

## DIFFERENTIABLE PINCHING THEOREMS FOR SUBMANIFOLDS VIA RICCI FLOW

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**Abstract.** Two differentiable pinching theorems are verified via the Ricci flow and stable currents. We first prove a differentiable sphere theorem for positively pinched submanifolds in a space form. Moreover, we obtain a differentiable sphere theorem for submanifolds in the sphere  $\mathbb{S}^{n+p}$  under extrinsic restriction.

**1. Introduction.** The sphere theorem is an important topic in the study of curvature and topology of Riemannian manifolds. Recently, S. Brendle and R. Schoen [6] proved a remarkable differentiable sphere theorem for Riemannian manifolds whose sectional curvatures lie in the interval  $(1/4, 1]$  by developing the theory and techniques of Ricci flow introduced by R. Hamilton [9]. This improves the topological sphere theorem for quarter-pinched Riemannian manifolds, which was firstly taken up by H. E. Rauch [16] in 1951, and solved by M. Berger [2] and W. Klingenberg [10] around 1960. In 1977, K. Grove and K. Shiohama [8] proved a topological sphere theorem for complete and connected Riemannian manifolds whose sectional curvature  $K$  and diameter  $d(M)$  satisfy  $K \geq 1$  and  $d(M) > \pi/2$ . Some other sphere theorems for Riemannian manifolds have also been obtained, see [1, 14, 15, 17, 25], etc.

In 1973, H. B. Lawson and J. Simons [11] proved a topological sphere theorem for closed submanifolds in a unit sphere by using the nonexistence for stable currents on compact submanifolds in a sphere. Let  $\mathbb{F}^{n+p}(c)$  denote the complete simply connected space form with constant sectional curvature  $c$ . K. Shiohama and H. W. Xu [18] improved Lawson-Simons' result and proved a topological sphere theorem for complete submanifolds in  $\mathbb{F}^{n+p}(c)$  with  $c \geq 0$ . Recently, H. W. Xu and J. R. Gu [20] obtained an optimal differentiable sphere theorem for complete submanifolds with pinched scalar curvature in  $\mathbb{F}^{n+p}(c)$  with  $c \geq 0$ . Let  $K_M$  denote the sectional curvature of a Riemannian manifold  $M$ . Under the pinching condition of sectional curvature, H. W. Xu and G. X. Yang [23] proved a topological sphere theorem for compact submanifolds in the spherical space form.

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**THEOREM 1.1.** *Let  $M$  be a compact, oriented  $n(\geq 4)$ -dimensional submanifold in the space form  $\mathbb{F}^{n+p}(c)$  with  $c > 0$ . If the sectional curvature of  $M$  satisfies*

$$K_M > \frac{c}{2} + \frac{n}{8}H^2,$$

*then  $M$  is homeomorphic to the standard  $n$ -sphere  $\mathbb{S}^n$ .*

Let  $h$  be the second fundamental form of a compact submanifold in a Riemannian manifold. In 1986, H. Gauchman [7] proved that if  $M$  is an  $n$ -dimensional closed minimal submanifold in  $\mathbb{S}^{n+d}$ , and if  $\|h(u, u)\|^2 < \frac{1}{3}$  for any unit vector  $u \in UM$ , where  $UM$  is the unit tangent bundle over  $M$ , then  $M$  is a totally geodesic sphere. H. W. Xu, W. Fang and F. Xiang [21] generalized this rigidity result to the case where  $M$  is an  $n$ -dimensional closed submanifold with parallel mean curvature in  $\mathbb{S}^{n+d}$ . On the other hand, P. F. Leung [12] proved that if  $\|h(u, u)\|^2 < \frac{1}{3}$  holds for any unit tangent vector  $u$  at any point on the submanifold, then it is a homotopy sphere. The result was improved to be a topological sphere theorem for complete submanifolds in a sphere by H. W. Xu and W. Fang [19], and further improved to be a differentiable sphere theorem by H. W. Xu and E. T. Zhao [24].

