

29. A Characterization of Submodules of the Quotient Field of a Domain

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1. Introduction. Let D be an elementary unique factorization domain with identity and K its quotient field. Let P be the set of the prime elements of D , and we consider the set F of the maps f from P into $Z \cup \{-\infty\}$ (the set of integers and negative infinity), provided that there exists only a finite number of prime elements p such that $f(p) > 0$ for each map f of F . Let $M(f)$ be the set of the elements $x \in K$ with $V_p(x) \geq f(p)$ for all $p \in P$, where V_p denotes the p -valuation of K . Then we can prove that $M(f)$ is a D -module, which is called an f -module. Now in [2], R. A. Beaumont and H. S. Zuckerman have characterized the additive groups of rational numbers. The purpose of this paper is to extend the results in [2] for an elementary unique factorization domain D and to investigate D -submodules of K related with f -modules.

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2. Properties of f -modules in an elementary unique factorization domain.

Let D be an elementary unique factorization domain (abv. EUFD) with the quotient field K , and let P be the set of all prime elements. Let a be a non-zero element of D and $a = \prod_{j=1}^s p_j^{n_j}$ (n_j : positive integers) the factorization of a into prime factors. We define the valuation of K in the following way. We consider the map v_p of D into non-negative integers: $v_p(a) = n_j$, $v_p(0) = \infty$ for all p , and extend v_p to K as follows: $V_p(a) = v_p(ac) - v_p(c)$, where $0 \neq a \in K$ and $ac \in D$ with $0 \neq c \in D$. It is easy to see that the map V_p of K into integers does not depend on the choice of c , and satisfies the above conditions of the p -valuation. If $f(p) = 0$, $f \in F$, for all prime elements p , it is easily verified that $M(f) = D$.

Proposition 2.1. *Let D be EUFD with the quotient field K . Then $M(f) \supseteq M(f')$ if and only if $f(p) \leq f'(p)$ for each element p of P .*

Proof. "If part" is evident. Suppose that $M(f) \supseteq M(f')$, and assume that $f(p_0) > f'(p_0)$ for some element p_0 of P . Let $Q = \{p_{k_1}, \dots, p_{k_r}\}$ be the set of the primes with $f(p_{k_j}) > 0$ or $f'(p_{k_j}) > 0$ ($j = 1, \dots, r$). If p_0 is in Q , we take out it from the set, and if $f'(p_0) = -\infty$, we set $f'(p_0) = -n$ by taking an integer $n > 0$ such that $f(p_0) > -n$. Let a

$= p_0^{f'(p_0)} \prod_{j=1}^r p_{k_j}^{f_0(p_{k_j})}$, where $f_0(p_{k_j}) = \text{Max} \{f(p_{k_j}), f'(p_{k_j})\}$ ($j=1, \dots, r$). Put $a = p_0^{f'(p_0)}$, if the set Q is empty. Then $V_p(a) = 0 \geq f'(p)$ for primes p such that $p \neq p_0$ and $p \neq p_{k_j}$ ($j=1, \dots, r$), $V_{p_{k_j}}(a) = f_0(p_{k_j}) \geq f'(p_{k_j})$, and $V_{p_0}(a) = f'(p_0) < f(p_0)$. Hence we have $a \notin M(f)$ and $a \in M(f')$, a contradiction.

Corollary. $M(f) = M(f')$ if and only if $f(p) = f'(p)$ for all primes p .

Proof. It is immediate from Proposition 2.1.

If EUFD D satisfies the following condition (c), it is denoted by D^* .

(c) Every principal ideal of D is maximal.

Let $a = \prod_{j=1}^s p_j^{m_j}$ and $b = \prod_{j=1}^s p_j^{n_j}$ be prime factorizations of a and b , where $\{p_j\}_{j=1}^s$ are all prime factors of a and b with $m_j \neq 0$ or $n_j \neq 0$. The element $\prod_{j=1}^s p_j^{d_j}$ is called the greatest common divisor of a and b , and it is denoted by (a, b) where $d_j = \text{Min} \{m_j, n_j\}$ ($j=1, \dots, s$).

Lemma 1. Let M be a D^* -module. If $a, a' \in M \cap D^*$, then $(a, a') \in M \cap D^*$.

