

158. On the Spectra of Uniformly Increasing Mappings

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Let E be a real Banach space, G be an open set and \bar{G} be its closure.

In [2], we have given the following definition:

A mapping f of \bar{G} in E is said to be $(\varepsilon_0, \delta_0)$ -uniformly increasing at $a \in G$ if

- (i) $a + x \in \bar{G}$ if $\|x\| \leq \delta_0$;
- (ii) $\|f_a(x) - \alpha x\| \geq \varepsilon_0 \|x\|$ for any non-positive number α and any element x such that $\|x\| \leq \delta_0$, where $f_a(x) = f(a+x) - f(a)$.

The purpose of this paper is to prove the following

Theorem. Assume that

1. $F(x)$ is a completely continuous mapping of \bar{G} in E ;
2. $F(a) = \lambda_0 a$ for some $\lambda_0 \neq 0$ and some $a \in G$;
3. $f(x) = x - \frac{1}{\lambda_0} F(x)$ is $(\varepsilon_0, \delta_0)$ -uniformly increasing at a .

Then, we have that

1°. a is an isolated fixed point of $\frac{1}{\lambda_0} F(x)$;

2°. For any λ such that $|\lambda - \lambda_0| < \min. \left\{ |\lambda_0|, \frac{|\lambda_0| \varepsilon_0 \delta_0}{\|a\| + \delta_0} \right\}$, there exists x_λ such that

$$F(x_\lambda) = \lambda x_\lambda \quad \text{and} \quad \|x_\lambda - a\| \leq \frac{1}{|\lambda_0| \varepsilon_0} (\|a\| + \delta_0) |\lambda - \lambda_0|.$$

Remark. A mapping $F(x)$ is said to be completely continuous on \bar{G} if it is continuous and the image $F(\bar{G})$ is contained in a compact set.

Proof. 1°. Assume that a is not an isolated fixed point of $\frac{1}{\lambda_0} F(x)$, then there exists a sequence $\{x_n\}$ such that

$$\lim_{n \rightarrow \infty} x_n = 0 \quad \text{and} \quad F(a + x_n) = \lambda_0(a + x_n).$$

Since $f(x) = x - \frac{1}{\lambda_0} F(x)$, we have

$$f(a + x_n) = (a + x_n) - \frac{1}{\lambda_0} F(a + x_n) = 0.$$

Now, since $f(x)$ is $(\varepsilon_0, \delta_0)$ -uniformly increasing at a , we have

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$$\varepsilon_0 \|x_n\| \leq \left\| f_a(x_n) - \left(-\frac{1}{2}\varepsilon_0\right)x_n \right\| = \left\| f(a+x_n) - f(a) + \frac{1}{2}\varepsilon_0 x_n \right\| = \frac{1}{2}\varepsilon_0 \|x_n\|$$

for such large n that $\|x_n\| \leq \delta_0$. This is a contradiction.

2°. Let us take λ which satisfies our condition and consider the completely continuous mapping $\frac{1}{\lambda}F(x)$ of \bar{G} in E . To this mapping we shall apply a fixed point theorem which we have proved in [1] (see the *remark* below). Now, assume that

$$\frac{1}{\lambda}F(x) = \alpha x + (1-\alpha)a$$

for some number α and some element x such that $\|x-a\| = \delta_0$. Then, we have

$$\begin{aligned} f_a(x-a) &= f(x) - f(a) = f(x) \\ &= x - \frac{1}{\lambda_0}F(x) \\ &= \frac{\lambda}{\lambda_0}(1-\alpha)(x-a) + \left(1 - \frac{\lambda}{\lambda_0}\right)x, \end{aligned}$$

namely,

$$\begin{aligned} &\left\| f_a(x-a) - \frac{\lambda}{\lambda_0}(1-\alpha)(x-a) \right\| \\ &= \left| 1 - \frac{\lambda}{\lambda_0} \right| \|x\| \leq \frac{1}{|\lambda_0|} |\lambda_0 - \lambda| \frac{\|x\|}{\|x-a\|} \|x-a\| \\ &\leq \frac{1}{|\lambda_0|} |\lambda_0 - \lambda| \frac{\|a\| + \delta_0}{\delta_0} \|x-a\| < \varepsilon_0 \|x-a\|, \end{aligned}$$

which implies that $\frac{\lambda}{\lambda_0}(1-\alpha) > 0$. Since $\frac{\lambda}{\lambda_0} > 0$, we have $\alpha < 1$. Therefore, there exists x_λ such that

$$\|x_\lambda - a\| \leq \delta_0 \quad \text{and} \quad F(x_\lambda) = \lambda x_\lambda.$$

Finally, we prove the following inequality

$$\|x_\lambda - a\| \leq \frac{1}{\varepsilon_0 |\lambda_0|} (\|a\| + \delta_0) |\lambda - \lambda_0|.$$

For this purpose, we need the following fact which can be easily derived from the definition of the uniform increasingness: *Let f be $(\varepsilon_0, \delta_0)$ -uniformly increasing at a . Then*

$$\|f_a(x) - \alpha x\| \geq \frac{\|a\|}{\|a\| + \delta_0} \varepsilon_0 \|x\|$$

for any number α and element x such that

$$\alpha \leq \frac{\delta_0}{\|a\| + \delta_0} \varepsilon_0 \quad \text{and} \quad \|x\| \leq \delta_0.$$

Using this fact, since $\|x_\lambda - a\| \leq \delta_0$ and $1 - \frac{\lambda}{\lambda_0} \leq \frac{\delta_0}{\|a\| + \delta_0} \varepsilon_0$, we have

$$\frac{\|a\|}{\|a\| + \delta_0} \varepsilon_0 \|x_\lambda - a\| \leq \left\| f_a(x_\lambda - a) - \left(1 - \frac{\lambda}{\lambda_0}\right)(x_\lambda - a) \right\|$$

$$\begin{aligned}
&= \left\| f(x_i) - \left(1 - \frac{\lambda}{\lambda_0}\right)(x_i - a) \right\| \\
&= \left\| x_i - \frac{1}{\lambda_0} F(x_i) - \left(1 - \frac{\lambda}{\lambda_0}\right)(x_i - a) \right\| \\
&= \left| 1 - \frac{\lambda}{\lambda_0} \right| \cdot \|a\| \\
&= \frac{1}{|\lambda_0|} |\lambda_0 - \lambda| \cdot \|a\|,
\end{aligned}$$

from which our inequality follows.

Remark 1. In [1], we have proved the following fixed point theorem which we used in the above proof: *Let E be a locally convex topological linear space over the real number field. Let G be an open set, \bar{G} be its closure and ∂G be its boundary. Let F be a completely continuous mapping of \bar{G} in E . Then, the mapping F has a fixed point in \bar{G} if there exists an element $a \in G$ such that the following condition is satisfied:*

“if $F(x) = \alpha x + (1 + \alpha)a$ for some $x \in \partial G$ and some number α then $\alpha \leq 1$ ”.

Remark 2. Under the assumptions of our theorem, if the mapping F is Fréchet-differentiable at a , then λ_0 is not the proper value of the Fréchet-derivative of F at a . Therefore, in this case, our theorem is contained in the so-called Implicit Function Theorem.

References

- [1] S. Yamamuro: Some fixed point theorems in locally convex linear spaces. *Yokohama Math. Journ.*, **11**, 5-12 (1963).
- [2] —: Monotone mappings in topological linear spaces. *Journ. Australian Math. Soc.*, to appear.