**THEOREM 1.2.** *Let  $M$  be an  $n$ -dimensional complete submanifold in the unit sphere  $\mathbb{S}^{n+p}$ . If*

$$\|h(u, u)\|^2 < \frac{1}{3}, \quad \text{for all } u \in UM,$$

*then  $M$  is diffeomorphic to the standard  $n$ -sphere  $\mathbb{S}^n$ .*

Let  $\mathring{h}$  be the trace free second fundamental form of a submanifold in a Riemannian manifold. In [22], H. W. Xu, F. Huang and F. Xiang investigated the pinching theorem of  $\mathring{h}$  for the submanifolds with parallel mean curvature in a sphere, which extends Gauchman's theorem in [7].

In this paper, using the convergence result of Ricci flow by S. Brendle [5], we obtain several differentiable sphere theorems for complete submanifolds which generalize Theorems 1.1 and 1.2. We first prove the following

**THEOREM 1.3.** *Let  $M$  be an  $n(\geq 4)$ -dimensional oriented complete submanifold in  $\mathbb{F}^{n+p}(c)$  with  $c > 0$ . Assume that the sectional curvature of  $M$  satisfies*

$$(1) \quad K_M > \frac{(n-2)c}{n} + \frac{nH^2}{8},$$

*then  $M$  is diffeomorphic to the standard  $n$ -sphere  $\mathbb{S}^n$ .*

Next, we investigate the differentiable pinching problem of  $\mathring{h}$  and prove the following

**THEOREM 1.4.** *Let  $M$  be an  $n$ -dimensional complete submanifold in the unit sphere  $\mathbb{S}^{n+p}$ . If*

$$\|\mathring{h}(u, u)\|^2 < \frac{1}{3}, \quad \text{for all } u \in UM,$$

*then  $M$  is diffeomorphic to the standard  $n$ -sphere  $\mathbb{S}^n$ .*

In fact, Theorem 1.4 is a consequence of the following theorem and Lemma 3.2 in Section 3.

**THEOREM 1.5.** *Let  $M$  be an  $n$ -dimensional complete submanifold in an  $(n + p)$ -dimensional point-wise  $\delta (> 1/4)$ -pinched Riemannian manifold  $N^{n+p}$ . Set  $\overline{K}_{\max}(x) := \max_{\pi \subset T_x N} \overline{K}(x, \pi)$ , where  $\overline{K}(x, \pi)$  is the sectional curvature of  $N^{n+p}$  for  $x \in N$  and 2-plane  $\pi \in T_x N$ . If*

$$\|\mathring{h}(u, u)\|^2(x) < \frac{4}{9} \overline{K}_{\max}(x) \left( \delta - \frac{1}{4} \right), \quad \text{for all } u \in U_x M, \quad x \in M,$$

where  $\inf_{x \in N} \overline{K}_{\max}(x) > 0$ , then  $M$  is diffeomorphic to a spherical space form. In particular, if  $M$  is simply connected, then  $M$  is diffeomorphic to the standard  $n$ -sphere  $\mathbb{S}^n$ .

**2. Preliminaries.** Let  $M^n$  be an  $n$ -dimensional complete submanifold isometrically immersed into an  $(n + p)$ -dimensional Riemannian manifold  $N^{n+p}$ . The following conventions of indices are used throughout.

$$\begin{aligned} 1 \leq A, B, C, \dots \leq n + p, \\ 1 \leq i, j, k, \dots \leq n, \\ n + 1 \leq \alpha, \beta, \gamma, \dots \leq n + p. \end{aligned}$$

Choose a local orthonormal frame field  $\{e_A\}$  in  $N^{n+p}$  such that  $e'_i$ s are tangent to  $M$ . Let  $\{\omega_A\}$  be the dual frame field of  $\{e_A\}$  and  $\{\omega_{AB}\}$  the connection 1-forms of  $N^{n+p}$ . Restricting these forms to  $M$ , we have

$$\omega_{\alpha i} = \sum_j h_{ij}^\alpha \omega_j, \quad h_{ij}^\alpha = h_{ji}^\alpha.$$

