

Degeneration of Fermat hypersurfaces in positive characteristic

Thanh HOAI HOANG

(Received August 25, 2015)

(Revised February 29, 2016)

ABSTRACT. We work over an algebraically closed field k of positive characteristic p . Let q be a power of p . Let A be an $(n+1) \times (n+1)$ matrix with coefficients a_{ij} in k , and let X_A be a hypersurface of degree $q+1$ in the projective space \mathbf{P}^n defined by $\sum a_{ij}x_i x_j^q = 0$. It is well-known that if the rank of A is $n+1$, the hypersurface X_A is projectively isomorphic to the Fermat hypersurface of degree $q+1$. We investigate the hypersurfaces X_A when the rank of A is n , and determine their projective isomorphism classes.

1. Introduction

We work over an algebraically closed field k of positive characteristic p . Let q be a power of p . Let n be a positive integer. We denote by $M_{n+1}(k)$ the set of square matrices of size $n+1$ with coefficients in k . For a nonzero matrix $A = (a_{ij})_{0 \leq i, j \leq n} \in M_{n+1}(k)$, we denote by X_A the hypersurface of degree $q+1$ defined by the equation

$$\sum a_{ij}x_i x_j^q = 0$$

in the projective space \mathbf{P}^n with homogeneous coordinates (x_0, x_1, \dots, x_n) . The following is well-known ([2], [10], [14], see also §4 of this paper).

PROPOSITION 1. *Let $A = (a_{ij})_{0 \leq i, j \leq n} \in M_{n+1}(k)$ and $X_A \subset \mathbf{P}^n$ be as above. Then the following conditions are equivalent:*

- (i) $\text{rank}(A) = n+1$,
- (ii) X_A is smooth,
- (iii) X_A is isomorphic to the Fermat hypersurface of degree $q+1$, and
- (iv) there exists a linear transformation of coordinates $T \in GL_{n+1}(k)$ such that ${}^t T A T^{(q)} = I_{n+1}$, where ${}^t T$ is the transpose of T , $T^{(q)}$ is the matrix obtained from T by raising each coefficient to its q -th power, and I_{n+1} is the identity matrix.

2010 *Mathematics Subject Classification.* Primary 14J70, secondary 14J50.

Key words and phrases. Degeneration, Fermat hypersurface, positive characteristic.

The Fermat hypersurface of degree $q+1$ defined over an algebraically closed field of positive characteristic p has been a subject of numerous papers. It has many interesting properties, such as supersingularity ([15], [16], [17]), or unirationality ([13], [15], [16]). Moreover, the hypersurface X_A associated with the matrix A with coefficients a_{ij} in the finite field \mathbf{F}_{q^2} , which is called a Hermitian variety, has also been studied for many applications, such as coding theory ([8]). (The general results on Hermitian varieties are due to Segre [11]; see also [6]). Therefore it is important to extend these studies to degenerate cases.

In the case where characteristic $p \neq 2$, the following is well-known and can be found in any standard textbook on quadratic forms: the hypersurface defined by the quadratic form $\sum a_{ij}x_ix_j = 0$ is projectively isomorphic to the hypersurface defined by

$$x_0^2 + \cdots + x_{r-1}^2 = 0,$$

where r is the rank of $A = (a_{ij})$. This result has been extended the case of characteristic 2 (see [3]). Therefore we have a question what is the normal form of the hypersurfaces defined by a form $\sum a_{ij}x_ix_j^q = 0$. When A satisfies ${}^tA = A^{(q)}$ and hence this form is the Hermitian form over \mathbf{F}_q , the hypersurface X_A is projectively isomorphic over \mathbf{F}_{q^2} to

$$x_0^{q+1} + \cdots + x_{r-1}^{q+1} = 0,$$

where r is the rank of A ([5]).

In this paper, we classify the hypersurfaces X_A associated with the matrices A of rank n over an algebraically closed field. Note that two hypersurfaces $X_A, X_{A'}$ associated with the matrices A, A' are projectively isomorphic if and only if there exists a linear transformation $T \in GL_{n+1}(k)$ such that $A' = {}^tTAT^{(q)}$. In this case, we write $A \sim A'$.

We define I_s to be the $s \times s$ identity matrix, and E_r to be the $r \times r$ matrix

$$\begin{pmatrix} 0 & 0 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & 1 & 0 \end{pmatrix}.$$

In particular, $E_1 = (0)$ and E_0 is the 0×0 matrix. Throughout this paper, a blank in a block decomposition of a matrix means that all the components of the block are 0. Our main result is as follow.

THEOREM 1. *Let $A = (a_{ij})_{0 \leq i, j \leq n}$ be a nonzero matrix in $M_{n+1}(k)$, and let X_A be the hypersurface of degree $q + 1$ defined by $\sum a_{ij} x_i x_j^q = 0$ in the projective space \mathbf{P}^n with homogeneous coordinates (x_0, x_1, \dots, x_n) . Suppose that the rank of A is n . Then the hypersurface X_A is projectively isomorphic to one of the hypersurfaces X_s associated with the matrices*

$$W_s = \left(\begin{array}{c|c} I_s & \\ \hline & E_{n-s+1} \end{array} \right),$$

where $0 \leq s \leq n$. Moreover, if $s \neq s'$, then X_s and $X_{s'}$ are not projectively isomorphic.

COROLLARY 1. *If A is a general point of $\{A \in M_{n+1}(k) \mid \text{rank}(A) = n\}$, then $A \sim W_{n-1}$.*

COROLLARY 2. *Suppose that $n \geq 2$, $s < n$ and $(n, s) \neq (2, 0)$. Then X_s is rational.*

We also determine the automorphism group

$$\text{Aut}(X_s) = \{g \in PGL_{n+1}(k) \mid g(X_s) = X_s\},$$

of the hypersurface X_s for each s . For $M \in GL_{n+1}(k)$, we denote by $[M] \in PGL_{n+1}(k)$ the image of M by the natural projection.

