

## 72. On Banach-Steinhaus Theorem

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The theory of ranked space is a new and constructive method of the mathematical analysis, which has been investigated by K. Kunugi since 1954 [1]. We proved the closed graph theorem in ranked spaces with some conditions [4]. And now, in this note we shall prove the Banach-Steinhaus theorem in ranked spaces, whose neighbourhoods need not be open. Throughout this note,  $g, f, \dots$  will denote points of a ranked space,  $U_i, V_i, \dots$  neighbourhoods of the origin with rank  $i$ ,  $\{U_{r_i}\}, \{V_{r_i}\}, \dots$  fundamental sequences of neighbourhoods with respect to the origin and  $U_i(g), V_i(g), \dots$  neighbourhoods of the point  $g$  with rank  $i$ .

Let a linear space  $E$  be a complete ranked space with indicator  $\omega_0$ , which satisfies the following conditions.

- (E, 1) (1) For any neighbourhood  $U_i$ , the origin belongs to  $U_i$ .  
 (2) For any  $U_i$  and  $V_j$ , there is a  $W_k$  such that  $W_k \subseteq U_i \cap V_j$ .  
 (3) For any neighbourhood  $U_i$  and for any integer  $n$ , there is an  $m$  such that  $m \geq n$  and  $U_m \subseteq U_i$ .  
 (4) The  $E$  is the neighbourhood of the origin with rank zero.
- (E, 2) The following conditions are the modification of the Washihara's conditions [3].
- (R, L<sub>1</sub>) For any  $\{U_{r_i}\}$  and  $\{V_{r_i}\}$ , there is a  $\{W_{r'_i}\}$  such that  $U_{r_i} + V_{r_i} \subseteq W_{r'_i}$ .
- (R, L<sub>2</sub>)' (1) For any  $\{U_{r_i}\}$  and  $\lambda > 0$ , there is a  $\{V_{r'_i}\}$  such that  $\lambda U_{r_i} \subseteq V_{r'_i}$ .  
 (2) For any  $\{U_{r_i}\}$  and  $\{\lambda_i\}$  with  $\lim \lambda_i = 0, \lambda_i > 0$ , there is a  $\{V_{r'_i}\}$  such that  $\lambda_i U_{r_i} \subseteq V_{r'_i}$ .
- (R, L<sub>3</sub>) Let  $g$  be any point in  $E$ . For any  $\{U_{r_i}\}$  there is a  $\{V_{r'_i}(g)\}$ , which is a fundamental sequence of neighbourhoods with respect to  $g$ , such that  $g + U_{r_i} \subseteq V_{r'_i}(g)$  and conversely, for any  $\{U_{r_i}(g)\}$  there is a  $\{V_{r'_i}\}$  such that  $U_{r_i}(g) \subseteq g + V_{r'_i}$ .
- (E, 3) For any neighbourhood  $U_i$  and for any  $r > 0$ , there exists some  $U_j$  such that  $rU_i \supset U_j$ .
- (E, 4) For any neighbourhood  $U_i(g)$  with respect to any  $g$  and for any  $U_j(g)$  with  $U_j(g) \subset U_i(g)$  and  $j > i$ , if  $f \in U_j(g)$  there exists some neighbourhood  $U_k$  such that  $f + U_k \subset U_i(g)$ .

Next, let a linear space  $F$  be a ranked space with indicator  $\omega_0$ , which satisfies the following conditions.

- (F, 1) This is the same as (E, 1).  
 (F, 2) This is the same as (E, 2).  
 (F, 3) For any neighbourhood  $U_i$  and for any  $\{V_{r_j}\}$ , there exists some integer  $i_0$  such that  $U_i \supset V_{r_j}$  if  $j > i_0$ .  
 (F, 4) For any neighbourhood  $U_i$  and for any  $\alpha > 0$ , if  $g$  does not belong to  $\alpha U_i$ , then there exist some  $\varepsilon = \varepsilon(U_i)$  (with  $0 < \varepsilon < 1$ ) and some neighbourhood  $V_j$  such that

$$\alpha(1-\varepsilon)U_i \cap (V_j + g) = \phi.$$

Now, we can prove the following theorem.

**Theorem.** *Suppose  $E$  and  $F$  are the above-mentioned spaces. Let  $\mathfrak{X}$  be a family of continuous linear operators from  $E$  into  $F$ . If for any  $g \in E$ , there are some fundamental sequence of neighbourhoods  $\{U_{r_i}\}$ , and some  $\beta_i > 0$  such that  $\{Tg\}_{T \in \mathfrak{X}} \subset \beta_i U_{r_i}$  for all  $i$ , then for every  $U_j$  in  $F$ , there exist some neighbourhood  $V_i$  in  $E$ , some  $r > 0$  and some point  $f \in E$  such that  $U_j \supset \{Tg\}_{T \in \mathfrak{X}}$  for  $g \in rV_i + f$ .*

**Proof.** Assume the contrary and suppose that for a  $U_{j_0}$  and any  $rV_i + f$  there exist some  $g \in rV_i + f$  and some  $T \in \mathfrak{X}$  such that  $U_{j_0} \not\supset Tg$ .

