

# Homotopy classification theorem in algebraic geometry

Dedicated to Professor Yoshie Katurada on her sixtieth birthday

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**Introduction.** Let  $X$  be a finite CW complex. We denote by  $K(X)$  the Grothendieck group of the classes of complex vector bundles over  $X$ . We further write  $Z, B_{\mathcal{U}}$  for the integers with the discrete topology, the classifying space of the infinite unitary group respectively. Then the  $K$ -theoretic version of the homotopy classification theorem is given by the statement of the existence of a natural bijection:

$$K(X) \cong [X, B_{\mathcal{U}} \times Z]$$

where  $[X, B_{\mathcal{U}} \times Z]$  denotes the set of homotopy classes of maps of  $X$  into  $B_{\mathcal{U}} \times Z$ .

The objective of this paper is to present an algebro-geometric analogue to the above-mentioned theorem. We consider a non-singular reduced affine  $k$ -scheme for an algebraically closed field  $k$ , instead of a finite CW complex. Let  $X$  be a  $k$ -scheme of this kind. We write  $K(X)$  for the Grothendieck group of the classes of coherent  $O_X$ -Modules. Let  $G_{n,n}$  be the Grassmannian  $k$ -scheme of  $n$ -planes in affine  $2n$ -space  $A_k^{2n}$  where  $n$  ranges over the positive integers. Then there are natural closed immersions:  $G_{n,n} \longrightarrow G_{l,l}$  for  $l > n$ . We denote by  $B_k$  the direct limit of  $G_{n,n}$  in the category of geometrical  $k$ -spaces. Consider morphisms  $f, g: X \longrightarrow B_k \times Z$ . We define  $f \sim g$  if and only if  $f$  is connected with  $g$  by a finite chain of rational homotopies. A class by the equivalence relation  $\sim$  will be called a rational homotopy class. We write  $[X, B_k \times Z]_{\text{rat}}$  for the set of rational homotopy classes of  $k$ -morphisms:  $X \longrightarrow B_k \times Z$ . With these notations we have

**Main Theorem.** *There is a natural bijection*

$$K(X) \cong [X, B_k \times Z]_{\text{rat}}.$$

Let  $X$  be an irreducible algebraic prescheme over an algebraically closed field  $k$ . Let  $\gamma_n^m$  be the universal scheme vector bundle over  $G_{n,m}$ , i.e. the Grassmannian  $k$ -scheme of  $n$ -planes in affine  $(m-n)$ -space. We denote by  $p$  the natural projection:  $\gamma_n^m \longrightarrow G_{n,m}$ . We now state two theorems below which are used for the proof of the Main Theorem, because of their own interest.

**THEOREM A.** *Let  $E$  be a quasi-coherent  $O_X$ -Module which is a direct summand of a free  $O_X$ -Module of finite rank and  $m$  a sufficiently large integer. Then we can find a morphism  $G: X \rightarrow G_{n,m}$  such that there is a pull-back diagram:*

$$\begin{array}{ccc} V(\check{E}) & \longrightarrow & \Gamma_n^m \\ \downarrow & & \downarrow p \\ X & \xrightarrow{G} & G_{n,m} \end{array}$$

in other words

$$V(\check{E}) = X \times_{G_{n,m}} \Gamma_n^m.$$

**THEOREM B.** *Suppose two morphisms having the pull-back diagram in Theorem A. Then they are rationally homotopic in  $G_{n,m'}$  for sufficiently large  $m'$ .*

**1. Grassmannian schemes and universal scheme vector bundles.**

First we define the Grassmannian  $k$ -schemes for an arbitrary field  $k$ . Let  $\Lambda$  be the set of subsets  $\lambda$  of  $\{1, \dots, m\}$  with  $\text{card.}\lambda = n$  where  $m$  and  $n$  are fixed positive integers. Let  $U_\lambda$  be  $m!/(n! \times (m-n)!)$  copies of affine  $n(m-n)$ -space  $\mathbb{A}_k^{m(n-n)}$  which are indexed by  $\Lambda$ . For convenience we introduce variables  $X_{ij}^{(\lambda)}$  where  $i$  (resp.  $j$ ) runs through  $1, \dots, n$  (resp.  $1, \dots, m-n$ ). We write  $R_\lambda$  for the polynomial ring  $k[X_{ij}^{(\lambda)}]$  in  $n(m-n)$  variables  $X_{ij}^{(\lambda)}$  and consider  $U_\lambda$  as  $\text{Spec } R_\lambda$ . We wish to glue together  $U_\lambda$  ( $\lambda \in \Lambda$ ) and construct a  $k$ -scheme. Let us explain how  $U_\lambda$  and  $U_\mu$  are glued for  $\lambda, \mu \in \Lambda$ . For that it suffices to take the example of  $\lambda = \{1, \dots, n\}$  and  $\mu = \{1, \dots, n-1, n+1\}$ . Let:

$$M = \begin{pmatrix} & & X_{11}^{(\lambda)} \\ & 1_{n-1} & \vdots \\ 0 & \dots & X_{n1}^{(\lambda)} \end{pmatrix}$$

$$M' = \begin{pmatrix} & & X_{11}^{(\mu)} \\ & 1_{n-1} & \vdots \\ 0 & \dots & X_{n1}^{(\mu)} \end{pmatrix}$$

where  $1_{n-1}$  denotes the unit matrix of order  $n-1$ . We note that the coefficients of  $M^{-1}$  (resp.  $M'^{-1}$ ) belong to the ring  $(R_\lambda)_{\det M}$  (resp.  $(R_\mu)_{\det M'}$ ). Between the variables  $X_{ij}^{(\lambda)}, X_{ij}^{(\mu)}$  we introduce the relation:

$$X^{(\mu)} = M^{-1}X^{(\lambda)}$$

where

$$X^{(\lambda)} = \begin{pmatrix} & X_{11}^{(\lambda)} & \cdots & X_{1,m-n}^{(\lambda)} \\ & 1_n & \cdots & \cdots \\ & & & \\ X_{n1}^{(\lambda)} & \cdots & X_{n,m-n}^{(\lambda)} & \end{pmatrix}$$

$$X^{(\mu)} = \begin{pmatrix} & & & X_{11}^{(\mu)} & 0 & X_{12}^{(\mu)} & \cdots & X_{1,m-n}^{(\mu)} \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ 0 & \cdots & 0 & X_{n1}^{(\mu)} & 1 & X_{n2}^{(\mu)} & \cdots & X_{n,m-n}^{(\mu)} \end{pmatrix}.$$

From this it results that  $X_{i'j'}^{(\mu)}$  ( $i'=1, \dots, n$ ;  $j'=1, \dots, m-n$ ) are rational functions of  $X_{ij}^{(\lambda)}$ . We denote these rational functions by  $r_{i'j'}$ . Then  $r_{i'j'} \in (R_\lambda)_{\det M}$ . We clearly have  $M' = M^{-1}$ . Hence  $\det M' = (\det M)^{-1}$ . Therefore if we substitute  $r_{i'j'}$  for  $X_{i'j'}^{(\mu)}$  in  $(P \in (R_\mu)_{\det M'})$ , we have an element  $Q$  of  $(R_\lambda)_{\det M}$ . We define  $T_{\lambda\mu}: (R_\mu)_{\det M'} \rightarrow (R_\lambda)_{\det M}$  by setting  $T_{\lambda\mu}(P) = Q$ . This is an isomorphism and induces a scheme isomorphism  ${}^a T_{\lambda\mu}: \text{Spec } (R_\lambda)_{\det M} \rightarrow \text{Spec } (R_\mu)_{\det M'}$ . These isomorphisms satisfy the cocycle condition. Hence we can define a prescheme which is locally isomorphic to  $\mathbf{A}_k^{n(m-n)}$ . We denote it by  $G_{n,m-n}$ . Let  $i_\lambda$  be the natural inclusion:  $k \subset R_\lambda$ . Then  $i_\lambda$  induces a morphism  ${}^a i_\lambda: U_\lambda \rightarrow \text{Spec } k$ . We can glue  ${}^a i_\lambda$  into a morphism  $i: G_{n,m-n} \rightarrow \text{Spec } k$ .  $i$  is separated as easily seen. Hence  $G_{n,m-n}$  can be considered as a  $k$ -scheme. We call this *the Grassmannian  $k$ -scheme of  $n$ -planes in affine space  $\mathbf{A}_k^m$* .

