

32. A Theorem for Metrizability of a Topological Space

By Jun-iti NAGATA

Department of Mathematics, Osaka City University

(Comm. by K. KUNUGI, M.J.A., March 12, 1957)

Since Alexandroff and Urysohn's work various theorems concerning metrizability of a topological space were gotten by many mathematicians, but their methods of proofs are, in general, various and rather complicated. The purpose of this brief note is to prove a theorem for metrizability, which will contain a large number of metrizability theorems as direct consequences.¹⁾

We use the following theorem due to E. Michael²⁾ as well as the well-known theorem of P. Alexandroff and P. Urysohn.

Michael's theorem. A regular topological space R is paracompact if and only if every open covering of R has an open refinement $\mathfrak{B} = \bigcup_{n=1}^{\infty} \mathfrak{B}_n$, where each \mathfrak{B}_n is a locally finite collection of open subsets of R .

Theorem 1. In order that a T_1 -topological space R is metrizable it is necessary and sufficient that one can assign a nbd (=neighborhood) basis $\{U_n(x) \mid n=1, 2, \dots\}$ for every point x of R such that for every n and each point x of R there exist nbds $S_n^1(x), S_n^2(x)$ of x satisfying

- i) $y \notin U_n(x)$ implies $S_n^2(y) \cap S_n^1(x) = \emptyset$,
- ii) $y \in S_n^1(x)$ implies $S_n^2(y) \subseteq U_n(x)$.

Proof. Since the necessity is clear, we prove only the sufficiency. To begin with, R is regular, since $S_n^1(\bar{x}) \subseteq U_n(x)$. Next, to show that R is paracompact, we take an arbitrary open covering $\mathfrak{B} = \{V_\alpha \mid \alpha < \tau\}$ of R .³⁾ If we let

$$\begin{aligned} V_{n\alpha} &= \bigcup \{(S_n^1(x))^\circ \mid U_n(x) \subseteq V_\alpha\},^4 \\ V_{mn\alpha} &= \bigcup \{U_m(x) \mid U_m(x) \subseteq V_{n\alpha}\}, \\ V'_{mn\alpha} &= \bigcup \{S_m^1(x) \mid U_m(x) \subseteq V_{n\alpha}\}, \\ M_{mn\alpha} &= (V'_{mn\alpha} - \bigcup_{\beta < \alpha} V_{n\beta})^\circ \quad (m, n=1, 2, \dots, \alpha < \tau), \end{aligned}$$

then $\mathfrak{M}_{mn} = \{M_{mn\alpha} \mid \alpha < \tau\}$ is a locally finite open collection for each m, n . To show the local finiteness of \mathfrak{M}_{mn} we choose, for an arbitrary point p of R , α ($\leq \tau$) such that $p \in V_{mn\alpha}$, $p \notin V_{mn\beta}$ ($\beta < \alpha$). Then it follows from the condition i) of the proposition that $S_m^2(p) \cap V'_{mn\beta} = \emptyset$

-
- 1) The detail of the content of this note will be published in an another place.
 - 2) See [3].
 - 3) In this proof we denote by $\tau, \alpha, \beta, \gamma$ ordinal numbers.
 - 4) A° denotes the interior of A .

$(\beta < \alpha)$, which implies $S_m^2(p) \cap M_{m\alpha\beta} = \emptyset$ ($\beta < \alpha$). Since $p \in V_{m\alpha} \subseteq V_{n\alpha}$ and $V_{n\alpha}$ is open, we obtain a nbd $V_{n\alpha}$ of p satisfying $V_{n\alpha} \cap M_{m\alpha\tau} = \emptyset$ ($\gamma > \alpha$). Therefore the nbd $S_m^2(p) \cap V_{n\alpha}$ of p intersects at most one of elements of \mathfrak{M}_{mn} , proving the local finiteness of \mathfrak{M}_{mn} .

To assert that $\bigcup_{m,n=1}^{\infty} \mathfrak{M}_{mn} = \mathfrak{M}$ covers R , we consider an arbitrary point p of R . Let $p \in V_{\alpha}$, $p \notin V_{\beta}$ ($\beta < \alpha$), $\alpha < \tau$, then we can choose n such that $U_n(p) \subseteq V_{\alpha}$. Since $p \in (S_n^1(p))^{\circ} \subseteq V_{n\alpha}$ for this n , we can choose m satisfying $U_m(p) \subseteq V_{n\alpha}$. In consequence we have $S_m^1(p) \subseteq V'_{m\alpha}$. On the other hand, it follows from $p \notin V_{\beta}$ ($\beta < \alpha$) and from i) that $S_n^2(p) \cap V_{n\beta} = \emptyset$ ($\beta < \alpha$). This implies

$$S_m^1(p) \cap S_n^2(p) \subseteq V'_{m\alpha} - \bigcup_{\beta < \alpha} V_{n\beta}$$

and consequently $p \in M_{m\alpha}$, i.e. \mathfrak{M} covers R . Since $\mathfrak{M} < \mathfrak{B}$ is obvious, we can conclude, from Michael's theorem, the paracompactness of R . Thus it follows from A. H. Stone's theorem⁵⁾ that R is fully normal.