THEOREM 2. *Let X_s be the hypersurface associated with the matrix W_s in the projective space \mathbf{P}^n . The projective automorphism group $\text{Aut}(X_s)$ with $s \leq n - 2$ is the group consisting of $[M]$, with*

$$M = \left(\begin{array}{c|c|c} T & {}^t\mathbf{a} & 0 \\ \hline 0 & d & 0 \\ \hline \mathbf{c} & e & 1 \end{array} \right),$$

where $T \in GL_{n-1}(k)$, \mathbf{a}, \mathbf{c} are row vectors of dimension $n - 1$, $d, e \in k$, and they satisfy the following conditions:

- (i) $[T] \in \text{Aut}(X_s^{n-2})$, ${}^tTW_s' T^{(q)} = \delta W_s'$, $\delta = \delta^q \neq 0$, where X_s^{n-2} is the hypersurface defined in \mathbf{P}^{n-2} by the matrix

$$W_s' = \left(\begin{array}{c|c} I_s & \\ \hline & E_{n-s-1} \end{array} \right)$$

- (ii) $d = \delta$,
- (iii) $[\mathbf{a}W'_s + d(0, \dots, 0, 1)] \cdot T^{(q)} = \delta(0, \dots, 0, 1)$,
- (iv) ${}^tTW'_s \cdot {}^t\mathbf{a}^{(q)} + {}^t\mathbf{c}d^q = 0$, and
- (v) $[\mathbf{a}W'_s + d(0, \dots, 0, 1)] \cdot {}^t\mathbf{a}^{(q)} + ed^q = 0$.

Moreover, we have

$$\text{Aut}(X_n) = \left\{ \left[\begin{array}{c|c} T_n & \\ \hline \mathbf{u} & 1 \end{array} \right] \middle| \begin{array}{l} {}^tT_n T_n^{(q)} = \lambda I_n, T_n \in GL_n(k), \lambda \neq 0, \\ \mathbf{u} \text{ is a row vector of dimension } n \end{array} \right\},$$

and

$$\text{Aut}(X_{n-1}) = \left\{ \left[\begin{array}{c|c|c} T_{n-1} & & \\ \hline & \beta & \\ \hline & & 1 \end{array} \right] \middle| \begin{array}{l} {}^tT_{n-1} T_{n-1}^{(q)} = \beta^q I_{n-1}, \\ T_{n-1} \in GL_{n-1}(k), 0 \neq \beta \in k \end{array} \right\}$$

We give a brief outline of our paper. In §2, we prove Theorem 1 and its corollaries. In §3, we prove Theorem 2. In §4, we recall the proof of Proposition 1 because this proposition plays an important role in the proof of Theorem 1. In §5, we investigate the plane curve X_A associated with the matrix A of rank ≤ 2 in the projective plane \mathbf{P}^2 , and recovers Homma’s unpublished work [9] (see Remark 5).

The author thanks Professor Ichiro Shimada for helpful discussions and comments. A part of the proof of Theorem 1 was proved by Shimada. The author also thanks Professor Masaaki Homma for sending his paper [9], and the referee for his/her suggestion on the first version of this paper.

2. Proofs of Theorem 1 and its corollaries

We present several preliminary lemmas. The following remark may be helpful in reading the proof of lemmas.

REMARK 1. *Let*

$$T = \begin{pmatrix} t_{00} & \cdots & t_{0n} \\ \vdots & \ddots & \vdots \\ t_{n0} & \cdots & t_{nn} \end{pmatrix}$$

be an invertible matrix. Suppose that $\sum a_{ij}x_i x_j^q = 0$ is the equation associated to a matrix $A = (a_{ij})_{0 \leq i, j \leq n}$. Then the operation

$$A \mapsto {}^tTAT^{(q)}$$

on the matrix is equivalent to the transformation of the coordinates

$$x_i \mapsto \sum_{j=0}^n t_{ij} x_j,$$

where $0 \leq i \leq n$.

LEMMA 1. *Put*

$$G_{s,r} = \left(\begin{array}{c|c|c} I_s & & \\ \hline & E_r & \\ \hline \mathbf{a} & 0 \cdots 0 & 1 \\ 0 & 0 & \\ \vdots & \vdots & \\ 0 & 0 & E_{n-s-r+1} \end{array} \right),$$

and

$$G_{s,r+2} = \left(\begin{array}{c|c|c} I_s & & \\ \hline & E_{r+2} & \\ \hline \mathbf{a}^{(q^2)} & 0 \cdots 0 & 1 \\ 0 & 0 & \\ \vdots & \vdots & \\ 0 & 0 & E_{n-s-r-1} \end{array} \right),$$

where $s \geq 1$, $r \geq 0$, $n - s - r - 1 \geq 0$, and \mathbf{a} is a nonzero row vector of dimension s . Then

$$G_{s,r} \sim G_{s,r+2}.$$

PROOF. By the transformation

$$T_G = \left(\begin{array}{c|c|c|c|c} I_s & & -{}^t\mathbf{a} & & \\ \hline & I_r & & & \\ \hline & & 1 & & \\ \hline \mathbf{a}^{(q)} & & & 1 & \\ \hline & & & & I_{n-s-r-1} \end{array} \right),$$

we have

$${}^t T_G G_{s,r} T_G^{(q)} = G_{s,r+2}. \quad \square$$

REMARK 2. *Lemma 1 holds when $r = 0$ or $n - s - r - 1 = 0$. In particular, when $n - s - r - 1 = 0$, we have $G_{s,r+2} = W_s$.*

