

## 68. On Extensions of Automorphisms of Abelian von Neumann Algebras

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1. Let  $\mathcal{A}$  be a maximal abelian von Neumann algebra acting on a separable Hilbert space  $\mathfrak{H}$ ,  $\phi$  a faithful normal trace with a normalized trace vector and  $G$  a countable freely acting ergodic group of  $\phi$ -preserving automorphisms of  $\mathcal{A}$ . Then we can raise the following questions with respect to automorphisms of  $\mathcal{A}$  and automorphisms of the crossed product  $G \otimes \mathcal{A}$  of  $\mathcal{A}$  by  $G$ .

1) What kind of automorphisms of  $\mathcal{A}$  can be extended to what kind of automorphisms of  $G \otimes \mathcal{A}$ ?

2) Especially, what kind of automorphisms of  $\mathcal{A}$  can be extended to inner automorphisms of  $G \otimes \mathcal{A}$ ?

3) What kind of unitary operators in  $G \otimes \mathcal{A}$  induce inner automorphisms of  $G \otimes \mathcal{A}$  which preserve  $\mathcal{A}$ ?

4) How does the questions 1) or 2) depend on the properties of  $G$ ?

In this paper, the questions 1) and 4) will be discussed according to several conditions. The questions 2) and 3) are already discussed in [1] and [4].

Hereafter, we assume all automorphisms of  $\mathcal{A}$  are  $\phi$ -preserving \*-automorphisms, and the terminology and the notations of [2] will be employed without further explanations.

2. We shall reformulate a theorem of I. M. Singer [5; Lemma 2.2] using the terminology of the crossed product:

**Theorem 1.** *Let  $\mathcal{A}$  be a maximal abelian von Neumann algebra acting on a separable Hilbert space  $\mathfrak{H}$ ,  $\phi$  a faithful normal trace with a normalized trace vector,  $G$  a countable freely acting ergodic group of automorphisms of  $\mathcal{A}$  and  $\sigma$  an inner automorphism of  $G \otimes \mathcal{A}$  such that  $\mathcal{A}^\sigma = \mathcal{A}$ .*

*Then  $\sigma$  is induced by a unitary operator*

$$U = \sum_{g \in G} V E_g U_g,$$

where  $V$  and  $E_g$  satisfy the following conditions:

- (1)  $V$  is a unitary operator in  $\mathcal{A}$ ,
- (2)  $E_g$  is a projection in  $\mathcal{A}$  for each  $g \in G$ ,
- (3)  $E_g E_h = 0$  for  $g \neq h$ ,
- (4)  $\sum_{g \in G} E_g = 1$ ,

(5)  $E_g$  is absolutely fixed under  $\alpha g^{-1}$ , where  $\alpha$  is a restriction of  $\sigma$  in  $\mathcal{A}$ .

The projection  $E_g$  in Theorem 1 is equal to the projection  $F(\alpha, g)$  in  $\mathcal{A}$  which is a maximal projection absolutely fixed under  $\alpha g^{-1}$ , cf. [2].

A counterpart of Theorem 1 for finite factors is discussed in [4].

In what follows, the notations in Theorem 1 will be employed throughout.

3. In this section, we shall discuss the question 1). As well known, any ( $\phi$ -preserving) automorphism  $\alpha$  of  $\mathcal{A}$  can be extended to an automorphism  $\theta$  of  $G \otimes \mathcal{A}$ . However, it is not obvious that there exists a desired extension of  $\alpha$  if  $\theta$  is restricted by certain conditions. We shall give an answer for a very restrictive one:

**Theorem 2.** *Let  $\mathcal{A}$  and  $G$  be same as in Theorem 1, and  $\alpha$  an automorphism of  $\mathcal{A}$ . Then  $\alpha$  can be extended to an automorphism  $\theta$  of  $G \otimes \mathcal{A}$  such that, for each  $g \in G$ ,*

$$U_g^\theta = U_h \quad \text{for some } h \in G,$$

*if and only if  $\alpha$  satisfies*

$$\alpha^{-1}G\alpha = G.$$

**Proof.** If  $\alpha$  can be extended to an automorphism  $\theta$  of  $G \otimes \mathcal{A}$  which satisfies the requirement of the theorem, then  $h$  depends on  $g$ , that is,  $h = \varphi(g)$ , and  $\varphi$  is an automorphism of  $G$  because  $\theta$  is an automorphism. Since

