

3. An Extension of Beurling's Theorem. II

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This article is the continuation of the previous paper of the same title. We shall prove the following

Lemma 5. *Let F be a set of positive capacity in B and suppose for any point $p \in F \cap B_1^N$ there exists a contact set $\Delta(p)$ of p such that $\lim_{n \rightarrow \infty} \int_{\Delta(p) \cap v_n(p)} N(z, p) > 0$. Let $\{G_n\}$ be a decreasing sequence of domains in $R - R_0$ such that $G_n \supset (G_{n,p} \cap v_n(p) \cap \Delta(p))$, where $G_{n,p} \ni p$ and m and $G_{n,p}$ depend on p and G_n . Then*

$$\omega(\{G_n\}, z) > 0.$$

Put $\Gamma_m = E \left[p \in B_1^N : \int_{\partial R_0} \frac{\partial}{\partial n} \lim_{n \rightarrow \infty} \int_{G_n', p \cap v_n(p) \cap \Delta(p)} N(z, p) ds \geq \frac{2\pi}{m} \right]$. Then

by Lemma 1.3) $F = B_0^N + \sum_{m=1}^{\infty} \Gamma_m$. Now since B_0^N is an F_σ set of capacity zero, there exists a number l_0 and a closed set F' of positive capacity in F such $(F' \cap B_1^N) \subset \Gamma_{l_0}$. Hence there exists a positive mass distribution μ on $F' \cap B_1^N$ such that $V(z) = \int N(z, p) d\mu(p)$ and $V(z) \leq 1$ in $R - R_0$. $\int_{G_n} V(z) = \int_{G_n} \left(\int N(z, p) d\mu(p) \right) \geq \int \lim_{n \rightarrow \infty} \int_{G_n', p \cap \Delta(p) \cap v_n(p)} N(z, p) d\mu(p) = \int \lim_{n \rightarrow \infty} \int_{\Delta(p) \cap v_n(p)} N(z, p) d\mu(p)$ for any n and $\int_{\partial R_0} \frac{\partial}{\partial n} \int_{G_n} V(z) ds \geq \frac{2\pi}{l_0} \int d\mu(p) > 0$.

Let $\omega_n(z) = \omega(G_n, z, R - R_0)$. Then by the maximum principle $\omega_n(z) \geq \int_{G_n} V(z)$. Let $n \rightarrow \infty$. Then

$$\omega(\{G_n\}, z) \geq \lim_{n \rightarrow \infty} \int_{G_n} V(z) > 0.$$

Let $w = f(z): z \in R$ be an analytic function whose values fall on a basic surface \underline{R} . Suppose N -Martin's topology is defined in $\bar{R} - R_0$. Let $p \in B_1^N$ and let $\Delta(p)$ be a contact set of $p \in B_1^N$. Put

$$M(f(p)) = \cap \bar{f}(G_\tau) \text{ and } \Delta(f(p)) = \cap \bar{f}(\Delta(p) \cap G_\tau).$$

Then $M(f(p)) \subset \Delta(f(p))$, where $\{G_\tau\}$ runs over all domains G_τ such that $G_\tau \ni p$ and the closure is taken with respect to the topology of \underline{R} .

Let \mathfrak{F} be a closed set in R . We suppose \mathfrak{F} is contained in a local parameter disc $|w| < 1$ and let $A(r)$ be the area of R (not of R) on $E[w: \text{dist}(w, \mathfrak{F}) \leq r] = \mathfrak{F}_r$. We suppose \mathfrak{F} in $|w| < \frac{1}{2}$. If \mathfrak{F} is one

point a and $\lim_{r \rightarrow 0} \frac{A(r)}{r^2} < \infty$, a is called an ordinary point. A. Beurling and M. Tsuji proved the following

Theorem (B). (A. Beurling)[1]. Let $w=f(z)$ be a non const. analytic function in $|z|<1$ and $w \in w$ -Riemann sphere. Suppose the spherical area of the covering surface generated by $w=f(z)$ is finite. Let a be an ordinary point. Then the set F of $e^{i\theta}$ such that $\lim_{r \rightarrow 1} f(re^{i\theta})=a$ is a set of capacity zero.