LEMMA 2. *Put*

$$H_{s,r} = \left(\begin{array}{c|ccc|c|c} D_{s-1} & -{}^t \mathbf{a}'' & 0 & \cdots & 0 & & \\ \hline -\mathbf{a}' & & & & & & \\ 0 & & & & & & \\ \vdots & & & & & & \\ 0 & & E_r & & & & \\ \hline & 0 & \cdots & 0 & 1 & 1 & \\ \hline & & & & & 1 & \\ & & & & & 0 & \\ & & & & & \vdots & \\ & & & & & 0 & E_{n-s-r+1} \end{array} \right),$$

where $s \geq 1$, $r \geq 2$, $n - s - r - 1 \geq 1$, $D_{s-1} \in M_{s-1}(k)$, \mathbf{a}' and \mathbf{a}'' are row vectors of dimension $s - 1$. Then

$$H_{s,r} \sim H_{s,r+2}.$$

PROOF. By the transformation

$$T_H = \left(\begin{array}{c|ccc|c|c} I_{s+r-1} & & & & & & \\ \hline & 1 & & & & & \\ \hline & -1 & 1 & 1 & & & \\ \hline & & & & 1 & & \\ \hline & & & & & & I_{n-s-r-1} \end{array} \right),$$

we have

$${}^t T_H H_{s,r} T_H^{(q)} = H_{s,r+2}. \quad \square$$

LEMMA 3. *Put*

$$H'_{s,r} = \left(\begin{array}{c|c|c|c|c|c} D_{s-1} & & & & & \\ \hline -\mathbf{a}' & 0 & & & & \\ \hline & 1 & & & & \\ & 0 & & & & \\ & \vdots & E_r & & & \\ & 0 & & & & \\ \hline & & 0 \cdots 0 & 1 & 0 & 1 \\ \hline & & & & 1 & 0 \\ \hline & & & & & 1 \\ & & & & & 0 \\ & & & & & \vdots \\ & & & & & 0 \\ \hline & & & & & E_{n-s-r-1} \end{array} \right),$$

where $s \geq 1$, $r \geq 2$, $n - s - r - 3 \geq 1$, $D_{s-1} \in M_{s-1}(k)$, and \mathbf{a}' is a row vector of dimension $s - 1$. Then

$$H'_{s,r} \sim H'_{s,r+2}.$$

PROOF. By the transformation

$$T_{H'} = \left(\begin{array}{c|c|c|c|c|c} I_{s+r} & & & & & \\ \hline & 1 & & & & \\ \hline & & 1 & & 1 & \\ \hline & -1 & & 1 & & \\ \hline & & & & 1 & \\ \hline & & & & & I_{n-s-r-3} \end{array} \right),$$

we have

$${}^t T_{H'} H'_{s,r} T_{H'}^{(q)} = H'_{s,r+2}. \quad \square$$

REMARK 3. Lemma 2 and 3 will be used only in the case where $n - s + 1$ is odd. Hence, we do not need to prove the case $n - s - 1 = 0$ in Lemma 2 nor the case $n - s - 3 = 0$ in Lemma 3.

LEMMA 4. *Put*

$$P_s = \left(\begin{array}{c|c} I_s & \\ \hline \mathbf{a} & \\ 0 & \\ \vdots & \\ 0 & E_{n-s+1} \end{array} \right),$$

where $s \geq 1$, $n - s + 1 \geq 1$, and \mathbf{a} is a nonzero row vector of dimension s . Then

- (1) If $n - s + 1$ is even, then $P_s \sim W_s$.
- (2) If $n - s + 1$ is odd, then

$$P_s \sim B_{s-1} = \left(\begin{array}{c|c} D_{s-1} & \\ \hline \mathbf{b}_{s-1} & \\ 0 & \\ \vdots & \\ 0 & E_{n-s+2} \end{array} \right),$$

where $D_{s-1} \in M_{s-1}(k)$, \mathbf{b}_{s-1} is the row vector of dimension $s - 1$. In particular, if $s = 1$ and n is odd, then $P_1 \sim W_0$.

PROOF. (1) Suppose that $n - s + 1$ is even. Using Lemma 1 and Remark 2, we have

$$P_s = G_{s,0} \sim G_{s,n-s+1} = W_s.$$

(2) Next, suppose that $n - s + 1$ is odd. By interchanging the coordinates x_0, \dots, x_{s-1} , and scalar multiplication of the coordinates x_s, \dots, x_n if necessary, we can show that

$$P_s \sim P'_s = \left(\begin{array}{c|c|c|c} I_{s-1} & & & \\ \hline & 1 & & \\ \hline \mathbf{a}' & 1 & 0 & \\ \hline & & 1 & \\ & & 0 & \\ & & \vdots & \\ & & 0 & E_{n-s} \end{array} \right),$$

with \mathbf{a}' being a row vector of dimension $s - 1$. By the transformation

$$T_1 = \left(\begin{array}{c|c|c|c} I_{s-1} & & & \\ \hline -\mathbf{a}'' & 1 & & \\ \hline & & 1 & \\ \hline & & & I_{n-s} \end{array} \right),$$

with $\mathbf{a}''^{(q)} = \mathbf{a}'$, we have

$$Q_s = {}^t T_1 P'_s T_1^{(q)} = \left(\begin{array}{c|c|c|c} D_{s-1} & -{}^t \mathbf{a}'' & & \\ \hline -\mathbf{a}' & 1 & & \\ \hline & 1 & 0 & \\ \hline & & 1 & \\ & & 0 & \\ & & \vdots & \\ & & 0 & E_{n-s} \end{array} \right),$$

where $D_{s-1} = I_{s-1} + {}^t \mathbf{a}'' \cdot \mathbf{a}'$. If $n - s + 1 = 1$, by the transformation

