

49. On a Criterion of Quasi-boundedness of Positive Harmonic Functions

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1. For a positive¹⁾ harmonic function u on a Riemann surface R , we denote by $\mathfrak{B}u$ the positive harmonic function on R defined by

$$(\mathfrak{B}u)(p) = \sup (v(p); u \geq v, v \in HB(R))$$

for p in R . After Parreau we say that u is *quasi-bounded* if $\mathfrak{B}u = u$. In this note we shall give a condition for a positive harmonic function to be quasi-bounded by using the rate of diminishing of harmonic measures of level curves of the harmonic function. For the aim, we set

$$\mathfrak{L}(u; a) = \{p \in R; u(p) = a\}$$

for any positive number a . This is the a -level curve of u . For any closed subset F of R , we denote

$$\omega(F; p) = \inf s(p),$$

where s runs over all positive superharmonic functions on R such that $s \geq 1$ on F . This is the *harmonic measure* of F relative to R calculated at p . Now fix a point p in R . It is clear that $\omega(\mathfrak{L}(u; a); p) = O(1/a)$ for $a \rightarrow \infty$. If u is bounded, then $\omega(\mathfrak{L}(u; a); p) = 0$ for $a > \sup u$. This suggests us that $\omega(\mathfrak{L}(u; a); p) = o(1/a)$ might be a condition for u to be quasi-bounded. This is really the case and we shall prove

Theorem. *For a positive harmonic function u on a Riemann surface R , the following three conditions are mutually equivalent:*

- (1) u is quasi-bounded on R ;
- (2) $\lim_{a \rightarrow \infty} a\omega(\mathfrak{L}(u; a); p) = 0$ for some (and hence for any) point p in R ;
- (3) $\liminf_{a \rightarrow \infty} a\omega(\mathfrak{L}(u; a); p) = 0$ for some (and hence for any) point p in R .

2. It is clear that the condition (2) implies the condition (3). Hence we have only to show the implications (1) \rightarrow (2) and (3) \rightarrow (1). In each case, we may assume that u is unbounded on R and $R \notin O_{HP}$.

Proof of the implication (1) \rightarrow (2). Fix a point p in R and let R_a be the connected component of the open set $(q \in R; u(q) < a)(a > u(p))$ containing the point p . Clearly $\bigcup_{a > u(p)} R_a = R$. Let R^* be the Wiener compactification²⁾ of R , $\Delta = R^* - R$ and μ be the harmonic measure²⁾ on

1) By positive, we mean non-negative.

2) C. Constantinescu-A. Cornea: *Ideale Ränder Riemannscher Flächen*. Springer (1963).

Δ with the reference point p . We denote by \bar{R}_a the closure of R_a in R^* and set $\Delta_a = \Delta \cap \bar{R}_a$. Then $\Delta_a \cup (\partial R_a) = \bar{R}_a - R_a$ and \bar{R}_a is clearly a resolutive compactification²⁾ of R_a . So we can speak of the harmonic measure²⁾ $\bar{\mu}_a$ on $\Delta_a \cup (\partial R_a)$ with the reference point p . We set

$$\mu_a = \begin{cases} \bar{\mu}_a & \text{on } \Delta_a; \\ 0 & \text{on } \Delta - \Delta_a. \end{cases}$$

Then μ_a is the measure on Δ with $0 \leq \mu_a \leq \mu_{a'} \leq \mu$ for $a < a'$. Let $\Delta_\infty = \bigcup_{a > u(p)} \Delta_a$. Then $u(q) = \infty$ on $\Delta - \Delta_\infty$ and so

$$u(p) = \int_{\Delta} u(q) d\mu(q)$$

shows that $\mu(\Delta - \Delta_\infty) = 0$. From this it easily follows that

$$(*) \quad \lim_{a \rightarrow \infty} \int_{\Delta} v(q) d\mu_a(q) = \int_{\Delta} v(q) d\mu(q)$$

for any v in $HB(R)$. Let u_n be the least harmonic majorant of u and n . Then $u_n(q) = \min(u(q), n)$ on Δ μ -almost everywhere. Clearly

$$\limsup_{a \rightarrow \infty} \int_{\Delta} u(q) d\mu_a(q) \leq \int_{\Delta} u(q) d\mu(q).$$