Next we construct the universal scheme vector bundle over  $G_{n,m-n}$ . Let  $\tilde{R}_\lambda$  be the polynomial rings which are obtained by adjunction of  $n$  new variables  $X_h^{(\lambda)}$  ( $h=1, \dots, n$ ) to  $R_\lambda$ . Then for each  $\lambda \in \Lambda$  there is a natural injection:  $R_\lambda \subset \tilde{R}_\lambda$ . It induces a  $k$ -morphism:  $\text{Spec } \tilde{R}_\lambda \rightarrow \text{Spec } R_\lambda$ . We denote it by  $p_\lambda$ . Between the variables let us introduce the relation:

$$(X_1^{(\mu)}, \dots, X_n^{(\mu)}) = (X_1^{(\lambda)}, \dots, X_n^{(\lambda)})M.$$

Then  $X_{h'}^{(\mu)}$  ( $h'=1, \dots, n$ ) turn out to be rational functions of  $X_h^{(\lambda)}$  which we denote by  $r_{h'}$ . Since  $r_{h'} \in (\tilde{R}_\lambda)$ , we can assign to each  $\tilde{P} \in (\tilde{R}_\mu)_{\det M'}$  an element  $\tilde{Q} \in (\tilde{R}_\lambda)_{\det M}$  which is obtained by the substitution of  $r_{i'j'}$ ,  $r_{h'}$  for  $X_{i'j'}^{(\mu)}$ ,  $X_{h'}^{(\mu)}$ . The isomorphism  $\tilde{T}_{\lambda\mu}: \tilde{P} \rightarrow \tilde{Q}$  induces an isomorphism  ${}^a \tilde{T}_{\lambda\mu}: \text{Spec } (\tilde{R}_\lambda)_{\det M} \rightarrow \text{Spec } (\tilde{R}_\mu)_{\det M'}$ . Since  ${}^a \tilde{T}_{\lambda\mu}$  satisfy the cocycle condition, we get a prescheme  $\gamma_n^m$  by gluing  $\text{Spec } \tilde{R}_\lambda$  ( $\lambda \in \Lambda$ ). It is actually a  $k$ -scheme. Besides the  $k$ -morphisms  $p_\lambda$  ( $\lambda \in \Lambda$ ) can be glued into a  $k$ -morphism  $p: \gamma_n^m \rightarrow G_{n,m-n}$ . This can be easily seen from the commutative diagrams:

$$\begin{array}{ccc}
 \text{Spec } (\tilde{R}_\lambda)_{\det M} & \xrightarrow{{}^a\tilde{T}_{\lambda\mu}} & \text{Spec } (\tilde{R}_\mu)_{\det M'} \\
 \downarrow p_\lambda & & \downarrow p_\mu \\
 \text{Spec } (R_\lambda)_{\det M} & \xrightarrow{{}^a\tilde{T}_{\lambda\mu}} & \text{Spec } (R_\mu)_{\det M'}
 \end{array}$$

We call the  $G_{n,m-n}$ -prescheme  $\mathcal{Y}_n^m$  the *universal scheme vector bundle* because we have the following proposition.

Let  $E$  be the sheaf of germs of section of  $\mathcal{Y}_n^m$ . Then  $E$  can be viewed as a Module over the structure sheaf of  $G_{n,m-n}$ .

PROPOSITION 1.  $E$  is a quasi-coherent Module and the  $G_{n,m-n}$ -scheme  $\mathcal{Y}_n^m$  is isomorphic to the scheme vector bundle  $V(\check{E})$  associated to  $E$ .

PROOF. Let us consider  $\tilde{R}_\lambda$  as a  $R_\lambda$ -algebra by the natural injection:  $R_\lambda \hookrightarrow \tilde{R}_\lambda$ . Then there are natural isomorphisms:

$$(1) \quad \Gamma(U_\lambda, E) \cong \text{Hom}_{\text{Alg}}(\tilde{R}_\lambda, R_\lambda) = \text{Hom}_{\text{Mod}}(R_\lambda^n, R_\lambda)$$

where  $R_\lambda^n$  denotes the direct sum of  $n$  copies of  $R_\lambda$ . For  $f \in R_\lambda$  we also have a natural isomorphism:  $\Gamma((U_\lambda)_f, E) \cong \text{Hom}_{\text{Mod}}((R_\lambda)_f^n, (R_\lambda)_f)$ . Hence we see  $\Gamma(U_\lambda, E)_f = \Gamma((U_\lambda)_f, E)$ . This shows that  $E|_{U_\lambda}$  is the sheaf associated to the  $R$ -module  $\Gamma(U_\lambda, E)$ . Hence  $E$  is quasi-coherent. From (1) we have

$$\Gamma(U_\lambda, \check{E}) = \text{Hom}_{\text{Mod}}(\Gamma(U_\lambda, E), R_\lambda) = R_\lambda^n.$$

Therefore we obtain a natural isomorphism of the symmetric algebra of  $\Gamma(U_\lambda, E)$  onto the polynomial ring  $\tilde{R}_\lambda$ . This gives rise to a natural isomorphism  $\tilde{z}: \text{Spec } \tilde{R}_\lambda \rightarrow \text{Spec } \Gamma(U_\lambda, S(E))$ , where  $S(E)$  is the symmetric Algebra of Module  $E$ . Let  $i'_\lambda$  be the restriction of  $\tilde{z}_\lambda$  on  $\text{Spec } (\tilde{R}_\lambda)_{\det M}$ . Then  $i'_\lambda \circ i'_\mu$  is equal to  ${}^a\tilde{T}_{\lambda\mu}$ . Hence we see that the isomorphism  $\tilde{z}_\lambda$  ( $\lambda \in A$ ) can be glued into a global isomorphism of  $\mathcal{Y}_n^m$  onto  $V(E)$ . This completes the proof.

PROPOSITION 2.  $G_{n,m-n}$  is isomorphic to  $G_{m-n,n}$ .

