# NONRETRACTABLE CUBES-WITH-HOLES

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### 1. INTRODUCTION AND DEFINITIONS

A cube-with-handles of genus n is a compact, orientable 3-manifold that is the regular neighborhood of a finite, connected graph having Euler characteristic 1 - n. If M is a cube-with-handles of genus n embedded as a polyhedral subset of the 3-sphere S³, then S³ - Int M is called a cube-with-holes of genus n. A cube-with-holes N is said to be retractable if N can be retracted onto a wedge of n simple closed curves, where n is the genus of N. Otherwise, we say the cube-with-holes N is nonretractable. If N is a retractable cube-with-holes of genus n and N can be retracted onto a wedge of n simple closed curves in Bd N, then we say N is boundary-retractable.

In [4], Jaco and D. R. McMillan gave examples of cubes-with-holes of genus n, for every  $n\geq 2$ , that are retractable but not boundary-retractable. Their examples are the same as the examples that Lambert [5] used to show that for every  $n\geq 2$  there exists a cube-with-holes  $N_n$ , of genus n, such that no mapping of  $N_n$  onto a cube-with-handles  $H_n$ , of genus n, takes Bd  $N_n$  homeomorphically onto Bd  $H_n$ . The existence of such a mapping from  $N_n$  to  $H_n$  is equivalent to the boundary-retractability of  $N_n$  [4, p. 153, Theorem 3]. Jaco and McMillan also gave examples of nonretractable cubes-with-holes of genus n, for every  $n\geq 3$ . However, they were unable to resolve the question in the case of genus 2.

In Section 2 we show that there exists a nonretractable cube-with-holes of genus 2. Using this example, we are able to construct nonretractable cubes-with-holes of genus n, for each  $n \ge 2$ .

If G is a group and a, b  $\epsilon$  G, we denote the *commutator*  $a^{-1}b^{-1}ab$  of a and b by [a,b]. For subsets A and B of the group G, we use [A,B] to denote the subgroup of G generated by the set of all commutators [a,b] with a  $\epsilon$  A and b  $\epsilon$  B. Let  $G_1=G$ , and define  $G_{m+1}=[G_m,G]$  for each  $m\geq 1$ . The group  $G_2$  is called the *commutator subgroup* of G. The series  $G_1\supseteq G_2\supseteq \cdots \supseteq G_m\supseteq G_{m+1}\supseteq \cdots$  is called the *lower central series* of the group G. We use the notation

$$G_{\omega} = \bigcap_{m \geq 1} G_m$$
.

In Section 3 we show that if N is a retractable cube-with-holes with fundamental group G, then N is boundary-retractable if and only if the natural homomorphism

$$\pi_1(\mathrm{Bd}\ \mathrm{N}) \to \mathrm{G/G}_{\omega}$$

is an epimorphism.

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## 2. NONRETRACTABLE CUBES-WITH-HOLES

THEOREM 1. For each integer  $n \geq 2$ , there exists a nonretractable cube-with-holes of genus n.

Consider the graph  $\Gamma$  having Euler characteristic -1 and embedded in  $S^3$  as indicated in Figure 1. Let G denote the fundamental group of  $S^3$  -  $\Gamma$ .

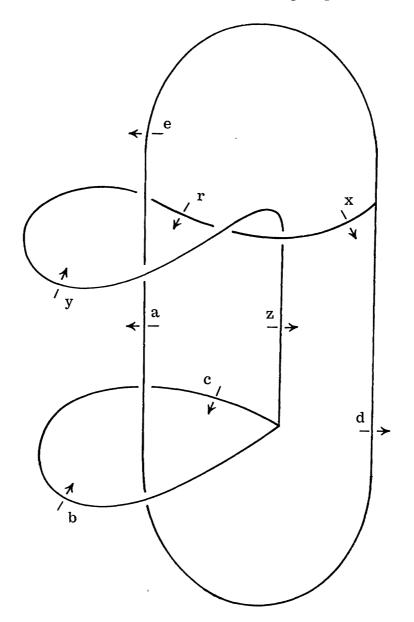


Figure 1.

