

133. On Quasi-normed Spaces. II

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In this paper, we shall consider some theorems in (QN) -spaces. For definitions and notations, see my paper [2], M. Pavel [3] and S. Rolewicz [4].

First of all, we shall prove the following

Lemma. *If L is a proper subspace of the (QN) -space E with the power r , then for any $\varepsilon > 0$ and the element y of E such that $\|y\|=1$, every element x of L satisfies the inequality $\|x-y\| > 1-\varepsilon$.*

Proof. We take an element $y_0 \in E$ such that $y_0 \notin L$ and put $d = \inf_{x \in L} \|y_0 - x\|$. Then we have $d > 0$. For any $\eta > 0$, we select also an element $x_0 \in L$ such that $d \leq \|y_0 - x_0\| < d + \eta$. The element $y = \frac{y_0 - x_0}{\|y_0 - x_0\|^{1/r}}$ is not contained in L , for if y is in L then y_0 must be in L . Moreover $\|y\|=1$ and for any $x \in L$, $x' = x_0 + \|y_0 - x_0\|^{1/r}x$ and $x' \in L$, we have

$$\begin{aligned} \|y - x\| &= \left\| \frac{y_0 - x_0}{\|y_0 - x_0\|^{1/r}} - x \right\| = \frac{1}{\|y_0 - x_0\|} \|y_0 - x'\| \\ &> \frac{1}{d + \eta} \|y_0 - x'\| \geq \frac{d}{d + \eta} = 1 - \frac{\eta}{d + \eta}. \end{aligned}$$

Since η is arbitrary, we can take η such that $\frac{\eta}{d + \eta} < \varepsilon$ and $\eta > 0$.

Thus we have the desired result.

Theorem I. *A subspace L of a (QN) -space E with the power r is a finite dimensional space if and only if any bounded subset of L is compact. (For Banach space, see [1, pp. 76-78].)*

Proof. Necessary. Let L be n -dimensional. Any element $x \in L$ is of form $x = \lambda_1 x_1 + \lambda_2 x_2 + \dots + \lambda_n x_n$ with a base $\{x_i\}$ of L for $i=1, 2, \dots, n$.

Let $\{y_k\}$ be a bounded sequence in L , then we can write $y_k = \lambda_1^{(k)} x_1 + \dots + \lambda_n^{(k)} x_n$ for $k=1, 2, \dots$. By the boundness of $\{y_k\}$ there exists M such that $\|y_k\| \leq M$ for $k=1, 2, \dots$ and it may be proved that the sum $|\lambda_1^{(k)}|^r + \dots + |\lambda_n^{(k)}|^r$ is bounded. For if the sum is not bounded, then there exists a sequence of indexes K_1, K_2, \dots such that

$$|\lambda_1^{(K_m)}|^r + |\lambda_2^{(K_m)}|^r + \dots + |\lambda_n^{(K_m)}|^r = c_m \geq m.$$

Let $y_{K_m}^* = \frac{1}{c_m^{1/r}} y_{K_m}$, then we have

$$\|y_{K_m}^*\| = \frac{1}{c_m} \|y_{K_m}\| \leq \frac{1}{c_m} M \leq \frac{M}{m}$$

and $y_{k_m}^* \rightarrow 0$ as $m \rightarrow \infty$.

On the other hand, $y_{k_m}^* = \mu_1^{(k_m)}x_1 + \mu_2^{(k_m)}x_2 + \dots + \mu_n^{(k_m)}x_n$ where

$$\mu_i^{(k_m)} = \frac{1}{c_m^{1/r}} \lambda_i^{(k_m)}.$$

Thus we have

$$|\mu_1^{(k_m)}|^r + \dots + |\mu_n^{(k_m)}|^r = 1.$$

This means that the sequence $\{\mu_i^{(k_1)}, \mu_i^{(k_2)}, \dots\}$ is bounded and converges to $\mu_i^{(0)}$. It is clear that $|\mu_1^{(0)}|^r + |\mu_2^{(0)}|^r + \dots + |\mu_n^{(0)}|^r = 1$.

Let $y_0 = \mu_1^{(0)}x_1 + \mu_2^{(0)}x_2 + \dots + \mu_n^{(0)}x_n$, then we obtain

$$\begin{aligned} \|y_0 - y_{k_m}^*\| \leq & |\mu_1^{(0)} - \mu_1^{(k_m)}|^r \|x_1\| + \dots \\ & \dots + |\mu_n^{(0)} - \mu_n^{(k_m)}|^r \|x_n\| \rightarrow 0 \end{aligned}$$

as $m_j \rightarrow \infty$, that is, $y_{k_m}^* \rightarrow y_0$. Therefore, we have $y_0 = 0$, hence $\mu_i^{(0)} = 0$ for any i .

This contradicts with the assumption.

Then, for all sums

$$|\lambda_1^{(k)}|^r + |\lambda_2^{(k)}|^r + \dots + |\lambda_n^{(k)}|^r$$

and bounded sequence $\{\lambda_i^{(1)}, \lambda_i^{(2)}, \dots\}$ there exists $\lambda_i^{(0)}$ such that $\lambda_i^{(k_j)} \rightarrow \lambda_i^{(0)}$ and

$$|\lambda_1^{(0)}|^r + |\lambda_2^{(0)}|^r + \dots + |\lambda_n^{(0)}|^r$$

is bounded. Moreover

$$y_{k_j} \rightarrow y_0 = \lambda_1^{(0)}x_1 + \dots + \lambda_n^{(0)}x_n$$

and y_0 is bounded.