The curvature tensor of  $N$  and  $M$  are denoted by  $\overline{R}_{ABCD}$  and  $R_{ijkl}$ , respectively. The second fundamental form of  $M$  is denoted by  $h$  and the mean curvature normal field by  $\xi$ . Set  $H = \|\xi\|$  and  $c_\alpha = \frac{1}{n} \sum_i h_{ii}^\alpha$ . Then we have

$$h = \sum_{\alpha, i, j} h_{ij}^\alpha \omega_i \otimes \omega_j \otimes e_\alpha,$$

$$\xi = \sum_\alpha c_\alpha e_\alpha,$$

$$H = \sqrt{\sum_\alpha c_\alpha^2},$$

$$(2) \quad R_{ijkl} = \overline{R}_{ijkl} + \sum_\alpha (h_{ik}^\alpha h_{jl}^\alpha - h_{il}^\alpha h_{jk}^\alpha).$$

The trace free second fundamental form of  $M$  is defined by

$$\mathring{h} = \sum_{i, j, \alpha} \mathring{h}_{ij}^\alpha \omega_i \otimes \omega_j \otimes e_\alpha,$$

where  $\mathring{h}_{ij}^\alpha = h_{ij}^\alpha - c_\alpha \delta_{ij}$ . Let  $UM$  denote the unit tangent bundle on  $M$  and  $U_x M$  its fiber over  $x \in M$ . Then  $UM = \bigcup_{x \in M} U_x M$ , where  $U_x M = \{u \in T_x M : \|u\| = 1\}$ .

LEMMA 2.1. *Let  $M^n$  be a submanifold in a Riemannian manifold  $N^{n+p}$ . Then*

$$\|\mathring{h}(u, v)\| \leq \mathring{h}_x,$$

for all unit vectors  $u, v \in U_x M$  at each point  $x \in M$ , where  $\mathring{h}_x := \max_{w \in U_x M} \|\mathring{h}(w, w)\|$ .

PROOF. If  $u \neq \pm v$ , set  $y = \frac{u+v}{\|u+v\|}$  and  $z = \frac{u-v}{\|u-v\|}$ . Then

$$\begin{aligned} \mathring{h}(u, v) &= \frac{1}{4}[\mathring{h}(u+v, u+v) - \mathring{h}(u-v, u-v)] \\ &= \frac{1}{4}[\|u+v\|^2 \mathring{h}(y, y) - \|u-v\|^2 \mathring{h}(z, z)]. \end{aligned}$$

Using the triangle inequality, we get

$$\begin{aligned} \|\mathring{h}(u, v)\| &\leq \frac{1}{4} \mathring{h}_x (\|u+v\|^2 + \|u-v\|^2) \\ (3) \qquad \qquad &\leq \mathring{h}_x. \end{aligned}$$

If  $u = \pm v$ , then  $\|\mathring{h}(u, v)\| = \|\mathring{h}(u, u)\| \leq \mathring{h}_x$ . This completes the proof.  $\square$

LEMMA 2.2. *Let  $M^n$  be a submanifold in a Riemannian manifold  $N^{n+p}$ . Then*

$$\langle h(e_i, e_i), h(e_j, e_j) \rangle \geq -\mathring{h}_x^2,$$

for any orthonormal frame field  $\{e_i\}$  at each point  $x \in M$ .