PROOF. For  $\lambda \in A$  we set  $\bar{\lambda} = \{1, \dots, m\} - \lambda$ . Then  $G_{m-n,n}$  is covered by the affine open sets  $U_{\bar{\lambda}}$  which can be identified with  $\text{Spec } R_{\bar{\lambda}}$  where  $R_{\bar{\lambda}} = k[X_{ji}^{(\bar{\lambda})}]$  ( $i=1, \dots, n; j=1, \dots, m-n$ ). We first construct an isomorphism:  $\text{Spec } R_\lambda \rightarrow \text{Spec } R_{\bar{\lambda}}$  for each  $\lambda \in A$  and then show that they can be glued together. We again take the example of  $\lambda = \{1, \dots, n\}$  and  $\mu = \{1, \dots, n-1, n+1\}$  for the convenience of writing. Let us denote by  $Y$  the  $(m-n)$ -by- $m$  matrix with unknowns  $Y_{jk}$  as the  $(j, k)$ -element respectively where  $j=1, \dots, m-n$  and  $k=1, \dots, m$ . Consider the matrix equation with the unknown  $Y$ :

$$X^{(\lambda)t}Y = 0.$$

It has a unique solution  $Y^{(\lambda)}$  if we impose the condition:

$$Y_{j,n-j'} = \delta_{jj'} \quad (j, j' = 1, \dots, m-n)$$

on  $Y$ . Actually we have  $Y_{ji} = -X_{ij}^{(\lambda)}$ . Let  $P \in R_\lambda$ . Substituting  $-X_{ij}^{(\lambda)} (= Y_{ji})$  for  $X_{ji}^{(\lambda)}$  in  $P$ , we get a polynomial in  $R_\lambda$ . This gives rise to an isomorphism of  $R_\lambda$  onto  $R_\lambda$ . It induces an isomorphism:  $\text{Spec } R_\lambda \longrightarrow \text{Spec } R_\lambda$  which will be denoted by  $\bar{i}_\lambda$ . We write

$$\bar{M} = \begin{pmatrix} -X_{n1}^{(\lambda)} & 0 & \dots & 0 \\ \vdots & & & 1_{n-1} \\ -X_{n,m-n}^{(\lambda)} & & & \end{pmatrix}$$

Consider now the equation  $X^{(\mu)t}Y = 0$  and solve it on the condition:

$$Y_{ln} = 1, \quad Y_{jn} = 0, \quad Y_{j',n+j} = \delta_{j'j}$$

$$j = 2, \dots, m-n, \quad j' = 1, \dots, m-n.$$

We denote the solution by  $Y^{(\mu)}$ . As for  $\mu$ , we have a natural isomorphism  $\bar{i}_\mu: \text{Spec } R_\mu \longrightarrow \text{Spec } R_\mu$ . Since the solution is unique,  $Y^{(\mu)} = \bar{M}^{-1}Y^{(\lambda)}$  up to  $T_{\lambda\mu}$ . Hence  $\bar{i}_\lambda = \bar{i}_\mu$  in  $U_\lambda \cap U_\mu$ . We can therefore glue these isomorphisms and obtain a natural isomorphism

$$\bar{i}: G_{n,m-n} \longrightarrow G_{m-n,n}.$$

This completes the proof.

**2. Construction of the classifying morphism.** Let  $k$  be an arbitrary field. Let  $X$  be a  $k$ -prescheme. Then a  $k$ -valued point of  $X$  is a  $k$ -morphism  $f: \text{Spec } k \longrightarrow X$ .  $\text{Spec } k$  consists of a single point. We write  $x$  for the image of  $\text{Spec } k$  by  $f$ .  $f$  gives rise to a  $k$ -homomorphism of  $O_{X,x}$  into  $k$ . We denote it by the same letter  $f$ . Let  $U$  be an affine open set in  $X$  which contains  $x$ . Let  $r_U$  be the restriction:  $\Gamma(U, O_X) \longrightarrow O_{X,x}$ . The kernel of  $f \circ r_U: \Gamma(U, O_X) \longrightarrow k$  is denoted by  $I$ . We use the letter  $A$  for  $\Gamma(U, O_X)$  from now on. Then we have a  $k$ -vector space isomorphism

$$A \cong k \oplus I.$$

Now let  $E$  be a quasi-coherent  $O_X$ -Module. Suppose there is an exact sequence:

$$(2) \quad O \longrightarrow E \longrightarrow O_X^m \longrightarrow O_X^m/E \longrightarrow O$$

which splits locally, provided that  $m$  is some positive integer. We write

$\Gamma(U, E)$  as  $M$  and  $\Gamma(U, O_x^m/E)$  as  $N$ . Let  $U$  be so small that the exact sequence (2) splits on  $U$ . Then we have an  $A$ -module isomorphism

$$g_U: M \oplus N \cong A^m.$$

$g_U$  induces an isomorphism:  $I \cdot M \oplus I \cdot N \cong I^m$ . We therefore have an isomorphism:

$$M/I \cdot M \oplus N/I \cdot N \cong (A/I)^m.$$

By restricting the coefficient ring to  $k$ , we get a  $k$ -vector space isomorphism, which gives rise to an injection

$$j: M/I \cdot M \hookrightarrow k^m.$$

We denote by  $M_U$  the subspace  $j(M/I \cdot M)$  of  $k^m$ .

LEMMA 1. For sufficiently small  $U$ ,  $M_U$  does not depend on the choice of  $U$ , but is determined uniquely by the  $k$ -valued point  $f$ .

PROOF. Let  $U'$  be an affine open set such that  $U' \subset U$  and  $x \in U'$ . Let  $r$  (resp.  $\tilde{r}$ ) be the restriction homomorphism of  $A$  (resp.  $M$ ) on  $A' = \Gamma(U', O_x)$  (resp.  $M' = \Gamma(U', E)$ ). Then the diagrams:

$$\begin{array}{ccc} A & \xrightarrow{r} & A' \\ & \searrow r_U & \downarrow r_{U'} \\ & & O_{x,x} \end{array} \quad \begin{array}{ccc} M & \xrightarrow{g_U} & A^m \\ \downarrow \tilde{r} & & \downarrow r^m \\ M' & \xrightarrow{g_{U'}} & A'^m \end{array}$$

are commutative where  $r^m: A^m \rightarrow A'^m$  is defined by

$$r^m(a_1, \dots, a_m) = (r(a_1), \dots, r(a_m)).$$

The first diagram implies that  $r$  sends  $I$  in  $I' = \text{Ker } f \circ r_{U'}$ . Hence we obtain the commutative diagram:

$$\begin{array}{ccc} M_U & \longrightarrow & k^m \\ \downarrow & & \downarrow i_a \\ M^{U'} & \longrightarrow & k^m \end{array}$$

from the second diagram where the horizontal arrows are the inclusions. We therefore have  $M_U \subset M^{U'}$ . This inclusion can be replaced by the equality if  $U$  is sufficiently small. This completes the proof.

Let us denote by  $X(k)$  the set of  $k$ -valued points of  $k$ -prescheme  $X$ . By the injection:  $f|_{U'} \rightarrow x$ , we can identify  $X(k)$  with a subset of  $X$ . Hence

we can induce a topology on  $X(k)$  from that of  $X$ . From now on we consider  $X(k)$  as a topological space equipped with this induced topology.

Let  $q_M$  be the projection of  $M \oplus N$  on the first factor  $M$ . We define  $q \in \text{End}_A A^m$  by  $q = g_V \circ q_M \circ g_V^{-1}$ . With respect to the canonical base of  $A^m$  there corresponds a matrix  $\alpha$  to  $q$ . We set

$$\alpha = \begin{pmatrix} a_{11} & \cdots & a_{1m} \\ \cdots & \cdots & \cdots \\ a_{m1} & \cdots & a_{mm} \end{pmatrix}$$

Let  $f_V$  be the natural projection of  $A$  on  $A/I$ , i.e.,  $f \circ r_V$ . Then the vectors  $(f_V(a_{11}), \dots, f_V(a_{m1}), \dots, (f_V(a_{1m}), \dots, f_V(a_{mm})))$  span the vector subspace  $M_V$  in  $k^m$ . Suppose  $U$  is sufficiently small. Then this subspace is uniquely determined by  $f$ , which is guaranteed by Lemma 1. We use the symbol  $G_x(f)$  instead of  $M_V$ .  $\dim G_x(f)$  equals the maximum order of square submatrix  $\beta$  of  $\alpha$  such that  $f_V(\det \beta) \neq 0$ , or equivalently  $\det \beta \notin I$ . We write  $b$  for  $\det \beta$ . For fixed  $\beta$  the set of  $g \in U \cap X(k)$  with  $g_V(b) \neq 0$  is just  $\text{Spec } A_b \cap X(k)$ . Hence the set of  $g \in X(k)$  such that

$$\dim G_x(f) \leq \dim G_x(g)$$

contains an open neighborhood of  $x$  in  $X(k)$ . Similarly the set of  $g' \in X(k)$  such that

$$m - \dim G_x(f) \leq \dim N/\text{Ker } g'_V \cdot N$$

contains an open neighborhood of  $x$  in  $X(k)$ . Since

$$\dim M/\text{Ker } g_V \cdot M + \dim N/\text{Ker } g_V \cdot N = m$$

holds at any point  $g \in U \cap X(k)$ , we can conclude from the above facts that  $\dim G_x(f)$  is locally constant in  $X(k)$ .