$$\begin{aligned} U_{\varphi(h)} A^{h^{-1}\alpha} &= (U_h A^{h^{-1}})^\theta = (AU_h)^\theta \\ &= A^\alpha U_{\varphi(h)} = U_{\varphi(h)} A^{\alpha\varphi(h^{-1})}, \end{aligned}$$

for any  $A \in \mathcal{A}$  and  $h \in G$ , we have  $\varphi(h^{-1}) = \alpha^{-1}h^{-1}\alpha$ . Hence

$$\varphi(h) = \alpha^{-1}h\alpha,$$

for any  $h \in G$ . Therefore,  $\alpha^{-1}G\alpha \subset G$ . Since  $\theta^{-1}$  is an extension of  $\alpha^{-1}$ , a similar computation shows that  $\alpha G\alpha^{-1} \subset G$ . Hence we have  $\alpha^{-1}G\alpha = G$ .

Conversely, let  $\alpha^{-1}G\alpha = G$ , then we can define an automorphism  $\alpha$  of  $G$  by

$$\varphi(g) = \alpha^{-1}g\alpha.$$

Using this automorphism  $\varphi$ , define the mapping  $\theta$  by

$$(AU_g)^\theta = A^\alpha U_{\varphi(g)} \quad \text{for any } g \in G \text{ and } A \in \mathcal{A}.$$

On the other hand, if we define

$$U'(g \otimes A) = \varphi(g) \otimes A^\alpha,$$

and

$$U'\left(\sum_{i=1}^n g_i \otimes A_i\right) = \sum_{i=1}^n U'(g_i \otimes A_i),$$

then the mapping  $U'$  can be extended to a unitary operator  $U$  on  $G \otimes \mathfrak{Q}$ . And we have

$$\begin{aligned} UAU_hU^*(g \otimes B) &= UAU_h(\varphi^{-1}(g) \otimes B^{\alpha^{-1}}) \\ &= U(h\varphi^{-1}(g) \otimes AB^{\alpha^{-1}h^{-1}}) \\ &= A^\alpha U_{\varphi(h)}(g \otimes B) \\ &= (AU_h)^\theta(g \otimes B), \end{aligned}$$

for any  $g \in G$  and  $A, B \in \mathcal{A}$ . Therefore,

$$(AU_g)^\theta = UAU_gU^*.$$

Hence  $\alpha$  can be extended to an automorphism of  $G \otimes \mathcal{A}$ .

4. In this section, we shall discuss the question 4).

**Theorem 3.** *Let  $\mathcal{A}$ ,  $G$ ,  $\sigma$ ,  $V$ , and  $E_g$  be as in Theorem 1. Let  $\varphi$  be an automorphism of  $G$ . Then the following conditions are equivalent:*

(6)  $U_g^\sigma = U_{\varphi(g)}$  for every  $g \in G$ ,

(7)  $(VE_h)^\sigma = VE_{g^{-1}h\varphi(g)}$  for every  $g$  and  $h$  in  $G$ .

**Proof.** Assume (6). Then

$$U_gU = UU_{\varphi(g)}.$$

By direct computations, we have

$$U_gU = U_g \left( \sum_{h \in G} VE_hU_h \right) = \sum_{h \in G} (VE_{g^{-1}h\varphi(g)})^{\sigma^{-1}} U_{h\varphi(g)}$$

and  $UU_{\varphi(g)} = \left( \sum_{h \in G} VE_hU_h \right) U_{\varphi(g)} = \sum_{h \in G} VE_hU_{h\varphi(g)}.$

Hence we have

$$\sum_{h \in G} (VE_{g^{-1}h\varphi(g)})^{\sigma^{-1}} U_{h\varphi(g)} = \sum_{h \in G} VE_hU_{h\varphi(g)}.$$

Comparing the coefficients of  $U_{h\varphi(g)}$  in the both sides, we have (7).

Conversely, suppose (7). Then we have

$$UU_{\varphi(g)} = \sum_{h \in G} VE_hU_{h\varphi(g)} = \sum_{h \in G} (VE_{g^{-1}h\varphi(g)})^{\sigma^{-1}} U_{h\varphi(g)} = U_gU.$$

This proves the theorem.

