

## 117. On the Spaces with the $\sigma$ -Star Finite Open Basis

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One of the well known theorems for the metrizability is as follows: A regular  $T_1$ -space  $X$  is metrizable if and only if there exists a  $\sigma$ -locally finite open basis of  $X$ .

Our purpose of this paper is to study the spaces with the  $\sigma$ -star finite open basis.

Let us recall the definitions of terms which are used in the statement of this paper. Let  $X$  be a topological space and  $\mathfrak{A}$  be a collection of subsets of  $X$ .  $\mathfrak{A}$  is said to be *point finite* (resp. *point countable*) if every point of  $X$  is contained in at most finitely (resp. countably) many elements of  $\mathfrak{A}$ .  $\mathfrak{A}$  is *locally finite* (resp. *locally countable*) if every point of  $X$  has a neighborhood which intersects only finitely (resp. countably) many elements of  $\mathfrak{A}$ .  $\mathfrak{A}$  is *star finite* (resp. *star countable*) if every element of  $\mathfrak{A}$  intersects only finitely (resp. countably) many elements of  $\mathfrak{A}$ . A space  $X$  is said to be *strongly paracompact* if every open covering of  $X$  has a star finite open covering of  $X$  as a refinement. A  *$\sigma$ -star finite open basis* is an open basis which is the union of countably many star finite open coverings.

Finally, to state our results we need the next notation. Let  $\{U_x | x \in X\}$  be a collection of subsets of  $X$  with the index set  $X$ , then its collection is symmetric if " $y \in U_x$ " is equivalent to " $x \in U_y$ ".

We assume that all the spaces in this paper are  $T_1$ -spaces and for a symmetric collection  $\{U_x | x \in X\}$ ,  $U_x$  contains  $x$  for every point  $x \in X$ .

As is well known, not every metric space has a  $\sigma$ -star finite basis (see Yu. M. Smirnov [5]). The existence of a  $\sigma$ -star finite open basis is not sufficient for a metric space to be strongly paracompact (see J. Nagata [4, p. 201]), but clearly, a strong paracompactness or a local compactness is sufficient for a metric space to be with the  $\sigma$ -star finite open basis, and furthermore it is known that a metric space  $X$  has a  $\sigma$ -star finite open basis if and only if  $X$  is homeomorphic to a subspace of a topological product  $N(\Omega)^1 \times I^w$  for suitable  $\Omega$  (see J. Nagata [4, p. 201] or [3]).

1)  $N(\Omega)$  is the *generalized Baire's zero dimensional space* with respect to  $\Omega$ , that is  $N(\Omega)$  is the set of all sequences  $(\alpha_1, \alpha_2, \dots)$  of elements  $\alpha_i \in \Omega$ . The distance between two distinct points  $\alpha = (\alpha_1, \alpha_2, \dots)$  and  $\beta = (\beta_1, \beta_2, \dots)$  of  $N(\Omega)$  are defined by

$$\rho(\alpha, \beta) = \frac{1}{\min\{k | \alpha_k \neq \beta_k\}}.$$

At first we begin by proving the following lemma.

**Lemma.** *Let  $\{U_\alpha | \alpha \in A\}$  be a locally finite open covering of a normal space  $X$ , then there exists a closed covering  $\{F_\alpha | \alpha \in A\}$  of  $X$  such that*

(i)  $F_\alpha \subset U_\alpha$  for every  $\alpha \in A$ ,

and

(ii) if  $\bigcap_{\alpha \in A'} U_\alpha - \bigcup_{\alpha \notin A'} U_\alpha$  is not empty, then  $\bigcap_{\alpha \in A'} F_\alpha - \bigcup_{\alpha \notin A'} F_\alpha$  is not empty for every  $A' \subset A$ .<sup>2)</sup>

**Proof.** Let  $[A] = \{A' | A' \subset A, \bigcap_{\alpha \in A'} U_\alpha - \bigcup_{\alpha \notin A'} U_\alpha \neq \emptyset\}$  and  $x(A')$  be an arbitrarily fixed point of  $\bigcap_{\alpha \in A'} U_\alpha - \bigcup_{\alpha \notin A'} U_\alpha$  for each  $A' \in [A]$ . Then,  $\{\{x(A')\} | A' \in [A]\}$  is a locally finite closed collection (see M. Katetov [1, Theorem 1-1]).

