

Recognizing $\mathcal{Q}_{p,0}$ Functions per Dirichlet Space Structure

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Abstract

Under $p \in (0, \infty)$ and Möbius map $\sigma_w(z) = (w-z)/(1-\bar{w}z)$, a holomorphic function on the unit disk Δ is said to be of $\mathcal{Q}_{p,0}$ class if $\lim_{|w| \rightarrow 1} E_p(f, w) = 0$, where

$$E_p(f, w) = \int_{\Delta} |f'(z)|^2 [1 - |\sigma_w(z)|^2]^p dm(z),$$

and where dm means the element of the Lebesgue area measure on Δ . In particular, $\mathcal{Q}_{p,0} = \mathcal{B}_0$, the little Bloch space for all $p \in (1, \infty)$, $\mathcal{Q}_{1,0} = VMOA$ and $\mathcal{Q}_{p,0}$ contains \mathcal{D} , the Dirichlet space. Motivated by the linear structure of \mathcal{D} , this paper is devoted to: first show that $\mathcal{Q}_{p,0}$ is a Möbius invariant space in the sense of Arazy-Fisher-Peetre; secondly identify $\mathcal{Q}_{p,0}$ with the closure of all polynomials; thirdly characterize the extreme points of the unit closed ball of $\mathcal{Q}_{p,0}$; and finally investigate the semigroups of the composition operators on $\mathcal{Q}_{p,0}$.

Introduction

Let Δ and $\partial\Delta$ be the unit disk and the unit circle in the finite complex plane \mathbb{C} . Denote by \mathcal{H} the set of functions holomorphic on Δ , endowed with the topology of the compact-open (i.e. the uniform convergence on compact subsets of Δ). The

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symbol $Aut(\Delta)$ is employed to represent the group of all conformal automorphisms of Δ , i.e. all Möbius maps of the form $\lambda\sigma_a$, where $\lambda \in \partial\Delta$, $a \in \Delta$ and

$$\sigma_a(z) = \frac{a - z}{1 - \bar{a}z}$$

is the symmetry interchanging 0 and a . The Bloch space \mathcal{B} is the class of all $f \in \mathcal{H}$ with the semi-norm

$$\|f\|_{\mathcal{B}} = \sup_{z \in \Delta} (1 - |z|^2)|f'(z)| < \infty.$$

Moreover, the little Bloch space \mathcal{B}_0 is the family of functions $f \in \mathcal{H}$ satisfying

$$\lim_{|z| \rightarrow 1} (1 - |z|^2)|f'(z)| = 0.$$

Recently, it has been found that \mathcal{B} and \mathcal{B}_0 can be embedded into two new function families, i.e., the so-called \mathcal{Q}_p and $\mathcal{Q}_{p,0}$, respectively (cf. [AuLa, AuXiZh, EsXi, NiXi]). Recall that for $p \in (0, \infty)$, \mathcal{Q}_p resp. $\mathcal{Q}_{p,0}$ is the class of functions $f \in \mathcal{H}$ with

$$\|f\|_{\mathcal{Q}_p} = \sup_{w \in \Delta} [E_p(f, w)]^{\frac{1}{2}} < \infty \quad \text{resp.} \quad \lim_{|w| \rightarrow 1} E_p(f, w) = 0.$$

Here and throughout this paper,

$$E_p(f, w) = \int_{\Delta} |f'(z)|^2 [1 - |\sigma_w(z)|^2]^p dm(z),$$

where dm stands for the element of the Lebesgue area measure on Δ . With respect to

$$\|f\|_{\mathcal{Q}_p} = |f(0)| + \|f\|_{\mathcal{Q}_p},$$

\mathcal{Q}_p becomes a normed linear space and has $\mathcal{Q}_{p,0}$ as its subspace. Of particular interest is to point out that if $p \in (1, \infty)$ or $p = 1$ then $\mathcal{Q}_p = \mathcal{B}$; $\mathcal{Q}_{p,0} = \mathcal{B}_0$ or $\mathcal{Q}_p = BMOA$; $\mathcal{Q}_{p,0} = VMOA$.

Let \mathcal{D} be the classical Dirichlet space consisting of functions $f \in \mathcal{H}$ with

$$\|f\|_{\mathcal{D}} = \left[\int_{\Delta} |f'(z)|^2 dm(z) \right]^{\frac{1}{2}} < \infty.$$

By some simple calculations involving power series, it is easy to establish that for $f \in \mathcal{H}$ and $w \in \Delta$,

$$F_p(f, w) \leq E_p(f, w) \leq 2^p F_p(f, w),$$

where

$$F_p(f, w) = p \int_0^1 (1 - r)^{p-1} \left[\int_{|z| < r} |(f \circ \sigma_w)'(z)|^2 dm(z) \right] dr.$$

An important observation is that $(\mathcal{Q}_{p,0}, \|\cdot\|_{\mathcal{Q}_p})$ decreases to $(\mathcal{D}, \|\cdot\|_{\mathcal{D}})$ as $p \searrow 0$. In fact, if $f \in \mathcal{D}$ then for arbitrary $\epsilon > 0$, there is a $\delta \in (0, 1)$ such that

$$\int_{|z| > \delta} |f'(z)|^2 dm(z) < \epsilon; \quad \int_{\delta}^1 (1 - r)^{p-1} dr < \epsilon.$$