Suppose now  $X$  is an irreducible algebraic  $k$ -prescheme with  $k$  algebraically closed. Then  $X(k)$  coincides with the set of closed points of  $X$ . It is a connected and dense subset of  $X$ . Hence  $\dim G_x(f)$  is a constant on  $X$ . We denote it by  $n$ . Then  $G_x: f \longmapsto G_x(f)$  can be viewed as a map of  $X(k)$  into  $G_{n, m-n}$  since there corresponds a closed point in  $G_{n, m-n}$  to each  $n$ -plane in  $k^m$  naturally. Let  $\beta$  be an  $n$ -by- $n$  submatrix of  $\alpha$  with  $b = \det \beta \notin R(A)$  where  $R(A)$  is the radical of  $A$ . Then we have  $\text{Spec } A = \cup \text{Spec } A_b$  where the union ranges over the submatrices of the above nature; for  $\cup \text{Spec } A_b$  is an open subset containing all the closed points of  $\text{Spec } A$ . For brevity's sake we assume  $\beta = (a_{i i'})_{i, i' = 1, \dots, n}$ . We define  $c_{ij} \in A_b$  ( $i = 1, \dots, n; j = 1, \dots, m - n$ ) by

$$\beta^{-1} \begin{pmatrix} a_{11} & \cdots & a_{1m} \\ \cdots & \cdots & \cdots \\ a_{n1} & \cdots & a_{nm} \end{pmatrix} = \begin{pmatrix} c_{11} & \cdots & c_{1,m-n} \\ 1_n & \cdots & \cdots \\ c_{n1} & \cdots & c_{n,m-n} \end{pmatrix}$$

Recall that  $G_{n,m-n}$  is covered by the affine open sets  $U_\lambda$  ( $\lambda \in \Lambda$ ) each of which is identifiable with affine space  $\text{Spec } k[X_{ij}^{(\lambda)}]_{i=1,\dots,n; j=1,\dots,m-n}$ . For  $Q \in k[X_{ij}^{(\lambda)}]$  we define

$$H(Q) = Q(c_{ij}).$$

Then  $H$  is a homomorphism of  $k[X_{ij}^{(\lambda)}]$  into  $A_b$ .  $H$  induces a morphism  ${}^aH: \text{Spec } A_b \rightarrow \text{Spec } k[X_{ij}^{(\lambda)}] = U_\lambda$ . We want to show that we can glue  ${}^aH$  and get a morphism of  $X$  into  $G_{n,m-n}$ . For that it suffices to prove

$$(3) \quad G_X(f) = {}^aH(f)$$

for any  $k$ -valued point  $f \in U_b = \text{Spec } A_b$ . We write  $f|_{U_b}$  as  $f_b$ . Then we have

$$\begin{aligned} {}^aH(f) &= H^{-1}(\text{Ker } f_b) = \{Q \in k[X_{ij}^{(\lambda)}] \mid Q(c_{ij}) \in \text{Ker } f_b\} \\ &= \{Q \in k[X_{ij}^{(\lambda)}] \mid Q(f_b(c_{ij})) = 0\} = G_X(f). \end{aligned}$$

Hence we get (3).

The morphism obtained in this way is nothing but the extension of  $G_X$  to  $X$  (by continuity). We use the same symbol  $G_X$  for it. We say that  $G_X$  is the *classifying morphism* of  $E$  (corresponding to the exact sequence (2)).

**3. Construction of the isomorphism in Theorem A.** Let  $X$  be an irreducible algebraic prescheme over an algebraically closed field  $k$  and  $E$  a quasi-coherent  $O_X$ -Module. Suppose there is an exact sequence (2) which splits locally. Then we can construct the classifying morphism  $G_X: X \rightarrow G_{n,m-n}$  for  $E$  as shown in §2. Let  $\mathcal{E}$  be the sheaf of germs of  $G_{n,m-n}$ -sections of  $\mathcal{Y}_n^m$ .  $\mathcal{E}$  actually is a Module over  $G_{n,m-n}$ . The inverse image of Module  $\mathcal{E}$  by  $G_X$  is defined by

$$G_X^*(\mathcal{E}) = O_X \times_{G_X^{-1}(O_{G_{n,m-n}})} G_X^{-1}(\mathcal{E}).$$

We first construct an isomorphism:

$$(4) \quad G_X^*(\mathcal{E}) \cong E.$$

We follow the notations in the preceding sections, provided that the symbols relative to  $U_b$  are replaced by the corresponding ones relative to  $U$  with a prime. For example, we write  $U', A', M'$  for  $U_b, A_b, M_b$  and so on. In

addition,  $a_{ij}$  in this section, strictly speaking, should be written as  $r(a_{ij})$  with the restriction homomorphism  $r: A \rightarrow A'$ . The isomorphism (4) is a collection of isomorphisms:  $\Gamma(U', G_X^*(\mathcal{E})) \rightarrow M'$ . We construct the isomorphism (4) on  $U'$  in the following, assuming  $\beta = (a_{ii'})_{i, i'=1, \dots, n}$  for the convenience of notations. The rest are treated in exactly the same manner. Let  $\sigma' \in M'$ . Then  $\sigma'$  is a linear combination of the line vectors  $\alpha_i$  ( $i=1, \dots, n$ ) with coefficients in  $A'$ , where  $\alpha_i = (a_{i1}, \dots, a_{im})$ . Let  $\beta_i = (0, \dots, 0, 1, 0, \dots, 0, c_{i1}, \dots, c_{i, m-n})$  where  $i=1, \dots, n$ . Then  $\alpha_i$  with  $1 \leq i \leq n$  can be written as linear combinations of  $\beta_{i'}$ . We further have

LEMMA 2. For  $k=n+1, \dots, m$  also,  $\alpha_k$  are linear combinations of  $\beta_i$ .

PROOF. Let  $M_0$  be the submodule of  $M'$  generated by  $\alpha_1, \dots, \alpha_n$ . For any  $k$ -valued point  $f$  we have

$$f^m(\alpha_k) = f(a_{k1})f^m(\beta_1) + \dots + f(a_{kn})f^m(\beta_n)$$

where  $f^m$  is defined as  $r^m$  in §2. Hence

$$\alpha_k - a_{k1}\beta_1 - \dots - a_{kn}\beta_n \in R(A')^m.$$

Since  $M'$  is a direct summand of  $A'^m$ ,  $R(A')^m \cap M'$  equals  $R(A')M'$ . Hence we have

$$M_0 \oplus R(A')M' = M'.$$

We therefore obtain  $M' = M_0$  from the lemma of Nakayama. This completes the proof.

