

100. On Surfaces of Class VII₀

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1. In this short note we consider the surfaces satisfying the following conditions:

(*) $b_1=1, b_2=0$; the surfaces contain no curves.

We give two kinds of examples satisfying (*), and give a theorem which determines the surfaces satisfying (*) under an additional assumption. As a result of this theorem, we give three corollaries. The first of the corollaries is proved independently by Enrico Bombieri by a similar method.

Details will be published elsewhere.

2. Let $M \in SL(3, \mathbf{Z})$ be a unimodular matrix, with one real and two non-real eigenvalues, $\alpha, \beta, \bar{\beta}$, where $\alpha\beta\bar{\beta}=1$ and $\alpha>1$. Let

$\mathbf{a} = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}$ be a real eigenvector of α and $\mathbf{b} = \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}$ an eigenvector of β .

Let G_M be the group generated by the analytic automorphisms:

$$\begin{aligned} (W, Z) &\rightarrow (W + m_1 a_1 + m_2 a_2 + m_3 a_3, Z + m_1 b_1 + m_2 b_2 + m_3 b_3), \\ &(m_1, m_2, m_3) \in \mathbf{Z}^3, \\ (W, Z) &\rightarrow (\alpha W, \beta Z), \end{aligned}$$

of $\mathbf{H} \times \mathbf{C}$, where \mathbf{H} is the upper half-plane. The action of G_M on $\mathbf{H} \times \mathbf{C}$ is properly discontinuous and fixed point free. Now we define an analytic surface S_M to be $\mathbf{H} \times \mathbf{C} / G_M$. Then S_M is differentially a 3-torus bundle over a circle, $b_1(S_M)=1, b_2(S_M)=0$, and S_M has the following properties.

Proposition 1.

- i) S_M contains no curves,
- ii) $\dim H^0(S_M, \Theta) = \dim H^1(S_M, \Theta) = \dim H^2(S_M, \Theta) = 0$.

3. Let $N = (n_{ij}) \in SL(2, \mathbf{Z})$ be a unimodular matrix with two real eigenvalues, $\alpha, 1/\alpha$, where $\alpha>1$. Let

$$\mathbf{c} = \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} \quad \text{and} \quad \mathbf{a} = \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

be real eigenvectors of α and $1/\alpha$, respectively. We fix an arbitrary complex number t and fix two integers, p, q , such that

$$0 \leq p, q \leq |\det(N - I) - 1|.$$

Let $\mathbf{b} = \begin{pmatrix} b_1 \\ b_2 \end{pmatrix}$ be the solution of the following equation:

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = N \begin{pmatrix} b_1 \\ b_2 \end{pmatrix} + \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} + (a_1c_2 - a_2c_1) \cdot \begin{pmatrix} p \\ q \end{pmatrix}$$

where

$$e_i = \frac{1}{2}n_{i1}(n_{i1}-1)a_1c_1 + \frac{1}{2}n_{i2}(n_{i2}-1)a_2c_2 + n_{i1}n_{i2}a_1c_2; \quad i=1, 2.$$

Let $G_{N,p,q,t}$ be the group of analytic automorphisms of $H \times C$ generated by

$$\begin{cases} (W, Z) \rightarrow (W + c_i, Z + a_iW + b_i), & i=1, 2, \\ (W, Z) \rightarrow (\alpha W, Z + t). \end{cases}$$

The action of $G_{N,p,q,t}$ on $H \times C$ is properly discontinuous and fixed point free. Now we define an analytic surface $S_{N,p,q,t}$ to be $H \times C / G_{N,p,q,t}$. Then $S_{N,p,q,t}$ is differentiably a fibre bundle over a circle of which fibre is a circle-bundle over a 2-torus, $b_1(S_{N,p,q,t})=1, b_2(S_{N,p,q,t})=0$. $S_{N,p,q,t}$ has the following properties.

Proposition 2.

- i) $S_{N,p,q,t}$ contains no curves,
- ii) $\dim H^0(S_{N,p,q,t}, \Theta) = \dim H^1(S_{N,p,q,t}, \Theta) = 1$, and $\dim H^2(S_{N,p,q,t}, \Theta) = 0$,
- iii) $\{S_{N,p,q,t}\}_{t \in C}$ forms a locally complete family of deformations.

4. Now we state our main theorem. Our method of proof of this theorem is similar to K. Kodaira [1, §§ 11, 12].

Theorem. *Let S be a surface satisfying the conditions (*). If there exists a complex line bundle F on S such that $\dim H^0(S, \Omega^1 \otimes \mathcal{O}(F)) \neq 0$, then S has S_M or $S_{N,p,q,t}$ as its finite unramified covering.*

5. From the above theorem, we can derive the following corollaries.

We denote by μ_K the representation of the fundamental group into C^* which defines the canonical line bundle K . Then we have:

Corollary 1. *Let S be a surface satisfying (*). If the representation μ_K is not real, then S has S_M as its finite unramified covering.*

We denote by $[S]$ the underlying differentiable manifold of a surface S . Then we have:

Corollary 2. *There exists on $[S_M]$ no complex structure other than S_M .*

Corollary 3. *Every complex structure on $[S_{N,p,q,t}]$ belongs to the family $\{S_{N,p,q,t}\}_{t \in C}$.*

Reference

[1] K. Kodaira: On the structure of compact complex analytic surfaces. II, III. Amer. J. Math., **88**, 682-721 (1966); **90**, 55-83 (1968).