On the other hand, there exists a closed covering  $\{F'_\alpha | \alpha \in A\}$  of  $X$  such that  $F'_\alpha \subset U_\alpha$  for every  $\alpha \in A$  (see M. Katetov [1, Theorem 1-2]). If we let

$$F_\alpha = F'_\alpha \cup \{x(A') | \alpha \in A' \in [A]\} \quad \text{for each } \alpha \in A,$$

then it is clear that  $\{F_\alpha | \alpha \in A\}$  is a closed covering satisfying the properties (i) and (ii) of the lemma.

**Theorem 1.** *In a regular space  $X$ , the following properties are equivalent:*

- (1) *There exists a  $\sigma$ -star finite open basis.*
- (2) *There exists a basis which is the union of countably many symmetric star finite open coverings of  $X$ .*
- (3) *There exists a basis which is the union of countably many symmetric locally finite open coverings of  $X$ .*
- (4) *There exists a basis which is the union of countably many symmetric point finite open coverings of  $X$ .*

**Proof.** (1) *implies* (2). Let  $\mathfrak{U} = \bigcup_{n=1}^{\infty} \mathfrak{U}_n$  be a  $\sigma$ -star finite open basis of  $X$  where  $\mathfrak{U}_n = \{U_\alpha | \alpha \in A_n\}$  is a star finite open covering of  $X$  for  $n=1, 2, \dots$ . From the above lemma, for each  $n$ , we get the closed covering  $\mathfrak{F}_n = \{F_\alpha | \alpha \in A_n\}$  such that

(i)  $F_\alpha \subset U_\alpha$  for every  $\alpha \in A_n$ ,

and

(ii) if  $\bigcap_{\alpha \in A} U_\alpha - \bigcup_{\alpha \notin A} U_\alpha$  is nonempty, then  $\bigcap_{\alpha \in A} F_\alpha - \bigcup_{\alpha \notin A} F_\alpha$  is nonempty for every  $A \subset A_n$ .

If, for each  $n$ , we put  $\mathfrak{S}_n = \bigwedge_{\alpha \in A_n} \{U_\alpha, X - F_\alpha\}$ ,<sup>3)</sup> then  $\mathfrak{S}_n$  will be a star

2) This property is stronger than the property such that  $\bigcap_{\alpha \in A'} U_\alpha \neq \emptyset$  is equivalent to  $\bigcap_{\alpha \in A'} F_\alpha \neq \emptyset$  for every  $A' \subset A$ , and in the latter case, this lemma is well known.

3)  $\bigwedge_{\alpha \in A_n} \{U_\alpha, X - F_\alpha\}$  is the collection  $\{(\bigcap_{\alpha \in A'} U_\alpha) \cap (\bigcap_{\alpha \notin A'} (X - F_\alpha)) | A' \subset A_n\}$ .

finite open covering of  $X$ . Really it is trivial from the star finiteness of  $\mathfrak{U}_n$  that  $\mathfrak{S}_n$  is an open covering of  $X$ . Let  $H$  be an arbitrary element of  $\mathfrak{S}_n$ , that is,

$$H = \left( \bigcap_{\alpha \in A} U_\alpha \right) \cap \left( X - \bigcup_{\alpha \notin A} F_\alpha \right) \quad \text{for some } A \subset A_n,$$

$\alpha_0$  be an arbitrarily fixed element of  $A$  and  $A' = \{\alpha \in A_n \mid U_{\alpha_0} \cap U_\alpha \neq \emptyset\}$ , then  $A'$  is finite. If  $H \cap H' \neq \emptyset$  for  $H' = \left( \bigcap_{\alpha \in B} U_\alpha \right) \cap \left( X - \bigcup_{\alpha \notin B} F_\alpha \right) \in \mathfrak{S}_n$ , then  $\left( \bigcap_{\alpha \in A} U_\alpha \right) \cap \left( \bigcap_{\alpha \in B} U_\alpha \right) \neq \emptyset$  and therefore  $B \subset A'$ . Consequently  $H$  intersects only finitely many elements of  $\mathfrak{S}_n$ .