Thus,

$$\begin{aligned} \lim_{|w| \rightarrow 1} E_p(f, w) &\leq \lim_{|w| \rightarrow 1} 2^p F_p(f, w) \\ &\leq p2^p \lim_{|w| \rightarrow 1} \int_0^\delta (1-r)^{p-1} \left[\int_{|z| < r} |(f \circ \sigma_w)'(z)|^2 dm(z) \right] dr + \epsilon p 2^p \|f\|_{\mathcal{D}}^2 \\ &\leq \left[2^p (1 + p \|f\|_{\mathcal{D}}^2) \right] \epsilon, \end{aligned}$$

which implies $f \in \mathcal{Q}_{p,0}$. On the other hand, the measure $p(1-r)^{p-1}dr$ (defined on $[0, 1]$) converges weak-star to the point mass at 1 as $p \searrow 0$. Since $\int_{|z| < r} |(f \circ \sigma_w)'(z)|^2 dm(z)$ is an increasing function of r , we have

$$\lim_{p \rightarrow 0} E_p(f, w) = \lim_{p \rightarrow 0} F_p(f, w) = \sup_{r \in (0,1)} \int_{|z| < r} |(f \circ \sigma_w)'(z)|^2 dm(z).$$

This leads to: \mathcal{D} consists of those functions for which there is a constant $K(f) > 0$ (depending on f) with $\|f\|_{\mathcal{Q}_p} \leq K(f)$ for all $p > 0$ and so, can be viewed as a limit space of $\mathcal{Q}_{p,0}$ as $p \searrow 0$.

The preceding observation appears to induce an idea: in order to solve problems regarding $\mathcal{Q}_{p,0}$, we may treat $\mathcal{Q}_{p,0}$ as a ‘kind’ of Dirichlet space and use the methods of functional analysis. This viewpoint has already been testified by [NiXi] where the closed graph theorem is an effective tool to discuss interpolation and projection problems from $\mathcal{Q}_{p,0}$. In the present article, we shall study the linear \mathcal{D} -like structure of $\mathcal{Q}_{p,0}$ in some details. The material we cover is as follows: 1) Möbius invariance of $\mathcal{Q}_{p,0}$ in the strict sense of Arazy-Fisher-Peetre; 2) density of the polynomials in $\mathcal{Q}_{p,0}$; 3) characterization of the extreme points in the unit ball of $\mathcal{Q}_{p,0}$; 4) semigroups of the composition operators on $\mathcal{Q}_{p,0}$.

1 Möbius Invariance

Since the norm $\|\cdot\|_{\mathcal{Q}_p}$ and the semi-norm $\|\cdot\|_{\mathcal{Q}_p}$ differ by one nonnegative constant, the first thing to do is to see how the semi-norm $\|\cdot\|_{\mathcal{Q}_p}$ affects $\mathcal{Q}_{p,0}$. We shall find that all the $\mathcal{Q}_{p,0}$ spaces are Möbius invariant in the sense of Arazy-Fisher-Peetre (cf. [ArFiPe]).

A semi-normed linear space $(X, \|\cdot\|_X)$ is called a *Möbius invariant space* provided the following conditions hold:

- $X \subset \mathcal{B}$ with $\|\cdot\|_X \leq K \|\cdot\|_{\mathcal{B}}$ for some constant $K > 0$;
- X is complete under the semi-norm $\|\cdot\|_X$;
- *Aut*(Δ)-invariance: for each $\sigma \in \text{Aut}(\Delta)$ and each $f \in X$ the composition $C_\sigma(f) = f \circ \sigma$ belongs to X and $\|C_\sigma(f)\|_X = \|f\|_X$;
- Continuity of *Aut*(Δ)-action: for every $f \in X$ the map $\sigma \rightarrow C_\sigma(f)$ is continuous from *Aut*(Δ) to X in the semi-norm $\|\cdot\|_X$.

It is well-known that \mathcal{B}_0 is Möbius invariant, and according to the above definition, \mathcal{B} is not so in that \mathcal{B} does not satisfy the strict continuity of *Aut*(Δ)-action. There are some other Möbius invariant spaces such as *VMOA* and the Besov p -space B_p . In [ArFi] it is proved that the unique Möbius invariant Hilbert space is

$(\mathcal{D}, \|\cdot\|_{\mathcal{D}})$. Nevertheless, as to a Möbius invariant Banach space which lies between $(\mathcal{D}, \|\cdot\|_{\mathcal{D}})$ and $(\mathcal{B}_0, \|\cdot\|_{\mathcal{B}})$, we have

Theorem 1.1. *Let $p \in (0, \infty)$. Then $(\mathcal{Q}_{p,0}, \|\cdot\|_{\mathcal{Q}_p})$ is a Möbius invariant space.*

Proof. Observe first that for any $f \in \mathcal{Q}_p$,

$$(1 - |z|^2)|f'(z)| \leq \left(\frac{2^{p+1}}{\pi}\right)^{\frac{1}{2}} \|f\|_{\mathcal{Q}_p}, \quad z \in \Delta. \quad (1.1)$$

Thus $\mathcal{Q}_{p,0} \subset \mathcal{Q}_p \subset \mathcal{B}$ with $\|\cdot\|_{\mathcal{B}} \leq K\|\cdot\|_{\mathcal{Q}_p}$ where $K = (2^{p+1}/\pi)^{\frac{1}{2}}$.