Theorem (T). (M. Tsuji) [2]. Let $w=f(z)$ be an analytic function in $|z|<1$; $w \in w$ -Riemann sphere. Suppose there exist three values such that $f(z)$ takes these values only a finite number of times in $|z|<1$. Let F be a set of positive capacity on $|z|=1$ such that for any $e^{i\theta} \in F$ there exists a curve γ terminating at $e^{i\theta}$ and that $f(z)$ tends to a as z tends to $e^{i\theta}$ along γ . If a is an ordinary point, then $f(z) \equiv a$.

We shall prove

Theorem (K). Let $w=f(z)$ be a non const. analytic function from R into \bar{R} . Let \mathfrak{F} be a closed set contained in a local parameter disc $|w|<1$ and \mathfrak{F} is contained in $|w|<\frac{1}{2}$. Suppose $\lim_{r \rightarrow 0} \frac{A(r)}{r^2} < \infty$.

Then $F=E[p \in B_1^N: \Delta(f(p)) \subset \mathfrak{F}]$ is a set of capacity zero.

Remark. 1) [3]. In the previous paper we proved $F=E[p \in B_1^N: M(f(p)) \subset \mathfrak{F}]$ is a set of capacity zero under the same condition of Theorem (K).

(2). By Lemma 3) a curve terminating at $e^{i\theta}$ is a contact set of $e^{i\theta}$ and B_0^N is a set of capacity zero. Hence (K) implies (T).

(3). The condition $\lim_{r \rightarrow 0} \frac{A(r)}{r^2} < \infty$ is not a condition for the set \mathfrak{F} , for instance \mathfrak{F} may be a set of positive areal measure. The condition only means the part of R over \mathfrak{F}_r is small so that (K) is valid. We constructed [4] a covering surface R over the w -plane with finite area such that R has a singular point $p \in B_s^N$ with the following properties: 1) $\omega(p, z) > 0$, $\lim_{n \rightarrow \infty} f(v_n(p)) = \text{one point}$. This example shows that even when \mathfrak{F} is one point, some condition is necessary for the validity of (K).

Proof of Theorem (K). Let $\{R_n\}$ be an exhaustion of R with compact relative boundary ∂R_n . We suppose N -Martin's topology is defined in $\bar{R} - R_0$. Let $g_i = E[w: \text{dist}(w, \mathfrak{F}) < r_i]$ and let $A(r_i)$ be the area of the image $f^{-1}(r_i \mathfrak{F}_i)$ of \mathfrak{F}_{r_i} . By $\lim_{r \rightarrow 0} \frac{A(r)}{r^2} < \infty$, there exists a sequence $r_1 > r_2, \dots$ such that $\frac{A(r_i)}{r_i^2} \leq K < \infty$; $i \geq 0$, and $\lim_{i \rightarrow \infty} r_i = 0$. Also by $A(r_i) \rightarrow 0$ as $i \rightarrow \infty$, we can find a number i_0 and a compact dist Γ_0 in $R_0 - f^{-1}(g_{i_0})$. We can suppose loss of generality $\frac{A(r_i)}{r_i^2} \leq K$ and

$R - f^{-1}(g_i) \supset \Gamma_0$ for $i \geq 0$. Put $G_0 = f^{-1}(g_0)$ and $G_i = f^{-1}(g_i) \cap (R - R_i)$ for $i \geq 1$. By the definition of $\Delta(f(p))$, for any i there exists a domain $G(p)$ such that $G(p) \ni p$ and $(\Delta(p) \cap G(p)) \subset f^{-1}(g_i)$ for any $p \in F$. Now $R - R_i \supset v_n(p) \ni p$ (where n depends on i). Put $G'(p) = (R - R_i) \cap G(p)$, then $G'(p) \ni p$ and $(G'(p) \cap \Delta(p) \cap v_n(p)) \subset G_i$.