Furthermore we shall prove that  $\{\text{st}(x, \mathfrak{G}) \mid x \in X\}$  is star finite for every star finite collection  $\mathfrak{G} = \{G_\lambda \mid \lambda \in A\}$  of subsets of  $X$ . For this purpose, it is sufficient to show that  $\mathfrak{G}' = \left\{ \bigcup_{i=1}^n G_{\lambda_i} \mid \bigcap_{i=1}^n G_{\lambda_i} \neq \emptyset, G_{\lambda_i} \in \mathfrak{G} \text{ for } i=1, 2, \dots, n; n=1, 2, \dots \right\}$  is star finite. Let  $A_\lambda = \{\lambda' \in A \mid G_\lambda \cap G_{\lambda'} \neq \emptyset\}$  for each  $\lambda \in A$ , then  $A_\lambda$  is finite. If  $G' = \bigcup_{i=1}^n G_{\lambda_i}$  and  $G'' = \bigcup_{i=1}^m G_{\mu_i}$  are elements of  $\mathfrak{G}'$  where  $\bigcap_{i=1}^n G_{\lambda_i} \neq \emptyset$  and  $\bigcap_{i=1}^m G_{\mu_i} \neq \emptyset$ , and  $G' \cap G''$  is nonempty, then

$$\{\mu_1, \mu_2, \dots, \mu_m\} \subset \bigcup \{A_\mu \mid \mu \in \bigcup_{i=1}^n A_{\lambda_i}\} \quad (\text{this set is finite}),$$

therefore  $\mathfrak{G}'$  is a star finite collection of subsets of  $X$ , and hence  $\mathfrak{B}_n = \{\text{st}(x, \mathfrak{S}_n) \mid x \in X\}$  is a star finite open covering of  $X$ .

Lastly we will show that  $\mathfrak{B} = \bigcup_{n=1}^\infty \mathfrak{B}_n$  is a basis of  $X$  and  $\mathfrak{B}_n$  is a symmetric star finite open covering of  $X$ . From the above discussion,  $\mathfrak{B}_n$  being a symmetric star finite open covering of  $X$  is trivial for each  $n=1, 2, \dots$ .

In order to prove that  $\mathfrak{B}$  is a basis of  $X$ , let  $x$  be any element of  $G$  for any open set  $G \subset X$ . From the fact that  $\mathfrak{U} = \bigcup_{n=1}^\infty \mathfrak{U}_n$  is a basis of  $X$ , there exists a positive integer  $n_0$  and,  $\alpha_0 \in A_{n_0}$  such that  $x \in U_{\alpha_0} \subset G$ . If  $A = \{\alpha \in A_{n_0} \mid x \in U_\alpha\}$ , then  $\alpha_0$  is an element of finite set  $A$  and  $x \in \bigcap_{\alpha \in A} U_\alpha - \bigcup_{\alpha \notin A} U_\alpha$ . Then, from the property (ii) of  $\mathfrak{S}_{n_0}$ ,  $\bigcap_{\alpha \in A} F_\alpha - \bigcup_{\alpha \notin A} F_\alpha$  is not empty, and let  $y$  be any element of it. If we let  $H = \left( \bigcap_{\alpha \in A} U_\alpha \right) \cap \left( X - \bigcup_{\alpha \notin A} F_\alpha \right)$ , then  $x, y \in H \in \mathfrak{S}_{n_0}$ , i.e.,  $x \in \text{st}(y, \mathfrak{S}_{n_0}) \in \mathfrak{B}_{n_0}$ .