Assume next that $\{f_n\}$ is a Cauchy sequence in $(\mathcal{Q}_{p,0}, \|\cdot\|_{\mathcal{Q}_p})$. Then for arbitrary $\epsilon > 0$ there is a positive integer n_0 such that as $m, n \geq n_0$, $\|f_m - f_n\|_{\mathcal{Q}_p} < \epsilon$. By the principle of normal family and (1.1), there exists some function $f \in \mathcal{Q}_p$ such that $\|f_{n_0} - f\|_{\mathcal{Q}_p} \leq \epsilon$. Since $f_{n_0} \in \mathcal{Q}_{p,0}$, it follows from the definition of $\mathcal{Q}_{p,0}$ that $f \in \mathcal{Q}_{p,0}$. So $(\mathcal{Q}_{p,0}, \|\cdot\|_{\mathcal{Q}_p})$ is complete.

Because $E_p(f \circ \sigma, w) = E_p(f, \sigma(w))$, each $\mathcal{Q}_{p,0}$ is $Aut(\Delta)$ -invariant: $\|f \circ \sigma\|_{\mathcal{Q}_p} = \|f\|_{\mathcal{Q}_p}$ for all $f \in \mathcal{Q}_{p,0}$ and $\sigma \in Aut(\Delta)$.

To prove continuity of $Aut(\Delta)$ -action on $\mathcal{Q}_{p,0}$, it suffices, by homogeneity, to verify that if $a \rightarrow 0$ in Δ , then $f(-\sigma_a) \rightarrow f$ in $\mathcal{Q}_{p,0}$ whenever $f \in \mathcal{Q}_{p,0}$. Suppose $f \in \mathcal{Q}_{p,0}$, thus, for every $\epsilon > 0$ there exists a $\delta_1 \in (0, 1)$ such that

$$\sup_{|w| > \delta_1} E_p(f, w) < \epsilon. \quad (1.2)$$

Without loss of generality, one may assume $|a| < 1/2$. Then there is a $\delta_2 \geq \delta_1$ such that as $|w| > \delta_2$ one has $|\sigma_a(w)| > \delta_1$ and by (1.2), $\sup_{|w| > \delta_2} E_p(f, \sigma_a(w)) < \epsilon$. Hence

$$\sup_{|w| > \delta_2} E_p(f \circ \sigma_a - f, w) < 4\epsilon. \quad (1.3)$$

In what follows, let $|w| \leq \delta_2$, and for $r \in (0, 1)$ set

$$E_p(f \circ \sigma_a - f, w) = \left(\int_{|z| \leq r} + \int_{|z| > r} \right) (\dots) dm(z) = I_1(r, a) + I_2(r, a).$$

Concerning $I_2(r, a)$, we apply the following basic inequality $|\sigma_a(w)| \leq (|a| + |w|)/(1 + |a||w|)$ to get that $|\sigma_a(w)| < \delta_3 = (1 + 2\delta_2)/(2 + \delta_2)$ for $|w| \leq \delta_2$ and $|a| \leq 1/2$. Since $f \in \mathcal{Q}_{p,0}$, f obeys $E_p(f, 0) < \infty$, and for the above $\epsilon > 0$ there is an $r_0 \in (0, 1)$ such that

$$\int_{|z| > r_0} |f'(z)|^2 (1 - |z|^2)^p dm(z) < \epsilon.$$

Furthermore, some elementary calculations imply that for $r^2 = (2 + r_0^2)/3$,

$$\begin{aligned} I_2(r, a) &\leq \left[\frac{2^{2+p}}{(1 - \delta_2)^p} + \frac{2^{2+p}}{(1 - \delta_3)^p} \right] \int_{|z| > r_0} |f'(z)|^2 (1 - |z|^2)^p dm(z) \\ &< \epsilon \left[\frac{2^{2+p}}{(1 - \delta_2)^p} + \frac{2^{2+p}}{(1 - \delta_3)^p} \right]. \end{aligned} \quad (1.4)$$

However, it is obvious that $\lim_{a \rightarrow 0} I_1(r, a) = 0$ for each $r \in (0, 1)$. Therefore, from (1.3) and (1.4) we derive that $\lim_{a \rightarrow 0} \|f(-\sigma_a) - f\|_{\mathcal{Q}_p} = 0$. This concludes the proof. \blacksquare

Due to Theorem 1.1, those general properties of Möbius invariant spaces (shown in Section 1 of [ArFiPe]) are valid for $\mathcal{Q}_{p,0}$. Specially, we have

Corollary 1.2. *Let $p \in (0, \infty)$. Then the $Aut(\Delta)$ -invariant dual $\mathcal{Q}_{p,0}^*$ consists of all $f \in \mathcal{H}$ obeying $\sup\{|\langle f, g \rangle| : g \in \mathcal{Q}_{p,0}, \|g\|_{\mathcal{Q}_p} \leq 1\} < \infty$, where*

$$\langle f, g \rangle = \int_{\Delta} f'(z) \overline{g'(z)} dm(z)$$

is the $Aut(\Delta)$ -invariant pair.

Remark 1.3 a) Each \mathcal{Q}_p has a weak continuity of $Aut(\Delta)$ -action: for $f \in \mathcal{Q}_p$ the map $\sigma \rightarrow C_{\sigma}(f)$ is continuous from $Aut(\Delta)$ to \mathcal{Q}_p with respect to the compact-open topology. For a discussion of the cases $p \geq 1$, refer to [ArFiPe].

b) The Hahn-Banach theorem can be used to establish that the second $Aut(\Delta)$ -invariant dual of $\mathcal{Q}_{p,0}$ is isomorphic to \mathcal{Q}_p under $\langle \cdot, \cdot \rangle$. It is worth pointing out that \mathcal{D}^* is isomorphic to \mathcal{D} , moreover if $p = 1$ resp. $p > 1$ then $\mathcal{Q}_{p,0}^*$ isomorphic to the Hardy-Sobolev space \mathcal{W} resp. the Besov space \mathcal{M} , which consists of all $f \in \mathcal{H}$ obeying

$$\|f\|_{\mathcal{W}} = \int_{\partial\Delta} |f'(z)| |dz| < \infty \quad \text{resp.} \quad \|f\|_{\mathcal{M}} = \int_{\Delta} [|f'(z)| + |f''(z)|] dm(z) < \infty.$$

It would be interesting to provide a function-theoretic characterization of $\mathcal{Q}_{p,0}^*$ similar to that of \mathcal{D} , \mathcal{W} or \mathcal{M} .