To complete the proof, let us show that $\{S(p, \mathfrak{S}_m) \mid m=1, 2, \dots\}$ ⁶⁾ for $\mathfrak{S}_m = \{(S_m^2(y))^{\circ} \mid y \in R\}$ is a nbd basis of each point p of R . Let $U(p)$ be an arbitrary nbd of p , and choose n satisfying $U_n(x) \subseteq U(x)$, then we can find $m \geq n$ with $U_m(x) \subseteq S_n^1(x)$. If $(S_m^2(y))^{\circ} \ni x$, then considering $S_m^2(y) \cap S_m^1(x) \neq \emptyset$, we have $y \in U_m(x) \subseteq S_n^1(x)$ from i). Hence it follows from ii) that $S_m^2(y) \subseteq S_n^2(y) \subseteq U_n(x)$ because we can assume, without loss of generality, that $m \geq n$ implies $S_m^2(y) \subseteq S_n^2(y)$. Therefore we have $S(x, \mathfrak{S}_m) \subseteq U(x)$, i.e. $\{S(p, \mathfrak{S}_m) \mid m=1, 2, \dots\}$ is a nbd basis of p . Thus we conclude the metrizability of R by Alexandroff-Urysohn's theorem.

We enumerate some of direct consequences of this theorem without proofs.

Theorem 2 (Yu. Smirnov [8] and the author [6]). *A regular space R is metrizable if and only if there exists an open basis $\mathfrak{B} = \bigcup_{n=1}^{\infty} \mathfrak{B}_n$ of R , where each \mathfrak{B}_n is a locally finite collection of open sets.*

Theorem 3 (K. Morita [5]). *A T_1 -space R is metrizable if and only if there exists a countable collection $\{\mathfrak{F}_n \mid n=1, 2, \dots\}$ of locally finite closed coverings of R such that $S(x, \mathfrak{F}_n) \subseteq U(x)$ for any nbd $U(x)$ of any point x of R and for some n .*

Theorem 4 (R. H. Bing [1]). *A regular space R is metrizable if and only if there exists a countable collection $\{\mathfrak{U}_n \mid n=1, 2, \dots\}$ of open collections such that the sum of the closures of any subcollection of \mathfrak{U}_n is closed and such that $\{S(p, \mathfrak{U}_n) \mid n=1, 2, \dots\}$ is a nbd basis of each point p of R .*

Theorem 5 (K. Morita [4]). *A generalization of Alexandroff-*

5) See [9].

6) $S(p, \mathfrak{S}_m) = \bigcup \{S \mid p \in S \in \mathfrak{S}_m\}$.

Urysohn's theorem. A T_1 -space R is metrizable if and only if there exists a countable collection $\{\mathcal{U}_n | n=1, 2, \dots\}$ of open coverings such that $\{S(S(p, \mathcal{U}_n), \mathcal{U}_m) | m, n=1, 2, \dots\}$ is a nbd basis of each point p of R .

Theorem 6 (A. H. Frink [2]). A T_1 -space R is metrizable if and only if one can assign a nbd basis $\{U_n(x) | n=1, 2, \dots\}$ for every point x of R such that for every n and $p \in R$ there exists $m=m(n, x)$ satisfying the condition: $U_m(x) \cap U_m(y) \neq \emptyset$ implies $U_m(y) \subseteq U_n(x)$.

Theorem 7 (the author [7]). A T_1 -space R is metrizable if and only if there exists a family $\{f_\alpha | \alpha \in A\}$ of real valued continuous functions of R such that

- i) $\bigcup_{\beta \in B} f_\beta$ and $\bigcap_{\beta \in B} f_\beta$ are continuous for every $B \subseteq A$,
- ii) for any nbd $U(x)$ of any point x of R there exist $\alpha \in A$ and $\varepsilon > 0$ such that $f_\alpha(x) < \varepsilon$, $f_\alpha(y) \geq \varepsilon$ ($y \notin U(x)$).

Theorem 8. A T_1 -space R is metrizable if and only if there exists a non-negative function $\varphi(x, y)$ of $R \times R$ satisfying

- i) $\varphi(x, y) = \varphi(y, x)$
- ii) $d(x, A) = \inf\{\varphi(x, y) | y \in A\}$ for every subset A of R is a continuous function of x ,
- iii) $\{S_n(x) | n=1, 2, \dots\}$ for $S_n(x) = \{y | \varphi(x, y) < 1/n\}$ is a nbd basis of each point x of R .⁷⁾

References

- [1] R. H. Bing: Metrization of topological spaces, Canadian Jour. Math., **3** (1951).
- [2] A. H. Frink: Distance function and the metrization problem, Bull. Amer. Math. Soc., **43** (1937).
- [3] E. Michael: A note on paracompact spaces, Proc. Amer. Math. Soc., **4**, no. 3 (1953).
- [4] K. Morita: On the simple extension of a space with respect to a uniformity. IV, Proc. Japan Acad., **27**, no. 10 (1951).
- [5] K. Morita: A condition for the metrizability of topological spaces and for n -dimensionality, Sic. Rep. Tokyo Kyoiku Daigaku, Sect. A, **5**, no. 114 (1955).
- [6] J. Nagata: On a necessary and sufficient condition of metrizability, Jour. Inst. Polytech. Osaka City Univ., Ser. A, **1**, no. 2 (1950).
- [7] J. Nagata: On coverings and continuous functions, Jour. Inst. Polytech. Osaka City Univ., Ser. A, **7**, nos. 1-2 (1956).
- [8] Yu. Smirnov: A necessary and sufficient condition for metrizability of topological space, Doklady Akad. Nauk SSSR. N.S., **77** (1951).
- [9] A. H. Stone: Paracompactness and product spaces, Bull. Amer. Math. Soc., **54**, no. 10 (1948).

⁷⁾ This theorem is an extension of Corollary 6 of [7]. An extension of Lemma 2 in that paper is, also, deduced from Theorem 1.