On the other hand,

$$\liminf_{a \rightarrow \infty} \int_{\Delta} u(q) d\mu_a(q) \geq \lim_{a \rightarrow \infty} \int_{\Delta} u_n(q) d\mu_a(q).$$

By using (*) and by letting $n \nearrow \infty$, we get

$$\liminf_{a \rightarrow \infty} \int_{\Delta} u(q) d\mu_a(q) \geq \int_{\Delta} u(q) d\mu(q).$$

Hence we finally conclude that

$$(**) \quad \lim_{a \rightarrow \infty} \int_{\Delta} u(q) d(\mu - \mu_a)(q) = 0.$$

Now it is easy to see that

$$\begin{aligned} \omega(\mathfrak{L}(u; a); p) &= (1/a)u(p) - (1/a) \int_{\Delta} u(q) d\mu_a(q) \\ &= (1/a) \int_{\Delta} u(q) d(\mu - \mu_a)(q). \end{aligned}$$

This with (**) gives that $\lim_{a \rightarrow \infty} a\omega(\mathfrak{L}(u; a); p) = 0$.

Proof of the implication (3) \rightarrow (1). Choose a sequence (a_n) of positive numbers such that $u(p) < a_1 < a_2 < \dots < a_n < \dots$, $\lim_{n \rightarrow \infty} a_n = \infty$ and $a_n \omega(\mathfrak{L}(u; a_n); p) < 1/n^3$. We set $b_n = na_n$ and

$$w_n(q) = \begin{cases} \omega(\mathfrak{L}(u; a_n); q) & q \in R_{a_n}; \\ 1 & q \in R - R_{a_n} \end{cases}$$

and

$$w(q) = \sum_{n=1}^{\infty} b_n w_n(q)$$

on R . Then clearly $w(q)$ is a positive superharmonic function on R and so continuous on the Wiener compactification of R .²⁾ Let

$$h(q) = u(q) - (\mathfrak{B}u)(q),$$

which is a positive harmonic function on R . We have to show that $h \equiv 0$ on R . On the Wiener harmonic boundary²⁾ I of R , h vanishes.²⁾

Hence it follows that

$$0 \leq h(q) \leq u(q) \leq \alpha_n = b_n/n \leq w(q)/n$$

on $(\bar{R}_{a_n} \cap I) \cup (\partial R_{a_n})$. By a maximum principle,²⁾ we get

$$0 \leq h(q) \leq w(q)/n$$

on R_{a_n} . Hence by making $n \nearrow \infty$, we must have $h \equiv 0$ on R .

3. For an example, consider the circular slits disc

$$R = (z; 0 < |z| < 1) - \bigcup_{n=1}^{\infty} \mathfrak{C}_n.$$

Here \mathfrak{C}_n is a circular slit $(r_n e^{i\theta}; -\alpha_n \leq \theta \leq \alpha_n)$, where

$$1 > r_1 > r_2 > \cdots > r_n > \cdots, \lim_{n \rightarrow \infty} r_n = 0$$

and $0 \leq \alpha_n < \pi$.

If we take α_n so large as to make the harmonic measure of the complementary circular slit $(r_n e^{i\theta}; \alpha_n \leq \theta \leq 2\pi - \alpha_n)$ with respect to $(z; |z| < 1)$ less larger than $\varepsilon_n / \log(1/r_n)$ with $\varepsilon_n \searrow 0$, then $\log(1/|z|)$ is quasi-bounded on R . This is easily seen by checking the condition (3).

Contrary, we can choose α_n so small as to make the harmonic measure of $(r e^{i\theta}; 0 \leq \theta \leq 2\pi)$ with respect to R less smaller than $1/2 \log(1/r)$ for any r in $(0, 1)$. The extreme case of such a type is obtained by taking $\alpha_n = 0$ ($n = 1, 2, \dots$). In such a case, $\log(1/|z|)$ is not quasi-bounded on R . This is readily seen from the condition (2).