2 Polynomial Density

Although Theorem 1.1 actually tells us that \mathcal{Q}_p and $\mathcal{Q}_{p,0}$ are Banach spaces under $\|\cdot\|_{\mathcal{Q}_p}$, since $\mathcal{Q}_{p,0}$ contains all polynomials, it is worth to consider the density of \mathcal{P} , the class of the polynomials, and hence to imply that $\mathcal{Q}_{p,0}$ is a closed subspace of \mathcal{Q}_p with respect to the norm $\|\cdot\|_{\mathcal{Q}_p}$.

Theorem 2.1. *Let $p \in (0, \infty)$ and let $f \in \mathcal{Q}_p$ with $f_r(z) = f(rz)$ for $r \in (0, 1)$. Then the following are equivalent: (i) $f \in \mathcal{Q}_{p,0}$; (ii) $\lim_{r \rightarrow 1} \|f_r - f\|_{\mathcal{Q}_p} = 0$. (iii) f belongs to the closure of \mathcal{P} in the norm $\|\cdot\|_{\mathcal{Q}_p}$. (iv) For any $\epsilon > 0$ there is a $g \in \mathcal{Q}_{p,0}$ such that $\|g - f\|_{\mathcal{Q}_p} < \epsilon$.*

Proof. Since the implications: (ii) \Rightarrow (iii), (iii) \Rightarrow (iv) and (iv) \Rightarrow (i) are nearly obvious, it suffices to verify the implication: (i) \Rightarrow (ii). Let $f \in \mathcal{Q}_p$. An application of Poisson's formula to f_r gives

$$f_r(z) = \frac{1}{2\pi} \int_{\partial\Delta} f(z\zeta) \frac{1-r^2}{|1-r\bar{\zeta}|^2} |d\zeta|. \quad (2.1)$$

Derivating both sides of (2.1) with respect to z , integrating and using Minkowski's inequality, one has

$$\begin{aligned} [E_p(f_r, w)]^{\frac{1}{2}} &\leq \frac{1}{2\pi} \int_{\partial\Delta} \left[\int_{\Delta} |f'(z\zeta)|^2 [1 - |\sigma_w(z)]^p dm(z) \right]^{\frac{1}{2}} \frac{1-r^2}{|1-r\bar{\zeta}|^2} |d\zeta| \\ &= \frac{1}{2\pi} \int_{\partial\Delta} [E_p(f, \bar{\zeta}w)]^{\frac{1}{2}} \frac{1-r^2}{|1-r\bar{\zeta}|^2} |d\zeta|. \end{aligned} \quad (2.2)$$

Consequently, $\|f_r\|_{\mathcal{Q}_p} \leq \|f\|_{\mathcal{Q}_p}$. Furthermore, if $f \in \mathcal{Q}_{p,0}$, then by (2.2), $\lim_{|w| \rightarrow 1} E_p(f_r - f, w) = 0$ holds for a fixed $r \in (0, 1)$. Also, for a given $\eta \in (0, 1)$ it is not hard (by dividing the integral into two parts) to determine $\lim_{r \rightarrow 1} \sup_{|w| \leq \eta} E_p(f_r - f, w) = 0$. Summing up, we see that $\lim_{r \rightarrow 1} \|f_r - f\|_{\mathcal{Q}_p} = 0$ and hence (i) \Rightarrow (ii) holds. \blacksquare

Corollary 2.2. *Let $p \in (0, \infty)$. Then $(\mathcal{Q}_{p,0}, \|\cdot\|_{\mathcal{Q}_p})$ is a separable closed subspace of $(\mathcal{Q}_p, \|\cdot\|_{\mathcal{Q}_p})$.*

As for $f \in \mathcal{Q}_p$, denote by $d(f, \mathcal{Q}_{p,0})$ the distance of f to $\mathcal{Q}_{p,0}$, namely, $d(f, \mathcal{Q}_{p,0}) = \inf_{h \in \mathcal{Q}_{p,0}} \|f - h\|_{\mathcal{Q}_p}$. Meanwhile, put

$$\delta_{\mathcal{Q}_p}(f) = \limsup_{|w| \rightarrow 1} [E_p(f, w)]^{\frac{1}{2}}.$$

The argument for Theorem 2.1 can infer the following result.

Corollary 2.3. *Let $p \in (0, \infty)$ and let $f \in \mathcal{Q}_p$. Then*

$$\delta_{\mathcal{Q}_p}(f) \leq d(f, \mathcal{Q}_{p,0}) \leq 2\delta_{\mathcal{Q}_p}(f). \quad (2.3)$$

Proof. On the one hand, since $\lim_{|w| \rightarrow 1} E_p(h, w) = 0$ for any $h \in \mathcal{Q}_{p,0}$, by the triangle inequality of $\|\cdot\|_{\mathcal{Q}_p}$ one has

$$[E_p(f, w)]^{\frac{1}{2}} \leq [E_p(f - h, w)]^{\frac{1}{2}} + [E_p(h, w)]^{\frac{1}{2}};$$

consequently,

$$\delta_{\mathcal{Q}_p}(f) \leq \sup_{w \in \Delta} [E_p(f - h, w)]^{\frac{1}{2}}$$

for every $h \in \mathcal{Q}_{p,0}$. In other words, the left-hand side estimate of (2.3) holds.