Assume F is of positive capacity. Then by Lemma 5 $\omega(\{G_n\}, z, R - R_0) > 0$. By $\Gamma_0 \subset R_0$ we have by the Dirichlet principle and maximum principle

$$\omega(\{G_i\}, z, R - \Gamma_0) \geq \omega(\{G_i\}, z, R - R_0) > 0 \text{ and } \infty > D(\omega(\{G_i\}, z, R - R_0)) \geq D(\omega(\{G_i\}, z, R - \Gamma_0)) > 0. \quad (1)$$

We shall show G_{i+j} and $CG_j (j \geq 1)$ are Dirichlet-disjoint. In fact, $\text{dist}(\partial g_i, \partial g_{i+j}) > 0$, ∂g_i , and ∂g_{i+j} are compact in the w -local parameter disc. We can construct domains Ω_1 and Ω_2 such that $g_i \supset \Omega_1 \supset \Omega_2 \supset g_{i+j}$, $\text{dist}(\partial \Omega_1, \partial \Omega_2) > 0$ and $\partial \Omega_i (i=1, 2)$ is a finite number of analytic curves. Hence we can define a C_1 -function $V'(w)$ such that $V'(w)$ is harmonic in $\Omega_1 - \Omega_2$, $V'(w) = 1$ in Ω_2 , $V'(w) = 0$ in $C\Omega_1$ and $\left| \frac{\partial}{\partial u} V'(w) \right| \leq L$, $\left| \frac{\partial}{\partial v} V'(w) \right| \leq L$; $L < \infty$ and $w = u + iv$. Put $V(z) = V'(f(w))$ in G_0 .

Then $V(z) = 0$ in $G_0 \cap Cf^{-1}(g_i)$, $V(z) = 1$ in $f^{-1}(g_{i+j})$ and $D(V'(z)) \leq KL^2 r_0^2 < \infty$. Let $\omega(z)$ be a C_1 -function in $R (i \geq 1, j \geq 1)$ such that $\omega(z) = 0$ in R_i , $\omega(z)$ is harmonic in $R_{i+j} - R_i$ and $\omega(z) = 1$ in $(R - R_{i+j})$. Then since $\partial R_i \cap \partial R_{i+j} = 0$, $D(\omega(z)) < \infty$. Put $V(z) = \min(V'(z), \omega(z))$. Then $V(z)$ is also a C_1 -function in $G_0 = f^{-1}(g_0)$ such that $V(z) = 0$ in $G_0 - G_i$, $V(z) = 1$ on $G_{i+j} = (R - R_{i+j}) \cap f^{-1}(g_{i+j})$ and $D(V(z)) \leq 2(D(V'(z)) + D(\omega(z))) \leq L' < \infty$, because $\left| \frac{\partial V(z)}{\partial x} \right| \leq \left| \frac{\partial \omega(z)}{\partial x} \right| + \left| \frac{\partial V'(z)}{\partial x} \right|$ and $\left| \frac{\partial V(z)}{\partial y} \right| \leq \left| \frac{\partial \omega(z)}{\partial y} \right| + \left| \frac{\partial V'(z)}{\partial y} \right|$. Hence G_{i+j} and CG_i are Dirichlet-disjoint and clearly CG_0 and G_{i+j} are Dirichlet-disjoint. Hence by (1)

$$\omega(\{G_n\}, z, G_0) \leq \omega(\{G_n\}, z, R - \Gamma_0) \text{ and } \infty > L' \geq D(\omega(\{G_n\}, z, G_0)) \geq D(\omega(\{G_n\}, z, R - \Gamma_0)) > 0.$$

The domain $G_0 = f^{-1}(g_0)$ is non compact and consists of enumerably infinite number of components. Put $U(z) = \omega(\{G_n\}, z, G_0)$. Then $U(z)$ is harmonic in G_0 . Let G' be a compact component of G_0 . Then $U(z)$ is harmonic in G' and $U(z) = 0$ on $\partial G'$ and $U(z) \equiv 0$ in G' . Hence by $D(U(z)) > 0$, there exists at least one component G of G_0 such that $\infty > \overset{G_0}{D}(U(z)) > 0$ and $U(z)$ is non const. in G . In the following we fix G and consider $U(z)$ in G . By $U(z) > 0$ in G we see G contains not empty components of $f^{-1}(g_i)$ for $i \geq 1$. Since CG_i and G_{i+j} are Dirichlet-disjoint we have by Lemma 4 $\int_{\partial v_M - G_i} \frac{\partial}{\partial n} U(z) ds \downarrow 0$ as $M \uparrow 1$