$$T_2 = \left(\begin{array}{c|c|c} I_{n-1} & & \\ \hline & 1 & \\ \hline \mathbf{a}'' & -1 & 1 \end{array} \right),$$

we have

$${}^t T_2 Q_n T_2^{(q)} = B_{n-1}.$$

Suppose that $n - s + 1 > 1$. Note that, since we are in the case where $n - s + 1$ is odd, we have $n - s + 1 \geq 3$. By the transformation

$$T_3 = \left(\begin{array}{c|c|c|c} I_{s-1} & & & \\ \hline & 1 & & \\ \hline & -1 & 1 & 1 \\ \hline & & & 1 \\ \hline & & & & I_{n-s-1} \end{array} \right),$$

we have

$$Q'_s = {}^tT_3 Q_s T_3^{(q)} = \left(\begin{array}{c|c|c|c|c} D_{s-1} & -{}^t\mathbf{a}'' & & & \\ \hline -\mathbf{a}' & 0 & & & \\ \hline & 1 & 0 & & \\ \hline & & 1 & 1 & \\ \hline & & & 1 & \\ & & & 0 & \\ & & & \vdots & \\ & & & 0 & \\ \hline & & & & E_{n-s-1} \end{array} \right) = H_{s,2}.$$

Using Lemma 2, we have

$$Q'_s = H_{s,2} \sim H_{s,n-s} = Q''_s = \left(\begin{array}{c|c|c|c} D_{s-1} & -{}^t\mathbf{a}'' & 0 \dots 0 & \\ \hline -\mathbf{a}' & & & \\ 0 & & & \\ \vdots & & E_{n-s} & \\ 0 & & & \\ \hline & 0 \dots 0 & 1 & 1 \\ \hline & & & 1 & 0 \end{array} \right).$$

Then by the transformation

$$T_4 = \left(\begin{array}{c|c|c} I_{n-1} & & \\ \hline & 1 & \\ \hline & -1 & 1 \end{array} \right),$$

we have

$$R_s = {}^tT_4 Q''_s T_4^{(q)} = \left(\begin{array}{c|c} D_{s-1} & -{}^t\mathbf{a}'' & 0 \dots 0 \\ \hline -\mathbf{a}' & & \\ 0 & & \\ \vdots & & E_{n-s+2} \\ 0 & & \end{array} \right).$$

If $s = 1$, $R_1 \sim W_0$. Suppose that $s > 1$. By the transformation

$$T_5 = \left(\begin{array}{c|ccc|c} I_{s-1} & & & & \\ \hline & 1 & & 1 & \\ \hline \mathbf{a}'' & & 1 & & \\ \hline & & & 1 & \\ \hline & & & & I_{n-s-1} \end{array} \right),$$

we obtain

$$R'_s = {}^t T_5 R_s T_5^{(q)} = \left(\begin{array}{c|ccc|c|c} D_{s-1} & & & & & \\ \hline -\mathbf{a}' & 0 & & & & \\ \hline & 1 & 0 & 1 & & \\ \hline & & 1 & 0 & & \\ \hline & & & & 1 & \\ & & & & 0 & \\ & & & & \vdots & \\ & & & & 0 & E_{n-s-1} \end{array} \right).$$

If $n - s - 1 = 1$, by the transformation

$$T_6 = \left(\begin{array}{c|cc|c} I_{n-2} & & & \\ \hline & 1 & & \\ \hline & & 1 & \\ \hline & -1 & & 1 \end{array} \right),$$

we have

$${}^t T_6 R'_{n-2} T_6^{(q)} = B_{n-3}.$$

Suppose that $n - s - 1 > 1$. Then by the transformation

$$T_7 = \left(\begin{array}{c|ccc|c|c} I_s & & & & & \\ \hline & 1 & & & & \\ \hline & & 1 & & 1 & \\ \hline & -1 & & 1 & & \\ \hline & & & & 1 & \\ \hline & & & & & I_{n-s-3} \end{array} \right),$$

we have

$$R_s'' = {}^tT_7 R_s' T_7^{(q)} = \left(\begin{array}{c|cccc} D_{s-1} & & & & \\ \hline -\mathbf{a}' & 0 & & & \\ \hline & 1 & & & \\ & 0 & E_2 & & \\ \hline & & 0 & 1 & 0 & 1 \\ \hline & & & 1 & 0 & \\ \hline & & & & 1 & \\ & & & & 0 & \\ & & & & \vdots & \\ & & & & 0 & E_{n-s-3} \end{array} \right) = H_{s,2}'.$$

Using Lemma 3, we have

$$R_s'' = H_{s,2}' \sim H_{s,n-s-2}' = R_s''' = \left(\begin{array}{c|cccc} D_{s-1} & & & & \\ \hline -\mathbf{a}' & 0 & & & \\ \hline & 1 & & & \\ & 0 & & & \\ & \vdots & E_{n-s-2} & & \\ & 0 & & & \\ \hline & & 0 \cdots 0 & 1 & 0 & 1 \\ \hline & & & & 1 & 0 \\ \hline & & & & & 1 & 0 \end{array} \right).$$

It is easy to see that

$${}^tT_6 R_s''' T_6^{(q)} = B_{s-1}.$$

□

LEMMA 5. Put

$$B_s = \left(\begin{array}{c|c} D_s & \\ \hline \mathbf{b}_s & \\ 0 & \\ \vdots & \\ 0 & E_{n-s+1} \end{array} \right),$$

where $s \geq 1$, $n - s + 1 \geq 1$, $D_s \in M_s(k)$, and \mathbf{b}_s is a row vector of dimension s . Suppose that the rank of B_s is n . Then

$$B_s \sim W_s = \left(\begin{array}{c|c} I_s & \\ \hline & E_{n-s+1} \end{array} \right),$$

or

$$B_s \sim B_{s-1} = \left(\begin{array}{c|c} D_{s-1} & \\ \hline \mathbf{b}_{s-1} & \\ 0 & \\ \vdots & E_{n-s+2} \\ 0 & \end{array} \right),$$

where $D_{s-1} \in M_{s-1}(k)$, and \mathbf{b}_{s-1} is a row vector of dimension $s - 1$.

