon} \leq y \leq x$  and  $\pi(x; k, l)$  denotes the number of primes satisfying  $p \leq x$ ,  $p \equiv l \pmod{k}$ , then

$$\begin{aligned} \pi(x+y; k, l) - \pi(x; k, l) &= \frac{1}{h} \int_x^{x+y} \frac{du}{\log u} + O\left(\frac{yx^{\beta_1-1}}{h \log x}\right) + \\ &+ \begin{cases} O\left\{\frac{y}{h} \exp\left(-A \frac{\log x}{\log k}\right)\right\}. & C(\log x)^\mu \leq \log k \leq B \frac{\log x}{\log \log x} \\ O\left\{\frac{y}{h} \exp\left(-A (\log x)^{1-\mu}\right)\right\}. & \log k \leq C(\log x)^\mu \end{cases} \end{aligned}$$

Further if we use Siegel's theorem ([2], [15]), we obtain the following theorem.

**Theorem 4.** If  $(k, l) = 1$ ,  $x^{\frac{1+4\varepsilon}{2+4\varepsilon} + \varepsilon} \leq y \leq x$  and  $\log k \leq \delta \log \log x$  ( $\delta > 0$ ) then

$$\begin{aligned} & \pi(x+y; k, l) - \pi(x; k, l) \\ &= \frac{1}{h} \int_x^{x+y} \frac{du}{\log u} + O\left\{\frac{y}{h} \exp(-A(\log x)^{1-\mu})\right\}. \end{aligned}$$

where  $A = A(\varepsilon, \delta)$  is a positive constant.

Finally we get the following result. We omit the proof. ([1], [15]).

**Theorem 5.** Suppose that  $a$  and  $m$  are the given positive numbers. If  $N$  is an odd integer and  $N \geq A$ , then there exist such primes  $p, p'$  and  $p''$  that

$$N = p + p' + p'', \quad \frac{N}{3} - a \frac{N}{(\log N)^m} < p, p', p'' < \frac{N}{3} + a \frac{N}{(\log N)^m}$$

where  $A = A(a, m)$  is a positive constant.

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