Now, let  $V_1 + f_1$  be an arbitrary neighbourhood in  $E$  and  $\alpha_1$  be a real number such that  $\alpha_1 > 1$ . And suppose  $V_{r'_1} + f_1$  is the neighbourhood such that  $1 < \gamma_1 < \gamma'_1$  and  $V_1 \supset V_{r'_1} \supset V_{r'_1}$ . Then there exist some  $g_1$  belonging  $(1/\alpha_1)(V_{r'_1} + f_1)$  and some  $T_{n_1} \in \mathfrak{X}$  such that  $T_{n_1}g_1 \notin U_{j_0}$ .

Hence we have  $T_{n_1}\alpha_1g_1 \notin \alpha_1U_{j_0}$  for  $\alpha_1g_1 \in V_{r'_1} + f_1$ . Following (F, 4), there exist a number  $\varepsilon = \varepsilon(U_{j_0})$  with  $0 < \varepsilon < 1$  and  $U_l$  such that

$$\alpha_1(1-\varepsilon)U_{j_0} \cap (T_{n_1}\alpha_1g_1 + U_l) = \phi.$$

On the other hand, since  $T_{n_1}$  is continuous, to  $U_l$  in  $F$  there corresponds a neighbourhood  $V_{r_2}$  in  $E$  such that

$$T_{n_1}g - T_{n_1}\alpha_1g_1 \in U_l \quad \text{if} \quad g - \alpha_1g_1 \in V_{r_2}.$$

Consequently we have

$$T_{n_1}g \notin \alpha_1(1-\varepsilon)U_{j_0} \quad \text{for} \quad g \in \alpha_1g_1 + V_{r_2}.$$

By condition (E, 4) we can consider  $V_{r_2}$  with property that

$$\alpha_1g_1 + V_{r_2} \subset f_1 + V_{r_1} \subset f_1 + V_1.$$

Next, let  $\alpha_2$  be a real number such that  $\alpha_2 > 2$  and suppose  $V_{r'_3} + \alpha_1g_1$  is the neighbourhood such that  $\gamma_2 < \gamma_3 < \gamma'_3$  and  $V_{r_2} \supset V_{r'_3} \supset V_{r'_3}$ .

Then there exist some  $g_2$  belonging  $(1/\alpha_2)(V_{r'_3} + \alpha_1g_1)$  and some  $T_{n_2} \in \mathfrak{X}$  such that  $T_{n_2}g_2 \notin U_{j_0}$ . Hence we have  $T_{n_2}\alpha_2g_2 \notin \alpha_2U_{j_0}$  for  $\alpha_2g_2 \in V_{r'_3} + \alpha_1g_1$ . Following (F, 4), there exist a number  $\varepsilon = \varepsilon(U_{j_0})$  with  $0 < \varepsilon < 1$  and  $U_{l'}$  such that

$$\alpha_2(1-\varepsilon)U_{j_0} \cap (T_{n_2}\alpha_2g_2 + U_{l'}) = \phi.$$

On the other hand, since  $T_{n_2}$  is continuous, to  $U_{l'}$  in  $F$  there corresponds a neighbourhood  $V_{r_4}$  in  $E$  such that

$$T_{n_2}g - T_{n_2}\alpha_2g_2 \in U_{r_4} \quad \text{if } g - \alpha_2g_2 \in V_{r_4}.$$

Consequently we have  $T_{n_2}g \in \alpha_2(1-\varepsilon)U_{j_0}$  for  $g \in \alpha_2g_2 + V_{r_4}$ .

By condition (E, 4) we can consider  $V_{r_4}$  with property that

$$\alpha_2g_2 + V_{r_4} \subset \alpha_1g_1 + V_{r_3} \subset \alpha_1g_1 + V_{r_2}.$$

Repeating the foregoing argument, we have

$$V_1 + f_1 \supset V_{r_1} + f_1 \supset V_{r_2} + \alpha_1g_1 \supset V_{r_3} + \alpha_1g_1 \supset V_{r_4} + \alpha_2g_2 \supset V_{r_5} + \alpha_2g_2 \supset \dots$$

with  $1 < r_1 < r_2 < r_3 < r_4 < r_5 < \dots$

and

$$T_{n_i}g \in \alpha_i(1-\varepsilon)U_{j_0} \quad \text{for } g \in \alpha_i g_i + V_{r_{2i}}.$$

Since the sequence  $\{\alpha_i g_i\}$  is a Cauchy sequence, it has a limiting element  $g_0 \in E$ . Hence we have  $g_0 \in \alpha_i g_i + V_{r_{2i}}$  for all  $i$ .