PROOF. Suppose that $\det D_s \neq 0$. By Proposition 1, there exists a linear transformation of coordinates $T_D \in GL_s(k)$ such that ${}^t T_D D_s T_D^{(q)} = I_s$. By the transformation

$$T = \left(\begin{array}{c|c} T_D & \\ \hline & I_{n-s+1} \end{array} \right),$$

we have

$${}^t T B_s T^{(q)} = \left(\begin{array}{c|c} I_s & \\ \hline \mathbf{b}'_s & \\ 0 & \\ \vdots & E_{n-s+1} \\ 0 & \end{array} \right),$$

where $\mathbf{b}'_s = \mathbf{b}_s T_D^{(q)}$. If $\mathbf{b}'_s = 0$, then $B_s \sim W_s$. Suppose that $\mathbf{b}'_s \neq 0$. By Lemma 4, we have $B_s \sim W_s$, or $B_s \sim B_{s-1}$.

Suppose that $\det D_s = 0$. Then one row of the matrix D_s is a linear combination of the other rows. By interchanging coordinates x_0, \dots, x_{s-1} if necessary, we can assume that the s -th row is a linear combination of the other rows. We write the matrix D_s as

$$D_s = \left(\begin{array}{c|c} P & {}^t \mathbf{g} \\ \hline \mathbf{h} & d \end{array} \right),$$

where $P \in M_{s-1}(k)$, \mathbf{g}, \mathbf{h} are row vectors of dimension $s - 1$, $d \in k$, and that satisfy $\mathbf{h} = \mathbf{w}P$, $d = \mathbf{w}'\mathbf{g}$ with \mathbf{w} being a row vector of dimension $s - 1$. Then

$$B_s \sim B'_s = \left(\begin{array}{c|c|c} P & {}^t\mathbf{g} & \\ \hline \mathbf{h} & d & \\ \hline \mathbf{f} & e & \\ 0 & 0 & \\ \vdots & \vdots & E_{n-s+1} \\ 0 & 0 & \end{array} \right),$$

where \mathbf{f} is a row vector of dimension $s-1$, and $e \in k$. By the tranformation

$$T' = \left(\begin{array}{c|c|c} I_{s-1} & -{}^t\mathbf{w} & \\ \hline & 1 & \\ \hline & & I_{n-s+1} \end{array} \right),$$

we obtain

$$B''_s = {}^tT' B'_s T'^{(q)} = \left(\begin{array}{c|c|c} P & -P \cdot {}^t\mathbf{w}^{(q)} + {}^t\mathbf{g} & \\ \hline & & \\ \hline \mathbf{f} & -\mathbf{f} \cdot {}^t\mathbf{w}^{(q)} + e & \\ 0 & 0 & \\ \vdots & \vdots & E_{n-s+1} \\ 0 & 0 & \end{array} \right).$$

Put

$$Q = \left(\begin{array}{c|c} P & -P \cdot {}^t\mathbf{w}^{(q)} + {}^t\mathbf{g} \\ \hline \mathbf{f} & -\mathbf{f} \cdot {}^t\mathbf{w}^{(q)} + e \end{array} \right).$$

Because the rank of B'_s is n , we have $\det Q \neq 0$. Let $Q' \in GL_s(k)$ such that $QQ'^{(q)} = I_s$,

$$Q' = \left(\begin{array}{c|c} P' & {}^t\mathbf{g}' \\ \hline \mathbf{f}' & e' \end{array} \right),$$

where $P' \in M_{s-1}(k)$, \mathbf{g}' , \mathbf{f}' are row vectors of dimension $s-1$, $e' \in k$. By the transformation

$$T'' = \left(\begin{array}{c|c|c} P' & {}^t\mathbf{g}' & \\ \hline \mathbf{f}' & e' & \\ \hline & & I_{n-s+1} \end{array} \right),$$

we obtain

$${}^tT''B''_sT''^{(q)} = \left(\begin{array}{c|c|c} {}^tP' & & \\ \hline \mathbf{g}' & 0 & \\ \hline & 1 & \\ & 0 & \\ & \vdots & E_{n-s+1} \\ & 0 & \end{array} \right).$$

Putting $D_{s-1} = {}^tP'$ and $\mathbf{b}_{s-1} = \mathbf{g}'$, we have $B''_s \sim B_{s-1}$. □

REMARK 4. *When $s = 1$, we have*

$$B_{s-1} = B_0 = E_{n+1} = W_0.$$

Now we prove Theorem 1 and Corollary 1.

PROOF. Because the rank of the matrix A is n , Proposition 1 implies that the hypersurface X_A is singular. By using a linear transformation of coordinates if necessary, we can assume that X_A has a singular point $(0, \dots, 0, 1)$. Then we have $a_{in} = 0$ for any $0 \leq i \leq n$. The matrix A is now of the form

$$A = \left(\begin{array}{c|c} D_n & \\ \hline \mathbf{b}_n & \end{array} \right) = B_n,$$

where $D_n \in M_n(k)$, and \mathbf{b}_n is a row vector of dimension n . Using Lemma 5 repeatedly and Remark 4, we have that the hypersurface X_A is isomorphic to one of the hypersurfaces defined by the matrixes W_s with $0 \leq s \leq n$.

If A is general, then $\det(D_n) \neq 0$, and hence by the first paragraph of the proof of Lemma 5 and Lemma 4, we have $A \sim W_{n-1}$.