The relations

$$c = a^{-1}ba$$
,  $x = [b, a][x^{-1}, a^{-1}]$ ,  
 $d = b^{-1}ab$ ,  $y = x^{-1}[b, a]x$ ,  
 $e = (b^{-1}ab)x$ ,  $z = [b, a]$ ,  
 $r = [x, [a, b]]x$ 

can be read from Figure 1. Hence, the group G has the presentation

$$G = \{a, b, x: x = [b, a][x^{-1}, a^{-1}]\}$$
.

Let N denote a regular neighborhood of  $\Gamma$  in  $S^3$ , and let  $M_2 = S^3$  - Int N. Then  $M_2$  is a cube-with-holes of genus 2. We shall show that  $M_2$  is a nonretractable cube-with-holes of genus 2.

Let  $F_2$  denote the free group of rank 2, and suppose  $\{p, q\}$  is a set of free generators for  $F_2$ . Then the function  $\phi$  that takes a to p, b to q, and x to 1 extends to a homomorphism (also called  $\phi$ ) of G onto  $F_2/[F_2, F_2]$ .

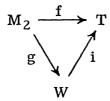
Let  $T = S^1 \times S^1$  denote the two-dimensional torus. A mapping f of a space X into T is called *unstable* if it is homotopic to a mapping into a proper subset of T. Otherwise, f is *stable*. Let W denote the wedge  $W = S^1 \vee S^1$  naturally contained in T. We can identify  $\pi_1(W)$  with the free group  $F_2$  in such a way that T is obtained from W by identification of a two-cell  $B^2$  to W along Bd  $B^2$  via the word [q, p].

Since  $\pi_2(T) = 0$ , there is a map  $f: M_2 \to T$  such that  $f_* = \phi$ . However, we have the following theorem (which is Theorem 4 of [4]).

THEOREM. Let M be a compact 3-manifold, possibly with boundary, and suppose  $H_1(M; \mathbf{Z})$  is a free abelian group of rank 2. Then there exists a retraction of M onto a wedge of two simple closed curves if and only if every mapping of M into the torus  $T = S^1 \times S^1$  is unstable.

In particular, if  $M_2$  were a retractable cube-with-holes, then the mapping  $f: M_2 \to T$  would be unstable. We shall show that the assumption that f is unstable leads to a contradiction.

If f is unstable, then there exists a mapping g:  $M \rightarrow W$  such that the diagram



is homotopy-commutative (we use i to denote the inclusion mapping of W into T). It seems unclear whether the induced homomorphism  $g_*: G \to F_2$  is always a surjective homomorphism. If it were, this would make life a bit easier.

We consider the subgroup F of  $F_2$ , where  $F = g_*(G)$ . The group F is free, and since G/[G, G] is free Abelian of rank 2, the rank of F is at most 2.

LEMMA 1. The rank of F is 2.

*Proof.* Suppose the rank of F is less than 2. Since  $i_*g_*=f_*$ , there exist elements  $C_a$ ,  $C_b \in [F_2, F_2]$  such that  $g_*(a) = pC_a$  and  $g_*(b) = qC_b$ . Clearly,  $pC_a \neq 1 \neq qC_b$ ; therefore, the rank of F can only be 1. That is, F is infinite cyclic. Let z denote a generator of F. Then there exist integers j and k such that  $pC_a = z^j$  and  $qC_b = z^k$ . It follows that  $(pC_a)^k = (qC_b)^j$ . Let  $\bar{p}$  and  $\bar{q}$  denote the equivalence classes determined by p and q in  $F_2/[F_2, F_2]$ . Then  $(\bar{p})^k = (\bar{q})^j$ . But  $F_2/[F_2, F_2]$  is free Abelian on the elements  $\bar{p}$  and  $\bar{q}$ . Arriving at this contradiction, we have proved Lemma 1.

If K is a group and  $\{x_1, \cdots, x_k\}$  are elements in K, we denote the *normal closure* of  $\{x_1, \cdots, x_k\}$  in K by  $\langle x_1, \cdots, x_k \rangle$ .

