

## 120. On Infinitesimal Linear Isotropy Group of an Affinely Connected Manifold

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**Introduction.** Let  $M$  be a differentiable manifold with an affine connection of class  $C^\infty$ . For each point  $p$  in  $M$  we denote by  $L_p$  the group of all linear transformations of the tangent space  $M_p$  at  $p$ . The *infinitesimal linear isotropy group*  $K_p$  is the subgroup of  $L_p$  consisting of all linear transformations of  $M_p$  which leave invariant the torsion tensor  $(T)_p$ , the curvature tensor  $(R)_p$ , and all their successive covariant differentials  $(\nabla T)_p, (\nabla^2 T)_p, \dots, (\nabla R)_p, (\nabla^2 R)_p, \dots$  [3]. Let  $A(M)$  be the group of all affine automorphisms of  $M$ ,  $H_p$  the subgroup of  $A(M)$  consisting of all elements of  $A(M)$  which fix the point  $p$ , and  $dH_p$  the linear isotropy group determined by  $H_p$ . In § 2, we shall investigate sufficient conditions that  $dH_p = K_p$  at each  $p$  in  $M$ , and treat some applications. We discussed similar problems in a Riemannian manifold [6], [7]. Throughout this note we make use of the summation convention.

§ 1. Preliminaries. *Lemma 1.* Let  $M$  be a differentiable manifold with an affine connection of class  $C^\infty$ . If  $f \in H_p$ , then  $(df)_p \in K_p$  at each  $p$  in  $M$ .

**Proof.** Let  $B$  be the frame bundle of  $M$ , and let the structural equations be

$$d\tilde{\theta}^j = \tilde{\theta}^k \tilde{\theta}^j_k + \frac{1}{2} \tilde{P}^j_{km} \tilde{\theta}^k \tilde{\theta}^m,$$

$$d\tilde{\theta}^i = \tilde{\theta}^j \tilde{\theta}^i_j + \frac{1}{2} \tilde{S}^i_{ikm} \tilde{\theta}^k \tilde{\theta}^m.$$

$f$  induces on  $B$  a transformation  $\tilde{f}$  in the natural way. Taking a coordinate system  $\{x^1, \dots, x^n\}$  around  $p$  in  $M$ , we introduce a coordinate system  $\{x^1, \dots, x^n, X^1, \dots, X_n\}$  in  $B$ . Then we have

$$\tilde{P}^j_{km} = \tilde{Y}^j_i \tilde{X}^p_k \tilde{X}^q_m T^i_{pq},$$

$$\tilde{P}^j_{km, m_t, \dots, m_1} = \tilde{X}^{p_1}_{m_1} \dots \tilde{X}^{p_t}_{m_t} \tilde{Y}^j_i \tilde{X}^p_k \tilde{X}^q_m \nabla_{p_1} \dots \nabla_{p_t} T^i_{pq},$$

where the matrix  $\|\tilde{Y}^j_i\|$  is the inverse matrix of  $\|\tilde{X}^i_j\|$  and  $T^i_{pq}$  are the components of the torsion tensor  $T$  with respect to the coordinate system. Since  $f$  is an affine automorphism of  $M$ , we have

$$(1) \quad \delta \tilde{f} \tilde{P}^j_{km} = \tilde{P}^j_{km}, \quad \delta \tilde{f} \tilde{P}^j_{km, m_t, \dots, m_1} = \tilde{P}^j_{km, m_t, \dots, m_1}.$$

Denoting by  $\|a^i_j\|$  the matrix defined by  $(df)_p(\partial/\partial x^j)_p = a^i_j(\partial/\partial x^i)_p$ , and by  $\|b^i_j\|$  the inverse matrix of  $\|a^i_j\|$ , we get from (1) that

$$b^i \alpha_l^j \alpha_m^q T_{pq}^i(x(p)) = T_{lm}^j(x(p)),$$

$$b^i \alpha_l^p \alpha_m^q \alpha_{m_t}^{p_t} \cdots \alpha_{m_1}^{p_1} \nabla_{p_1} \cdots \nabla_{p_t} T_{pq}^i(x(p)) = \nabla_{m_1} \cdots \nabla_{m_t} T_{lm}^j(x(p)).$$

These mean that  $(df)_p$  leaves invariant the torsion tensor at  $p$  and all their successive covariant differentials. Similarly we can show that  $(df)_p$  also leaves invariant the curvature tensor at  $p$  and all their successive covariant differentials.

