

167. Normal Expectations and Crossed Products of von Neumann Algebras

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In this paper, we shall show that a von Neumann algebra \mathcal{M} is isomorphic to the crossed product $G \otimes \mathcal{A}$ of a von Neumann subalgebra \mathcal{A} of \mathcal{M} by a group G of automorphisms of \mathcal{A} implemented by a unitary group in \mathcal{M} under certain conditions. This result is a generalization of two theorems of Golodets [3].

1. Let \mathcal{A} be a von Neumann algebra on a Hilbert space \mathfrak{H} , and let G be a discrete group of ($*$ -) automorphisms of \mathcal{A} .

On the Hilbert space $\mathfrak{H} \otimes \ell^2(G)$, the tensor product of \mathfrak{H} and $\ell^2(G)$, define a representation I of \mathcal{A} by

$$I(A) \left(\sum_{g \in G} \xi_g \otimes \varepsilon_g \right) = \sum_{g \in G} g^{-1}(A) \xi_g \otimes \varepsilon_g,$$

for each A in \mathcal{A} and ξ_g in \mathfrak{H} , where ε_g is an orthonormal basis in $\ell^2(G)$ such that

$$\varepsilon_g(h) = \begin{cases} 1 & (g=h) \\ 0 & (g \neq h), \end{cases} \quad g, h \in G.$$

Letting G act as a permutation group in $\mathfrak{H} \otimes \ell^2(G)$, we obtain a unitary representation V_g of G such that

$$V_g \left(\sum_{h \in G} \xi_h \otimes \varepsilon_h \right) = \sum_{h \in G} \xi_{g^{-1}h} \otimes \varepsilon_h, \quad g \in G, \xi_h \in \mathfrak{H}.$$

One can then verify that I is a faithful normal representation with the covariance formula

$$V_g I(A) V_g^* = I(g(A)), \quad g \in G, A \in \mathcal{A}.$$

Then the von Neumann algebra acting on $\mathfrak{H} \otimes \ell^2(G)$ generated by $I(\mathcal{A})$ and V_G is called the *crossed product* $G \otimes \mathcal{A}$ of \mathcal{A} by G .

Theorem 1. *Let \mathcal{M} be a von Neumann algebra acting on a Hilbert space \mathfrak{H} , \mathcal{A} a von Neumann subalgebra of \mathcal{M} and G a discrete group of automorphisms of \mathcal{A} . Assume that $(\mathcal{M}, \mathcal{A}, G)$ satisfies the following three conditions;*

(1) *there is a unitary representation U_g of G into \mathcal{M} with $g(A) = U_g A U_g^*$ for g in G and A in \mathcal{A} ,*

(2) *\mathcal{M} admits a cyclic vector ξ with $(U_g A \xi, \xi) = 0$ for $g(\neq 1)$ in G and A in \mathcal{A} ,*

and

(3) *\mathcal{M} is generated by \mathcal{A} and U_G .*

Then \mathcal{M} is spatially isomorphic to the crossed product $G \otimes \mathcal{A}$ of \mathcal{A} by G on $\mathfrak{H}_0 \otimes l^2(G)$ for some subspace \mathfrak{H}_0 of \mathfrak{H} .

For the proof of Theorem 1, we need the following lemma.

Lemma 2. Under the same assumption as in Theorem 1, the Hilbert space \mathfrak{H} equals to the direct sum $\sum_{g \in G} \oplus U_g \mathfrak{H}_0$, where \mathfrak{H}_0 is the subspace of \mathfrak{H} generated by the set $\{A\xi; A \in \mathcal{A}\}$.