Our next step is the fact that  $\text{st}(y, \mathfrak{S}_{n_0})$  is contained in  $U_{\alpha_0}$ . Let  $H = \left( \bigcap_{\alpha \in B} U_\alpha \right) \cap \left( X - \bigcup_{\alpha \notin B} F_\alpha \right)$  be any element of  $\mathfrak{S}_{n_0}$  which contains the point  $y$ . From  $y \in \bigcap_{\alpha \in A} F_\alpha$  and  $\alpha_0 \in A$ , we get  $y \in F_{\alpha_0}$  and hence  $\alpha_0 \in B$ ,

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4) For the collection  $\mathfrak{G}$  of subsets of  $X$  and the subset  $A$  of  $X$ ,  $\text{st}(A, \mathfrak{G})$  is the union of all elements of  $\mathfrak{G}$  which intersect  $A$ .

because  $y$  is not contained in  $\bigcup_{\alpha \in B} F_\alpha$ . Therefore  $H \subset \bigcap_{\alpha \in B} U_\alpha \subset U_{\alpha_0}$ , that is,

$$x \in \text{st}(y, \mathfrak{S}_{n_0}) \subset U_{\alpha_0} \subset G,$$

and hence  $\mathfrak{B} = \bigcup_{n=1}^\infty \mathfrak{B}_n$  is a basis of  $X$  such that  $\mathfrak{B}_n$  is a symmetric star finite open covering of  $X$  for  $n=1, 2, \dots$ . It completes the proof.

(2) implies (3), (3) implies (4): It is trivial.

(4) implies (2). The symmetric point finiteness is the symmetric star finiteness (see Y. Yasui [7]).

(2) implies (1). It is trivial.

It completes the proof of Theorem 1.

In J. Nagata [3, Remark], a regular space  $X$  has a star finite basis if and only if  $X$  has a  $\sigma$ -star countable basis. Then, we will get the following theorem:

**Theorem 2.** *In a regular space  $X$ , the following properties are equivalent:*

- (1) *There exists a  $\sigma$ -star finite basis.*
- (2) *There exists a basis which is the union of countably many symmetric star countable open coverings of  $X$ .*
- (3) *There exists a basis which is the union of countably many symmetric locally countable open coverings of  $X$ .*
- (4) *There exists a basis which is the union of countably many symmetric point countable open coverings of  $X$ .*
- (5) *There exists a  $\sigma$ -star countable basis, that is, a basis which is the union of countably many star countable open coverings of  $X$ .*

**Proof.** (5) implies (1). We assume that there exists an open

basis  $\mathfrak{U} = \bigcup_{n=1}^\infty \mathfrak{U}_n$  such that  $\mathfrak{U}_n = \{U_\alpha^n \mid \alpha \in A_n\}$  is a star countable open covering of  $X$ , then from the star countability of  $\mathfrak{U}_n$ , we can get the decomposition  $\bigcup_{\lambda \in A_n} \Gamma_\lambda^n$  of  $A_n$  such that  $\alpha, \beta$  being the same class  $\Gamma_\lambda^n$  is

equivalent to  $U_\beta^n \subset \bigcup_{i=1}^\infty \text{st}^i(U_\alpha^n, \mathfrak{U}_n)^{\text{b}}$  (or  $U_\alpha^n \subset \bigcup_{i=1}^\infty \text{st}^i(U_\beta^n, \mathfrak{U}_n)$ ). If we let  $G_\lambda^n = \cup \{U_\alpha^n \mid \alpha \in \Gamma_\lambda^n\}$ , then it is easily seen that  $\{G_\lambda^n \mid \lambda \in A_n\}$  is a mutually disjoint open covering of  $X$  for  $n=1, 2, \dots$ . From the star countability of  $\mathfrak{U}_n$ , we may put  $\Gamma_\lambda^n = \{\alpha_i^{n\lambda} \mid i=1, 2, \dots\}$ .

For every positive integer  $n$  and  $i$ , we let  $\mathfrak{B}^{n,i} = \{U_{\alpha_i^{n\lambda}}^n \mid \lambda \in A_n\}$ . Then, since  $U_{\alpha_i^{n\lambda}}^n \subset G_\lambda^n$  for each  $\lambda \in A_n$ , and  $\{G_\lambda^n \mid \lambda \in A_n\}$  is a discrete covering of  $X$ , it is trivial for  $\mathfrak{B}^{n,i}$  to be a discrete open collection of subsets of  $X$ . On the other hand,  $\bigcup_{i=1}^\infty \mathfrak{B}^{n,i} = \mathfrak{U}_n$  is easily seen, and

therefore  $\bigcup_{n,i=1}^\infty \mathfrak{B}^{n,i} = \bigcup_{n=1}^\infty \mathfrak{U}_n = \mathfrak{U}$  is a basis of  $X$ . Furthermore, if let

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5) for  $i > 1$ ,  $\text{st}(U_\alpha^n, \mathfrak{U}_n) = \text{St}(\text{st}^{i-1}(U_\alpha^n, \mathfrak{U}_n), \mathfrak{U}_n)$ .