We now consider  $g_*$  as a homomorphism of G onto F. Let  $u = g_*(a)$  and  $v = g_*(b)$ . We shall show that u and v are associated primitive elements of F. Consider the commutative diagram

$$G \xrightarrow{g_*} F$$

$$\rho \downarrow \qquad \qquad \downarrow \tilde{\rho}$$

$$G/\left\langle [a, b] \right\rangle \xrightarrow{\tilde{g}_*} F/\left\langle [u, v] \right\rangle$$

where  $\rho$  and  $\tilde{\rho}$  are natural projections and  $\tilde{g}_*$  is induced by  $g_*$ . The existence of  $\tilde{g}_*$  follows from the inclusion relation

$$g_* \langle [a, b] \rangle \subseteq \langle [u, v] \rangle$$
.

LEMMA 2.  $G/\langle [a, b] \rangle$  is Abelian.

*Proof.* It is sufficient to show that the generators  $\rho(a)$ ,  $\rho(b)$ , and  $\rho(x)$  of  $G/\langle [a,b] \rangle$  commute. The relation  $[a,b] \in \langle [a,b] \rangle$  implies that  $[\rho(a),\rho(b)]=1$ . Furthermore,

$$\rho(x) = \rho([b, a][x^{-1}, a^{-1}]) = \rho(x)\rho(a)\rho(x^{-1})\rho(a^{-1}).$$

Hence,  $\rho(a) \rho(x^{-1}) \rho(a^{-1}) = 1$ , and it follows that  $\rho(x) = 1$ . This completes the proof of Lemma 2.

Since  $g_*$  is surjective, the homomorphism  $\tilde{g}_*$  is surjective and the quotient group  $F/\langle [u,v] \rangle$  of F is Abelian. Let r and s be associated primitive elements for F. Then  $\langle [u,v] \rangle \subseteq \langle [r,s] \rangle$ , and the argument above shows that  $\langle [r,s] \rangle \subseteq \langle [u,v] \rangle$ . Therefore,  $F/\langle [u,v] \rangle$  is free Abelian of rank 2. By the remark on page 266 of [6], [u,v] is a conjugate of [r,s] or [s,r]. By statement (11) on page 293 of [7], the elements u,v are associated primitive elements of F.

LEMMA 3. There exists no element  $w \in F$  such that  $w = [v, u][w^{-1}, u^{-1}]$ .

*Proof.* Each word  $\overline{w}$  in F has the form  $\overline{w} = u^{\epsilon_1} v^{\delta_1} \cdots u^{\epsilon_n} v^{\delta_n}$ , where  $\epsilon_i \neq 0$   $(1 < i \le n)$  and  $\delta_i \neq 0$   $(1 \le i < n)$  are integers. If  $\epsilon_1 \neq 0 \neq \delta_n$ , we say  $\overline{w}$  has length  $\ell(\overline{w}) = 2n$ ; if  $\epsilon_1 \neq 0$  and  $\delta_n = 0$  or  $\epsilon_1 = 0$  and  $\delta_n \neq 0$ ,  $\ell(\overline{w}) = 2n - 1$ ; and if  $\epsilon_1 = 0 = \delta_n$ ,  $\ell(\overline{w}) = 2(n - 1)$ . The length of a word  $\overline{w}$  is a well-defined function of  $\overline{w}$ .

Suppose that  $w = u^{\epsilon_1} v^{\delta_1} \cdots u^{\epsilon_n} v^{\delta_n}$  is an element of F such that each  $\epsilon_i$  and each  $\delta_i$  satisfies the conditions above. Furthermore, suppose that

$$w = [v, u][w^{-1}, u^{-1}].$$

Since  $w \in [F, F]$ , we see that  $\ell(w) \ge 4$ .