Proof. By the assumptions (1) and (2),

$$(U_g B\xi, A\xi) = (U_g g^{-1}(A^*)B\xi, \xi) = 0, \quad 1 \neq g \in G, A, B \in \mathcal{A}.$$

It implies that \mathfrak{H}_0 is orthogonal to $U_g \mathfrak{H}_0$ for every $g (\neq 1)$ in G . Let x be an element in \mathfrak{H} which is orthogonal to $U_g \mathfrak{H}_0$ for every g in G . Since \mathcal{M} is generated by \mathcal{A} and U_G , then we have, by the definition of \mathfrak{H}_0 , that $(x, T\xi) = 0$ for every T in \mathcal{M} . On the other hand, ξ is a cyclic vector for \mathcal{M} . Hence we have that $x = 0$. Thus, \mathfrak{H} is represented to the direct sum of $\{U_g \mathfrak{H}_0; g \in G\}$.

Now, we shall prove Theorem 1.

By Lemma 2, every element x in \mathfrak{H} is represented by the form

$$x = \sum_{g \in G} U_g x_g, \quad x_g \in \mathfrak{H}_0.$$

Define a mapping W of \mathfrak{H} to $\mathfrak{H}_0 \otimes l^2(G)$ by

$$W\left(\sum_{g \in G} U_g x_g\right) = \sum_{g \in G} x_g \otimes \varepsilon_g, \quad x_g \in \mathfrak{H}_0,$$

then W is an isomorphism of \mathfrak{H} onto $\mathfrak{H}_0 \otimes l^2(G)$.

By the definition of \mathfrak{H}_0 , we can regard \mathcal{A} as a von Neumann algebra acting on \mathfrak{H}_0 . Then the crossed product $G \otimes \mathcal{A}$ of \mathcal{A} by G is the von Neumann algebra on the Hilbert space $\mathfrak{H}_0 \otimes l^2(G)$.

Let Φ be a mapping of \mathcal{M} to $\mathcal{L}(\mathfrak{H}_0 \otimes l^2(G))$ by

$$\Phi(T) = W T W^*$$

for T in \mathcal{M} , then Φ satisfies that

$$\Phi(A) = I(A), \quad A \in \mathcal{A},$$

and that

$$\Phi(U_g) = V_g, \quad g \in G.$$

In fact,

$$\begin{aligned} \Phi(A)\left(\sum_{g \in G} x_g \otimes \varepsilon_g\right) &= W A W^*\left(\sum_{g \in G} x_g \otimes \varepsilon_g\right) = W A\left(\sum_{g \in G} U_g x_g\right) \\ &= W \sum_{g \in G} (U_g g^{-1}(A)x_g) = \sum_{g \in G} g^{-1}(A)x_g \otimes \varepsilon_g \\ &= I(A)\left(\sum_{g \in G} x_g \otimes \varepsilon_g\right), \end{aligned}$$

for all A in \mathcal{A} and x_g in \mathfrak{H}_0 , and

$$\begin{aligned} \Phi(U_g)\left(\sum_{h \in G} x_h \otimes \varepsilon_h\right) &= W U_g\left(\sum_{h \in G} U_h x_h\right) = W\left(\sum_{h \in G} U_{gh} x_h\right) \\ &= W\left(\sum_{h \in G} U_h x_{g^{-1}h}\right) = \sum_{h \in G} x_{g^{-1}h} \otimes \varepsilon_h \\ &= V_g\left(\sum_{h \in G} x_h \otimes \varepsilon_h\right), \end{aligned}$$

for all g in G and x_h in ξ_0 .

On the other hand, \mathcal{M} is generated by \mathcal{A} and U_G , and $G \otimes \mathcal{A}$ is generated by $I(\mathcal{A})$ and V_G .

Thus Φ is a spatial isomorphism of \mathcal{M} onto $G \otimes \mathcal{A}$.

Let \mathcal{M} be a von Neumann algebra and \mathcal{A} a von Neumann subalgebra of \mathcal{M} . A positive linear mapping e of \mathcal{M} onto \mathcal{A} is called an *expectation* of \mathcal{M} onto \mathcal{A} if e satisfies that $e(I)=I$ and $e(AB)=Ae(B)$ for all A in \mathcal{A} and B in \mathcal{M} . An expectation e of \mathcal{M} onto \mathcal{A} is called *faithful* if $e(T)=0$ for a positive operator T in \mathcal{M} implies that $T=0$. An expectation e of \mathcal{M} onto \mathcal{A} is called *normal* if $A_\alpha \uparrow A$ implies $e(A_\alpha) \uparrow e(A)$ for A_α and A in \mathcal{M} .