PROOF.

$$\begin{aligned} &\|h(e_i, e_i)\|^2 + \|h(e_j, e_j)\|^2 - 2\langle h(e_i, e_i), h(e_j, e_j) \rangle \\ &= \|\mathring{h}(e_i, e_i)\|^2 + \|\mathring{h}(e_j, e_j)\|^2 + 2\langle \mathring{h}(e_i, e_i), \xi \rangle + 2\langle \mathring{h}(e_j, e_j), \xi \rangle + 2H^2 \\ &\quad - 2(\langle \mathring{h}(e_i, e_i), \mathring{h}(e_j, e_j) \rangle + \langle \mathring{h}(e_i, e_i), \xi \rangle + \langle \mathring{h}(e_j, e_j), \xi \rangle + H^2) \\ &= \|\mathring{h}(e_i, e_i)\|^2 + \|\mathring{h}(e_j, e_j)\|^2 - 2\langle \mathring{h}(e_i, e_i), \mathring{h}(e_j, e_j) \rangle \\ &\leq 4\mathring{h}_x^2, \end{aligned}$$

where Lemma 2.1 is used in the last inequality. Hence we have

$$(4) \qquad \langle h(e_i, e_i), h(e_j, e_j) \rangle \geq \frac{1}{2}(\|h(e_i, e_i)\|^2 + \|h(e_j, e_j)\|^2) - 2\mathring{h}_x^2.$$

Thus,

$$\begin{aligned} \langle h(e_i, e_i), h(e_j, e_j) \rangle &= \frac{1}{2} \langle h(e_i, e_i), h(e_j, e_j) \rangle + \frac{1}{2} \langle h(e_i, e_i), h(e_j, e_j) \rangle \\ &\geq \frac{1}{2} \left[ \frac{1}{2} (\|h(e_i, e_i)\|^2 + \|h(e_j, e_j)\|^2) - 2\mathring{h}_x^2 \right] \\ &\quad - \frac{1}{2} \|h(e_i, e_i)\| \cdot \|h(e_j, e_j)\| \\ &= \frac{1}{4} \left[ \|h(e_i, e_i)\|^2 + \|h(e_j, e_j)\|^2 \right] \end{aligned}$$

$$\begin{aligned} & -2\|h(e_i, e_i)\| \cdot \|h(e_j, e_j)\| - \mathring{h}_x^2 \\ & \geq -\mathring{h}_x^2. \end{aligned}$$

□

S. Brendle [5] obtained the following useful result.

LEMMA 2.3. *Let  $(M, g_0)$  be a compact Riemannian manifold of dimension  $n \geq 4$ . Assume that*

$$(5) \quad R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} > 0,$$

for all orthonormal four-frames  $\{e_1, e_2, e_3, e_4\}$  and all  $\lambda \in [-1, 1]$ . Then the normalized Ricci flow with initial metric  $g_0$

$$\frac{\partial}{\partial t} g(t) = -2 \operatorname{Ric}_{g(t)} + \frac{2}{n} r_{g(t)} g(t),$$

exists for all time and converges to a positive constant sectional curvature metric as  $t \rightarrow \infty$ . Here  $r_{g(t)}$  denotes the mean value of the scalar curvature of  $g(t)$ .

Inequality (5) is closely related to the positivity of the isotropic curvature. We refer the readers to [13] for isotropic curvature. As a matter of fact,  $R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} > 0$  holds for all orthonormal four-frames  $\{e_1, e_2, e_3, e_4\}$  and all  $\lambda \in [-1, 1]$  if and only if  $M \times \mathbb{R}$  has positive isotropic curvature (see [5, 6]). It follows from Berger's inequality that every manifold with positively pointwise 1/4-pinched sectional curvatures satisfies the curvature condition (5) in Lemma 2.3. In fact, we have the following Berger's inequality [3]

$$|R_{ijkl}| \leq \frac{2}{3} (K_{\max} - K_{\min}),$$

for all distinct indices  $i, j, k, l$ . Here  $K_{\max}$  and  $K_{\min}$  are the maximum and minimum of the sectional curvatures at a point of  $M$ . The curvature assumption implies that  $K_{\max} < 4K_{\min}$ . So we have

$$\begin{aligned} & R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} \\ & \geq 2(1 + \lambda^2)K_{\min} - \frac{4}{3}|\lambda|(K_{\max} - K_{\min}) \\ & \geq 2(1 + \lambda^2)K_{\min} - 4|\lambda|K_{\min} \\ & = 2(1 - |\lambda|)^2 K_{\min} \\ & \geq 0. \end{aligned}$$

Observe that at least one of the second and last inequalities is strict, which implies  $R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} > 0$ . In fact, the 1/4-pinched differentiable sphere theorem is an immediate consequence of Lemma 2.3.

