

2. On the Axioms of the Theory of Lattice.

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1. System Σ .

Suppose that there is a set S of elements, between each two of which two dualistic operations \cup and \cap are so defined that their results are unique and belong to S . If the two operations satisfy the following postulates:

- L 1. Idempotent law: (a) $x \cup x = x$ for all x ,
 (b) $x \cap x = x$ for all x ,
 L 2. Commutative law: (a) $x \cup y = y \cup x$ for all x and y ,
 (b) $x \cap y = y \cap x$ for all x and y ,
 L 3. Associative law: (a) $x \cup (y \cup z) = (x \cup y) \cup z$
 for all x, y and z ,
 (b) $x \cap (y \cap z) = (x \cap y) \cap z$
 for all x, y and z ,
 L 4. Absorptive law: (a) $x \cup (x \cap y) = x$ for all x and y ,
 (b) $x \cap (x \cup y) = x$ for all x and y ,

then the set S is called a lattice for the operations \cup and \cap ¹⁾.

G. Köthe and H. Hermes showed that if L 4 is satisfied then L 1 does so (Enzyklopädie Bd. I-1 Heft 5, 13 (1939), and they took L 2-L 4 as the axioms for the lattice.

Now, we replace the Absorptive law L 4 by a weaker postulate, viz.²⁾,

- L 4* (a) if $y \cup x = x$ then $y \cap x = y$,
 (b) if $y \cap x = x$ then $y \cup x = y$;

and this, together with L 1 (a), L 2, L 3 will be taken as postulates for a "System Σ "³⁾.

We shall demonstrate the independency of postulates of Σ . Before doing so, we enumerate some relations between these postulates.

- (I) L 1 (a) and L 4 (a) imply L 1 (b). In fact, by L 1 (a),

1) Cf. Ore, *On the Foundation of Abstract algebra 1*, Ann. Math., **36**, 409 (1935), Philip M. Whitmann, *Free lattices*, Ann. Math., **42**, 325 (1941), and G. Birkhoff, *Lattice theory*, Ammer. Math. Soc. Coll. Pub. XXV (1940), etc.

2) In the case when L 2 holds, we may use instead of L 4* (a) any one of the following three postulates: (1) if $x \cup y = x$ then $y \cap x = y$, (2) if $y \cap x = x$ then $x \cap y = y$, (3) if $x \cup y = x$ then $x \cap y = y$, and also instead of L 4 (b) any one of the three postulates: (1) if $x \cap y = x$ then $y \cup x = y$, (2) if $y \cap x = x$ then $x \cup y = y$, (3) if $x \cap y = x$ then $x \cup y = y$.

3) In this "System Σ ", we may use the postulate L 1 (b), instead of L 1 (a). Cf. (1).

¹Then by Footnote 2) there are 32 equivalent systems of postulates for a lattice.

$x \cup x = x$, thus by L4* (a) $x \cap x = x$. Similarly, L1 (b) and L4* (b), imply L1 (a). Hence, when L4* hold L1 (a) equivalent with L1 (b)¹⁾

(II) L1 (a), L2 (a), L3 (a), and L4* (a) imply L4 (b), and L1 (b), L2 (b), L3 (b), and L4* (b) imply L4 (a). In fact, by L3 (a) $x \cup (x \cup y) = (x \cup y) \cup y$, thus by L1 (a) $x \cup (x \cup y) = x \cup y$, hence by L4 (a) $x \cap (x \cup y) = x$.

(III) The two following classes of postulates are identical :

- (i) the class of L1 (a), L2, L3, and L4* ;
- (ii) the class of L2, L3, L4.

2. Independency of postulates of the System Σ .

Example 1. Let S be a set of zero and positive integers. Let

$$x \cup y = \begin{cases} x+y, & \text{if } x \neq 1 \text{ and } y \neq 1, \\ 1, & \text{if } x = 1 \text{ or } y = 1, \end{cases}$$

and let $x \cap y = xy$.

Then postulates L2 (a), L2 (b), and L3 (b) are obviously satisfied while L1 (a) is not. In this system,

$$x \cup (y \cup z) = \begin{cases} x+y+z, & \text{if } x \neq 1, y \neq 1, \text{ and } z \neq 1 \\ 1, & \text{if } x = 1, y = 1, \text{ or } z = 1, \end{cases}$$

and

$$(x \cup y) \cup z = \begin{cases} x+y+z, & \text{if } x \neq 1, y \neq 1, \text{ and } z \neq 1 \\ 1, & \text{if } x = 1, y = 1, \text{ or } z = 1. \end{cases}$$

Hence L3 (a) is satisfied. Again, $y \cup x = x$, if and only if $x=1$ or $y=0$, while $y \cap 1 = y$, and $0 \cap x = 0$. And $y \cap x = x$, if and only if $y=1$ or $x=0$, while $1 \cup x = 1$, and $y \cup 0 = y$. Hence L4* (a) and L4* (b) are satisfied.