Proof. If $a + a' = (a, a')(b + b')$, then $(b, b') = 1$. Thus if $b = \prod_{i=1}^t p_{k_i}^{m_i}$ and $b' = \prod_{j=1}^t p_{k_j}^{n_j}$ are factorizations of b and b' into prime factors, then $p_{k_i} \neq p_{k_j}$ for all i, j , and (p_{k_i}) and (p_{k_j}) are prime ideals. Therefore $(p_{k_i}) + (p_{k_j}) = (1)$ for all i, j , and thus $(b) + (b') = (1)$. Consequently, there exist d and d' such that $db + d'b' = 1$ and $d, d' \in D$. Therefore we have proved that $(a, a') = (a, a')(db + d'b') = da + d'a' \in M \cap D^*$.

If there exist primes p_i with $V_{p_i}(a) > 0$ for all elements a of $M \cap D^*$, we collect those primes, and let it be $\{p_1, p_2, \dots, p_n\}$.

Lemma 2. The element $E = \prod_{i=1}^n p_i^{e_i}$ is contained in $M \cap D^*$, where $e_i = \text{Min} \{V_{p_i}(x) \mid x \in M \cap D^*\}$.

Proof. We choose elements a_1, a_2, \dots, a_n with $V_{p_i}(a_i) = e_i$, $a_i \in M \cap D^*$ ($i=1, 2, \dots, n$). Now, let a_0 be any element of $M \cap D^*$, and b_1 be the element such that

$$b_1 = (a_0, a_1) = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_n^{\alpha_n} p_{r_1}^{k_1} p_{r_2}^{k_2} \dots p_{r_s}^{k_s}, \alpha_i \geq e_i, k_j \geq 0 \text{ (positive integers)}.$$

Next, we choose elements c_1, c_2, \dots, c_s with $V_{p_{r_i}}(c_i) = 0$, $c_i \in M \cap D^*$ ($i=1, 2, \dots, s$), and we take elements b_2, b_3, \dots, b_{s+1} as follows:

$$b_2 = (b_1, c_1) = p_1^{\alpha'_1} p_2^{\alpha'_2} \dots p_n^{\alpha'_n} p_{r_2}^{k'_2} \dots p_{r_s}^{k'_s}, \alpha'_i \geq e_i, k'_j \geq 0,$$

$$b_3 = (b_2, c_2) = p_1^{\alpha''_1} p_2^{\alpha''_2} \dots p_n^{\alpha''_n} p_{r_3}^{k''_3} \dots p_{r_s}^{k''_s}, \alpha''_i \geq e_i, k''_j \geq 0,$$

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$$b_{s+1} = (b_s, c_s) = p_1^{\alpha^{(s)}_1} p_2^{\alpha^{(s)}_2} \dots p_n^{\alpha^{(s)}_n}, \alpha^{(s)}_i \geq e_i.$$

Moreover we take the following elements:

$$h_2 = (a_2, b_{s+1}) = p_1^{\beta_1} p_2^{\beta_2} p_3^{\beta_3} \dots p_n^{\beta_n}, \beta_i \geq e_i,$$

$$h_3 = (a_3, h_2) = p_1^{\beta'_1} p_2^{\beta'_2} p_3^{\beta'_3} p_4^{\beta'_4} \dots p_n^{\beta'_n}, \beta'_i \geq e_i,$$

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$$h_n = (a_n, h_{n-1}) = p_1^{\beta_n} p_2^{\beta_n} p_3^{\beta_n} \dots p_n^{\beta_n}.$$

Then $h_n = E$ and hence $E \in M \cap D^*$ by Lemma 1.

In the case of $\text{Min} \{V_p(x) \mid x \in M \cap D^*\} = 0$ for all primes p , E is a

unit of D^* . There exists an element E^{-1} in D^* and M is a D^* -module, so $1 \in M \cap D^*$. Hence we may assume without loss of generality that $E=1$. Any element of $M \cap D^*$ can be represented as aE , where $a \in D^*$. Moreover we can show that any element of M is in the form qE , where $q \in K$ and $V_{p_i}(q) \geq 0$ ($i=1, 2, \dots, n$). For, if $x \in M$, then there exists an element a of D^* such that $ax \in M \cap D^*$ and $(ax, a)=1$, and there exists an element a' of D^* such that $ax=a'E$. Let $a^{-1}a'=q$, then $V_{p_i}(q) \geq 0$ for all i , and $x=qE$. We assume in the proof of the remaining properties that the elements of M are written in the form qE , where $q \in K$ and $V_{p_i}(q) \geq 0$ ($i=1, 2, \dots, n$).

Lemma 3. *Let M be a D^* -module. If $qE \in M$, $aq \in D^*$ and $(aq, a)=1$, then $a^{-1}E \in M$.*

Proof. Take elements d and d' of D^* such that $daq+d'a=1$. Then we have $a^{-1}E=a^{-1}E(daq+d'a)=dqE+d'E \in M$.