**Theorem 4.** *If the set  $I_g = \{hgh^{-1}; h \in G\}$  is infinite for each  $g \in G, g \neq 1$ , then the automorphism  $\sigma, \sigma \neq 1$ , of  $G \otimes \mathcal{A}$  such that  $U_g^\sigma = U_g$  for every  $g \in G$  is outer.*

**Proof.** Suppose that  $\sigma$  is an inner automorphism of  $G \otimes \mathcal{A}$ . Then, since  $\sigma$  preserves the algebra  $\mathcal{A}$  by  $U_g^\sigma = U_g$ ,  $\sigma$  is induced by

$$U = \sum_{g \in G} VE_gU_g$$

of Theorem 1. Therefore, by Theorem 3, we have

(8)  $(VE_h)^\sigma = VE_{g^{-1}hg}$  for every  $g$  and  $h$  in  $G$ .

Putting  $h=1$  in (8), we have

$$(VE_1)^\sigma = VE_1,$$

for every  $g \in G$ . Therefore, by the ergodicity of  $G$ ,  $VE_1$  is a scalar multiple of the identity. Hence  $E_1$  is either 1 or 0.

Now, we shall divide the proof in two cases:

*Case 1.* Suppose  $E_1=1$ . Then  $E_g=0$  for all  $g \neq 1$  by (4). Hence  $U = VE_1 = V$ , so that  $\sigma=1$ , which contradicts the hypothesis that  $\sigma \neq 1$ .

Case 2. Suppose  $E_1=0$ . Then  $E_h \neq 0$  for some  $h \neq 1$ . By (8),

$$E_{g^{-1}hg} = V^*(VE_h)^g$$

for each  $g \in G$ , and

$$\|E_{g^{-1}hg}\|_2^2 = \|V^*(VE_h)^g\|_2^2 = \|E_h\|_2^2,$$

where  $\|A\|_2^2 = \phi(A * A)$  for any  $A \in \mathcal{A}$ . Since  $I_h$  is infinite, we have

$$1 = \|\sum_{g \in G} E_g\|_2^2 \geq \|\sum_{k \in I_h} E_k\|_2^2 = \sum_{k \in I_h} \|E_k\|_2^2 = +\infty,$$

which is a contradiction.

**Theorem 5.** *If  $G$  is an abelian group and if an inner automorphism  $\sigma$  of  $G \otimes \mathcal{A}$  satisfies  $U_g^\sigma = U_g$  for every  $g \in G$ , then  $\sigma$  is induced by  $U_h$  for some  $h \in G$ .*

**Proof.** Suppose that  $\sigma$  is induced by

$$U = \sum_{g \in G} VE_g U_g$$

of Theorem 1. By Theorem 3,  $U_g^\sigma = U_g$  implies

$$(VE_h)^g = VE_{g^{-1}hg} = VE_h$$

for every  $g$  and  $h$  in  $G$ . By the ergodicity of  $G$ , we have

$$VE_h = \alpha_h 1$$

for each  $h \in G$ , where  $\alpha_h$  is a scalar. Hence  $E_h = 0$  or 1 for each  $h \in G$ . Therefore, we have

$$U = \sum_{g \in G} VE_g U_g = \alpha_h U_h,$$

for some  $h \in G$ . Consequently,  $\sigma$  is induced by  $U_h$ .

5. Before to conclude the note, we shall discuss a relation between a certain group  $G$  and its full group  $[G]$  introduced by H. A. Dye [3].

**Theorem 6.** *If  $G$  is an abelian group which is ergodic and freely acting on  $\mathcal{A}$ , then  $G$  is a maximally abelian subgroup in the full group  $[G]$  determined by  $G$ .*

**Proof.** Let  $\alpha$  be an automorphism in  $[G]$  such that  $\alpha g = g\alpha$  for every  $g \in G$ . Then by [1; Theorem 1]  $\alpha$  can be extended to an inner automorphism of  $G \otimes \mathcal{A}$  which is induced by a unitary operator

$$U = \sum_{g \in G} E_g U_g,$$

where  $E_g = F(\alpha, g)$ .

For any projection  $Q \leq E_g^h$ , we have  $Q^{h^{-1}} \leq E_g$ , so that

$$Q^{h^{-1}} = Q^{h^{-1}\alpha g^{-1}} = Q^{\alpha g^{-1}h^{-1}}.$$

Hence  $Q^\alpha = Q^g$ . Therefore  $E_g^h$  is absolutely fixed under  $\alpha g^{-1}$ , and so  $E_g^h \leq E_g$ . Since  $h$  is  $\phi$ -preserving and since  $\phi$  is faithful, we have  $E_g^h = E_g$  for every  $g$  and  $h$  in  $G$ . Therefore  $E_g = 0$  or 1 for every  $g \in G$  since  $G$  is ergodic. By (4) of Theorem 1, we have  $U = U_g$  for some  $g \in G$ . This completes the proof of the theorem.

## References

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