Let us now define  $R_\lambda$ -homomorphisms  $e_h^{(\lambda)}: \tilde{R}_\lambda \rightarrow R_\lambda$  by

$$e_h^{(\lambda)}(X_k^{(\lambda)}) = \delta_{hk}.$$

For each  $h=1, \dots, n$   $e_h^{(\lambda)}$  corresponds to an element of  $\Gamma(U_\lambda, \mathcal{Y}_n^m)$ , denoted by  $e_h^{(\lambda)}$  again, by means of the isomorphism (1). Then  $e_1^{(\lambda)}, \dots, e_n^{(\lambda)}$  constitute an  $R_\lambda$ -base for  $\Gamma(U, \mathcal{Y}_n^m)$ . It may be called the "canonical" base. We take  $\lambda = \{1, \dots, n\}$ , which is actually decided by the way of choosing  $\beta$ . Then  $G_X(U') \subset U_\lambda$ . We write  $\tilde{e}_h^{(\lambda)}$  for  $e_h^{(\lambda)} \circ G_X|U'$  where  $G_X|U'$  is the restriction of  $G_X$  on  $U'$ . Then  $\tilde{e}_h^{(\lambda)} \in \Gamma(U', G_X^{-1}(\mathcal{E}))$ . Using Lemma 2, we can find  $d_1, \dots, d_n \in A'$  such that

$$\sigma' = d_1\beta_1 + \dots + d_n\beta_n.$$

We define

$$j_{U'}(\sigma') = d_1 \otimes \tilde{e}_1^{(\lambda)} + \dots + d_n \otimes \tilde{e}_n^{(\lambda)}.$$

Then we have  $j_{U'}(\sigma') \in \Gamma(U', G_X^*(\mathcal{E}))$ .

Let us go back to  $U$  and define  $j_U(\sigma)$  for  $\sigma \in M$  by gluing  $j_{U'}(r'(\sigma))$  where

$r'$  is the restriction homomorphism  $M \rightarrow M'$ . To do so, take  $\hat{\beta} = (a_{i i'})_{i=1, \dots, n; i'=1, \dots, n-1, n+1}$ , since the rest are treated in the same way. Suppose  $\hat{b} = \det \hat{\beta} \notin R(A)$ . We write  $\mu = \{1, \dots, n-1, n+1\}$  as before. Let  $r_\lambda$  (resp.  $r_\mu$ ) be the restriction homomorphism:  $\Gamma(U_\lambda, \gamma_n^m)$  (resp.  $\Gamma(U_\mu, \gamma_n^m)$ )  $\rightarrow \Gamma(U_\lambda \cap U_\mu, \gamma_n^m)$ . Let  $\varepsilon_h^{(\lambda)}$  (resp.  $\varepsilon_k^{(\mu)}$ ) be the image of  $e_h^{(\lambda)}$  (resp.  $e_k^{(\mu)}$ ) by  $r_\lambda$  (resp.  $r_\mu$ ). Then we have

$$(5) \quad (\varepsilon_1^{(\lambda)}, \dots, \varepsilon_n^{(\lambda)}) = (\varepsilon_1^{(\mu)}, \dots, \varepsilon_n^{(\mu)})^t M.$$

We write

$$N = \begin{pmatrix} & c_{1, n+1} & \\ & \vdots & \\ 1_{n-1} & & \\ 0 \cdots & & c_{n, n+1} \end{pmatrix}.$$

Let  $U'' = U' \cap \text{Spec } A_\delta$  and  $\tilde{\varepsilon}_h^{(\lambda)} = \varepsilon_h^{(\lambda)} \circ G_X|_{U''}$ ,  $\tilde{\varepsilon}_k^{(\mu)} = \varepsilon_k^{(\mu)} \circ G_X|_{U''}$ . Then it follows from (5) that

$$(6) \quad (\tilde{\varepsilon}_1^{(\lambda)}, \dots, \tilde{\varepsilon}_n^{(\lambda)}) = (\tilde{\varepsilon}_1^{(\mu)}, \dots, \tilde{\varepsilon}_n^{(\mu)})^t N.$$

Let  $\sigma \in M$ . Let  $\sigma'$  be the restriction of  $\sigma$  on  $U'$  and  $\sigma''$  that on  $\text{Spec } A_\delta$ . We denote by  $\hat{\beta}_i$  the line vectors of the matrix  $\hat{\beta}^{-1}(a_{i j})_{i=1, \dots, n; j=1, \dots, m}$ . Define  $\hat{d}_i$  by

$$\sigma'' = \hat{d}_1 \hat{\beta}_1 + \dots + \hat{d}_n \hat{\beta}_n.$$

Then up to the restriction homomorphism, we have

$$(7) \quad (\hat{d}_1, \dots, \hat{d}_n) = (d_1, \dots, d_n) N.$$

From (6), (7) we obtain

$$(8) \quad d_1 \otimes \tilde{\varepsilon}_1^{(\lambda)} + \dots + d_n \otimes \tilde{\varepsilon}_n^{(\lambda)} = \hat{d}_1 \otimes \tilde{\varepsilon}_1^{(\mu)} + \dots + \hat{d}_n \otimes \tilde{\varepsilon}_n^{(\mu)}.$$

Note that  $\tilde{\varepsilon}_h^{(\iota)} = \bar{e}_h^{(\iota)}|_{U''}$  for  $\iota = \lambda, \mu$ . Then it follows from (8) that we can get an element of  $\Gamma(U, G_X^*(\mathcal{E}))$  by gluing the pieces together. We write it as  $j_U(\sigma)$ . Then

$$(9) \quad j_U: M \rightarrow \Gamma(U, G_X^*(\mathcal{E}))$$

is an  $A$ -module isomorphism.

By the same reasoning as above we have the following lemma.

LEMMA 3.  $j_U$  does not depend on the choice of a splitting.

LEMMA 4. These isomorphisms  $j_U$  satisfy the condition of compatibility with the restriction homomorphisms.

PROOF. Let  $U'$  be any open subset of  $U$ . We write  $A', M'$  for  $\Gamma(U', O_X)$ ,  $\Gamma(U', E)$  respectively. A local splitting of (2) over  $U$  gives rise

to isomorphisms

$$\begin{aligned} g_U: M \oplus N &\cong A^m, \\ g_{U'}: M' \oplus N' &\cong A'^m. \end{aligned}$$

We can define  $b', \beta'_i$  for  $g_{U'}$  in the same way as  $b, \beta_i$  for  $g_U$  respectively. Let  $r$  be the restriction homomorphism:  $\Gamma(U_b, O_X) \rightarrow \Gamma(U_{b'}, O_X)$ . Then  $\beta'_i = r^m(\beta_i)$ . Hence  $d'_h = r(d_h)$  where  $d'_h$  are defined for  $g_{U'}$  as  $d_h$  for  $g_U$ . We can therefore conclude that  $j_{U'}(\sigma')$  is the image by the restriction homomorphism of  $j_U(\sigma)$  where  $\sigma'$  is that of  $\sigma$ .

Thus  $j: U \rightarrow j_U$  is the required sheaf isomorphism.

In conclusion we can state the

**THEOREM.** *Let  $X$  be an irreducible algebraic prescheme over an algebraically closed field  $k$ . Let  $E$  be a quasi-coherent  $O_X$ -Module having an exact sequence (2) which splits locally. Then there are a morphism  $G_X: X \rightarrow G_{n,m-n}$  and an isomorphism:  $G_X^*(\mathcal{E}) \cong E$  for some positive integer  $n$  where  $\mathcal{E}$  is the sheaf of germs of  $G_{n,m-n}$ -sections of  $\gamma_n^m$ .*

(Hence  $E$  turns out to be locally free.)