Case 1. If  $\ell(w) = 2n$ , then  $n \ge 2$  and

$$w = (v^{-1} u^{-1} v) (u^{(\epsilon_1+1)} v^{\delta_1} \cdots u^{\epsilon_n} v^{\delta_n}) (u v^{-\delta_n} \cdots v^{-\delta_1} u^{-(\epsilon_1+1)}).$$

If  $\epsilon_1 \neq -1$  or n>2, we readily obtain a contradiction to the uniqueness of  $\ell(w)$ . Suppose, therefore, that  $\epsilon_1=-1$  and n=2. Then

$$w = (v^{-1}u^{-1}v)(v^{\delta_1}u^{\epsilon_2}v^{\delta_2})(uv^{-\delta_2}u^{-\epsilon_2}v^{-\delta_1}).$$

Cancellation is maximized if  $\delta_1 = -1$ ,  $\epsilon_2 = 1$ , and  $\delta_2 = 1$ . But in this case

$$u^{-1}v^{-1}uv = uv^{-1}u^{-1}v$$
.

and this contradicts the fact that F is a free group on the elements u, v.

Case 2. If  $\ell(w) = 2n - 1$  and  $\epsilon_1 \neq 0$ , then n > 2 and

$$w = (v^{-1}u^{-1}v)(u^{(\epsilon_1+1)}v^{\delta_1}\cdots v^{\delta_{n-1}}u)(v^{-\delta_{n-1}}\cdots v^{-\delta_1}u^{-(\epsilon_1+1)}).$$

If  $\epsilon_1 \neq 1$ , it is again easy to obtain a contradiction to the uniqueness of  $\ell(w)$ . Suppose, therefore, that  $\epsilon_1 = -1$ . Then

$$w = (v^{-1}u^{-1}v)(v^{\delta_1} \cdots v^{\delta_{n-1}}u)(v^{-\delta_{n-1}} \cdots v^{-\delta_1})$$
.

If n > 3, then the minimum length of the word on the right-hand side of the equation is 2n. If n = 3, then the cancellation is maximized if  $\delta_1 = -1$ ,  $\epsilon_2 = 1$ , and  $\delta_2 = 1$ . But in this case the length of the right-hand side of the equation is 4, whereas  $\ell(w) = 5$ , by hypothesis.

Case 3. If  $\ell(w) = 2n - 1$  and  $\delta_n \neq 0$ , then n > 2 and

$$w = (v^{-1}u^{-1}vu)(v^{\delta_1} \cdots u^{\epsilon_n} v^{\delta_n})u(v^{-\delta_n}u^{-\epsilon_n} \cdots v^{-\delta_1})u^{-1}.$$

This gives an immediate contradiction.

Case 4. If  $\ell(w) = 2(n - 1)$ , then n > 2,

$$w = (v^{-1}u^{-1}vu)(v^{\delta_1} \cdots v^{\delta_{n-1}})u(v^{-\delta_{n-1}} \cdots v^{-\delta_1})u^{-1}$$

and the length of the right-hand side of the equation is at least 2n.

This completes the proof of Lemma 3.

If a homomorphism  $g_*$  of G onto F were to exist, with  $g_*(x) = w$ , then it would be necessary that

$$w = [v, u][w^{-1}, u^{-1}].$$

This contradiction completes the proof that  $M_2$  is a nonretractable cube-with-holes of genus 2.

If K is a group, we define the *inner rank* of K to be the upper bound of the ranks of free homomorphic images of K. We denote the inner rank of a finitely generated group K by IN(K). The free product of the groups  $G_1$  and  $G_2$  is denoted by  $G_1 * G_2$ . The following is Theorem 3.2 of [3].

THEOREM. Suppose G<sub>1</sub> and G<sub>2</sub> are finitely presented groups. Then

$$IN(G_1 * G_2) = IN(G_1) + IN(G_2)$$
.

Let M and N be orientable 3-manifolds with nonvoid boundary. Let  $D_M$  and  $D_N$  denote disks in Bd M and Bd N, respectively. Let h:  $D_M \to D_N$  denote an

orientation-reversing homeomorphism. The 3-manifold obtained by identification of  $D_M$  with  $D_N$  via the homeomorphism h is called a disk sum of M and N. We usually denote a disk sum of M and N by M  $\Delta$  N.