Lemma 4. *Let M be a D^* -module. If $qE \in M$, $q'E \in M$ and $(aq, a)=(bq'; b)=(a, b)=1$, then $a^{-1}b^{-1}E \in M$, where a, b, aq and bq' are elements of D^* .*

Proof. By Lemma 3, $a^{-1}E$ and $b^{-1}E$ are contained in M . Since there exist elements d and d' such that $da+d'b=1$, we have

$$a^{-1}b^{-1}E=a^{-1}b^{-1}E(da+d'b)=db^{-1}E+d'a^{-1}E \in M.$$

Proposition 2.2. *If M is any D^* -module, then M is represented as $M=M(f)$ for some $f \in F$.*

Proof. Put $V_p(M)=-\infty$, if there exists an element q of M such that $V_p(q)=-n$ for any positive integer n ; and if not, put $V_p(M)=\text{Min}\{V_p(q) \mid q \in M\}$. Now, we define $f(p)=V_p(M)$. Then it is evident that $M \subseteq M(f)$. Conversely let x be any element of $M(f)$. Then it can be written in the form $x=qE$ ($q \in K$). Let $\{p_{r_1}, p_{r_2}, \dots, p_{r_s}\}$ be the set of the prime elements such that $V_{p_{r_i}}(q)=n_i$ (n_i : negative integers). If $V_p(q) \geq 0$ for all primes p , then $q \in D^*$ and $x \in M$ since $E \in M$. So we can assume the existence of such elements. By the definition of $f(p_{r_i})=V_{p_{r_i}}(M)$, there exists an element $a_i p_{r_i}^{n_i} E$ of M for each i . Here we may assume that $a_i \in D^*$ and $V_{p_{r_i}}(a_i)=0$ for each i . By Lemma 3, $p_{r_i}^{n_i} E \in M$ for each i , and then $\prod_{i=1}^s p_{r_i}^{n_i} E \in M$ by Lemma 4. Consequently, $x=qE \in M$.

Theorem 1. *There is one to one correspondence between the set of D^* -modules and F .*

Proof. It is straightforward by Propositions 2.1 and 2.2.

Let $E=\prod_{i=1}^n p_i^{f(p_i)}$ be a finite product of all prime elements such that $f(p_i) > 0$ in an f -module $M(f)$ of EUFD D . Then any element of $M(f)$ is written in the form qE ($q \in K, V_{p_i}(q) \geq 0$). But if $f(p) \leq 0$ for all primes p , then we can take as $E=1$.

Theorem 2. *Let D be EUFD. If $M(f)$ and $M(f')$ are D -modules, then the following conditions are equivalent.*

(1) $M(f)$ is isomorphic to $M(f')$.

(2) $f(p) = f'(p)$ for almost all p , and whenever $f(p) \neq f'(p)$, both are not $-\infty$. Every isomorphism between $M(f)$ and $M(f')$ is given by $qE \leftrightarrow gqE'$, where $E = \prod_{i=1}^r p_i^{f(p_i)}$, $f(p_i) > 0$, $E' = \prod_{j=1}^s p_j^{f'(p_j)}$, $f'(p_j) > 0$, and $V_p(g) = f'(p) - f(p) - V_p(E') + V_p(E)$ for all primes p with $f(p) \neq -\infty$ and $f'(p) \neq -\infty$.

Proof. The proof is similar to the one of Corollary 3 in [2].

Proposition 2.3. Let D be EUFD, and let $M(f)$ and $M(f')$ be f -modules of D . Then the set $M = \{mm' \mid m \in M(f), m' \in M(f')\}$ is a D -module.

Proof. Let $f_0(p) = f(p) + f'(p)$ for $p \in P$. Then it is evident that $M \subseteq M(f_0)$. Now let x be any element of $M(f_0)$ and $x = \prod_{i=1}^s p_i^{n_i}$ the factorization of x into prime factors. Since $n_i \geq f_0(p_i) = f(p_i) + f'(p_i)$ for all p_i , we have $p_i^{n_i} = p_i^{m_i} p_i^{f(p_i)} p_i^{f'(p_i)}$ for all p_i (m_i : non-negative integers). We write $a = \prod_{i=1}^s p_i^{m_i}$. Then $x = (a \prod_{i=1}^s p_i^{f(p_i)}) (\prod_{i=1}^s p_i^{f'(p_i)})$. Since $a \in D$ and $a \prod_{i=1}^s p_i^{f(p_i)} \in M(f)$, we have $x \in M$.