Now let us prove Theorem A. It is the same in essence as the theorem stated just above. There is only need of giving attention to some facts. First we note that

$$G_X^{\check{}}(\mathcal{E}) = G_X^*(\check{\mathcal{E}}),$$

since  $\mathcal{E}$  is locally free and of finite rank. The isomorphism:  $G_X^*(\mathcal{E}) \cong E$  induces the one:  $V(\check{\mathcal{E}}) \cong V(G_X^{\check{}}(\mathcal{E}))$ . Secondly we have

$$V(G_X^*(\check{\mathcal{E}})) = V(\check{\mathcal{E}}) \times_{G_{n,m}} X.$$

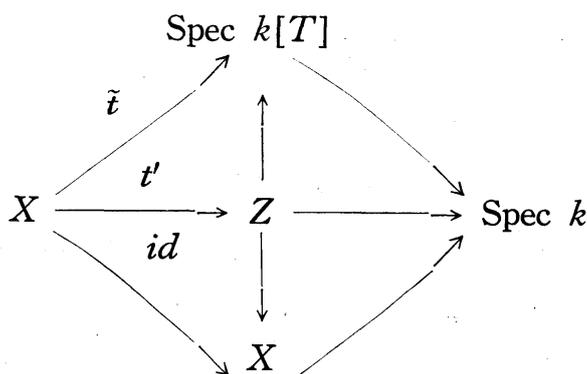
Hence we can obtain Theorem A.

**4. Rational homotopy.** We make the definition of rational homotopy in the first half of this section and construct the rational homotopy in Theorem B in the second one.

Let  $X, Y$  be  $k$ -preschemes where  $k$  is an arbitrary field. Let  $k[T]$  be the polynomial algebra over  $k$  in one variable  $T$  and  $t$  a  $k$ -valued point of the  $k$ -scheme  $\text{Spec } k[T]$ . Then  $t$  induces an algebra homomorphism  $t^*: k[T] \rightarrow k$ . On the other hand  $k$  is included in  $\Gamma(X, O_X)$  in the natural way. The product of  $t^*$  with this inclusion is a homomorphism:  $k[T] \rightarrow \Gamma(X, O_X)$ . This homomorphism induces a morphism  $\tilde{t}: X \rightarrow \text{Spec } k[T]$  in the natural way. Now we write

$$Z = X \times_{\text{Spec } k} \text{Spec } k[T].$$

Then there is a unique morphism  $t': X \rightarrow Z$  such that the diagram



is commutative. Let  $t_1$  (resp.  $t_2$ ) be the  $k$ -valued point of  $\text{Spec } k[T]$  which corresponds to the natural projection:

$$\begin{aligned}
 k[T] &\longrightarrow k[T]/(T) \cong k \\
 (\text{resp. } k[T] &\longrightarrow k[T]/(1-T) \cong k).
 \end{aligned}$$

As stated above, these  $k$ -valued points give rise to morphisms  $t_1, t: X \rightarrow Z$  respectively. We can now define the rational homotopy as follows. Let us consider morphisms  $f_1, f_2: X \rightarrow Y$ . Then a *rational homotopy from  $f_1$  to  $f_2$*  is by definition a morphism  $h: Z \rightarrow Y$  such that  $f_i = h \circ t_i$  for  $i=1, 2$ . We also say that  $f_1$  is *rationally homotopic to  $f_2$* .

Let us turn to the problem of constructing the rational homotopy in Theorem B. Let  $E$  be a quasi-coherent  $O_X$ -Module.  $E$  is supposed to be a direct summand of a free  $O_X$ -Module of finite rank. Hence for some positive integer  $m$  there are a quasi-coherent  $O_X$ -Module  $E_1$  and an isomorphism

$$(10) \quad g_1: E \oplus E_1 \cong O_X^m.$$

Let us consider another decomposition

$$(11) \quad g_2: E \oplus E_2 \cong O_X^m$$

where  $E_2$  is an  $O_X$ -Module. Suppose  $X$  is an irreducible algebraic prescheme with  $k$  algebraically closed. From the decompositions (10), (11) we obtain the corresponding classifying morphisms  $G_1, G_2: X \rightarrow G_{n, m-n}$  for some integer  $n$ . Let  $q_X$  be the projection of  $Z = X \times \text{Spec } k[T]$  on the first factor  $X$ . We set  $E_Z = q_X^*(E)$ . Let  $U$  be an affine open set in  $X$ . We write  $A, M, W$  for  $\Gamma(U, O_X), \Gamma(U, E), q_X^{-1}(U)$  respectively. Then  $W$  can be identified with  $\text{Spec } (A \otimes k[T])$  and, moreover,  $q_X|_W$  corresponds to the inclusion:  $A \subset A \otimes k[T]$  given by  $a \mapsto a \otimes 1$  for  $a \in A$ . Hence there is a natural isomorphism:

$$q_X^*(E)|_W \cong (q_X|_W)^*(E) \cong (k[T] \otimes M) \sim$$

where  $(k[T] \otimes M)^\sim$  is the  $O_W$ -Module associated to  $A \otimes k[T]$ -module  $k[T] \otimes M$ . We write the module  $k[T] \otimes M$  by  $M_Z$  below. The decompositions (10), (11) give rise to those of the  $A$ -module  $A^m$ :

$$(12) \quad M \oplus N_i \cong A^m \quad (i=1, 2)$$

respectively. We further have the  $A \otimes k[T]$ -module decompositions

$$(13) \quad M_Z \oplus k[T] \otimes N_i \cong (A \otimes k[T])^m \quad (i=1, 2)$$

from (12). (13) gives the inclusions:  $M_Z \subset (A \otimes k[T])^m$ . We denote them by  $g_1(W)$ ,  $g_2(W)$  respectively. We define  $(1-T)g_1(W)$ ,  $(T)g_2(W)$  by  $(1-T)g_1(W)\sigma = (1 \otimes (1-T))g_1(W)(\sigma)$ ,  $(T)g_2(W)(\sigma) = (1 \otimes (T))g_2(W)(\sigma)$  for  $\sigma \in M_Z$ . We set:

$$(14) \quad g_W = (1-T)g_1(W) \oplus (T)g_2(W).$$

$g_W$  induces a morphism  ${}^a g_W: E_Z|W \rightarrow O_Z^{2m}$ . Since the affine open sets  $W$  cover  $Z$ , we finally obtain a morphism  $g^*: E_Z \rightarrow O_Z^{2m}$ . The image of  $g_W$  is a direct summand of  $(A \otimes k[T])^{2m}$ , as easily seen. Hence we can construct a classifying morphism  $G_Z: Z \rightarrow G_{n, 2m-n}$  by means of  $g^*$ .

Let  $U_\lambda$  be an affine open set defined in § 1. Hence  $U_\lambda$  is.

