

III. On the Rate of Growth of Blaschke Products in the Unit Circle

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1. Introduction. Let us put

$$B(z) = \prod_{n=1}^{+\infty} b(z, a_n),$$

where $b(z, a) = |a|/a \cdot (a-z)/(1-\bar{a}z)$, $S = \sum_{n=1}^{+\infty} (1-|a_n|) < +\infty$. Then we can find the sequence $\{r_n\}^{*)}$ such that

$$(1.1) \quad \begin{aligned} (1) \quad & 1 = r_1 > r_2 > r_3 \cdots \rightarrow 0, \\ (2) \quad & \sum_{n=1}^{+\infty} 1/r_n^2 \cdot (1-|a_n|) < +\infty. \end{aligned}$$

For the sake of convenience, we introduce some notations:

- (1) $D(e^{i\varphi}, \vartheta) = \{z; |\arg(1-ze^{-i\varphi})| \leq \vartheta < \pi/2, |z-e^{i\varphi}| \leq \cos \vartheta\}$.
- (2) $D(e^{i\varphi}, r_1, r_2) = (|z-r_1e^{i\varphi}| \leq 1-r_1) \cap (|z-r_2e^{i\varphi}| \geq 1-r_2)$,
($0 < r_1 < r_2 < 1$).
- (3) $\mathcal{D} = \bigcap_n \{z; \rho(z, a_n) \geq R_n\}$, where $\rho(a, b)$: The non-Euclidean hyperbolic distance between a and b , $R_n = \tanh^{-1} r_n$ ($n=1, 2, \dots$).
- (4) $S(\varepsilon) = \sum_{|a_n - e^{i\varphi}| < \varepsilon} 1/r_n^2 \cdot (1-|a_n|)$.

Then we can state our theorems as follows:

Theorem 1.

$$(1.2) \quad \lim_{\substack{|z| \rightarrow 1 \\ z \in \mathcal{D}}} (1-|z|) \log |1/B(z)| = 0.$$

As its immediate consequences, we get following:

Corollary 1.

$$(1.3) \quad \lim_{z \rightarrow e^{i\varphi}} |z - e^{i\varphi}| \cdot \log |1/B(z)| = 0 \text{ uniformly as } z \rightarrow e^{i\varphi} \text{ inside } D(e^{i\varphi}, \vartheta) \cap \mathcal{D}.$$

Corollary 2. *If there exists no $\{a_n\}$ in the sector $S: \alpha \leq \arg(1-ze^{-i\varphi}) \leq \beta$ ($-\pi/2 < \alpha < \beta < \pi/2$), then $\lim_{z \rightarrow e^{i\varphi}} |z - e^{i\varphi}| \cdot \log |1/B(z)| = 0$ uniformly as $z \rightarrow e^{i\varphi}$ inside the subsector of S .*

As an interesting application of Corollary 2, we can establish

Theorem 2. *If the sequence $\{a_n\}$ lies on the chord $L: \arg(1-ze^{-i\varphi}) = \vartheta$ ($|\vartheta| < \pi/2$), then L is Julia-line for $f(z) = B(z) \cdot \exp\{\alpha \cdot (e^{i\varphi} + z)/(e^{i\varphi} - z)\}$ ($\alpha > 0$).*

Under additional conditions, we can prove more precise theorems than Theorem 1:

Theorem 3. *If $\overline{\lim}_{\varepsilon \rightarrow +0} S(\varepsilon)/\varepsilon^2 < +\infty$, then $\underline{\lim} |B(z)| > 0$ as $z \rightarrow e^{i\varphi}$ inside $\mathcal{D} \cap (D(e^{i\varphi}, \vartheta) \cup D(e^{i\varphi}, r_1, r_2))$.*

*) Vide lemma 1.

Theorem 4. *If $\lim_{\varepsilon \rightarrow +0} S(\varepsilon)/\varepsilon^\alpha = 0$ ($\alpha > 2$), then $\lim |B(z)| = 1$ as $z \rightarrow e^{i\varphi}$ inside $\mathcal{D} \cap (\mathcal{D}(e^{i\varphi}, \vartheta) \cup D(e^{i\varphi}, r_1, r_2))$.*

2. **Lemmas.** To prove these theorems, we need some lemmas:

Lemma 1. *There exists the sequence $\{r_n\}$ satisfying (1.1).*

Proof. Dini's theorem ([1] p. 293) states that, if $\sum_{n=1}^{+\infty} c_n$ is a convergent series of positive terms, then $\sum_{n=1}^{+\infty} c_n / (c_n + c_{n+1} \cdots)^\alpha$ converges when $\alpha < 1$, and diverges when $\alpha \geq 1$. If we put

$$c_n = 1 - |a_n|, \quad r_n = \left(\frac{\sum_{k=n}^{+\infty} c_k}{\sum_{k=1}^{+\infty} c_k} \right)^{\frac{1}{\alpha}} \quad (0 < \alpha < 1),$$

then lemma 1 follows immediately from Dini's theorem.