We also need the following topological lemma due to H. B. Lawson and J. Simons [11].

LEMMA 2.4. *Let  $M^n$  be a compact submanifold in a unit sphere  $\mathbb{S}^{n+p}$ . Let  $k$  and  $l$  be positive integers with  $k + l = n$ . If the following inequality*

$$\sum_{j=k+1}^n \sum_{i=1}^k (2\|h(e_i, e_j)\|^2 - \langle h(e_i, e_i), h(e_j, e_j) \rangle) < k \cdot l,$$

*holds for any orthonormal basis  $\{e_1, e_2, \dots, e_n\}$  of tangent space  $T_x M$  at any point  $x \in M$ , then there does not exist any stable  $k$ -current, and*

$$H_k(M, \mathbb{Z}) = H_l(M, \mathbb{Z}) = 0,$$

where  $H_i(M, \mathbb{Z})$  is the  $i$ -th homology group of  $M$  with integer coefficients.

**3. Proof of theorems.** We first give the proof of Theorem 1.3.

PROOF OF THEOREM 1.3. Since  $K_M > \frac{(n-2)c}{n} + \frac{nH^2}{8} > 0$ , we obtain that  $M$  is a compact submanifold by Myers' Theorem.

Suppose that  $\{e_1, e_2, e_3, e_4\}$  is an orthonormal four-frame and  $\lambda \in \mathbb{R}$ . We extend  $\{e_1, e_2, e_3, e_4\}$  to an orthonormal frame  $\{e_1, \dots, e_n\}$ . From the Gauss equation (2) we get

$$R_{ijij} = c + \sum_{\alpha} h_{ii}^{\alpha} h_{jj}^{\alpha} - \sum_{\alpha} (h_{ij}^{\alpha})^2.$$

From the assumption we obtain

$$\sum_{\alpha} h_{ii}^{\alpha} h_{jj}^{\alpha} - \sum_{\alpha} (h_{ij}^{\alpha})^2 > \frac{nH^2}{8} - \frac{2c}{n}.$$

This together with (1) implies

$$\begin{aligned} & R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} \\ & > (1 + \lambda^2) \left( \frac{2(n-2)}{n}c + \frac{nH^2}{4} \right) - 2\lambda \sum_{\alpha} (h_{13}^{\alpha} h_{24}^{\alpha} - h_{14}^{\alpha} h_{23}^{\alpha}) \\ & \geq (1 + \lambda^2) \left( \frac{2(n-2)}{n}c + \frac{nH^2}{4} \right) - |\lambda| \sum_{\alpha} \sum_{i=3}^n [(h_{1i}^{\alpha})^2 + (h_{2i}^{\alpha})^2] \\ & \geq (1 + \lambda^2) \left( \frac{2(n-2)}{n}c + \frac{nH^2}{4} \right) - |\lambda| \left[ \sum_{\alpha} (h_{11}^{\alpha} + h_{22}^{\alpha}) \left( \sum_{i=3}^n h_{ii}^{\alpha} \right) \right. \\ & \quad \left. - \left( \frac{n(n-2)H^2}{4} - \frac{4(n-2)c}{n} \right) \right] \\ & \geq (1 + \lambda^2) \left( \frac{2(n-2)}{n}c + \frac{nH^2}{4} \right) - |\lambda| \frac{n^2}{4} H^2 + |\lambda| \left( \frac{n(n-2)H^2}{4} - \frac{4(n-2)c}{n} \right) \\ & = \frac{2(n-2)}{n} (1 - |\lambda|)^2 c + \frac{n}{4} (1 - |\lambda|)^2 H^2 \\ & \geq 0. \end{aligned}$$