On the other hand, if $f \in \mathcal{Q}_p$ with $f_r(z) = f(rz)$, $r \in (0, 1)$, then $d(f, \mathcal{Q}_{p,0}) \leq \|f - f_r\|_{\mathcal{Q}_p}$, owing to $f_r \in \mathcal{Q}_{p,0}$. From the proof of Theorem 2.1 it is seen that for arbitrary $\epsilon > 0$ and a fixed $\eta \in (0, 1)$, there is an $r_0 \in (0, 1)$ such that as $r \in [r_0, 1)$,

$$[E_p(f - f_r, w)]^{1/2} \leq (1 - \eta)^{-p} [E_p(f - f_r, 0)]^{1/2} < \epsilon.$$

Hence by (2.2),

$$d(f, \mathcal{Q}_{p,0}) \leq \|f - f_r\|_{\mathcal{Q}_p} \leq \epsilon + \sup_{|w| \geq \eta} [E_p(f - f_r, w)]^{1/2} \leq \epsilon + 2 \sup_{|w| \geq \eta} [E_p(f, w)]^{1/2}.$$

This implies that the right-hand side of (2.3) is true. \blacksquare

Remark 2.4. a) Theorem 2.1 is an extension of the corresponding results on \mathcal{B}_0 and VMOA. See also [An, Theorem 1; Si4, p.236].

b) For (analytic and geometric) estimates of the distance to VMOA (related to Corollary 2.3), see also [AxSha, CaCu, StSt].

c) In the case $p \in (0, 1)$, the density of the polynomials in $\mathcal{Q}_{p,0}$ doesn't mean that the disc algebra \mathcal{A} (consisting of functions $f \in \mathcal{H}$ continuous on $\partial\Delta$) is a subset of $\mathcal{Q}_{p,0}$. Indeed, let $f_1(z) = \sum_{k=0}^{\infty} 2^{-k(1-p)/2} z^{2^k}$. Then from [AuXiZh, Theorem 6] it

follows that $f_1 \in \mathcal{A} \setminus \mathcal{Q}_p$. This phenomenon distinguishes the cases $p \in (0, 1)$ from the cases $p \in [1, \infty)$.

d) An example of an unbounded function in $\mathcal{Q}_{p,0}$ is easily constructed by using the Riemann mapping theorem. Let Ω be the inside domain of the curve $\gamma = \gamma_1 \cup \gamma_2 \cup \gamma_3$, where

$$\begin{cases} \gamma_1 = \{(x, y) \in \mathbb{C} : x^2 + y^2 = 1, & x \in [-1, 0]\}; \\ \gamma_2 = \{(x, y) \in \mathbb{C} : y - e^{-x} = 0, & x \in [0, \infty)\}; \\ \gamma_3 = \{(x, y) \in \mathbb{C} : y + e^{-x} = 0, & x \in [0, \infty)\}. \end{cases}$$

Let f_2 be a conformal map of Δ onto Ω . Clearly, f_2 is unbounded, but in $\mathcal{D} \subset \mathcal{Q}_{p,0}$, owing to $\|f_2\|_{\mathcal{D}}^2 = (\pi^2 + 4)/2$.

3 Extreme Points

Given a norm $\|\cdot\|_X$ on a Banach space X . In studying $(X, \|\cdot\|_X)$, one problem of considerable interest is that of characterizing the geometry of the unit closed ball

$$(B_X, \|\cdot\|_X) = \{f \in X : \|f\|_X \leq 1\}.$$

In particular, we would like to find the extreme points of $(B_X, \|\cdot\|_X)$, namely, the points in $(B_X, \|\cdot\|_X)$ which are not a proper convex combination of two different points of $(B_X, \|\cdot\|_X)$. The problem addressed here deals with the extreme points of $(B_{\mathcal{Q}_{p,0}}, \|\cdot\|_{\mathcal{Q}_p})$ in order to better understand the linear structure of $\mathcal{Q}_{p,0}$.

The following result is the Proposition 1 in [CiWo].

Lemma 3.1. *Let X and Y be Banach spaces with norms $\|\cdot\|_X$ and $\|\cdot\|_Y$ respectively. Let $N : [0, \infty) \times [0, \infty) \rightarrow \mathbb{R}$ be a function so that $(x, y) \rightarrow N(|x|, |y|)$ is a norm on \mathbb{R}^2 . Define a norm $\|\cdot\|_Z$ on $Z = X \oplus Y$ by*

$$\|x \oplus y\|_Z = N(\|x\|_X, \|y\|_Y), \quad \text{for } x \in X, \quad y \in Y.$$

Then $x \oplus y$ is an extreme point of $(B_Z, \|\cdot\|_Z)$ if and only if the following three conditions hold: (i) x is an extreme point of the closed ball of radius $\|x\|_X$ of X . (ii) y is an extreme point of the closed ball of radius $\|y\|_Y$ of Y . (iii) $(\|x\|_X, \|y\|_Y)$ is an extreme point of the unit closed ball of \mathbb{R}^2 with the norm N .

Before stating our result, we still need another useful lemma whose hyperbolic version is presented in [SmZh, Lemma 2.3].