Since $\{y_k\}$ is an arbitrary bounded sequence in L , any bounded set of a space with finite dimensional is compact.

Sufficient. Let L be a compact set. First, we select an element $x_1 \in L$ such that $\|x_1\| = 1$ and denote the linear space generated from x_1 by L_1 . If $L = L_1$, then our theorem is proved. If $L \neq L_1$, then by the lemma we can select an element $x_2 \in L$ such that $\|x_2\| = 1$ and $\|x_1 - x_2\| \geq \frac{1}{2}$. Let L_2 be the space generated from x_1 and x_2 , then $L = L_2$ or $L \neq L_2$. If $L = L_2$, then it is obvious. If $L \neq L_2$, then we can inductively select an element $x_3 \in L$ such that $\|x_3\| = 1$, $\|x_1 - x_3\| \geq \frac{1}{2}$ and $\|x_2 - x_3\| \geq \frac{1}{2}$. If we continue this process, then we reduce two cases. For some n , we have $L = L_n$ and our theorem is proved. On the other hand, we have an infinite sequence $\{x_n\}$ such that $\|x_n\| = 1$ and $\|x_n - x_m\| \geq \frac{1}{2}$ for $m \neq n$, then the set $\{x_n; \|x_n\| = 1 \text{ and } \|x_n - x_m\| \geq \frac{1}{2}\}$ is not compact, and we have a contradiction. Thus L is a finite dimensional space.

Let E, F be two quasi-normed spaces with powers r, s and T a linear transformation which maps E into F .

Theorem II. A linear transformation T is continuous if and

only if there exists a positive number a for which the following inequality holds:

$$\|T(x)\|_s \leq a \|x\|_r^{s/r}.$$

Proof. If T is continuous, then there exists a positive number B such that $\|T(x) - T(y)\|_s \leq 1$ whenever $\|x - y\|_r^{s/r} \leq B^{s/r}$. Thus $\|T(x)\|_s \leq 1$ whenever $\|x\|_r^{s/r} \leq B^{s/r}$, and for any $x \neq 0$,

$$\begin{aligned} \|T(x)\|_s &= \left\| T\left(\frac{\|x\|_r^{1/r}}{B^{1/r}} \frac{B^{1/r}x}{\|x\|_r^{1/r}}\right) \right\|_s \\ &= \left(\frac{\|x\|_r}{B}\right)^{s/r} \left\| T\left(\frac{B^{1/r}x}{\|x\|_r^{1/r}}\right) \right\|_s \\ &\leq \left(\frac{\|x\|_r}{B}\right)^{s/r}. \end{aligned}$$

If we denote $\left(\frac{1}{B}\right)^{s/r}$ by a , then a is a positive number which satisfies the inequality.

Conversely, we have

$$\|T(x) - T(y)\|_s = \|T(x - y)\|_s \leq a \|x - y\|_r^{s/r} < \varepsilon$$

whenever $\|x - y\|_r^{s/r} < \frac{\varepsilon}{a}$. Thus T is continuous.

Let $\mathcal{L}(E, F)$ be the set of continuous linear transformations which map E into F , these being two quasi-normed spaces with powers r, s . Let us observe that in $\mathcal{L}(E, F)$ the operations $T + S$, λT defined by $(T + S)(x) = T(x) + S(x)$, $(\lambda T)(x) = \lambda T(x)$ are well-defined, and $\mathcal{L}(E, F)$ is a linear space. We can define a quasi-norm of T in $\mathcal{L}(E, F)$ by taking the smallest number a such that $\|T(x)\|_s \leq a \|x\|_r^{s/r}$ for all $x (\neq 0)$. Hence we may denote

$$\|T\| = \sup_{\|x\|_r^{s/r} \leq 1} \|T(x)\|_s.$$

For, if $\|x\|_r^{s/r} \leq 1$, then we have

$$\|T(x)\|_s \leq \|T\| \|x\|_r^{s/r} \leq \|T\|,$$

and

$$\sup_{\|x\|_r^{s/r} \leq 1} \|T(x)\|_s \leq \|T\| \tag{1}$$

on the other hand, there exists an x' such that

$$\|T(x')\|_s > (\|T\| - \varepsilon) \|x'\|_r^{s/r}$$

for any ε . Let $x_1 = \frac{x'}{\|x'\|_r^{1/r}}$, then we have

$$\|T(x_1)\|_s = \frac{1}{\|x'\|_r^{s/r}} \|T(x')\|_s > \|T\| - \varepsilon.$$

Since $\|x_1\|_r^{s/r} = 1$,

$$\sup_{\|x\|_r^{s/r} \leq 1} \|T(x)\|_s \geq \|T(x_1)\|_s > \|T\| - \varepsilon. \tag{2}$$

By the arbitrariness of ε ,

$$\sup_{\|x\|_r^{s/r} \leq 1} \|T(x)\|_s > \|T\|. \tag{3}$$

Hence we have

$$\sup_{\|x\|_E^{s/r}} \|T(x)\|_F = \|T\|.$$

This implies that $\mathcal{L}(E, F)$ is a quasi-normed space with the power s . s is the power of the range space F .

The space $\mathcal{L}(E, F)$ of all continuous linear transformations of a quasi-normed space E into a quasi-normed space F is a quasi-normed space. If F is a (QN) -space, then $\mathcal{L}(E, F)$ is a (QN) -space. If F is a Banach space, then $\mathcal{L}(E, F)$ is a Banach space.

References

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