

129. One Condition for $R(K)=A(K)$

By Yuko KOBAYASHI

(Comm. by Kinjirô KUNUGI, M. J. A., Sept. 12, 1972)

We will show here one condition to coincide $A(K)$, all continuous functions on the compact plane set K which are analytic in $\overset{\circ}{K}$, and $R(K)$, all those functions on K which are approximable by rational functions with poles off K . This sharpens the result of Theorem 4.1 in [3].

Let U be a bounded open set in the complex plane C , \bar{U} be the closure of U , and ∂U be the boundary of U . Let $A(U)$ be all continuous functions on \bar{U} which are analytic in U and $R(U)$ be all those functions which are approximable uniformly on \bar{U} by rational functions with poles off \bar{U} . Let $H^\infty(U)$ be the uniform algebra of all bounded analytic functions on U .

Lemma 1. *Let B be a subalgebra in $H^\infty(U)$ which contains $A(U)$. Then there is a continuous map from the maximal ideal space M_B of B onto \bar{U} .*

Proof. The coordinate function Z belongs to B and the Gelfand transform \hat{Z} of Z is the desired map. For since $B \subseteq H^\infty(U)$, every homomorphism in the maximal ideal space of $H^\infty(U)$ determines a homomorphism in M_B by restricting it to B . So $\hat{Z}(M_B)$ contains \bar{U} . Suppose $\lambda \notin \bar{U}$, then $(z-\lambda)^{-1} \in A(U)$, that is, $z-\lambda$ is invertible in B . Thus $\varphi(z-\lambda) \neq 0$ for all $\varphi \in M_B$. Hence λ does not belong to $\hat{Z}(M_B)$ and $\hat{Z}(M_B) = \bar{U}$. This completes the lemma.

The analogous result is valid by replacing the algebra $A(U)$ by the algebra $R(U)$.

For B as above, we denote the fibers $M_\lambda(B)$ of M_B over points $\lambda \in \bar{U}$ by

$$M_\lambda(B) = \{\varphi \in M_B; \varphi(z) = \lambda\}.$$

If $\lambda \in U$, then $M_\lambda(B)$ consists of the single homomorphism.

Lemma 2. *Let B be as above lemma. Then for each $\lambda \in \partial U$ and for each $f \in A(U)$, $\varphi(f) = f(\lambda)$ for all $\varphi \in M_\lambda(B)$.*

Proof. As seen in [2], by using the Vitushkin's operator, we can find a bounded sequence $f_n \in A(U)$ which is analytic at $\{\lambda\}$ and the f_n converges uniformly to f on \bar{U} . So it is sufficient to show the case that $g \in A(U)$ is analytic at $\{\lambda\}$. If $g \in A(U)$ is analytic at $\{\lambda\}$, then

$$\frac{g(z) - g(\lambda)}{z - \lambda} \in A(U). \text{ Hence } \frac{g(z) - g(\lambda)}{z - \lambda} \in B. \text{ Thus } \varphi(g) = g(\lambda)$$

for all $\varphi \in M_B$ and $\varphi(z) = \lambda$. And the lemma is proved.

We define a subalgebra B of $H^\infty(U)$ by

$$B = \{f \in H^\infty(U); \text{ some } f_n \in R(U) \text{ bounded sequence} \quad (*)$$

$$f_n(z) \rightarrow f(z) \text{ pointwise for all } z \in U.\}$$

Let μ_z denote harmonic measure for $z \in U$ on ∂U . We denote the positive measure μ on ∂U by

$$\mu = \sum_i \frac{1}{2^i} \mu_i$$

where μ_i harmonic measure for some fix point $z_i \in U_i$, the components of U . Let σ be a measure on ∂U and let $H^\infty(\mu + |\sigma|)$ be the weak-star closure of $R(U)$ in $L^\infty(\mu + |\sigma|)$.

Lemma 3. *Suppose B is $(*)$ and $C(\partial U) = R(\partial U)$.*

Then the operator $f \rightarrow \check{f}(z) = \int f d\mu_z, z \in U$, is an isomorphism between a subalgebra H_s in $H^\infty(\mu + |\sigma|)$ for any annihilating measure σ on ∂U for $R(U)$, and B . Moreover, let M be the maximal ideal space of $L^\infty(\mu + |\sigma|)$ and \check{Z} be the Gelfand transform of the coordinate function Z in $L^\infty(\mu + |\sigma|)$.

Then for $\lambda \in \partial U$ and for $f \in H_s, f(\check{Z}^{-1}(\lambda)) \subseteq f(M_\lambda(B))$.