3. Subrings with the form $M(f)$.

Proposition 3.1. Let D be EUFD with the quotient field K . $M(f)$ is a subring of K containing D if and only if $f(p) = 0$ or $f(p) = -\infty$ for all prime elements p .

Proof. Let $f(p) = 0$ or $f(p) = -\infty$ for all p . Then $V_p(ab) = V_p(a) + V_p(b) \geq f(p) + f(p) = f(p)$ for $a, b \in M(f)$. Hence $ab \in M(f)$. Conversely we assume that D is EUFD and $M(f)$ is a subring of K such that $M(f) \supseteq D$. It is obvious that $f(p) \leq 0$ for all p . If $f(p_0) \neq -\infty$ and $f(p_0) < 0$ for some p_0 , then $a = p_0^{f(p_0)} \in M(f)$ since $f(p) \leq 0$ for all p . Then $a^2 = p_0^{2f(p_0)} \in M(f)$ since $M(f)$ is a ring. On the other hand, $V_{p_0}(a^2) = 2f(p_0) < f(p_0)$ since $f(p_0) < 0$. It contradicts the containment $a^2 \in M(f)$.

Lemma 5. Let D be EUFD and let $M(f)$ and $M(f')$ be subrings of K , each of which contains D . If we define $f_0(p) = \text{Min}\{f(p), f'(p)\}$ for all p , then $M(f_0)$ is a subring which contains both $M(f)$ and $M(f')$, and $M(f_0)$ is unique minimal in such subrings.

Proof. It is clear that $M(f) \subseteq M(f_0)$ and $M(f') \subseteq M(f_0)$. If $M(f_0)$ contains $M(f)$ and $M(f')$, then $f(p) \geq f_0(p)$ and $f'(p) \geq f_0(p)$ for all p by Proposition 2.1. Hence $f_0(p) \geq f_0(p)$. We have therefore $M(f_0) \subseteq M(f_1)$.

The ring $M(f_0)$ considered in Lemma 5 is denoted by $M(f) \cup M(f')$.

Lemma 6. Let $D, M(f)$ and $M(f')$ be as above. If we define $f_0(p) = \text{Max}\{f(p), f'(p)\}$, then $M(f_0) = M(f) \cap M(f')$.

Proof. It is evident that $M(f_0) \subseteq M(f)$ and $M(f_0) \subseteq M(f')$ since $f_0(p) \geq f(p)$ and $f_0(p) \geq f'(p)$. Then $M(f_0) \subseteq M(f) \cap M(f')$. Conversely, let x be an arbitrary element of $M(f) \cap M(f')$. Then $V_p(x) \geq f(p)$ and $V_p(x) \geq f'(p)$. Hence we have $V_p(x) \geq \text{Max}\{f(p), f'(p)\} = f_0(p)$.

Lemmas 5 and 6 imply that the set of rings of the form $M(f)$ which

contains EUFD D forms a lattice. Moreover we set $f_D(p)=0$ and $f_K(p)=-\infty$ for all p . Then subrings of K contains D form a complemented lattice under inclusion, which has $K=M(f_K)$ as its greatest element and $D=M(f_D)$ as its least element, where the complement of $M(f)$ is $\overline{M(f)}$ and \underline{f} is defined in the following way: $f(p)=0 \Rightarrow \underline{f}(p)=-\infty$, $f(p)=-\infty \Rightarrow \underline{f}(p)=0$.

Next we define a vector $X(f)=(\dots f(p_i)\dots)$ ($p_i \in \mathbf{P}$) and W denotes the set $\{X(f) \mid f \in F'\}$, where F' is the subset of F such that $f(p)=0$ or $f(p)=-\infty$ for all p . Let us define the order $X(f) \geq X(f')$ in the following way: $f(p_i) \leq f'(p_i)$ for all $p \iff X(f) \geq X(f')$. Then W forms a Boolean lattice under the above ordering.

Theorem 3.¹⁾ *Let D be EUFD with the quotient field K . Then the set of subrings of K , each of which contains D and has of the form $M(f)$ forms a Boolean lattice under inclusion.*

Proof. $\{M(f)\}$ is lattice-isomorphic to W under the correspondence: $M(f) \leftrightarrow X(f)$.

Corollary. *Let K be the quotient field of D^* . Then the set of subrings of K which contains D^* as its least element forms an atomic Boolean lattice.*

Proof. It is verified by Proposition 2.2 and Theorem 3.

References

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1) cf: Theorem 5.11 in [1] and [3].