Next we prove that $s \neq s'$ implies $W_s \not\sim W_{s'}$. For this, we introduce some notions. Let X_s^n be the hypersurface defined by the matrix W_s in the projective space \mathbf{P}^n . The defining equation of X_s^n can be written as

$$F_q x_n + F_{q+1} = 0,$$

where

$$F_q = \begin{cases} 0 & \text{if } s = n \\ x_{n-1}^q & \text{if } s < n, \end{cases}$$

and

$$F_{q+1} = \begin{cases} x_0^{q+1} + \dots + x_{n-1}^{q+1} & \text{if } s = n \\ x_0^{q+1} + \dots + x_{s-1}^{q+1} + x_s^q x_{s+1} + \dots + x_{n-2}^q x_{n-1} & \text{if } s < n. \end{cases}$$

It is easy to see that X_s^n has only one singular point $P_0 = (0, \dots, 0, 1)$. The variety of lines in \mathbf{P}^n passing through P_0 can be naturally identified with the hypersurface $\mathcal{H}_\infty = \{x_n = 0\}$ in \mathbf{P}^n by the correspondence $Q \in \mathcal{H}_\infty$ to the line $\overline{QP_0}$. Let φ be the map defined by

$$\begin{aligned} \varphi : \mathbf{P}^n \setminus \{P_0\} &\rightarrow \mathbf{P}^{n-1} \\ P &\mapsto \overline{PP_0}. \end{aligned}$$

Let $\overline{X_s^n} = \varphi(X_s^n \setminus \{P_0\})$. For $Q = (y_0, \dots, y_{n-1}, 0) \in \mathcal{H}_\infty$, we consider the line

$$l = \overline{QP_0} = \{(\lambda y_0, \dots, \lambda y_{n-1}, \mu) \mid (\lambda, \mu) \in \mathbf{P}^1\}.$$

We have $l \in \overline{X_s^n}$ if and only if there exists $P = (p_0, \dots, p_{n-1}, p_n) \in X_s^n \setminus \{P_0\}$ satisfying $P \in l$, i.e. there exists an element $\mu \in k$ such that

$$(p_0, \dots, p_{n-1}, p_n) = (y_0, \dots, y_{n-1}, \mu),$$

for some $P \in X_s^n \setminus \{P_0\}$, or equivalently there exists an element $\mu \in k$ such that

$$F_q(y_0, \dots, y_{n-1})\mu + F_{q+1}(y_0, \dots, y_{n-1}) = 0.$$

Then

$$\varphi^{-1}(l) \cap (X_s^n \setminus \{P_0\}) = \begin{cases} \emptyset & \text{if } F_q(y_0, \dots, y_{n-1}) = 0 \text{ and} \\ & F_{q+1}(y_0, \dots, y_{n-1}) \neq 0, \\ \{\text{a single point}\} & \text{if } F_q(y_0, \dots, y_{n-1}) \neq 0, \\ l \setminus \{P_0\} & \text{if } F_q(y_0, \dots, y_{n-1}) = 0 \text{ and} \\ & F_{q+1}(y_0, \dots, y_{n-1}) = 0. \end{cases}$$

Putting $V_s = \{F_q = 0, F_{q+1} = 0\} \subset \mathbf{P}^{n-1}$, and $H_s = \{F_q = 0\} \subset \mathbf{P}^{n-1}$, we have

$$V_s = \begin{cases} X_s^{n-2} & \text{if } s \leq n-2, \\ \text{nonsingular Fermat hypersurface in } \mathbf{P}^{n-1} & \text{if } s = n, \\ \text{nonsingular Fermat hypersurface in } \mathbf{P}^{n-2} & \text{if } s = n-1, \end{cases}$$

where X_s^{n-2} is the hypersurface in \mathbf{P}^{n-2} associated with the matrix

$$\left(\begin{array}{c|c} I_s & \\ \hline & E_{n-s-1} \end{array} \right).$$

For any $s \neq s'$, suppose that X_s^n and $X_{s'}^n$ are isomorphic and let $\psi : X_s^n \rightarrow X_{s'}^n$ be an isomorphism. Because each of X_s^n and $X_{s'}^n$ has only one singular point

P_0 , we have $\psi(P_0) = P_0$, and hence ψ induces an isomorphism $\bar{\psi}$ from \bar{X}_s^n to $\bar{X}_{s'}^n$. For any line $l \in \bar{X}_s^n$ and $l' \in \bar{X}_{s'}^n$ such that $\bar{\psi}(l) = l'$, we have

$$\#(\varphi^{-1}(l) \cap (X_s^n \setminus \{P_0\})) = \#(\varphi^{-1}(l') \cap (X_{s'}^n \setminus \{P_0\})).$$

Thus $V_s \cong V_{s'}$ and $H_s \cong H_{s'}$. Hence, for any $s \neq s'$, if $V_s \not\cong V_{s'}$ or $H_s \not\cong H_{s'}$ then $X_s^n \not\cong X_{s'}^n$.

In the case $n = 1$, we have that X_0^1 consists of two points, and X_1^1 consists of a single point. In the case $n = 2$, we have that X_0^2 consists of two irreducible components, X_1^2 is irreducible, and X_2^2 consists of $(q + 1)$ lines. Hence, in the case $n = 1$ and $n = 2$, we see that $s \neq s'$ implies $W_s \not\sim W_{s'}$. By induction on n , we have the proof. \square

Next, we prove Corollary 2.