Hence, the inequality (5) holds for all  $\lambda \in [-1, 1]$ , which implies that  $M$  is diffeomorphic to a spherical space form by Lemma 2.3. On the other hand, since  $K_M > \frac{(n-2)c}{n} + \frac{nH^2}{8} \geq \frac{c}{2} + \frac{nH^2}{8}$ , from Theorem 1.1 we know that  $M$  is homeomorphic to  $\mathbb{S}^n$ . Combining the results above, we get that  $M$  is diffeomorphic to  $\mathbb{S}^n$ .  $\square$

In the following we consider the submanifolds with restriction on  $\mathring{h}$ .

LEMMA 3.1. *Let  $M$  be an  $n(\geq 4)$ -dimensional submanifold in an  $(n + p)$ -dimensional point-wise  $\delta(> 1/4)$ -pinched Riemannian manifold  $N^{n+p}$ . If*

$$(6) \quad \|\mathring{h}(u, u)\|^2(x) < \frac{4}{9}\overline{K}_{\max}(x)\left(\delta - \frac{1}{4}\right), \quad \text{for all } u \in U_x M, \quad x \in M,$$

where  $\inf_{x \in N} \overline{K}_{\max}(x) > 0$ , then inequality (5) is satisfied for all orthonormal four-frames  $\{e_1, e_2, e_3, e_4\}$  and  $\lambda \in [-1, 1]$ .

PROOF. For any point  $x \in M$ , let  $\mathring{h}_x := \max_{w \in U_x M} \|\mathring{h}(w, w)\|$ . We suppose  $\{e_1, e_2, e_3, e_4\}$  to be an orthonormal four-frame and  $\lambda \in [-1, 1]$ . By the Gauss equation we have

$$\begin{aligned} &R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} \\ &= \overline{R}_{1313} + \langle h(e_1, e_1), h(e_3, e_3) \rangle - \|h(e_1, e_3)\|^2 \\ &\quad + \lambda^2 [\overline{R}_{1414} + \langle h(e_1, e_1), h(e_4, e_4) \rangle - \|h(e_1, e_4)\|^2] \\ &\quad + \overline{R}_{2323} + \langle h(e_2, e_2), h(e_3, e_3) \rangle - \|h(e_2, e_3)\|^2 \\ &\quad + \lambda^2 [\overline{R}_{2424} + \langle h(e_2, e_2), h(e_4, e_4) \rangle - \|h(e_2, e_4)\|^2] \\ &\quad - 2|\lambda|[\overline{R}_{1234} + \langle h(e_1, e_3), h(e_2, e_4) \rangle - \langle h(e_1, e_4), h(e_2, e_3) \rangle]. \end{aligned}$$

Applying Lemma 2.1, Lemma 2.2 and  $h(e_i, e_j) = \mathring{h}(e_i, e_j), i \neq j$ , we obtain

$$\begin{aligned} &R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} \\ &\geq \overline{R}_{1313} - 2\mathring{h}_x^2 + \lambda^2 [\overline{R}_{1414} - 2\mathring{h}_x^2] \\ &\quad + \overline{R}_{2323} - 2\mathring{h}_x^2 + \lambda^2 [\overline{R}_{2424} - 2\mathring{h}_x^2] \\ &\quad - 2|\lambda|[\overline{R}_{1234} + 2\mathring{h}_x^2]. \end{aligned}$$

Since we have Berger's inequality  $|\overline{R}_{1234}| \leq \frac{2}{3}(\overline{K}_{\max} - \overline{K}_{\min})$ , the assumption (6) implies that