for any given G_i and $\int_{\partial V_M} \frac{\partial}{\partial n} U(z) ds = D(U(z)) = \alpha$ for a regular level curve ∂V_M :

$$V_M = E[z \in G: U(z) > M]. \quad (2)$$

Let $U_n^M(z)$ be a harmonic function in $(R_n \cap G) - V_M$ such that $U_n^M(z) = 0$ on ∂G , $U_n^M(z) = M$ on $\partial V_M \cap R_n$, $\frac{\partial}{\partial n} U_n^M(z) = 0$ on $(G \cap \partial R_n) - V_M$. Then

$U_n^M(z) \Rightarrow U(z)$ in $CV_M \cap G$ as $n \rightarrow \infty$ and $D(U_n^M(z)) \rightarrow MD(U(z))$ as $n \rightarrow \infty$.

Suppose ∂V_M is regular, then $\int_{\partial V_M} \frac{\partial}{\partial n} U(z) ds = D(U(z)) = \alpha$ and by

$\lim_{n \rightarrow \infty} \int_{\partial V_M \cap G_i \cap R_n} \frac{\partial}{\partial n} U_n^M(z) ds \geq \int_{\partial V_M \cap G_i} \frac{\partial}{\partial n} U(z) ds$ we can find for any given $\varepsilon > 0$ and given number i a number $M(\varepsilon)$ and a number $n_1(\varepsilon, i, M(i)) > i$ such that

$$\int_{\partial V_M \cap R_n \cap G_i} \frac{\partial}{\partial n} U_n^M(z) ds \geq \alpha - \varepsilon \text{ for } n \geq n_1(\varepsilon, i, M). \quad (3)$$

Fix ε and M at present and put

$$D(f^{-1}(g_k)) = \iint_{f^{-1}(g_k) \cap G} |f'(z)| \left\{ \left(\frac{\partial}{\partial x} U(z) \right)^2 + \left(\frac{\partial}{\partial y} U(z) \right)^2 \right\}^{\frac{1}{2}} dx dy \quad \text{and}$$

$$D(f^{-1}(g_k), CV_M \cap R_n) = \iint_{f^{-1}(g_k) \cap G \cap CV_M \cap R_n} |f'(z)| \left\{ \left(\frac{\partial}{\partial x} U_n^M(z) \right)^2 + \left(\frac{\partial}{\partial y} U_n^M(z) \right)^2 \right\}^{\frac{1}{2}} dx dy.$$

Then these are independent to change of local parameters. By $D(U(z)) < \infty$ we can find a number n such that $D(U(z))$ over $R - R_n$

$< \frac{\varepsilon}{2}$. Next since $f(z)$ is analytic in R , the area of $f^{-1}(g_k)$ in $R_n \rightarrow 0$

as $k \rightarrow \infty$. We can find a number $k(\varepsilon)$ such that $D(U(z))$ over

$f^{-1}(g_k) \cap R_n < \frac{\varepsilon}{2}$. Hence we can find a number $k(\varepsilon)$ such that $D(U(z))$

over $f^{-1}(g_k) < \varepsilon$. Fix such $k(\varepsilon)$. On the other hand, by Schwarz's inequality

$$D(f^{-1}(g_k))^2 \leq \iint_{f^{-1}(g_k) \cap G} |f'(z)|^2 dx dy \quad D(U(z)) \leq A(r_k) \varepsilon \leq Kr_k^2 \varepsilon \text{ for } k \geq k(\varepsilon) \text{ and}$$

$$D(f^{-1}(g_k)) \leq \sqrt{K\varepsilon} r_k. \quad \text{Put}$$

$$D(f^{-1}(g_k), CV_M) = \iint_{CV_M \cap f^{-1}(g_k) \cap G} |f'(z)| \left\{ \left(\frac{\partial}{\partial x} U(z) \right)^2 + \left(\frac{\partial}{\partial y} U(z) \right)^2 \right\}^{\frac{1}{2}} dx dy.$$

Then by $U_n^M(z) \Rightarrow U(z)$, $D(f^{-1}(g_k), CV_M \cap R_n) \rightarrow D(f^{-1}(g_k), CV_M)$ as $n \rightarrow \infty$.