PROOF. Under the condition $n \geq 2$, $s < n$ and $(n, s) \neq (2, 0)$, we have x_{n-1} does not divide F_{q+1} , and hence V_s is of codimension 2 in \mathbf{P}^{n-1} . By induction on n , X_s^n is irreducible. The morphism

$$\varphi|_{X_s^n \setminus \{P_0\}} : X_s^n \setminus \{P_0\} \rightarrow \mathcal{H}_\infty \cong \mathbf{P}^{n-1}$$

is birational with the inverse rational map

$$Q = (y_0, \dots, y_{n-1}, 0) \mapsto \left(y_0, \dots, y_{n-1}, -\frac{F_{q+1}(y_0, \dots, y_{n-1})}{y_{n-1}^q} \right). \quad \square$$

3. Proof of Theorem 2

For any $s \leq n - 2$, the matrix W_s can be written

$$W_s = \left(\begin{array}{c|c|c} W'_s & & \\ \hline 0 \cdots 0 & 1 & 0 \\ \hline & & 1 & 0 \end{array} \right).$$

For any $g \in \text{Aut}(X_s)$, we have $g(P_0) = P_0$ because X_s has only one singular point $P_0 = (0, \dots, 0, 1)$. The automorphism g is defined by a matrix of the form

$$M = \left(\begin{array}{c|c|c} T & \mathbf{a} & 0 \\ \hline \mathbf{b} & d & 0 \\ \hline \mathbf{c} & e & 1 \end{array} \right),$$

where $T \in M_{n-1}(k)$, \mathbf{a} , \mathbf{b} , \mathbf{c} are row vectors of dimension $n - 1$, and $d, e \in k$. We have ${}^t M W_s M^{(q)} = \delta W_s$ for some $0 \neq \delta \in k$, which implies

$$\begin{cases} {}^tTW'_sT^{(q)} = \delta W'_s & (1) \\ [\mathbf{a}W'_s + d(0, \dots, 0, 1)] \cdot T^{(q)} = \delta(0, \dots, 0, 1) & (2) \\ {}^tTW'_s \cdot {}^t\mathbf{a}^{(q)} + {}^t\mathbf{c}d^q = 0 & (3) \\ [\mathbf{a}W'_s + d(0, \dots, 0, 1)] \cdot {}^t\mathbf{a}^{(q)} + ed^q = 0 & (4) \\ \mathbf{b} = 0 & (5) \\ d^q = \delta & (6) \end{cases}$$

By (1), we see that T is a matrix defining an automorphism of X_s^{n-2} in \mathbf{P}^{n-2} . Because $s \leq n-2$, by (2) we have $d = \delta$. Hence, we can calculate T by induction on n . The vectors \mathbf{a} , \mathbf{c} and d , e can be find by using the equations (2)–(6). Conversely, it is easy to show that if the matrix M satisfies the conditions (i)–(v) then it defines a projective automorphism of X_s . The projective automorphism groups of X_n and X_{n-1} are easy to calculate. \square

4. Proof of Proposition 1

For reader's convenience, we give a proof of Proposition 1, which is based on arguments of [12], chapter VI. The implications (iv) \Rightarrow (iii) \Rightarrow (ii) \Rightarrow (i) are clear. We prove (i) \Rightarrow (iv). For $B \in GL_{n+1}(k)$, consider the map f_B defined by

$$\begin{aligned} f_B : GL_{n+1}(k) &\rightarrow GL_{n+1}(k) \\ T &\mapsto {}^tBT^{(q)}. \end{aligned}$$

Because the differential of the Frobenius map $F : T \mapsto T^{(q)}$ is identically zero, we can deduce that

$$d(f_B) = d({}^tT)BT^{(q)}.$$

Therefore, the tangent map of f_B is surjective for any $B \in GL_{n+1}(k)$. Hence, f_B is generically surjective, and the image of f_B contains a non-empty open subset U_B . Let A be any matrix of $M_{n+1}(k)$ such that the hypersurface X_A is nonsingular, i.e. $A \in GL_{n+1}(k)$. Because $GL_{n+1}(k)$ is irreducible, we have $U_A \cap U_I \neq \emptyset$, where I is the identity matrix of size $n+1$. There exist $T_1, T_2 \in GL_m(k)$ such that $f_A(T_1) = f_I(T_2)$. Putting $T = T_1T_2^{-1}$, we have ${}^tTAT^{(q)} = I$. \square

5. The case of plane curves

Next we will study the plane curves X_A associated with matrices A of rank ≤ 2 in the projective plane \mathbf{P}^2 .

THEOREM 3. *Let $A = (a_{ij})_{0 \leq i, j \leq 2} \in M_3(k)$ be a nonzero matrix and let X_A be the curve defined by $\sum a_{ij}x_i x_j^q = 0$ in \mathbf{P}^2 . Suppose that the rank of A is smaller than 3.*

- (i) *When the rank of A is 1, the curve X_A is projectively isomorphic to one of the following curves*

$$Z_0 : x_0^{q+1} = 0, \quad \text{or} \quad Z_1 : x_0^q x_1 = 0.$$

- (ii) *When the rank of A is 2, the curve X_A is projectively isomorphic to one of the following curves*

$$X_0 : x_0^q x_1 + x_1^q x_2 = 0, \quad X_1 : x_0^{q+1} + x_1^q x_2 = 0, \quad \text{or} \quad X_2 : x_0^{q+1} + x_1^{q+1} = 0.$$

PROOF. In the case the rank of A is 2. By Theorem 1, the plane curve X_A is projectively isomorphic to one of the plane curves X_0 , or X_1 , or X_2 .