Let  $M$  be an analytic manifold with an analytic affine connection. Take a normal coordinate system  $\{x^1, \dots, x^n\}$  at  $o$  in  $M$ , whose coordinate neighborhood is  $U$ . Let  $k$  be an element of  $K_o$ , and  $\|\alpha_j^i\|$  the matrix defined by  $k(\partial/\partial x^j)_p = \alpha_j^i(\partial/\partial x^i)_p$ . Then we can choose  $V$ , a connected open neighborhood of  $o$  in  $U$ , such that the transformation  $f$  defined by

$$y^i = \alpha_j^i x^j (i=1, 2, \dots, n)$$

is a diffeomorphism from  $V$  into  $U$ . Let  $F_o$  be the frame  $\{o, (\partial/\partial x^1)_o, \dots, (\partial/\partial x^n)_o\}$ . For each  $p \in U (p \neq o)$  we put  $F_p = \tau_{po} F_o$  where  $\tau_{po}$  is the parallel translation along the unique geodesic from  $o$  to  $p$ . Thus we have an analytic local cross section  $I$  from  $U$  into  $B$ . By putting  $P_{jk}^i = \delta I \tilde{P}_{jk}^i, S_{jkl}^i = \delta I \tilde{S}_{jkl}^i$ , we obtain analytic functions  $P_{jk}^i(x), S_{jkl}^i(x)$  on  $U$ . Then we have for  $tx = (tx^1, \dots, tx^n)$

$$(\partial^n / \partial t^n)_{t=0} P_{jk}^i(tx) = x^{q_1} \cdots x^{q_n} \nabla_{q_1} \cdots \nabla_{q_n} T_{jk}^i(0) [2].$$

Remarking that  $k$  belongs to  $K_o$ , for  $y^i = \alpha_j^i x^j$  we have the following.

$$\begin{aligned} (\partial^n / \partial t^n)_{t=0} P_{jk}^i(ty) &= y^{q_1} \cdots y^{q_n} \nabla_{q_1} \cdots \nabla_{q_n} T_{jk}^i(0) \\ &= \alpha_{p_1}^{q_1} \cdots \alpha_{p_n}^{q_n} x^{p_1} \cdots x^{p_n} \nabla_{q_1} \cdots \nabla_{q_n} T_{jk}^i(0) \\ &= \alpha_\alpha^i b_j^\beta b_k^\gamma x^{p_1} \cdots x^{p_n} \nabla_{p_1} \cdots \nabla_{p_n} T_{\beta\gamma}^\alpha(0) \\ &= \alpha_\alpha^i b_j^\beta b_k^\gamma (\partial^n / \partial t^n)_{t=0} P_{\beta\gamma}^\alpha(tx). \end{aligned}$$

On the other hand,  $P_{jk}^i(0) = T_{jk}^i(0) = \alpha_\alpha^i b_j^\beta b_k^\gamma T_{\beta\gamma}^\alpha(0) = \alpha_\alpha^i b_j^\beta b_k^\gamma P_{\beta\gamma}^\alpha(0)$ .  $P_{jk}^i(x)$  being analytic on  $U$ , we may assume that

$$P_{jk}^i(tx) = \sum_{n=0}^{\infty} (t^n/n!) (\partial^n / \partial t^n)_{t=0} P_{jk}^i(tx), \text{ for } p \in U, 0 \leqq t \leqq 1.$$