Lemma 3.2. *Let $p \in (0, \infty)$ and $f \in \mathcal{H}$. If $E_p(f, w)$ is finite for some $w \in \Delta$, then $E_p(f, \cdot)$ is a continuous function on Δ .*

Proof. It is easy to figure out that for three points $z, w_1, w_2 \in \Delta$,

$$\frac{(1 - |w_1|)(1 - |w_2|)}{4} \leq \frac{1 - |\sigma_{w_1}(z)|^2}{1 - |\sigma_{w_2}(z)|^2} \leq \frac{4}{(1 - |w_1|)(1 - |w_2|)}. \quad (3.1)$$

This indicates that if $E_p(f, \cdot)$ is finite at some point of Δ then so is it at all points of Δ . Let now $E_p(f, w) < \infty$. To prove the continuity of $E_p(f, \cdot)$, it suffices to verify

that it is continuous at w . For this end, one assumes that $\{w_n\} \subset \Delta$ is convergent to w . Then there is a positive integer n_0 such that as $n \geq n_0$, $1 - |w_n| \geq (1 - |w|)/2$ and thus, by (3.1),

$$\frac{1 - |\sigma_{w_n}(z)|^2}{1 - |\sigma_w(z)|^2} \leq \frac{8}{(1 - |w|)^2}.$$

Accordingly,

$$|E_p(f, w_n) - E_p(f, w)| \leq \left[1 + \frac{8^p}{(1 - |w|)^{2p}} \right] E_p(f, w) < \infty.$$

An application of the Lebesgue Dominated Convergence Theorem implies that $E_p(f, w_n) \rightarrow E_p(f, w)$ as $n \rightarrow \infty$, i.e., $E_p(f, \cdot)$ is continuous at w . The proof is complete. \blacksquare

It is a classical result that for a Hilbert space X (certainly, including \mathcal{D}), the extreme points of $(B_X, \|\cdot\|_X)$ are precisely those on the unit sphere:

$$(S_X, \|\cdot\|_X) = \{f \in X : \|f\|_X = 1\}.$$

The following (which seems surprising to us) says that this is also valid for the non-Hilbert space $\mathcal{Q}_{p,0}$.

Theorem 3.3. *Let $p \in (0, \infty)$ and $f \in \mathcal{Q}_{p,0}$. Then f is an extreme point of $(B_{\mathcal{Q}_{p,0}}, \|\cdot\|_{\mathcal{Q}_p})$ if and only if either $f \equiv \lambda$ with $|\lambda| = 1$ or $f(0) = 0$ with $\|f\|_{\mathcal{Q}_p} = 1$.*

Proof. For $p \in (0, \infty)$ let $\mathcal{Q}_{p,0}^0 = \{f \in \mathcal{Q}_{p,0} : f(0) = 0\}$. Notice that $\|f\|_{\mathcal{Q}_p} = |f(0)| + \|f\|_{\mathcal{Q}_p}$ for $f \in \mathcal{Q}_{p,0}$. So by Lemma 3.1, we need only to verify that a function $f \in \mathcal{Q}_{p,0}^0$ is an extreme point of the unit closed ball $B_{\mathcal{Q}_{p,0}^0}$ in $\mathcal{Q}_{p,0}^0$ if and only if $\|f\|_{\mathcal{Q}_p} = 1$.

The necessity is essentially trivial. The key is to argue the sufficiency. Now suppose that f lies in $\mathcal{Q}_{p,0}^0$ with $\|f\|_{\mathcal{Q}_p} = 1$. Since $\lim_{|w| \rightarrow 1} E_p(f, w) = 0$, there is an $r \in (0, 1)$ such that $\sup_{|w| > r} E_p(f, w) \leq 1/2$. Consequently, we have

$$1 = \|f\|_{\mathcal{Q}_p} = \sup_{w \in \Delta} E_p(f, w) = \max_{|w| \leq r} E_p(f, w).$$

Applying Lemma 3.2 to this f , we see that $E_p(f, \cdot)$ is continuous on the compact set $\{w \in \Delta : |w| \leq r\}$, and thus there exists a $w_0 \in \Delta$ ($|w_0| \leq r$) to ensure $E_p(f, w_0) = 1$.

Let g be any function in $\mathcal{Q}_{p,0}^0$ such that $\|f + g\|_{\mathcal{Q}_p} \leq 1$ and $\|f - g\|_{\mathcal{Q}_p} \leq 1$. Then

$$E_p(f, w_0) + E_p(g, w_0) = 2^{-1}[E_p(f + g, w_0) + E_p(f - g, w_0)] \leq 1.$$

Therefore $E_p(g, w_0) = 0$. This implies that $g = 0$. We conclude that f is extreme. \blacksquare

The previous proof actually leads to a sufficient condition for $f \in \mathcal{Q}_p$ to be an extreme point of $(B_{\mathcal{Q}_p}, \|\cdot\|_{\mathcal{Q}_p})$.

Corollary 3.4. *Let $p \in (0, \infty)$ and $f \in \mathcal{Q}_p$. If f is an extreme point of $(B_{\mathcal{Q}_p}, \|\cdot\|_{\mathcal{Q}_p})$ then $\|f\|_{\mathcal{Q}_p} = 1$. Conversely, if either $f \equiv \lambda$ with $|\lambda| = 1$ or there exists a point $w_0 \in \Delta$ such that $E_p(f, w_0) = 1$, then f is an extreme point of $(B_{\mathcal{Q}_p}, \|\cdot\|_{\mathcal{Q}_p})$.*

Remark 3.5. a) Different norms produce different sets of the extreme points. This viewpoint is reflected by our Theorem 3.3, Cima-Wogen's Corollary 1 and Theorem 2 in [CiWo], and Axler-Shields' Theorem in [AxShi].

b) It would be interesting to give a full description of the extreme points of $(B_{\mathcal{Q}_p}, \|\cdot\|_{\mathcal{Q}_p})$.