Hence for the ε we can find a number $n_2(\varepsilon, i, M)$ such that $D(f^{-1}(g_k),$

$CV_M \cap R_n) \leq D(f^{-1}(g_k), CV_M) + \varepsilon \leq D(f^{-1}(g_k)) + \varepsilon$ for $n \geq n_2$. Hence by

(3) for the given ε and $i > k$ we can find a number $n_3 = \max(n_1, n_2)$

such that both following inequalities hold

$$\int_{G_i \cap R_n \cap \partial V_M} \frac{\partial}{\partial n} U_n^M(z) ds > \alpha - \varepsilon \text{ and } D(f^{-1}(g_k), CV_M \cap R_n) \leq \sqrt{K\varepsilon r_k} + \varepsilon \quad (4)$$

for $n \geq n_3$.

We see easily the number of components of ∂g_i in the w -local parameter disc is finite, because $|w| \leq \frac{2}{3}$ is compact. Since $f(z)$ is analytic in R , $f^{-1}(\partial g_k)$ does not cluster in R . The function $U(z)$ is harmonic in G and ∂V_M does not cluster in R . If we deform ∂R_n slightly, then $U_n^M(z)$ and $\frac{\partial}{\partial n} U_n^M(z)$ vary very little. Hence we can deform ∂R_n so that

$$\int_{G_i \cap R_n \cap \partial V_M} \frac{\partial}{\partial n} U_n^M(z) ds \geq \alpha - 2\varepsilon \text{ and } D(f^{-1}(g_k), CV_M \cap R_n) \leq \sqrt{K\varepsilon} r_k + 2\varepsilon \quad (4')$$

and that the number of components of the boundary $(R_n \cap G) - V_M$ may be finite, where M is a fixed number.

Hence there are only a finite number of branch points of level curves of $U_n^M(z)$ in $(R_n \cap G) - V_M$. Put $z = \exp(U_n^M(z) + iV_n^M(z)) = re^{i\theta}$, where $V_n^M(z)$ is the conjugate harmonic function of $U_n^M(z)$. Then

$$|z| = e^M \text{ on } \partial V_M \cap R_n \text{ and by (4')} \int_{\partial V_M \cap R_n \cap G_i} d\theta = \int_{\partial V_M \cap R_n \cap G_i} \frac{\partial}{\partial n} U_n^M(z) ds \geq \alpha - 2\varepsilon.$$

We consider $D(f^{-1}(g_k), CV_M \cap R_n)$ in the following way

$$\begin{aligned} D(f^{-1}(g_k), CV_M \cap R_n) &= \iint_{f^{-1}(g_k) \cap CV_M \cap R_n \cap G} |f'(z)| \left\{ \left(\frac{\partial}{\partial r} U_n^M(z) \right)^2 \right. \\ &\quad \left. + \frac{1}{r^2} \left(\frac{\partial}{\partial \theta} U_n^M(z) \right)^2 \right\}^{\frac{1}{2}} r \, dr \, d\theta \\ &\geq \iint_{f^{-1}(g_k) \cap CV_M \cap R_n \cap G} |f'(re^{i\theta})| \left| \frac{\partial}{\partial r} U_n^M(re^{i\theta}) \right| r \, dr \, d\theta = \iint_{f^{-1}(g_k) \cap CV_M \cap R_n \cap G} |f'(re^{i\theta})| \, dr \, d\theta \\ &\text{by } \left| \frac{\partial}{\partial r} U_n^M(z) \right| r = 1. \text{ Since } \frac{\partial}{\partial n} U_n^M(z) = 0 \text{ on } \partial R_n, \theta = \text{const. along } \partial R_n. \end{aligned}$$

Let θ be the set of θ such that we can trace trajectory T_θ along which $\theta = \text{const.}$ and $|z|$ varies continuously from $|z| = 1$ ($U_n^M(z) = 0$ on $\partial G \cap R_n$) to e^M ($U_n^M(z) = M$ on $\partial V_M \cap R_n$) in $G \cap CV_M \cap R_n$. Then since the connectivity of $G \cap R_n \cap CV_M$ is finite, $\text{mes } \theta = \int_{G \cap R_n \cap \partial V_M} \frac{\partial}{\partial n} U_n^M(z) ds$.