Suppose  $H_{n-2}$  is a cube-with-handles of genus n-2 ( $n\geq 2$ ). Let  $M_n=M_2$   $\Delta$   $H_{n-2}$ . Then  $M_n$  is a cube-with-holes of genus n. Clearly, such a disk sum  $M_2$   $\Delta$   $H_{n-2}$  can be embedded in  $S^3$ . However, by [1], any compact 3-manifold with connected boundary embedded in  $S^3$  is a cube-with-holes.

Consider the cube-with-holes  $M_n=M_2$   $\Delta$   $H_{n-2}$ , if  $n\geq 3$ . We have shown that  $M_2$  is nonretractable. We shall show that  $M_n$   $(n\geq 3)$  is nonretractable.

Suppose  $M_n$  ( $n \ge 3$ ) were retractable. We have as a corollary to Theorem 2 of [4] the following result.

THEOREM. Let M denote a cube-with-holes, and let K denote the fundamental group of M. Let F be a free group of rank n. Then there exists a homomorphism of K onto F if and only if there exists a retraction of M onto a wedge of n simple closed curves.

Let  $K = \pi_1(M_n)$ ; then IN(K) = n. However,  $K \approx \pi_1(M_2) * \pi_1(H_{n-2})$ . Since  $M_2$  is nonretractable,  $IN(\pi_1(M_2)) = 1$ . The group  $\pi_1(H_{n-2})$  is a free group of rank n-2; hence,  $IN(\pi_1(H_{n-2})) = n-2$ . Since inner rank is summable over a free product, IN(K) = n-1. This contradiction completes the proof of Theorem 1.

#### 3. RETRACTABLE AND BOUNDARY-RETRACTABLE CUBES-WITH-HOLES

Let  $M_n$  denote a cube-with-holes of genus n, and suppose  $H_n$  is a cube-with-handles of genus n. A mapping

f: 
$$(M_n, Bd M_n) \rightarrow (H_n, Bd H_n)$$

is said to be boundary-preserving if  $f \mid Bd M_n$  maps  $Bd M_n$  homeomorphically onto  $Bd H_n$ .

THEOREM 2. Let N be a cube-with-holes, and let G denote the fundamental group of N. If N is boundary-retractable, then the natural map

$$\pi_1(Bd N) \rightarrow G/G_{\omega}$$

is an epimorphism.

*Proof.* By Theorem 3, page 153 of [4] and the fact that N is boundary-retractable, there exists a boundary-preserving map f of N onto the cube-with-handles H. Hence (f | Bd N)<sub>\*</sub> is an isomorphism of  $\pi_1(Bd \ N)$  onto  $\pi_1(Bd \ H)$ . Now let g be a loop in G (based on Bd N). Choose  $\gamma \in \pi_1(Bd \ H)$  so that  $\gamma$  and  $f_*(g)$  are equivalent as elements in  $\pi_1(H)$ . This is possible, since the inclusion of Bd H into H induces a homomorphism of  $\pi_1(Bd \ H)$  onto  $\pi_1(H)$ . Hence, there exists a loop  $\ell \in \pi_1(Bd \ N)$  such that  $f_*(\ell)$  and  $\gamma$  are equivalent as elements in  $\pi_1(Bd \ H)$ . It follows that  $f_*(g\ell^{-1})$  is equivalent to 1 in  $\pi_1(H)$ .

Now  $f_*$  is an epimorphism; therefore, by the corollary to Theorem 1 on page 151 of [4],  $\ker\,f_*=G_\omega$ . That is, the class of  ${\rm g}\ell^{-1}$  is an element in  $G_\omega$ .

Let T denote a wedge at  $t_0$  of n simple closed curves  $T_1$ , ...,  $T_n$ . Suppose t is a point of T such that  $t \neq t_0$ . A PL map f of the compact 3-manifold M into T is said to be *transverse with respect to* t if it satisfies the following two conditions.