4 Composition Semigroups

Let now $\{\psi_t : t \geq 0\}$ be a composition semigroup of the holomorphic self-maps ψ_t of Δ , that is: $\psi_t \circ \psi_s = \psi_{t+s}$ for $t, s \geq 0$; $\psi_0(z) = z$; and $\psi_t(z)$ is continuous in two-parameters: t and z .

A composition semigroup always consists of univalent functions and all such semigroups can be classified in two classes Ψ_0 and Ψ_1 , according to whether the common fixed point of ψ_t is in Δ or on $\partial\Delta$. Without loss of generality, one can assume that the fixed point is 0 for Ψ_0 and 1 for Ψ_1 (where the fixed point 1 is understood to be the Denjoy-Wolff point, namely, $\lim_{r \rightarrow 1} \psi_t(r) = 1$ for any $\{\psi_t\} \in \Psi_1$). Hence

- $\{\psi_t\} \in \Psi_0$ is of the form $\psi_t(z) = h^{-1}(e^{-ct}h(z))$, where $\Re c \geq 0$ and $h \in \mathcal{H}$ with: $h(0) = 0$ and $w \exp(-ct) \in h(\Delta)$ for each $w \in h(\Delta)$.
- $\{\psi_t\} \in \Psi_1$ has the form $\psi_t(z) = h^{-1}(ct + h(z))$, where $\Re c \geq 0$, $h \in \mathcal{H}$ with: $h(0) = 0$ and $\Re(c^{-1}(z-1)^2h'(z)) \geq 0$ for each $z \in \Delta$.

It is clear that each semigroup $\{\psi_t\}$ induces a one-parameter operator semigroup by composition $\{C_{\psi_t}\} : C_{\psi_t}(f) = f \circ \psi_t$. As in the \mathcal{D} -setting [Si3], more is true:

Theorem 4.1. *Let $p \in (0, \infty)$. Then $\{C_{\psi_t}\}$ is strongly continuous on $\mathcal{Q}_{p,0}$. Moreover (i) The infinitesimal generator of $\{C_{\psi_t}\}$ is given by $\Gamma(f) = Gf'$ and its domain is $\{f \in \mathcal{Q}_{p,0} : Gf' \in \mathcal{Q}_{p,0}\}$, where $G = -c(h/h')$ or c/h' whenever $\{\psi_t\} \in \Psi_0$ or Ψ_1 . (ii) $\{C_{\psi_t}\}$ is not continuous in the uniform topology unless it is trivial. (iii)*

The growth bound $\omega = \lim_{t \rightarrow \infty} t^{-1} \log \|C_{\psi_t}\| = 0$, where

$$\|C_{\psi_t}\| = \inf\{M : \|C_{\psi_t}(f)\|_{\mathcal{Q}_p} \leq M \|f\|_{\mathcal{Q}_p}, \quad f \in \mathcal{Q}_{p,0}\}.$$

Proof. Notice that if a holomorphic map $\psi : \Delta \rightarrow \Delta$ is univalent then

$$E_p(f \circ \psi, w) \leq \int_{\psi(\Delta)} |f'(z)|^2 [1 - |\sigma_{\psi(w)}(z)|]^p dm(z),$$

and so the composition $C_\psi(f) = f \circ \psi$ exists as a bounded linear operator on \mathcal{Q}_p with

$$\|C_\psi(f)\|_{\mathcal{Q}_p} \leq \left[1 + \left(\frac{2^{p-1}}{\pi}\right)^{1/2} \log \frac{1 + |\psi(0)|}{1 - |\psi(0)|}\right] \|f\|_{\mathcal{Q}_p} = K_1 \|f\|_{\mathcal{Q}_p}. \quad (4.1)$$

Moreover, if $f \in \mathcal{Q}_{p,0}$ and $\epsilon > 0$, then by Theorem 2.1, there exists a polynomial p_n such that $\|f - p_n\|_{\mathcal{Q}_p} < \epsilon$. Thus (4.1) implies $\|C_\psi(f) - C_\psi(p_n)\|_{\mathcal{Q}_p} < \epsilon K_1$. Owing to $C_\psi(p_n) \in \mathcal{Q}_{p,0}$, it follows that $C_\psi(f) \in \mathcal{Q}_{p,0}$. Therefore $C_\psi : \mathcal{Q}_{p,0} \rightarrow \mathcal{Q}_{p,0}$ exists as a bounded operator with $\|C_\psi\| \leq K_1$.

In order to show that each semigroup $\{C_{\psi_t}\}$ is strongly continuous on $\mathcal{Q}_{p,0}$, it suffices to verify that $\lim_{t \rightarrow 0} \|C_{\psi_t}(f) - f\|_{\mathcal{Q}_p} = 0$ for every $f \in \mathcal{Q}_{p,0}$ and every $\{\psi_t\} \in \Psi_0 \cup \Psi_1$. Since the polynomials are dense in $(\mathcal{Q}_{p,0}, \|\cdot\|_{\mathcal{Q}_p})$ but also (4.1) infers that

$$\|C_{\psi_t}(f) - f\|_{\mathcal{Q}_p} \leq K_1 \|f - P\|_{\mathcal{Q}_p} + \|C_{\psi_t}(P) - P\|_{\mathcal{Q}_p}$$

holds for any polynomial P , it is enough, by the properties of Ψ_0 and Ψ_1 , to prove $\lim_{t \rightarrow 0} \|\psi_t - z\|_{\mathcal{Q}_p} = 0$. While, this is a simple thing in that $\lim_{t \rightarrow 0} \|\psi_t - z\|_{\mathcal{D}} = 0$ and $\|\psi_t - z\|_{\mathcal{Q}_p} \leq \|\psi_t - z\|_{\mathcal{D}}$.