We denote by  $R, R'$  polynomial rings  $k[X_{ij}]_{i=1, \dots, n; j=1, \dots, m-n}$ ,  $k[Y_{ik}]_{i=1, \dots, n; k=1, \dots, 2m-n}$  respectively. Consider the epimorphisms  $s_1, s_2: R' \rightarrow R$  that are defined by

$$s_1(Y_{ik}) = X_{ik} \quad \text{if } 1 \leq k \leq m-n, \quad \text{otherwise } s_1(Y_{ik}) = 0$$

$$s_2(Y_{ik}) = X_{i, k-m} \quad \text{if } m+1 \leq k \leq 2m-n, \quad \text{otherwise } s_2(Y_{ik}) = 0.$$

Let  $\lambda$  be a subset with  $\text{card. } \lambda = n$  of  $\{1, \dots, m\}$ . Add  $m$  to each element of  $\lambda$ . Then we have a subset of  $\{1, \dots, 2m\}$ . We write it as  $\lambda+m$ . The meaning of  $s_1^{(\lambda)}, s_2^{(\lambda)}$  is evident. These epimorphisms induce morphisms:  $U_\lambda \rightarrow U'_\lambda, U_\lambda \rightarrow U'_{\lambda+m}$  respectively where  $U_\lambda, U'_\lambda$  are the affine open sets in  $G_{n, m-n}, G_{n, 2m-n}$  defined in § 1 respectively. Gluing these morphisms, we obtain two closed immersions  $G_{n, m-n} \subset G_{n, 2m-n}$ . We denote them by  $s_1, s_2$  again.

LEMMA 5.  $s_1, s_2$  are rationally homotopic to each other.

PROOF. Beginning with the epimorphism  $s: R' \rightarrow R \otimes k[T]$  that is defined by  $s(Y_{ik}) = X_{ik} \otimes T$  if  $1 \leq k \leq m-n$ ,  $s(Y_{ik}) = X_{i, k-m} \otimes (1-T)$  if  $m+1 \leq k \leq 2m-n$ , we can construct a morphism:  $X \times_{\text{Spec } k} \text{Spec } k[T] \rightarrow Y$  exactly as above. This morphism is the required rational homotopy.

LEMMA 6. The diagram:

$$\begin{array}{ccccc}
 & & & & G_{n,m-n} \\
 & & & \nearrow & \\
 & G_1 & & & \\
 & & & & \\
 X & \xrightarrow{t'_1} & X \times \text{Spec } k[T] & \xrightarrow{G_Z} & G_{n,2m-n} \\
 & \xrightarrow{t'_2} & & & \\
 & G_2 & & \searrow & \\
 & & & & G_{n,m-n}
 \end{array}$$

has the commutative upper and lower triangles.

PROOF. Let  $f$  be a  $k$ -valued point of  $X$ . Then  $t'_i \circ f$  are  $k$ -valued points of  $Z$  where  $i=1, 2$ . We write  $x, z_i$  for the closed points corresponding to  $f, t'_i \circ f$  respectively. We follow the notations in the earlier part of this section. Suppose  $x \in U$ . Then  $z_i \in W$ . To  $t'_i \circ f$  there correspond  $k$ -homomorphisms:  $A \otimes k[T] \rightarrow k$ , which are denoted by  $\tilde{f}_i$  respectively. Take arbitrary  $\sigma \in M$  and  $P \in k[T]$ . Then from (14) we obtain

$$\begin{aligned}
 \tilde{f}_1^{2m}(g_W(\sigma \otimes P(T))) &= (f_U^m(g_1(W)(\sigma))(P(0), \dots, 0)) \\
 \tilde{f}_2^{2m}(g_W(\sigma \otimes P(T))) &= (0, \dots, f_U^m(g_2(W)(\sigma))P(1))
 \end{aligned}$$

where  $f_U$  is  $f \circ r_U$  in § 2. We therefore have

$$(15) \quad G_Z \circ t'_i(x) = s_i \circ G_i(x)$$

with  $x$  ranging over the closed points of  $X$ . Since the set of closed points is dense, (15) holds for any point  $x$  of  $X$ . This completes the proof.

It is seen from the above two lemmas that  $s_1 \circ G_1$  and  $s_2 \circ G_2$  are rationally homotopic. Hence we get Theorem B.

**5.  $B_k$  and  $B_k^s$ .** In this section we construct the direct limit of Grassmannian  $k$ -schemes  $G_{n,n}$  ( $n=1, 2, \dots$ ) in the category of  $k$ -schemes and then define the classifying  $k$ -space  $B_k$ . We shall further prove a proposition.

Consider the polynomial ring  $k[X_1, \dots, X_n]$  in  $n$  variables. We use the notation  $A_n$  for it. Substituting the zero for  $X_{n+1}$ , we get a homomorphism  $i_{n,n+1}: A_{n+1} \rightarrow A_n$ . It induces a closed immersion  $j_{n,n+1}: \text{Spec } A_n \rightarrow \text{Spec } A_{n+1}$ . We further put  $i_{n,m} = i_{m-1,m} \cdots i_{n,n-1}$ ,  $j_{n,m} = j_{m-1,m} \cdots j_{n,n-1}$  for integers  $m$  with  $n < m$ . Thus we get an inverse system  $(A_n, i_{n,m})$  of rings and a direct system  $(\text{Spec } A_n, j_{n,m})$  of affine schemes. The direct limit of the latter in the category of schemes is equal to  $\text{Spec } \lim \text{inv. } A_n$ .

Consider the Grassmannian  $k$ -scheme  $G_{n,n}$ . Take arbitrary  $\lambda \in \Lambda$  and

set  $U_1=U_\lambda$ . We write  $A_n$  for  $A$  from now on. We define an element  $\mu \in A_m$  by  $\mu = \lambda \cup \{2n+1, \dots, 2m-1\}$ . Instead of  $U_\mu$  we write  $U_m$ . Then  $\Gamma(U_m, G_{m,m})$  can be viewed as  $A_m^2$ . Hence the system  $U_m$  with the natural immersions can be identified with a cofinal subsystem of  $(\text{Spec } A_n, j_{n,m})$ . We denote the direct limit by  $V_{n,\lambda}$ . Then we have the natural closed immersions  $j_m: U_m \longrightarrow V_{n,\lambda}$ . We want to glue  $V_{n,\lambda}$  where  $n$  ranges over the positive integers and  $\lambda$  over  $A_n$ . Let  $\lambda, \mu \in A_n$ . We put  $d = \det M$  and  $d' = \det M'$  (see §1 for  $M$  and  $M'$ ). If we begin with  $U_\mu$ , then we have another direct system:  $U'_1 \longrightarrow U'_2 \longrightarrow U'_m \longrightarrow \dots$ . It is readily checked that  $U_m \cap U'_m = (U_m)_d = (U'_m)_{d'}$  and that  $\lim \text{dir. } (U_m)_d = (V_{n,\lambda})_d$ ,  $\lim \text{dir. } (U'_m)_{d'} = (V_{n,\mu})_{d'}$ . The morphism of the direct systems:

$$\begin{array}{ccccccc} (U_1)_d & \longrightarrow & (U_2)_d & \longrightarrow & \dots & & \\ \parallel & & \parallel & & & & \\ (U'_1)_{d'} & \longrightarrow & (U'_2)_{d'} & \longrightarrow & \dots & & \end{array}$$

gives rise to an isomorphism:  $(V_{n,\lambda})_d \longrightarrow (V_{n,\mu})_{d'}$ . These isomorphisms satisfy the condition of compatibility. Thus we can obtain a  $k$ -prescheme  $V_n$ . In addition  $V_n$  is contained in  $V_m$  as an open sub-prescheme for  $m > n$ . We define  $B^s_k = \bigcup_{n=1}^\infty V_n$ . Then  $B^s_k$  can be viewed as a  $k$ -scheme. We can further consider  $G_{n,n}$  as a sub-scheme of  $B^s_k$  in the natural way, so that we have a sequence of sub-schemes:  $\dots \subset G_{n,n} \subset G_{n-1,n-1} \subset \dots \subset B^s_k$ . We define  $B_k$  to be the union of  $G_{n,n}$  ( $n=1, 2, \dots$ ). Then there is a natural injection  $\pi: B_k \longrightarrow B^s_k$ . Using  $\pi$ , we introduce the structure of a geometrical  $k$ -space into  $B_k$ . In other words the structure sheaf of  $B_k$  is defined to be the inverse image by  $\pi$  of that of  $B^s_k$ .  $\pi$  turns out to be a morphism.