- 1) Each component of  $f^{-1}(t)$  is a properly embedded, polyhedral surface in M.
- 2) If S is a component of  $f^{-1}(t)$ , then there exist a closed neighborhood U(t) of t in  $T t_0$ , a homeomorphism  $\phi$ :  $t \times [-1, 1]$  onto U(t), and a homeomorphism  $\psi$  of  $S \times [-1, 1]$  onto the component U(S) of  $f^{-1}(U(t))$  containing S such that f maps each arc  $\psi(s \times [-1, 1])$  homeomorphically onto U(t), satisfying the equation  $f\psi(s, r) = \phi(t, r)$   $(-1 \le r \le 1)$ .

Suppose  $\{t_1, \cdots, t_p\}$  is a collection of points in T -  $\{t_0\}$ . The PL map f of a compact 3-manifold M into T is said to be transverse with respect to  $\{t_1, \cdots, t_p\}$  if f is transverse with respect to each  $t_i$   $(1 \le i \le p)$ .

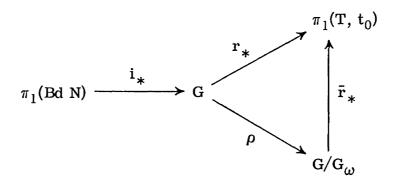
The following theorem constitutes a partial converse of Theorem 2.

THEOREM 3. Let N be a cube-with-holes, and let G denote the fundamental group of N. If N is retractable and the natural map

$$\pi_1$$
 (Bd N)  $\rightarrow$  G/G<sub>(1)</sub>

is an epimorphism, then N is boundary-retractable.

*Proof.* Suppose  $n \geq 1$  is the genus of N. Let T be a wedge at  $t_0$  of n polyhedral, simple closed curves  $T_1$ ,  $\cdots$ ,  $T_n$  in Int N such that there exists a PL retraction r of N onto T. By the corollary to Theorem 1 on page 151 of [4], the factor group  $G/G_{\omega}$  is isomorphic to the fundamental group of T, which is free of rank n. Let  $i_*$  denote the homomorphism of  $\pi_1(Bd\ N)$  into G induced by inclusion. If  $\rho$  is the natural projection of G onto  $G/G_{\omega}$  and  $\bar{r}_*$  is the isomorphism of  $G/G_{\omega}$  onto  $\pi_1(T,t_0)$  induced by  $r_*$ , then the diagram



commutes. Since  $\rho \circ i_*$  is an epimorphism and  $\bar{r}_*$  is an isomorphism of  $G/G_\omega$  onto  $\pi_1(T, t_0)$ , we see that  $r_* \circ i_*$  is an epimorphism. The homomorphism  $r_* \circ i_*$  is identical to  $(r \mid Bd \ N)_*$ .

Choose a subdivision of T for which r is simplicial. For  $1 \le i \le n$ , choose a point  $t_i \in T_i$  -  $\{t_0\}$  that is not a vertex in this subdivision of T. Then r is transverse with respect to  $\{t_1, \cdots, t_n\}$ . Let  $F_i$  denote the component of  $r^{-1}(t_i)$  containing  $t_i$ . Each  $F_i$  is a polyhedral, regularly embedded, two-sided surface in N, and N -  $\bigcup_{i=1}^n F_i$  is connected.

Each  $F_i$  meets Bd N, since each closed surface in Int N separates N. If it were true that each  $F_i$  met Bd N in precisely one simple closed curve, the proof would proceed as follows: let  $J_i = Bd$   $F_i$ . Then  $\bigcup_{i=1}^n J_i$  does not separate Bd N. Hence, there exists a wedge B at  $b_0$  of n polyhedral simple closed curves  $B_1$ , ...,  $B_n$  in Bd N such that  $B_i \cap J_i = \{b_i\}$  consists of exactly one crossing point and  $B_i \cap J_j = \emptyset$  ( $i \neq j$ ). Let  $U(F_i)$  ( $1 \leq i \leq n$ ) denote the interior of a small product neighborhood of  $F_i$  in N. If  $U(F_i)$  is properly chosen, then  $B - U(F_i)$  is a tree.

Hence, the projection of  $F_i$  onto  $b_i$   $(1 \le i \le n)$  may be extended to a retraction of N onto B. This construction is like that used in Theorem 2 on page 151 of [4].