In the case rank of A is 1. With the same argument of the proof of Theorem 1, we can assume that the matrix A is as following form

$$A = \begin{pmatrix} a_{00} & a_{01} & 0 \\ a_{10} & a_{11} & 0 \\ a_{20} & a_{21} & 0 \end{pmatrix}.$$

By interchanging with x_0 and x_1 if nessesary, we can assume that $(a_{01}, a_{11}, a_{21}) \neq (0, 0, 0)$. Because rank of A is 1, there exists $\lambda \in k$ such that $(a_{00}, a_{10}, a_{20}) = \lambda(a_{01}, a_{11}, a_{21})$. The curve X_A is defined by the equation

$$(a_{00}x_0 + a_{10}x_1 + a_{20}x_2)(x_0^q + \lambda x_1^q) = 0.$$

It is easy to show that X_A is projectively isomorphic to the curve Z_0 or Z_1 . □

REMARK 5. *In fact, the case when the plane curve X_A of degree $p + 1$ has been proved by Homma in [9].*

Note that the plane curve X_1 has a special property such that the tangent line of X_1 at every smooth point passes through the point $(0, 1, 0)$. Therefore, the plane curve X_1 is strange. Moreover, this curve is irreducible and nonreflexive. In [1], Ballico and Hefez proved that a reduced irreducible nonreflexive plane curve of degree $q + 1$ is isomorphic to one of the following curves:

- (1) $X_I : x_0^{q+1} + x_1^{q+1} + x_2^{q+1} = 0$,
- (2) a nodal curve whose defining equation is given in [4] and [7], or
- (3) strange curves.

Let \mathcal{L} be the space of all reduced irreducible projective plane curves of degree $q + 1$, which is open in the space $\mathcal{P} \cong \mathbf{P}^{\binom{q+3}{2}}$ of all projective plane curves of degree $q + 1$. Let \mathcal{L}_* be the locus of \mathcal{P} consisting of curves isomorphic to X_I , and let \mathcal{L}_1 be the locus of \mathcal{P} consisting of strange curves. Let (ξ_J) be the homogeneous coordinates of \mathcal{P} where $J = (j_0, j_1, j_2)$ ranges over the set of all ordered triples on non-negative integer such that $j_0 + j_1 + j_2 = q + 1$. The point (ξ_J) corresponds to the curve $\sum \xi_J x^J = 0$ where $x^J = x_0^{j_0} x_1^{j_1} x_2^{j_2}$. Then the locus of all curves defined by the equation of the form $\sum a_{ij} x_i x_j^q = 0$ is the linear subspace of \mathcal{P} defined by $\xi_J = 0$, unless $J \in \{(q + 1, 0, 0), (0, q + 1, 0), (0, 0, q + 1), (q, 1, 0), (q, 0, 1), (1, q, 0), (1, 0, q), (0, q, 1), (0, 1, q)\}$. By Theorem 3, we have that because Z_0, Z_1, X_0, X_2 are reducible, the closure $\overline{\mathcal{L}_*}$ of \mathcal{L}_* in \mathcal{L} consists of curves isomorphic to X_I or to X_1 , and the intersection of $\overline{\mathcal{L}_*}$ and \mathcal{L}_1 consist of curves isomorphic to X_1 .

References

- [1] E. Ballico and A. Hefez. Nonreflexive projective curves of low degree. *Manuscripta Math.*, Vol. 70, No. 4, pp. 385–396, 1991.
- [2] A. Beauville. Sur les hypersurfaces dont les sections hyperplanes sont à module constant. *Progress in Mathematics*, Vol. 1, pp. 121–133, 1986.
- [3] I. V. Dolgachev. *Classical Algebraic Geometry: A Modern View*. Cambridge Univ. Press, Cambridge, 2012.
- [4] S. Fukasawa. Complete determination of the number of Galois points for a smooth plane curve. *Rend. Semin. Math. Univ. Padova*, Vol. 129, pp. 93–113, 2013.
- [5] J. W. P. Hirschfeld. *General Galois geometries*. Oxford Univ. Press, 1991.
- [6] J. W. P. Hirschfeld. *Projective geometries over finite fields*. Oxford Univ. Press, 1998.
- [7] T. H. Hoang and I. Shimada. On Ballico-Hefez curves and associated supersingular surface. *Kodai Math. J.*, Vol. 38, pp. 23–36, 2015.
- [8] T. Høholdt, J. H. van Lint, and R. Pellikaan. Algebraic geometry codes. In V. S. Pless, W. C. Huffman, and R. A. Brualdi, editors, *Handbook of Coding Theory*, Vol. 1, pp. 871–961. Elsevier, Amsterdam, 1998.
- [9] M. Homma. Normal forms of p -linear maps of a vector space into its dual space and degenerations of nonreflexive smooth plane curves of degree $p + 1$. Unpublished.
- [10] S. Lang. Algebraic groups over finite fields. *American J. of Math.*, Vol. 78, No. 3, pp. 555–563, 1956.
- [11] B. Segre. Forme e geometrie Hermitiane con particolare riguardo al caso finito. *Ann. Math. Pura. Appl.*, Vol. 70, No. 4, pp. 1–201, 1965.
- [12] J. P. Serre. *Algebraic groups and class fields*. Springer-Verlag New York Inc., 1988.
- [13] I. Shimada. Unirationality of certain complete intersections in positive characteristic. *Tohoku Math. J.*, Vol. 44, pp. 379–393, 1992.
- [14] I. Shimada. Lattices of algebraic cycles on Fermat varieties in positive characteristics. *Proc. London Math. Soc.*, Vol. 82, No. 3, pp. 131–172, 2001.
- [15] T. Shioda. An example of unirational surfaces in characteristic p . *Math. Ann.*, Vol. 211, pp. 233–236, 1974.

- [16] T. Shioda and T. Katsura. On Fermat varieties. *Tohoku Math. J.*, Vol. 31, pp. 97–115, 1979.
- [17] J. Tate. Algebraic cycles and poles of zeta functions. In O. F. G. Schilling, editor, *Arithmetical Algebraic Geometry*, pp. 97–115. Harper and Row, New York, 1965.

Thanh Hoai Hoang
Department of Mathematics
Graduate School of Science
Hiroshima University
1-3-1 *Kagamiyama, Higashi-Hiroshima, 739-8526, Japan*
E-mail: hoangthanh2127@yahoo.com