Let θ be the set of $\theta \in \theta$ such that T_θ intersects ∂G_i when z goes from ∂G to ∂V_M along T_θ . Then by (4) $\text{mes } \theta \geq \alpha - 2\varepsilon$. Now $G_i = ((R - R_i) \cap f^{-1}(g_i)) \subset f^{-1}(g_i) \subset G = \text{one component of } f^{-1}(g_0)$. Hence the image of $f^{-1}(T_\theta)$ of $T_\theta : \theta \in \theta$ must intersect $f^{-1}(\partial g_i)$ at least once and intersect $f^{-1}(g_k)$ at least once for $k : 1 < k < i$ when $|z|$ varies from 1 to e^M along T_θ . Hence $\int_{T_\theta \cap CV_M \cap f^{-1}(g_k) \cap G} |f'(re^{i\theta})| \, dr \geq r_k - r_i$ for $\theta \in \theta$. Hence by (4')

$\sqrt{\varepsilon K r_k} + 2\varepsilon \geq D(f^{-1}(g_k)) + 2\varepsilon \geq D(f^{-1}(g_k), CV_M \cap R_n) \geq (\alpha - 2\varepsilon)(r_k - r_i)$. (5)
 Now i is arbitrary. Let $i \rightarrow \infty$ and then $\varepsilon \rightarrow 0$. Then (5) is a contradiction. Thus the set F is of capacity zero.

As a corollary of Theorem (K) we shall prove

Corollary. *Let \mathfrak{F} be a closed set in the w -plane and contained in $|w| < \frac{1}{2}$. If $\lim_{r \rightarrow 0} \frac{\text{mes } \mathfrak{F}_r}{r^2} < \infty$: $\mathfrak{F}_r = E[w: \text{dist}(w, \mathfrak{F}) \leq r]$, \mathfrak{F} is a set of logarithmic capacity zero.*

Let $R: |w| < 1$ and $R = E[z \notin \mathfrak{F}: |z| < 1]$ and $w = f(z) = z$. Then $f(z)$ is analytic in R . Let $R_0 = E\left[z: \left|z + \frac{1}{6}\right| < \frac{1}{6}\right]$. Clearly R is a Riemann surface with positive boundary and N -Martin's topology is defined in $R - R_0$. Let B^* be the boundary of R (with respect to N -Martin's topology) whose projection is contained in \mathfrak{F} . Let $p \in (B^* \cap B_1^N)$. Then $M(f(p)) \subset \mathfrak{F}$. Hence by Theorem (K) B^* is a set of capacity zero. This means that there exists no non const. positive bounded full-harmonic function in $R - R_0$ with positive mass only on B^* . Assume \mathfrak{F} is of positive logarithmic cap. Then $\lim_{r \rightarrow 0} {}_r U(z) > 0$ and $\infty > D({}_r U(z)) > 0$ for $r_0 \geq r > 0$, where ${}_r U(z)$ is a harmonic function in $E[z \notin (R_0 + \mathfrak{F}_r): |z| < 1]$ such that ${}_r U(z) = 0$ on $|z| = 1$ and on ∂R_0 , ${}_r U(z) = 1$ on $\partial \mathfrak{F}_r$. Let $\omega_r(z)$ be a harmonic function in $E[z \notin (R_0 + \mathfrak{F}_r): |z| < 1]$ such that $\omega_r(z) = 0$ on ∂R_0 , $\frac{\partial}{\partial n} \omega_r(z) = 0$ on $|z| = 1$ and $\omega_r(z) = 1$ on $\partial \mathfrak{F}_r$. Then $\omega_r(z) \geq {}_r U(z)$ and $\omega_r(z) \Rightarrow \omega(z)$ as $r \rightarrow 0$ and $D(\omega(z)) \leq D({}_r U(z)) < \infty$. Then $\omega(z)$ is full-harmonic in $R - R_0$ and mass of $\omega(z)$ lies only on B^* . This contradicts that B^* is of cap. zero. Hence \mathfrak{F} is a set of logarithmic cap. zero.

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