The infinitesimal generator Γ of C_{ψ_t} is determined by

$$\Gamma(f)(z) = \left. \frac{\partial C_{\psi_t}(f)(z)}{\partial t} \right|_{t=0} = G(z)f'(z),$$

where G is the generator of $\{\psi_t\}$:

$$G = \begin{cases} \frac{-ch}{h'}, & \{\psi_t\} \in \Phi_0 \\ \frac{c}{h'}, & \{\psi_t\} \in \Phi_1. \end{cases}$$

By definition, the domain of Γ is the following set:

$$D(\Gamma) = \left\{ f \in \mathcal{Q}_{p,0} : \lim_{t \rightarrow 0} \frac{C_{\psi_t}(f) - f}{t} \text{ exists in } \mathcal{Q}_{p,0} \right\}.$$

On the one hand, if $f \in D(\Gamma)$ then some calculations involving Ψ_0 and Ψ_1 deduce that f meets the requirements of the domain stated in Theorem 4.1 (ii). On the other hand, if f is in $\mathcal{Q}_{p,0}$ with $g = Gf' \in \mathcal{Q}_{p,0}$ and G being as above, then for $t > 0$ one has

$$\frac{1}{t} \int_0^t C_{\psi_s}(g) ds = \frac{C_{\psi_t}(f) - f}{t}.$$

Because $\{C_{\psi_t}\}$ is a strongly continuous semigroup, the left-hand side of the last equation has a limit g as $t \rightarrow 0$, with respect to $\|\cdot\|_{\mathcal{Q}_p}$. Accordingly, $f \in D(\Gamma)$.

Next, observe that the strong continuity of $\{C_{\psi_t}\}$ is equivalent to the boundedness of $\Gamma : \Gamma(f) = Gf'$ (cf. [Si4, p.231]). So if Γ is bounded on $\mathcal{Q}_{p,0}$ then $Gf' \in \mathcal{Q}_{p,0}$ when $f \in \mathcal{Q}_{p,0}$. In particular,

$$\|\Gamma(f_{n,p})\|_{\mathcal{Q}_p} \leq \|\Gamma\| \|f_{n,p}\|_{\mathcal{Q}_p}, \quad (4.2)$$

where $\|\Gamma\|$ means the norm of operator Γ , and for each integer $n \geq 1$,

$$f_{n,p}(z) = \begin{cases} z^n, & p \geq 1 \\ \frac{z^n}{n}, & p \in (0, 1). \end{cases}$$

Now consider $p \in (0, 1)$. Clearly, $\|f_{n,p}\|_{\mathcal{Q}_p} \leq 2$. If $G(z) = \sum_{k=0}^{\infty} a_k z^k$, then through (4.2) and some elementary calculations, we can find out a constant $K_2 > 0$ depending only on $p \in (0, 1)$ such that

$$\sum_{k=0}^{\infty} |a_k|^2 (k+n-1)^{1-p} \leq K_2 \|\Gamma\|.$$

This derives all $a_k = 0$, and so $G = 0$ which is impossible.

The \mathcal{B}_0 and VMOA settings may be similarly treated, using the facts: $\mathcal{Q}_{1,0} = \text{VMOA}$ and $\mathcal{Q}_{p,0} = \mathcal{B}_0$ for $p > 1$.

Finally, let us come to the proof of $\omega = 0$. Since all C_{ψ_t} keep 1 unchanged, one always has $\|C_{\psi_t}\| \geq 1$ and so $\omega \geq 0$. Further, if $\{\psi_t\} \in \Psi_0$, then $\omega = 0$ in that $\psi_t(0) = 0$ and thus $\|C_{\psi_t}\| \leq 1$ (thanks to the constant K_1 above). However, if $\{\psi_t\} \in \Psi_1$ then by [Si3,(3.3)],

$$\limsup_{t \rightarrow \infty} \frac{\log \log[1/(1 - |\psi_t(0)|)]}{t} \leq 0,$$

which, together with $\|C_{\psi_t}\| \leq K_1$, implies $\omega \leq 0$ and hence $\omega = 0$. The proof is complete. \blacksquare

Remark 4.2. a) $\lambda - \Gamma$ is invertible on $\mathcal{Q}_{p,0}$ whenever $\Re \lambda > 0$, and

$$(\lambda - \Gamma)^{-1}(f) = \int_0^\infty e^{-\lambda t} C_{\psi_t}(f) dt.$$

In addition, the spectral radius of C_{ψ_t} (acting on $\mathcal{Q}_{p,0}$) is 1.

b) Suppose $\{\psi_t\} \in \Psi_0$ and n is a natural number. Then, as in [Si3, Corollary 2], the semigroup $S_t(f) = (\psi_t)^n C_{\psi_t}(f)$ is strongly continuous on $\mathcal{Q}_{p,0}$ with generator

$$\Gamma_n(f)(z) = -c[h(z)/h'(z)]f'(z) - cn[h(z)/(zh'(z))]f(z).$$

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