$$\begin{aligned} &R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} \\ &> (2 + 2\lambda^2) \left[ \overline{K}_{\min} - \frac{8}{9}\overline{K}_{\max} \left( \delta - \frac{1}{4} \right) \right] - 2|\lambda| \left[ \frac{2}{3}(\overline{K}_{\max} - \overline{K}_{\min}) + \frac{8}{9}\overline{K}_{\max} \left( \delta - \frac{1}{4} \right) \right] \\ &\geq (2 + 2\lambda^2) \left[ \delta \overline{K}_{\max} - \frac{8}{9}\overline{K}_{\max} \left( \delta - \frac{1}{4} \right) \right] - 2|\lambda| \left[ \frac{2}{3}(1 - \delta)\overline{K}_{\max} + \frac{8}{9}\overline{K}_{\max} \left( \delta - \frac{1}{4} \right) \right] \\ &= \frac{2}{9}(1 + \lambda^2 - 2|\lambda|)(\delta + 2)\overline{K}_{\max} \\ &\geq 0. \end{aligned}$$

This completes the proof of the Lemma 3.1. □

Now we give the proof of Theorem 1.5.

PROOF OF THEOREM 1.5. Since  $\|\mathring{h}(u, u)\|^2(x) < \frac{4}{9}(\delta - \frac{1}{4})\overline{K}_{\max}$  for any  $u \in U_x M$ , from the Gauss equation, we know that the sectional curvature of  $M$  is bounded from below by  $\frac{2+\delta}{9}\overline{K}_{\max} \geq \frac{2+\delta}{9} \inf_{x \in M} \overline{K}_{\max}(x) > 0$ . By Myers' Theorem,  $M$  is a compact submanifold.

When  $n = 2$ , it's easy to see that  $M$  is diffeomorphic to  $\mathbb{S}^2$  or  $\mathbb{R}P^2$ . When  $n = 3$ , Hamilton's Theorem [9] says that  $M$  is diffeomorphic to a spherical space form. When  $n \geq 4$ , by Lemma 2.3 and Lemma 3.1, we see that  $M$  is diffeomorphic to a spherical space form. In particular, if  $M$  is simply connected, then  $M$  must be diffeomorphic to the standard unit  $n$ -sphere  $\mathbb{S}^n$ . This completes the proof of Theorem 1.5. □

LEMMA 3.2. *Let  $M^n$  be an  $n$ -dimensional complete submanifold in the unit sphere  $\mathbb{S}^{n+p}$ . If*

$$\|\mathring{h}(u, u)\|^2 < \frac{1}{3}, \quad \text{for all } u \in UM,$$

*then  $M$  is simply connected.*

PROOF. It is easy to see that the sectional curvature of  $M$  is bounded by  $\frac{1}{3}$  from below. Hence  $M$  is compact by Myers' Theorem.

Let  $\{e_1, e_2, \dots, e_n\}$  be any orthonormal basis of the tangent space at any point  $x \in M$ . Using Lemma 2.1 and Lemma 2.2, we have

$$\begin{aligned} \|h(e_i, e_j)\| &= \|\mathring{h}(e_i, e_j)\| < \frac{1}{3}, \\ \langle h(e_i, e_i), h(e_j, e_j) \rangle &> -\frac{1}{3}, \end{aligned}$$

for any unit vector field  $e_i$  and  $e_j$  ( $i \neq j$ ). Hence for any  $1 \leq k \leq n - 1$ ,

$$\begin{aligned} &\sum_{j=k+1}^n \sum_{i=1}^k (2\|h(e_i, e_j)\|^2 - \langle h(e_i, e_i), h(e_j, e_j) \rangle) \\ &< \sum_{j=k+1}^n \sum_{i=1}^n \left(\frac{2}{3} + \frac{1}{3}\right) \\ &= kl. \end{aligned}$$

By Lemma 2.4, there does not exist any stable integral current in  $M$ .

Suppose that  $\pi_1(M) \neq 0$ . Since  $M$  is compact, it follows from a classical theorem due to Cartan and Hadamard (see [4]) that there exists a minimal closed geodesic in any nontrivial homotopy class in  $\pi_1(M)$ . Then we get a contradiction. Therefore,  $\pi_1(M) = 0$  and  $M$  is simply connected. □

Theorem 1.4 is an immediate consequence of Lemma 3.2 and Theorem 1.5.

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