Lemma 2.

$$(2.1) \quad \log |(1 - \bar{a}z)/(z - a)| < 2(1 - |a|)(1 - |z|)/|z - a|^2$$

for $|a| < 1, |z| < 1$.

Proof. By the inequality: $\log(1+x) < x$ for $x > 0$, we have

$$\begin{aligned} \log |(1 - \bar{a}z)/(z - a)| &= 1/2 \log \{1 + (1 - |a|^2)(1 - |z|^2)/|z - a|^2\} \\ &< 1/2 \cdot (1 - |a|^2)(1 - |z|^2)/|z - a|^2 \\ &< 2(1 - |a|)(1 - |z|)/|z - a|^2, \end{aligned}$$

which proves Lemma 2.

Lemma 3. *Put $\rho = |z - e^{i\varphi}| < \varepsilon$.*

(1) *If $z \in D(e^{i\varphi}, \vartheta) \cap \mathcal{D}$, $\lim_{\varepsilon \rightarrow +0} \rho/\varepsilon = \Delta < 1$, then*

$$(2.2) \quad \log |1/B(z)| < 4S/(1 - \Delta)^2 \cdot \rho/\varepsilon^2 + 4/\cos \vartheta \cdot S(\varepsilon)/\rho$$

for sufficiently small ε .

(2) *If $z \in D(e^{i\varphi}, r_1, r_2) \cap \mathcal{D}$, $\lim_{\varepsilon \rightarrow +0} \rho/\varepsilon = \Delta < 1$, then*

$$(2.3) \quad \log |1/B(z)| < 2S/(1 - \Delta)^2 \cdot r_2/(1 - r_2) \cdot \rho^2/\varepsilon^2 + 4(1 - r_1)/r_1 \cdot S(\varepsilon)/\rho^2$$

for sufficiently small ε .

Proof. If $z \in \mathcal{D}$, then $\rho(z, a_n) \geq \tanh^{-1} r_n$ ($n \geq 1$), i.e. $|(z - a_n)/(1 - \bar{a}_n z)| \geq r_n$ ($n \geq 1$), so that

$$(2.4) \quad |z - a_n|/(1 - |z|) > r_n \quad (n \geq 1).$$

Hence, by (2.1)

$$(2.5) \quad S_1 = \sum_{\substack{|a_n - e^{i\varphi}| < \varepsilon \\ z \in \mathcal{D}}} \log |(1 - \bar{a}_n z)/(z - a_n)| < 2S(\varepsilon) \cdot 1/(1 - |z|).$$

Similarly

$$(2.6) \quad \begin{aligned} S_2 &= \sum_{\substack{|a_n - e^{i\varphi}| \geq \varepsilon \\ |z - e^{i\varphi}| = \rho < \varepsilon}} \log |(1 - \bar{a}_n z)/(z - a_n)| \\ &< 2 \sum_{\substack{|a_n - e^{i\varphi}| \geq \varepsilon}} (1 - |a_n|) \cdot (1 - |z|)/(\varepsilon - \rho)^2 \\ &< 2S \cdot (1 - |z|)/(\varepsilon - \rho)^2. \end{aligned}$$

Putting $z = (1 - \rho e^{i\theta})e^{i\varphi}$, we have easily

$$(2.7) \quad 1 - |z|^2 = \rho(2 \cos \theta - \rho).$$

By (2.5), (2.6), and (2.7)

$$(2.8) \quad \log |1/B(z)| < 2S/(1 - \Delta)^2 \cdot \rho(2 \cos \theta - \rho)/\varepsilon^2 + 4S(\varepsilon)/\rho(2 \cos \theta - \rho)$$

$(\substack{|z - e^{i\varphi}| = \rho < \varepsilon \\ z \in \mathcal{D}})$

for sufficiently small ε .