PROPOSITION 3.  $B_k$  is isomorphic to the direct limit of  $G_{n,n}$  in the category of geometrical  $k$ -spaces.

PROOF. We denote by  $B$  the direct limit of  $G_{n,n}$ . Then there is a morphism  $\tilde{j}: B \longrightarrow B_k$ . Let  $x \in B_k$ . Then  $x \in G_{n,n}$  for some  $n$ . To  $x$  there corresponds a prime ideal  $I_x$  in  $A_{n^2}$ . We write  $I$  for the inverse image of  $I_x$  by the natural morphism:  $\lim \text{inv. } A_n \longrightarrow A_{n^2}$ . Then the proposition follows from the fact:  $O_{B_k, x}$  is isomorphic to  $(\lim \text{inv. } A_n)_I = \lim \text{inv. } O_{G_{m,m}, x}$ .

PROPOSITION 4. Let  $X$  be a quasi-compact reduced  $k$ -prescheme and  $G_X$  a  $k$ -morphism:  $X \longrightarrow B_k$ . Then  $G_X$  decomposes into  $X \longrightarrow G_{n,n} \subset B_k$  for some  $n$ .

PROOF. It suffices to prove  $G_X(X(k)) \subset G_{n,n}$  for some  $n$ . (See I, 5.2.2, [1]). Suppose the contrary. Then there are closed points  $x_n$  ( $n=1, 2, \dots$ ) of  $X$  such that  $x'_n \in G_{n+1, n+1} - G_{n,n}$ , where  $x'_n = G_X(x_n)$ . We set  $S = \{x'_n | n=1, 2, \dots\}$ . Since  $x'_n$  are closed in  $B_k^s$ , they are so in  $B_k$  too. Let  $S'$  be any subset of  $S$ . Then  $S' \cap G_{m,m}$  are closed in  $G_{m,m}$  for any  $m$ . Hence  $S$  is a closed discrete subset in a quasi-compact set  $G_X(X)$ . Therefore it is finite. This contradiction proves the proposition.

**6. Proof of the main theorem.** Let  $X$  be an irreducible noetherian scheme over an algebraically closed field  $k$ . A coherent  $O_X$ -Module will be called projective if it is a direct summand of a free  $O_X$ -Module of finite rank. Hence a projective  $O_X$ -Module is locally free (see §3). Let  $KP(X)$  be the Grothendieck group of classes of projective  $O_X$ -Modules. Then each  $\xi \in KP(X)$  can be written in the form:  $[E] - l$  where  $[E]$  is the class of a projective  $O_X$ -Module  $E$  and  $l$  a positive integer. For  $E$  there is a coherent  $O_X$ -Module  $F$  such that  $E \oplus F \cong O_X^m$  for some positive integer  $m$ . Hence we can construct a classifying morphism  $G_X: X \longrightarrow G_{n, m-n}$  by the use of this direct sum decomposition, where  $n$  is the rank of  $E$ . We restrict ourselves to the case where  $2n = m$  from now on. We view  $G_X$  as a morphism:  $X \longrightarrow G_{n,n} \times (l-n)$ , and further as one:  $X \longrightarrow B_k \times (l-n)$ . We define  $\varphi(\xi)$  to be the rational homotopy class  $\in [X, B_k \times Z]_{\text{rat}}$  containing  $G_X$ .

LEMMA 7.  $\varphi(\xi)$  is uniquely determined by  $\xi$ .

PROOF. First we replace  $E, F, m$  by  $E \oplus O_X^k, F \oplus O_X^k, m+2k$  respectively. Hence  $l$  must be replaced by  $l+k$ . In this case we easily see that  $G_X$  does not change as a morphism:  $X \longrightarrow B_k$ . Consequently  $\varphi(\xi)$  also does so.

Secondly suppose we have  $E \oplus F' \cong O_X^m$  also for some coherent  $F'$ . Using this decomposition, we construct a classifying morphism  $G_X'$ . Then  $G_X'$  is rationally homotopic to  $G_X$  by means of Theorem B. Hence  $\varphi(\xi)$  does not change.

Finally let  $[E'] - l'$  be any other form of expressing  $\xi$ . Then  $E \oplus O_X^k = E' \oplus O_X^{k'}$  for some positive integers  $k, k'$ . Suppose  $E' \oplus F' = O_X^{m'}$  for some coherent  $F'$  and some positive integer  $m'$ . Let  $G_X'$  be the classifying morphism obtained from this decomposition. By the above first and second steps we see that  $G_X'$  is rationally homotopic to  $G_X$ . Hence  $\varphi(\xi)$  does not change, even though we start by  $\xi = [E'] - l'$ . This completes the proof of Lemma 7.

LEMMA 8.  $\varphi: KP(X) \longrightarrow [X, B_k \times Z]_{\text{rat}}$  is surjective.

PROOF. Let  $[f]$  be the rational homotopy class  $\in [X, B_k \times Z]_{\text{rat}}$  containing

a morphism  $f: X \rightarrow B_k \times l$  where  $l \in \mathbb{Z}$ . Then  $f(X) \subset G_{n,n}$  for some positive integer  $n$ .

$\varphi$  sends  $f^*(E) - (l - n)$  to  $[f]$ . This completes the proof of the subjectivity of  $\varphi$ .

From now on suppose further  $X$  is non-singular quasi-projective. Then we have the following lemma.

LEMMA 9. *Let  $Y$  be a  $k$ -scheme of the same kind as  $X$ . Let  $f, g$  be morphisms:  $X \rightarrow Y$  which are rationally homotopic. Then  $f', g': K(Y) \rightarrow K(X)$  coincide.*

PROOF. Let  $h: X \times \text{Spec } k[T] \rightarrow Y$  be a rational homotopy from  $f$  to  $g$ . Then we have  $f = h \circ t'_1$  and  $g = h \circ t'_2$  (for  $t'_1, t'_2$  see §4). Let  $p$  be the projection:  $X \times \text{Spec } k[T] \rightarrow X$ . Then  $p \circ t'_1, p \circ t'_2$  are the identity. On the other hand  $p': K(X) \rightarrow K(X \times \text{Spec } k[T])$  is also an isomorphism. For this fact see [2]. Hence  $(t'_1)' = (t'_2)'$ . We therefore have

$$f' = (t'_1)' \circ h' = (t'_2)' \circ h' = g'.$$

This completes the proof.

Let  $[f] \in [X, B_k \times Z]_{\text{rat}}$  be an arbitrary class with  $f(X) \subset G_{n,n} \times l$  for some  $n, l$ . Let  $E$  be the universal bundle over  $G_{n,n}$ . Then it is easily seen from the above lemma that

$$f'(\gamma_X(E)) - (l - n)$$

is uniquely determined by the class  $[f]$ . We write  $\phi([f])$  for it. Then  $\phi$  can be viewed as a map of  $[X, B_k \times Z]$  into  $K(X)$ .

Let  $\iota$  be the natural homomorphism:  $KP(X) \rightarrow K(X)$ , i.e. the one sending  $[E]$  to  $\gamma_X(E)$  for a projective  $O_X$ -Module  $E$ . Then we have the commutative triangle:

$$\begin{array}{ccc} KP(X) & \xrightarrow{\varphi} & [X, B_k \times Z]_{\text{rat}} \\ \downarrow \iota & \searrow \phi & \\ K(X) & & \end{array}$$

as will be easily checked. Hence we have obtained the main theorem.

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