Consider the transformation  $f: y^i = \alpha_j^i x^j$ . Then we have

$$\begin{aligned} P_{jk}^i(ty) &= \sum_{n=0}^{\infty} (t^n/n!) (\partial^n / \partial t^n)_{t=0} P_{jk}^i(ty) \\ &= \sum_{n=0}^{\infty} (t^n/n!) \alpha_\alpha^i b_j^\beta b_k^\gamma (\partial^n / \partial t^n)_{t=0} P_{\beta\gamma}^\alpha(tx). \end{aligned}$$

Therefore we have

$$(2) \quad P_{jk}^i(ty) = \alpha_\alpha^i b_j^\beta b_k^\gamma P_{\beta\gamma}^\alpha(tx).$$

Similarly we can prove that

$$(3) \quad S_{jkl}^i(ty) = \alpha_\alpha^i b_j^\beta b_k^\gamma b_l^\delta S_{\beta\gamma\delta}^\alpha(tx).$$

Consider the forms  $\theta^i(x, dx), \theta_j^i(x, dx)$  defined by  $\theta^i = \delta I \tilde{\theta}^i, \theta_j^i = \delta I \tilde{\theta}_j^i$  on  $U$ . We substitute  $tx^i$  for  $x^i$ , then the following (4) and (5) hold as is well known.

$$(4) \quad \begin{cases} \theta^i = x^i dt + \bar{\theta}^i(t, x, dx), & \theta_j^i = \bar{\theta}_j^i(t, x, dx) \\ \bar{\theta}^i(0, x, dx) = 0, & \bar{\theta}_j^i(0, x, dx) = 0. \end{cases}$$

$$(5) \quad \begin{cases} \partial \bar{\theta}^i / \partial t = dx^i + x^j \bar{\theta}_j^i + P_{jk}^i(tx) x^j \bar{\theta}^k \\ \partial \bar{\theta}_j^i / \partial t = S_{jkl}^i(tx) x^k \bar{\theta}^l. \end{cases}$$

We substitute in (5)  $y^i = a_j^i x^j$ ,  $\varphi^i = a_j^i \bar{\theta}^j$ ,  $\varphi_j^i = a_k^i b_j^k \bar{\theta}_l^k$ . Then we have from (2) and (3),

$$\begin{aligned} \partial \varphi^i / \partial t &= dy^i + y^j \varphi_j^i + P_{jk}^i(ty) y^j \varphi^k, \\ \partial \varphi_j^i / \partial t &= S_{jkl}^i(ty) y^k \varphi^l. \end{aligned}$$

Since  $\varphi^i(0, y, dy) = 0$ ,  $\varphi_j^i(0, y, dy) = 0$ , according to the uniqueness theorem of differential equations, we have

$$\bar{\theta}^i(t, y, dy) = \varphi^i(t, x, dx), \quad \bar{\theta}_j^i(t, y, dy) = \varphi_j^i(t, x, dx),$$

which are equivalent to

$$\theta^i(y, dy) = a_j^i \theta^j(x, dx), \quad \theta_j^i(y, dy) = a_k^i b_j^k \theta_l^k(x, dx).$$

Thus we get the following.

*Lemma 2.* Let  $M$  be an analytic manifold with an analytic affine connection,  $o$  a point in  $M$ , and  $k$  an element of  $K_o$ . Then there are a connected open neighborhood  $V$  of  $o$  and a diffeomorphism  $f$  from  $V$  into  $M$ , such that

$$\delta f \theta^i = a_j^i \theta^j, \quad \delta f \theta_j^i = a_k^i b_j^k \theta_l^k,$$

where  $k(\partial/\partial x^j)_o = a_j^i (\partial/\partial x^i)_o$  and  $\|b_j^i\|$  is the inverse matrix of  $\|a_j^i\|$ .

Any open subset of  $M$  has an affine connection induced from that of  $M$ .