If $z \in D(e^{i\varphi}, \vartheta) \cap \mathcal{D}$, then $2 \cos \theta - \rho \geq \cos \vartheta$, so that by (2.8)

$$\log_{\substack{(|z-e^{i\varphi}|=\rho<\varepsilon \\ z \in D(e^{i\varphi}, \vartheta) \cap \mathcal{D})}} |1/B(z)| < 4S/(1-\Delta)^2 \cdot \rho/\varepsilon^2 + 4/\cos \vartheta \cdot S(\varepsilon)/\rho$$

for sufficiently small ε , which proves (2.2).

If $z \in D(e^{i\varphi}, r_1, r_2)$, then by simple computations

$$\rho^2 \cdot r_1/(1-r_1) \leq \rho(2 \cos \theta - \rho) \leq \rho^2 \cdot r_2/(1-r_2),$$

so that by (2.8)

$$\log_{\substack{(|z-e^{i\varphi}|=\rho<\varepsilon \\ z \in D(e^{i\varphi}, r_1, r_2) \cap \mathcal{D})}} |1/B(z)| < 2S/(1-\Delta)^2 \cdot r_2/(1-r_2) \cdot \rho^2/\varepsilon^2 + 4(1-r_1)/r_1 \cdot S(\varepsilon)/\rho^2$$

for sufficiently small ε , which proves (2.3)

3. Proofs of Theorems 1-4.

Proof of Theorem 1. By (2.1) and (2.4), if $z \in \mathcal{D}$,

$$(3.1) \quad (1-|z|) \log |1/B(z)| < 2 \sum_{n=1}^{+\infty} (1-|a_n|) ((1-|z|)/|z-a_n|)^2 < 2 \left\{ \sum_{n=1}^N (1-|a_n|) ((1-|z|)/|z-a_n|)^2 + \sum_{n=N+1}^{+\infty} 1/r_n^2 \cdot (1-|a_n|) \right\},$$

N being any fixed integer. For any given $\varepsilon > 0$, there exists $N(\varepsilon)$ such that $\sum_{n=N+1}^{+\infty} 1/r_n^2 \cdot (1-|a_n|) < \varepsilon$ for $N \geq N(\varepsilon)$. Hence, by (3.1)

$$(1-|z|) \log |1/B(z)| < 2 \left\{ \sum_{n=1}^N (1-|a_n|) ((1-|z|)/|z-a_n|)^2 + \varepsilon \right\}$$

for $N \geq N(\varepsilon)$, so that

$$0 \leq \overline{\lim}_{\substack{(|z| \rightarrow 1 \\ z \in \mathcal{D})}} (1-|z|) \log |1/B(z)| \leq 2\varepsilon.$$

Letting $\varepsilon \rightarrow +0$, we have $\lim_{\substack{(|z| \rightarrow 1 \\ z \in \mathcal{D})}} (1-|z|) \log |1/B(z)| = 0$, which proves Theorem 1.

Since $\cos \vartheta/2 \leq (1-|z|)/|z-e^{i\varphi}|$ for $z \in D(e^{i\varphi}, \vartheta)$,

$$0 \leq |z-e^{i\varphi}| \cdot \log |1/B(z)| \leq 2 \sec \vartheta \cdot (1-|z|) \cdot \log |1/B(z)|$$

for $z \in D(e^{i\varphi}, \vartheta)$. Hence, Corollary 1 follows immediately from Theorem 1.

If there exists no $\{a_n\}$ in the sector $S: -\pi/2 < \alpha \leq \arg(1-ze^{-i\varphi}) \leq \beta < +\pi/2$, then by (1.1) (1), the hyperbolic disks: $\rho(z, a_n) \leq R_n$ $n \geq N$ (N : sufficiently large integer) are not contained in the fixed subsector of S . Therefore Corollary 2 is an immediate consequence of Corollary 1.