Proof. We already know the fact that the condition $C(\partial U) = R(\partial U)$ implies that $f \rightarrow \check{f}(z), z \in U$, is the continuous, one to one map from $H^\infty(\mu + |\sigma|)$ into $H^\infty(U)$. $\{\check{f}; f \in H^\infty(\mu + |\sigma|)\}$ contains B . For if $f \in B$, then there is a bounded sequence $f_n \in R(U)$ which converges pointwise to f on U . Then by its boundedness, f_n , regarded as an element in $H^\infty(\mu + |\sigma|)$, converges weak-star to some $g \in H^\infty(\mu + |\sigma|)$, and $\check{g} = f$ on U . So if we put $H_s = \{f \in H^\infty(\mu + |\sigma|); \check{f} \in B\}$. Then there is an isomorphism between H_s and B . Hence we can define a map $S: M \rightarrow M_B$ by $\varphi(f) = f(S\varphi)$ when $\varphi \in M$ and $f \in H_s$. Since Z maps K_B onto \bar{U} by Lemma 1, $\check{Z} = Z \circ S$. Thus $f(\check{Z}^{-1}(\lambda)) \subseteq f(Z^{-1}(\lambda)) = f(M_\lambda(B))$, and the lemma is proved.

Theorem 4. *The following are equivalent.*

- (1) $R(U) = A(U)$.
- (2) *Each $f \in A(U)$ is pointwise boundedly approximable on U by $R(U)$. $C(\partial U) = R(\partial U)$.*

Proof. It is clear that (1) implies (2). So we will only show that (2) implies (1). Let B be $(*)$. Then $B \supseteq A(U)$. It suffices to show that $A(U) \subseteq H^\infty(|\sigma|)$, the weak-star closure of $R(U)$ in $L^\infty(|\sigma|)$, for any annihilating measure σ on ∂U for $R(U)$. Let σ be as above and $F \in A(U)$. By Lemma 3, the operator $f \rightarrow \check{f}(z) = \int f d\mu_z, z \in U$, is an isomorphism between an algebra H_s in $H(\mu + |\sigma|)$ and B . Choose $f \in H_s$ such that $\check{f} = F$ on U . Let φ belong to the maximal ideal space M of $L^\infty(\mu + |\sigma|)$. Then by Lemma 2, F , regarded as an element of $H^\infty(U)$, assumes the constant value $F(\check{Z}(\varphi))$ on the fiber $M_{\check{Z}(\varphi)}(B)$. So again by Lemma 3, we

obtain $\varphi(f) = \varphi(F)$ for all $\varphi \in M$. Thus f and F coincide, regarded as functions in $L^\infty(\mu + |\sigma|)$ and $F \in H \subseteq H^\infty(\mu + |\sigma|)$. The theorem is proved.

Corollary 5. *Let K be a compact plane set. Then the following are equivalent.*

(1) $A(K) = R(K)$.

(2) a) *Each $f \in A(K)$ is pointwise boundedly approximable by $R(K)$ on $\overset{\circ}{K}$, the interior of K .*

b) $C(\partial U) = R(\partial U)$.

Proof. We define a subalgebra B in $H^\infty(\overset{\circ}{K})$ by

$$B = \{f \in H^\infty(\overset{\circ}{K}); \text{ some } f_n \in R(K), \text{ bounded sequence } f_n(z) \rightarrow f(z) \text{ pointwise for all } z \in \overset{\circ}{K}\}$$

Then it will suffice to show that there is a continuous map from M_B , the maximal ideal space of B , onto $\overline{\overset{\circ}{K}}$, the closure of $\overset{\circ}{K}$, when K and $\overline{\overset{\circ}{K}}$ do not coincide [3]. The coordinate function Z belongs to B and by the method of the proof in Lemma 1, it is clear that $Z(M_B) \supseteq \overline{\overset{\circ}{K}}$. If $\lambda \notin \overline{\overset{\circ}{K}}$, then there is an open neighborhood V of λ such that V and $\overline{\overset{\circ}{K}}$ are disjoint. It follows that the component of $C - \overline{\overset{\circ}{K}}$ which contains λ intersects with a component of $C - K$. Hence $(z - \lambda)^{-1}$ is uniformly approximable by $R(K)$ on $\overline{\overset{\circ}{K}}$. So $(z - \lambda)^{-1} \in B$. Thus $z - \lambda$ is invertible in B and λ does not belong to $\hat{Z}(M_B)$. It concludes $\hat{Z}(M_B) = \overline{\overset{\circ}{K}}$. The rest is proved by the same as to in the theorem.

References

- [1] T. Gamelin: Uniform Algebra. Prentice-Hall, Englewood Cliffs, N. J. (1969).
- [2] —: Localization of the Corona problem. Pacific J. Math., **34**, 73–81 (1970).
- [3] T. Gamelin and J. Garnett: Pointwise bounded approximation and Dirichlet algebras. J. Functional Analysis, **8**, 360–404 (1971).