$\mathfrak{S}^{n,i} = \mathfrak{B}^{n,i} \cup \{G_\lambda^n \mid \lambda \in A_n\}$ , then  $\mathfrak{S}^{n,i}$  is an open covering of  $X$ , because  $\{G_\lambda^n \mid \lambda \in A_n\}$  is a covering of  $X$ , and hence  $\mathfrak{S}^{n,i}$  is a star finite open covering (really each element of  $\mathfrak{S}^{n,i}$  intersects at most one other element of  $\mathfrak{S}^{n,i}$ ).

From the above,  $\mathfrak{S} = \bigcup_{n,i=1}^{\infty} \mathfrak{S}^{n,i} \supset \bigcup_{n,i=1}^{\infty} \mathfrak{B}^{n,i} = \bigcup_{n=1}^{\infty} \mathfrak{U}_n = \mathfrak{U}$  is a basis and of course  $\mathfrak{S}$  is a basis such that  $\mathfrak{S}^{n,i}$  is a star finite open covering of  $X$ , that is,  $\mathfrak{S}$  is a  $\sigma$ -star finite open basis of  $X$ .

(1) *implies* (5). It is trivial.

(1), (2), (3) and (4) are equivalent. It is trivial from the facts that (1) implies (2) of Theorem 1, (2) of Theorem 1 implies (2), (2) implies (3), (3) implies (4), (4) implies (2) (see Y. Yasui [7]), (2) implies (5) and (5) implies (1).

It completes the proof of Theorem 2.

**Remark 1.** The  $\sigma$ -star finite open basis is the basis  $\mathfrak{U}$  which is the union of countably many star finite open coverings  $\mathfrak{U}_n$  of  $X$ . In this definition, we can not give the star finite and locally finite collection of open sets of  $X$  instead of each  $\mathfrak{U}_n$  being the star finite open covering of  $X$ , that is, there exists a space  $X$  with the basis which is the union of countably many star finite and locally finite open collection of  $X$ , but  $X$  has not the  $\sigma$ -star finite open basis. Really, let  $X$  be the Euclidean plane set and  $\rho$  be a usual metric on  $X$ .

We shall define the following other metric  $d$  on  $X$  (see Yu. M. Smirnov [5], or Y. Yasui [6]):

$$d(x, y) = \begin{cases} \rho(x, 0) + \rho(y, 0) & \text{if argument } x \not\equiv \text{argument } y \pmod{\pi} \\ \rho(x, y) & \text{if argument } x \equiv \text{argument } y \pmod{\pi}, \end{cases}$$

Where 0 is the original point of  $X$ .

Then it is easily seen that this metric space  $(X, d)$  has an open basis  $\mathfrak{U} = \bigcup_{n=1}^{\infty} \mathfrak{U}_n$  such that each  $\mathfrak{U}_n$  is a star finite and locally finite collection of open sets of  $X$ . But  $X$  will not be with a  $\sigma$ -star finite open basis.

If  $X$  has a  $\sigma$ -star finite open basis  $\mathfrak{U} = \bigcup_{n=1}^{\infty} \mathfrak{U}_n$  where each  $\mathfrak{U}_n$  is a star finite open covering of  $X$ , then each  $\mathfrak{U}_n$  is a countable collection because of connectedness of  $X$  and hence  $\mathfrak{U}$  is a countable open basis. But it is clear that  $X$  is not separable.

**Remark 2.** Separable metric spaces (or in general, locally separable metric spaces) are the spaces with the  $\sigma$ -star finite open basis, and the converse is not true. Really, an uncountable space with the discrete topology has this property.

**Remark 3.** Not every strongly paracompact spaces are the spaces with the  $\sigma$ -star finite open basis (see J. Nagata [4, p. 201]) and, not the

spaces with the  $\sigma$ -star finite open basis are the strongly paracompact spaces (see Example of Remark 1).

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