*Lemma 3.* Let  $M$  be an analytic manifold with an analytic affine connection,  $o$  a point in  $M$ , and  $k$  an element of  $K_o$ . Then there are a connected open neighborhood  $V$  of  $o$ , and an affine isomorphism  $f$  from  $V$  into  $M$  such that  $f(o) = o$ .

**Proof.** Let  $U$  be the normal neighborhood of  $o$  in Lemma 2, and  $I$  the local cross section from  $U$  into  $B$ . Let  $f$  be the diffeomorphism from  $V$  into  $M$  induced by  $k$  in Lemma 2. It is clear that  $f(o) = o$ . Denoting by  $\omega_i^k$  the components of the affine connection in the coordinate system, we have

$$(1) \quad \tilde{X}_j^i \bar{\theta}^j = dx^i,$$

$$(2) \quad \tilde{X}_j^k \bar{\theta}_i^j = d\tilde{X}_i^k + \omega_i^k \tilde{X}_j^i.$$

Consider the family of frames  $\{F_p\}$  on  $U$  which we considered in Lemma 2. Denoting each vector of  $F_p$  by  $L_i = l_i^k (\partial/\partial x^k)_p$ , we define  $X_j^k$  by

$$(3) \quad \tilde{X}_j^i = X_j^k l_k^i.$$

Applying  $\delta f \circ \delta I$  on (1), we get from Lemma 2,

$$(4) \quad (\delta f l_j^i) a_k^j = a_j^i l_k^j.$$

Let  $\tilde{f}$  be the transformation induced in  $B$  by  $f$ . Then it is clear that

$$\delta \tilde{f} \tilde{X}_j^i = a_k^i \tilde{X}_j^k.$$

Applying  $\delta \tilde{f}$  on (3) we get from (3) and (4),

$$(5) \quad \delta \tilde{f} X_j^k = a_k^i X_j^k.$$

Applying  $\delta I$  on (2)

$$(6) \quad l_i^k \theta_i^j = dl_i^k + \omega_j^i l_i^k.$$

From (3) and (6) we get

$$(7) \quad X_j^k \tilde{\theta}_i^j = dX_i^k + \theta_i^l X_l^k.$$

Applying  $\delta \tilde{f}$  on (7), we get from Lemma 2 and (5)  $\delta \tilde{f} \tilde{\theta}_i^j = \tilde{\theta}_i^j$ . It is clear that  $\delta \tilde{f} \tilde{\theta}^i = \tilde{\theta}^i$ . Therefore  $f$  is an affine isomorphism from  $V$  into  $M$ .

**§ 2. Main theorem and its applications.** In this section we denote by  $G^0$  the identity component of a Lie group  $G$ .

**Theorem 1.** *If  $M$  is a connected, simply connected, analytic manifold with a complete analytic affine connection, then  $dH_p = K_p$  at each  $p$  in  $M$ .*

**Proof.** We have proved in Lemma 1 that  $dH_p \subset K_p$  for each  $p$  in  $M$ . Let  $k$  be an element of  $K_p$ . By Lemma 3,  $k$  induces an affine isomorphism  $f$  from  $V$  into  $M$ , where  $V$  is a connected open neighborhood of  $p$ . Since  $M$  is a connected, simply connected analytic manifold and the connection is complete analytic, this affine isomorphism  $f$  can be uniquely extended to an affine automorphism  $f'$  ([5] p. 255). Clearly  $f'(p) = p$ , and  $(df')_p = k$ . Therefore we have  $K_p \subset dH_p$ .

**Corollary.** *Let  $M$  be the manifold in Theorem 1. Then each element  $k \in K_p$  induces a unique affine automorphism  $f$  on  $M$  such that  $f(p) = p$  and  $(df)_p = k$ .*

In fact, let  $g$  be an affine automorphism of  $M$  such that  $g(p) = p$ , and  $(dg)_p = k$ . Then from  $f(p) = g(p)$  and  $(df)_p = (dg)_p$ , we get  $f = g$  on  $M$  ([5] p. 254).