To finish the proof of Theorem 3, we shall show that we can choose a retraction r of N onto T such that each  $F_i$  meets Bd N in precisely one simple closed curve. That is, there exist a retraction r of N onto T and a collection  $\{t_1, \cdots, t_n\}$  of points of T such that  $t_i \in T_i$  -  $t_0$ , r is transverse with respect to  $\{t_1, \cdots, t_n\}$ , and such that if  $F_i$  is the component of  $r^{-1}(t_i)$  containing  $t_i$ , then  $F_i \cap Bd \ N = J_i$  is precisely one simple closed curve.

To this end, suppose that a PL retraction of N onto T is given and  $t_i \in T_i$  -  $t_0$  is not a vertex point of a subdivision of T for which f is simplicial. Let  $L_i$  be the component of  $f^{-1}(t_i)$  that contains  $t_i$ . Let  $c(L_i)$  be one less than the number of components of  $L_i \cap Bd$  N. Then  $c(L_i) \geq 0$ . If  $\sum_{i=1}^n c(L_i) = 0$ , let r = f and  $F_i = L_i$ . We shall show that if  $\sum_{i=1}^n c(L_i) = k > 0$ , then there exists a PL retraction f' of N onto T such that

- (i) f' is homotopic to f (Rel  $\{t_0\}$ ),
- (ii) if  $L_i'$  denotes the component of  $(f')^{-1}(t_i)$  containing  $t_i$  and if  $c(L_i')$  is one less than the number of components of  $L_i' \cap Bd$  N, then  $\sum_{i=1}^n c(L_i') = k 1$ , and
  - (iii) f' is transverse with respect to  $\{t_1, \dots, t_n\}$ .

Since  $\sum_{i=1}^n c(L_i) > 0$ , there is an argument similar to that in the proof of Lemma 3 on page 369 of [2] to find a j  $(1 \le j \le n)$  such that  $L_j \cap Bd \ N$  has distinct components  $J_0$  and  $J_1$ ; such that there is an arc A in Bd N from  $J_0$  to  $J_1$  with  $A \cap \bigcup_{i=1}^n L_i = Bd \ A$ ; and such that  $f \mid A$  is a homotopically trivial loop in T based at  $t_j$ .

Let  $Q_0$  and  $Q_1$  be small disjoint disks in  $L_j$  -  $\{t_j\}$ , chosen so that for m=0, 1, the set  $Q_m\cap Bd$   $N=A_m'$  is a small arc in  $J_m$  having an end point of A in its interior. Let  $Q\subset N$  - T be a regular neighborhood of A, chosen so that

$$Q \cap \bigcup_{i=1}^{n} L_{i} = Q_{0} \cup Q_{1} \subset Bd Q,$$

and so that

$$Q \cap Bd N = D'$$

is a disk in Bd Q for which A is a spanning arc. Then the closure of

Bd Q - 
$$(Q_0 \cup Q_1 \cup D')$$

is a disk D. The disk D meets Bd N in the disjoint arcs  $A_0$  and  $A_1$  from  $J_0$  to  $J_1$ . A slight modification of Lemma 3.1 on page 361 of [2] yields a retraction f' of N onto T such that

- (i) f' is homotopic to f (Rel {T}),
- (ii) the component of  $(f')^{-1}(t_i)$  containing  $t_i$  is  $L_i$  ( $i \neq j$ ),
- (iii) the component of (f')-1(t\_j) containing  $t_j$  is  $L_j \cup D$  (Q\_0  $\cup$  Q\_1), and
- (iv) f' is transverse with respect to  $\{t_1, \dots, t_n\}$ .

Hence, if  $L_i'$  denotes the component of  $(f')^{-1}(t_i)$  containing  $t_i$ , then  $c(L_i') = c(L_i)$  ( $i \neq j$ ), and  $c(L_j') = c(L_j) - 1$ . This is true since the distinct components  $J_0$  and  $J_1$  of  $L_j \cap Bd$  N have been altered to a single component

$$(J_0 \cup J_1) \cup (A_0 \cup A_1) - (A_0' \cup A_1').$$

This completes the proof of Theorem 3.

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