As a corollary of Lemma 2, we shall obtain the following :

Corollary 3. *Under the same assumption as in Theorem 1, there exists a faithful normal expectation e of \mathcal{M} onto \mathcal{A} such that $e(U_g)=0$ for all $g(\neq 1)$ in G .*

Proof. Let E be the projection of ξ on ξ_0 (the subspace in Lemma 2), then E is contained in the commutant \mathcal{A}' of \mathcal{A} , and $\{g(E); g \in G\}$ is an orthogonal family of projections in \mathcal{A}' with $\sum_{g \in G} g(E) = 1$ because ξ is the direct sum of $\{U_g \xi_0; g \in G\}$ by Lemma 2. That is, G is a shift of \mathcal{A}' in the sense of [2] if we define an action of g in G by

$$g(A') = U_g A' U_g^*$$

for every A' in \mathcal{A}' .

Put

$$e(T) = \sum_{g \in G} g(E) T g(E)$$

for every T in \mathcal{M} , then it is clear that $e(U_g)=0$ if $g \neq 1$ and that $e(A) = A$ for all A in \mathcal{A} . Since \mathcal{M} is generated by \mathcal{A} and U_G , it follows that e is a mapping of \mathcal{M} onto \mathcal{A} . So, e is a normal expectation of \mathcal{M} onto \mathcal{A} . Take T in \mathcal{M} such that $e(T^*T)=0$. By the property of $\{g(E); g \in G\}$, it follows that $g(E)T^*Tg(E)=0$ for every g in G , so that $Tg(E)=0$, for every g in G . It implies that $T=0$, [1].

Thus e is a faithful normal expectation of \mathcal{M} onto \mathcal{A} with $e(U_g) = 0$ for $g \neq 1$.

The following theorem shows that the existence of such an expectation e is also a sufficient condition that \mathcal{M} is isomorphic to $G \otimes \mathcal{A}$.

Theorem 4. *Let \mathcal{M} be a von Neumann algebra acting on a separable Hilbert space ξ , \mathcal{A} a von Neumann subalgebra of \mathcal{M} and G a discrete group of automorphisms of \mathcal{A} . Assume that $(\mathcal{M}, \mathcal{A}, G)$ satisfies the following three conditions;*

(1) *there exists a unitary representation U_g of G into \mathcal{M} with $g(A) = U_g A U_g^*$ for g in G and A in \mathcal{A} ,*

(2') *there exists a faithful normal expectation e of \mathcal{M} onto \mathcal{A} with*

$e(U_g)=0$ if $g \neq 1$, and

(3) \mathcal{M} is generated by \mathcal{A} and U_G .

Then \mathcal{M} is isomorphic to the crossed product $G \otimes \mathcal{A}$ of \mathcal{A} by G .

Proof. Let ψ be a faithful normal state on \mathcal{A} . Put

$$\phi(T) = \psi(e(T))$$

for all T in \mathcal{M} , then ϕ is a faithful normal state on \mathcal{M} .

Let \mathfrak{R} be the representation space of \mathcal{M} by ϕ and $\mathcal{L}(\mathfrak{R})$ the algebra of all bounded operators on \mathfrak{R} . Denote by π the isomorphism of \mathcal{M} into $\mathcal{L}(\mathfrak{R})$ defined by ϕ , and by ξ the cyclic vector for $\pi(\mathcal{M})$ in \mathfrak{R} . Then (π, ξ) satisfies that $\phi(T) = (\pi(T)\xi, \xi)$ for every T in \mathcal{M} .