In 1927, E. Cartan proved the following theorem ([1] p. 84).

*Let  $M$  be an affine locally symmetric space. If a linear transformation of  $M_p$  leaves invariant the curvature tensor  $R$  at  $p$ , then this induces a local affine isomorphism in  $M$ .*

We shall treat this problem globally by imposing some conditions on  $M$ .

**Theorem 2.** *Let  $M$  be a connected, simply connected, complete affine locally symmetric space, then  $K_p = dH_p$  at each  $p$  in  $M$ .*

**Proof.** Since  $\nabla R = 0$  and  $T = 0$ ,  $M$  is considered to be an analytic manifold with an analytic affine connection ([5] p. 263). By Theorem 1, the conclusion follows.

Denoting by  $h(p)$  the linear holonomy group of  $M$  at  $p$ ,  $h(p)^0$  is the restricted linear holonomy group.

**Lemma 4.** *Let  $M$  be an affine locally symmetric space, then  $h(p)^0$  is contained in  $K_p^0$  at each  $p$  in  $M$ .*

**Proof.** Take a local coordinate system  $\{x^1, \dots, x^n\}$  around  $p$ . Since  $M$  is considered to be an analytic manifold with an analytic affine connection, the Lie algebra of  $h(p)$  consists of the following matrices [5].

$$\sum_{r,s} \lambda_{rs} R_{rs} \quad \text{where} \quad (R_{rs})_j^i = (R_{jrs}^i)_p.$$

We express each element of  $K_p$  by a matrix with respect to the base  $\{(\partial/\partial x^1)_p, \dots, (\partial/\partial x^n)_p\}$ . Since  $T=0$  and  $\nabla R=0$ ,  $K_p$  consists of all matrices  $\|a_j^i\|$  which satisfy  $b_\alpha^i a_j^\beta a_k^\gamma a_l^\delta (R_{\beta\gamma\delta}^\alpha)_p = (R_{jkl}^i)_p$ , where  $\|b_j^i\|$  is the inverse matrix of  $\|a_j^i\|$ . Therefore the Lie algebra of  $K_p$  consists of all matrices  $\|\mu_j^i\|$  which satisfy

$$-\mu_h^i (R_{jkl}^h)_p + \mu_j^h (R_{hkl}^i)_p + \mu_k^h (R_{jhl}^i)_p + \mu_l^h (R_{jkh}^i)_p = 0.$$

Since  $M$  is locally symmetric, from the Ricci identities,

$$\begin{aligned} \nabla_s \nabla_r R_{jkl}^i - \nabla_r \nabla_s R_{jkl}^i &= R_{hrs}^i R_{jkl}^h - R_{jrs}^h R_{hkl}^i - R_{krs}^h R_{jhl}^i - R_{lrs}^h R_{jkh}^i = 0, \\ -(R_{hrs}^i)_p (R_{jkl}^h)_p + (R_{jrs}^h)_p (R_{hkl}^i)_p + (R_{krs}^h)_p (R_{jhl}^i)_p + (R_{lrs}^h)_p (R_{jkh}^i)_p &= 0. \end{aligned}$$

These mean that the Lie algebra of  $h(p)$  is contained in the Lie algebra of  $K_p$ . Therefore we have  $h(p)^0 \subset K_p^0$ .

**Theorem 3.** *Let  $M$  be a connected, simply connected, complete affine locally symmetric space, then the linear holonomy group  $h(p)$  is contained in  $dH_p$  at each  $p$  in  $M$ .*

**Proof.** Since  $M$  is connected and simply connected,  $h(p) = h(p)^0$ . By Theorem 2,  $K_p = dH_p$  at each  $p$  in  $M$ . Therefore the conclusion follows from Lemma 4.

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