Consequently  $T_{n_i}g_0 \in \alpha_i(1-\varepsilon)U_{j_0}$  for all  $i$ .

This is a contradiction to the hypotheses.

**Corollary** (Banach-Steinhaus theorem). *Suppose  $E$  is the above-mentioned space with the same property as (F, 3).*

*Let  $F$  be the above-mentioned space with the following additional properties.*

- (F, 5) The neighbourhoods of the origin are symmetric (i.e. if  $g \in U_i$ , then  $-g \in U_i$ ).
- (F, 6) For any  $g \in F$  and any  $U_i$ , there exists some  $\alpha > 0$  such that  $g \in \alpha U_i$ .
- (F, 7) For any  $\lambda > 0$ ,  $\mu > 0$  and any  $U_i$ , we have  $\lambda U_i + \mu U_i \subset (\lambda + \mu)U_i$ .
- (F, 8) For given distincts  $g_1, g_2$ , there exists some  $U_i$  such that  $(g_1 + U_i) \not\supset g_2$ .

And let  $\{T_n\}_{n=1,2,\dots}$  be a sequence of continuous linear operators from  $E$  into  $F$ . If  $Tg = \lim T_n g$  exists for any  $g \in E$ , then  $T$  is a continuous linear operator from  $E$  into  $F$ .

**Proof.** Let  $\{U_{r_i}\}$  be an arbitrary fundamental sequence of neighbourhoods in  $F$ . By the foregoing theorem, for any  $U_{r_i} \in \{U_{r_i}\}$  there exists some  $r_i V_{r_i} + f_i$  such that  $T_n g \in U_{r_i}$  for all  $n$  if  $g \in r_i V_{r_i} + f_i$ . On the other hand, since  $\{T_n f_i\}_{n=1,2,\dots}$  converges, for  $U_{r_i}$  there exists some  $\alpha_i > 0$  such that  $\{T_n f_i\}_{n=1,2,\dots} \subset \alpha_i U_{r_i}$ . Now, let  $\{\delta_i\}$  be the sequence of real numbers such that  $\delta_i > 0$ ,  $\delta_i \downarrow 0$  and  $\delta_i \alpha_i \downarrow 0$ .

Suppose  $g_j \rightarrow g_0$  in  $E$ , then for sufficiently large  $N$  and  $j > N$ , we have  $g_j - g_0 \in \delta_i r_i V_{r_i}$ . Hence we obtain

$$T_n \left( \frac{g_j - g_0}{\delta_i} + f_i \right) \in U_{r_i}, \quad \text{for all } n$$

and  $T_n(g_j - g_0) + \delta_i T_n f_i \in \delta_i U_{r_i}$ . Then we have

$$\begin{aligned} T(g_j - g_0) &= (T - T_n)(g_j - g_0) + T_n(g_j - g_0) + \delta_i T_n f_i - \delta_i T_n f_i \\ &\in (T - T_n)(g_j - g_0) + \delta_i U_{r_i} - \delta_i T_n f_i. \end{aligned}$$

Since  $\{T_n(g_j - g_0)\}_{n=1,2,\dots}$  converges, for sufficiently large  $N'$  and  $n > N'$  we have  $(T - T_n)(g_j - g_0) \in U_{r_i}$ .

Consequently we obtain

$$T(g_j - g_0) \in U_{r_i} + \delta_i U_{r_i} + \delta_i \alpha_i U_{r_i}, \quad \text{for } j > N.$$

By the Washihara's conditions  $(\mathbf{R}, \mathbf{L}_2)'$  (2) and  $(\mathbf{R}, \mathbf{L}_1)$ , there exists  $\{W_{r_i'}\}$  such that  $U_{r_i} + \delta_i U_{r_i} + \delta_i \alpha_i U_{r_i} \subset W_{r_i'}$ , and  $T(g_j - g_0) \in W_{r_i'}$ .

Hence we assert that  $T$  is continuous.

We shall introduce a new axiom.

(E, 4)' Given any neighbourhood  $U_i(g)$ , there exists some  $U_j(g)$  (with  $U_j(g) \subset U_i(g)$  and  $j > i$ ) so that for any  $f \in U_j(g)$  we have some  $U_k$  such that  $f + U_k \subset U_i(g)$ .

Then we can prove the above-mentioned theorem and corollary in the space  $E$  having (E, 4)' in place of (E, 4).

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### References

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