Letting G act as an automorphism group of $\pi(\mathcal{A})$ such that

$$g(\pi(A)) = \pi(U_g A) \pi(U_g)^*, \quad A \in \mathcal{A} \text{ and } g \in G,$$

we obtain a unitary representation $\pi(U_g)$ of G into $\pi(\mathcal{M})$ which satisfies the condition (1) in Theorem 1.

By the property of e , we have that

$$(\pi(U_g)\pi(A)\xi, \xi) = \phi(U_g A) = \psi(e(U_g A)) = \psi(e(U_g)A) = 0,$$

for all A in \mathcal{A} and $g (\neq 1)$ in G . Hence the condition (2) in Theorem 1 is satisfied. It is clear that $\pi(\mathcal{M})$ is generated by $\pi(\mathcal{A})$ and $\pi(U_G)$. Thus by Theorem 1 $\pi(\mathcal{M})$ is isomorphic to the crossed product $G \otimes \pi(\mathcal{A})$ of $\pi(\mathcal{A})$ by G .

On the other hand, by the definition of the action of G on $\pi(\mathcal{A})$, we have that $g(\pi(A)) = \pi(g(A))$ for every g in G and A in \mathcal{A} . Hence $G \otimes \pi(\mathcal{A})$ is isomorphic to $G \otimes \mathcal{A}$, (see for instance [7, Proposition 3.4]). Therefore \mathcal{M} is isomorphic to $G \otimes \mathcal{A}$.

Let \mathcal{A} be a von Neumann algebra and g an automorphism of \mathcal{A} . g is called *freely acting* on \mathcal{A} if for B in \mathcal{A}

$$BA = g(A)B, \quad \text{for all } A \text{ in } \mathcal{A}$$

implies $B=0$. A group G of automorphisms of \mathcal{A} is called *freely acting* on \mathcal{A} if every $g (\neq 1)$ in G is freely acting on \mathcal{A} , [4].

Corollary 5. Let \mathcal{M} be a von Neumann algebra, \mathcal{A} a von Neumann subalgebra of \mathcal{M} and G a discrete group of automorphisms of \mathcal{A} . Assume that $(\mathcal{M}, \mathcal{A}, G)$ satisfies the following three conditions;

(1) there exists a unitary representation U_g of G into \mathcal{M} with $g(A) = U_g A U_g^*$ for g in G and A in \mathcal{A} ,

(2') there exists a faithful normal expectation e of \mathcal{M} onto \mathcal{A} and G is freely acting on \mathcal{A} , and

(3) \mathcal{M} is generated by \mathcal{A} and U_G .

Then \mathcal{M} is isomorphic to the crossed product $G \otimes \mathcal{A}$ of \mathcal{A} by G .

Proof. Take a $g (\neq 1)$ in G . By the assumption (1),

$$U_g A = g(A) U_g$$

for all A in \mathcal{A} . It implies that

$$e(U_g)A = g(A)e(U_g)$$

for all A in \mathcal{A} . Since g is freely acting on \mathcal{A} , it follows that $e(U_g) = 0$.

Thus $(\mathcal{M}, \mathcal{A}, G)$ satisfies the conditions (1), (2') and (3) in Theorem 4. Hence, by Theorem 4, \mathcal{M} is isomorphic to $G \otimes \mathcal{A}$.

This corollary is a generalization of [3, Theorem 1.5.2] and [3, Theorem 1.7.2].

Theorem 1.5.2 in [3] is that for $(\mathcal{M}, \mathcal{A}, G)$ with the properties (1) and (3) in Corollary 5, where \mathcal{M} is a II_1 -factor, \mathcal{A} is a II_1 -subfactor of \mathcal{M} and G is an outer automorphism group of \mathcal{A} . Theorem 1.7.2 in [3] is that for $(\mathcal{M}, \mathcal{A}, G)$ with the properties (1) and (3) in Corollary 5, where \mathcal{M} is a von Neumann algebra with a finite trace, \mathcal{A} is a von Neumann subalgebra of \mathcal{M} and G is an automorphism group of \mathcal{A} freely acting on the center of \mathcal{A} .

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