Proof of Theorem 2. Without any loss of generality, we can assume that $\varphi=0$. By Corollary 2, $\lim |z-1| \cdot \log |B(z)| = 0$ as $z \rightarrow 1$ on the chords: $\arg(1-z) = \vartheta \pm \varepsilon$, ε being any positive constant such that $-\pi/2 < \vartheta - \varepsilon < \vartheta + \varepsilon < +\pi/2$. Hence, for any $\delta > 0$,

$$(3.2) \quad |B(z)| > \exp \{-\delta/(1-|z|)\}$$

for $\arg(1-z) = \vartheta \pm \varepsilon$, $|1-z| \leq \Delta(\delta)$, $\Delta(\delta)$ being a constant dependent upon δ . By simple computation,

$$(1-|z|)/|1-z| \geq 1/2 \cdot \min \{ \cos(\vartheta + \varepsilon), \cos(\vartheta - \varepsilon) \} = \delta^*/2$$

for $\arg(1-z) = \vartheta \pm \varepsilon$, $|1-z| \leq \delta^*$, so that

$$(3.3) \quad \Re((1+z)/(1-z)) = (1-|z|^2)/|1-z|^2 > (\delta^*/2)^2 \cdot 1/(1-|z|).$$

By (3.2) and (3.3)

$$|f(z)| > \exp \{ 1/(1-|z|) \cdot (\alpha \cdot (\delta^*/2)^2 - \delta) \}$$

for $\arg(1-z) = \vartheta \pm \varepsilon$, $|1-z| \leq \min(\Delta(\delta), \delta^*)$. Taking δ so small that $\alpha(\delta^*/2)^2 > \delta$, $w=f(z)$ tends to ∞ as $z \rightarrow 1$ along the chords: $\arg(1-z) = \vartheta \pm \varepsilon$. Since $f(a_n) = 0$ $n \geq 1$, by Gross-Iversen's theorem ([2] p. 5) the cluster set of $w=f(z)$ at $z=1$ inside the sector $S: |\arg(1-z) - \vartheta| \leq \varepsilon$ is the whole w -plane and $f(z)$ takes every finite value, except perhaps one, infinitely many times in S . Since ε is arbitrary, the chord $L: \arg(1-z) = \vartheta$ is Julia-line, which proves Theorem 2.

Proof of Theorem 3. If $z \in D(e^{i\vartheta}, \vartheta) \cap \mathcal{D}$, then by Lemma 3 (1), in which we put $\rho = \varepsilon^2$, $\Delta = 0$, we have

$$(3.4) \quad \overline{\lim} \log |1/B(z)| \leq 4S + 4/\cos \vartheta \cdot \overline{\lim}_{\varepsilon \rightarrow +0} S(\varepsilon)/\varepsilon^2 < +\infty$$

as $z \rightarrow e^{i\vartheta}$ inside $D(e^{i\vartheta}, \vartheta) \cap \mathcal{D}$.

If $z \in D(e^{i\vartheta}, r_1, r_2) \cap \mathcal{D}$, then by lemma 3 (2), in which we put $\rho = \varepsilon/2$, $\Delta = 1/2$, we get

$$(3.5) \quad \overline{\lim} \log |1/B(z)| \leq 2Sr_2/(1-r_2) + 16(1-r_1)/r_1 \cdot \overline{\lim}_{\varepsilon \rightarrow +0} S(\varepsilon)/\varepsilon^2 < +\infty$$

as $z \rightarrow e^{i\vartheta}$ inside $D(e^{i\vartheta}, r_1, r_2) \cap \mathcal{D}$. By (3.4) and (3.5), Theorem 3 is completely established.

Proof of Theorem 4. If $z \in D(e^{i\vartheta}, \vartheta) \cap \mathcal{D}$, then by Lemma 3 (1), in which we put $\rho = \varepsilon^\alpha$, $\Delta = 0$, we obtain

$$0 \leq \overline{\lim} \log |1/B(z)| \leq 0, \text{ i.e. } \lim |B(z)| = 1$$

as $z \rightarrow e^{i\vartheta}$ inside $D(e^{i\vartheta}, \vartheta) \cap \mathcal{D}$.

If $z \in D(e^{i\vartheta}, r_1, r_2) \cap \mathcal{D}$, then by Lemma 3 (2), in which we put $\rho = \varepsilon^{\frac{\alpha}{2}}$, $\Delta = 0$, we get

$$0 \leq \overline{\lim} \log |1/B(z)| \leq 0, \text{ i.e. } \lim |B(z)| = 1$$

as $z \rightarrow e^{i\vartheta}$ inside $D(e^{i\vartheta}, r_1, r_2) \cap \mathcal{D}$. Thus our theorem is completely proved.

References

- [1] K. Knopp: Theory and Application of Infinite Series. London and Glasgow (1928).
 [2] K. Noshiro: Cluster Sets. Springer, Berlin (1960).