Example 2. Let S be the set of the three elements a, b , and c , and let $x \cup y$ and $x \cap y$ be defined as in the following tables :

	y	a	b	c
x	a	a	a	a
	a	a	a	a
	b	a	b	a
	c	a	a	c

	y	a	b	c
x	a	a	a	a
	a	a	a	a
	b	b	b	b
	c	c	c	c

Then postulates L1 (a) and L2 (a) are plainly satisfied while L2 (b) does not hold. In this system, $y \cup x = x$, if and only if

- (i) $x=a$ and $y=a$, (ii) $x=a$ and $y=b$,
- (iii) $x=a$ and $y=c$, (iv) $x=b$ and $y=b$,

or (v) $x=c$ and $y=c$.

1) (I) asserts that L1 (b) as postulate is superfluous for the "system Σ ".

Therefore (i) $a \cap a = a$, (ii) $b \cap a = b$, (iii) $c \cap a = c$, (iv) $b \cap b = b$, and (v) $c \cap c = c$, L4* (a) is satisfied.

Also, $y \cap x = x$, if and only if (i) $x = a$ and $y = a$, (ii) $x = b$ and $y = b$, or (iii) $x = c$ and $y = c$, then by L1 (b) which obtains by (1), L4 (b) hold. Since

$$x \cup (y \cup z) = \begin{cases} a & \text{if } x = a, y = a, \text{ or } z = a, \\ a & \text{if } x \neq a, y \neq a, \text{ and } z \neq a, \text{ and } x \neq y, \\ & y \neq z, \text{ or } x \neq z, \\ x & \text{if } x \neq a, y \neq a, \text{ and } z \neq a, \text{ and} \\ & x = y = z, \end{cases}$$

and

$$(x \cup y) \cup z = \begin{cases} a & \text{if } x = a, y = a, \text{ or } z = a, \\ a & \text{if } x \neq a, y \neq a, \text{ and } z \neq a, \text{ and } x \neq y, \\ & y \neq z, \text{ or } x \neq z \\ x & \text{if } x \neq a, y \neq a, \text{ and } z \neq a, \text{ and} \\ & x = y = z, \end{cases}$$

L3 (a) is satisfied, and since

$$x \cap (y \cap z) = x \text{ and } (x \cap y) \cap z = x, \text{ L3 (b) hold.}$$

By the obvious duality between \cup and \cap can construct a model which satisfies L1 (a), L2 (b), L3 (a), L8 (b), L4 (a), L4 (b), but not L2 (a).

Example 3. Let S be the set of the rational numbers $x \geq 1$, in which we define the operations \cup and \cap as follows:

$$x \cap y = \begin{cases} x, & \text{if } x = y, \\ 1, & \text{if } x \neq y, \end{cases}$$

and

$$x \cup y = \begin{cases} x & \text{if } y = 1 \\ y & \text{if } x = 1 \text{ and } y \neq 1, \\ \frac{x+y}{2} & \text{if } x \neq 1 \text{ and } y \neq 1. \end{cases}$$

Then L1 (a), L2 (b), and L2 (a) are plainly satisfied, and so are L3 (a), L4* (a), and L4* (b) are satisfied, as

$$x \cup (y \cup z) = \begin{cases} x, & \text{if } x = y = z, \\ 1, & \text{otherwise;} \end{cases}$$

$$(x \cup y) \cup z = \begin{cases} x, & \text{if } x = y = z, \\ 1, & \text{otherwise,} \end{cases}$$

so that $x \cup (y \cup z) = (x \cup y) \cup z$.

In this model, $y \cup x = x$, if and only if (i) $x = y$, or (ii) $x = 1$, and $y \neq 1$, and then (i) $x \cap x = x$ and (ii) $y \cap 1 = y$. Also $y \cap x = x$, if and only if (i) $y = 1$ and $x = 1$, (ii) $y = 1$ and $x \neq 1$ or (iii) $x \neq 1$, $y \neq 1$

and $x=y$, and then (i) $1 \cup 1=1$, (ii) $1 \cup x=1$, and (iii) $x \cup x=x=y$. Hence L 3 (a), L 4* (a), and L 4* (b) hold. But L 3 (b) is not satisfied, since if $x=2$, $y=4$, and $z=4$, we have $(x \cap y) \cap z = \frac{7}{2}$ and $x \cap (y \cap z)=3$.

Similarly, by duality we obtain a set, which satisfies L 1 (a), L 2 (a), L 2 (b), L 3 (b), L 4* (a), and L 4* (b), but not L 3 (a).

Example 4. Let S be a set of positive integers, and let

$$x \cup y = \max (x, y).$$

$$x \cap y = \text{g. c. d. } (x, y).$$

Then, $y \cap x=x$, if and only if $y=nx$, where n is a positive integer, and since $y \cup x = \max (nx, x) = nx = y$, we get by putting $y=6$ and $x=10$, $y \cup x=x=10$, while $y \cap x = \text{g. c. d. } (6, 10) = 2 \neq y$. Hence L 4* (b) is satisfied and L 4* (a) is not. This system obviously satisfies L 1 (a), L 2 (a), L 2 (b), L 3 (a), and L 3 (b).

By duality, we can construct a model, which satisfies the postulates of the system Σ , except L 4* (b), which is not satisfied.

These examples show that the postulates of Σ are independent.
