

IMMERSIONS OF k -ORIENTABLE MANIFOLDS

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1. INTRODUCTION

Let M^m denote a smooth, closed, connected m -manifold. According to the classical theorems of Whitney, M^m embeds in \mathbb{R}^{2m} and (if $m > 1$) immerses in \mathbb{R}^{2m-1} . There are, however, many examples to show that the existence of an embedding $M^m \subset \mathbb{R}^{2m-k+1}$ ($2 \leq k \leq m-1$) does not imply the existence of an immersion $M^m \subseteq \mathbb{R}^{2m-k}$. In particular, complex projective space CP_m ($m = 2^r$) embeds in \mathbb{R}^{4m-1} [3] but does not immerse in \mathbb{R}^{4m-2} [7]. In this note, we show that with additional restrictions, an embedding $M^m \subset \mathbb{R}^{2m-k+1}$ will produce an immersion $M^m \subseteq \mathbb{R}^{2m-k}$.

If α is a vector bundle over a CW-complex B , denote its stable equivalence class by (α) . We say that (α) is k -orientable if the restriction of α to the k -skeleton of B is stably fibre-homotopy trivial. A manifold M^m (hereafter assumed to be smooth and connected) is k -orientable if its tangent bundle $\tau(M^m)$ is k -orientable. A map $f: M^m \rightarrow N^n$ between manifolds is k -orientation-preserving if $f^*(\tau(N^n)) - (\tau(M^m))$ is k -orientable. Let $i_0: N^n \rightarrow N^n \times \mathbb{R}$ denote the inclusion $y \rightarrow (y, 0)$ ($y \in N^n$). Our main result is the following.

THEOREM 1.1. *Suppose $2k \leq m-1$. Let M^m be closed, and let*

$$f: M^m \rightarrow N^{2m-k}$$

be k -orientation-preserving. If the composition $i_0 f: M^m \rightarrow N^{2m-k} \times \mathbb{R}$ is homotopic to an embedding, then f is homotopic to an immersion.

Some interesting corollaries follow.

COROLLARY 1.2. *Suppose $2k \leq m-1$. Let M^m be closed and k -orientable. If $M^m \subset \mathbb{R}^{2m-k+1}$, then $M \subseteq \mathbb{R}^{2m-k}$.*

COROLLARY 1.3. *Suppose $2k \leq m-1$. Let $f: M^m \rightarrow N^{2m-k}$ be given, where M^m is closed and N^{2m-k} is k -connected. Suppose either*

- (a) M^m is k -connected or
- (b) M^m is $(k-1)$ -connected and f is k -orientation-preserving.

Then f is homotopic to an immersion.

Proof. By A. Haefliger's embedding theorem [3], $i_0 f: M^m \rightarrow N^{2m-k} \times \mathbb{R}$ is homotopic to an embedding. Now apply Theorem 1.1.

Note that, if M^m is $(k-1)$ -connected and $k \equiv 3, 5, 6, \text{ or } 7 \pmod{8}$, the assumption that f be k -orientation-preserving is superfluous. To verify this, let $\nu: M^m \rightarrow BO$ be a classifying map for $f^*(\tau(N^{2m-k})) - (\tau(M^m))$. There is a single obstruction to lifting ν to the k -connected covering $BO[k]$ of BO . This occurs in

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$H^k(M^m; \pi_k(\mathbf{BO}))$ and, by the Bott periodicity theorem, $\pi_k(\mathbf{BO}) = 0$ if $k \equiv 3, 5, 6,$ or $7 \pmod{8}$.

COROLLARY 1.4. *Suppose $2k \leq m - 1$. Let M^m be closed, $(k - 2)$ -connected, and k -orientable. Then $M^m \subseteq \mathbb{R}^{2m-k}$ if and only if $\overline{W}^{m-k+1} = 0$.*

Proof. The necessity is clear. A. Haefliger and M. Hirsch [4] have shown that $M^m \subset \mathbb{R}^{2m-k+1}$, provided $\overline{W}^{m-k+1} = 0$. The corollary now follows from Corollary 1.2.

Note that, if M^m is $(k - 2)$ -connected and $k \equiv 6$ or $k \equiv 7 \pmod{8}$, then M^m is automatically k -orientable.

J. Van Eps [9] has also obtained Corollary 1.4, by different techniques.

The type of argument used in Corollary 1.4 can be carried further. Suppose that M^m is k -orientable and r -connected ($k \leq 2r + 1$ and $2k \leq m - 1$). Let M_0^m denote M^m minus the interior of a smooth disk. If $M_0^m \subseteq \mathbb{R}^{2m-k}$, then $M^m \subset \mathbb{R}^{2m-k+1}$ [6]; now Corollary 1.2 implies that $M^m \subseteq \mathbb{R}^{2m-k}$. That is, immersing M^m is equivalent to immersing M_0^m . The latter problem is more amenable to obstruction theory. In particular, using the work of E. Thomas [8], we can give cohomology conditions, involving secondary operations, for immersing a $(k - 3)$ -connected manifold M^m in \mathbb{R}^{2m-k} , for some values of m and k .

2. ORIENTABILITY

Let \mathcal{S} denote the sphere spectrum whose n th term is S^n ($n \geq 0$), and let $\mathcal{S}^{(k)}$ denote the spectrum whose n th space $(S^n)^{(k)}$ is obtained by killing $\pi_r(S^n)$, for all integers $r \geq n + k$. We denote the natural map $\mathcal{S} \rightarrow \mathcal{S}^{(k)}$ by $\lambda^{(k)}$. If $\alpha = (E, B, p)$ is an orthogonal $(n - 1)$ -sphere bundle, let

$$\Sigma(\alpha) = (\Sigma(E), B, \Sigma(p))$$

denote its fibrewise suspension, and let Δ_0 (respectively, Δ_1) denote the cross-section that sends $b \in B$ to the north (respectively, south) pole of $\Sigma(p^{-1}(b))$. The Thom space of α is $B^\alpha = \Sigma(E)/\Delta_0(B)$, and we regard $B \subset B^\alpha$ by the inclusion Δ_1 .

Suppose h is a multiplicative cohomology theory. Recall that α is h -orientable if there exists an element $u \in \tilde{h}^n(B^\alpha)$ such that if $i_b: S^n \rightarrow B^\alpha$ identifies S^n with $\Sigma(p^{-1}(b))$, then $i_b^*(u)$ is a basis for the $h(\text{pt.})$ -module $\tilde{h}(S^n)$. If \mathcal{F} is a ring spectrum (such as \mathcal{S} or $\mathcal{S}^{(k)}$), we shall use the term \mathcal{F} -orientable rather than $h(\ ; \mathcal{F})$ -orientable.

LEMMA 2.1. *A vector bundle α is k -orientable if and only if it is $\mathcal{S}^{(k)}$ -orientable.*

Proof. The lemma is certainly true for $k = 1$. If $k > 1$, we may use the Thom isomorphism for singular cohomology to show that in the sequence

$$\tilde{h}^n(B^\alpha; \mathcal{S}^{(k)}) \xrightarrow{i^*} \tilde{h}^n((B^k)\alpha|_{B^k}; \mathcal{S}^{(k)}) \xleftarrow{\lambda_\#^{(k)}} h^n((B^k)\alpha|_{B^k}; \mathcal{S}),$$

both i^* and $\lambda_\#^{(k)}$ are onto. Here i denotes the inclusion. Therefore α is $\mathcal{S}^{(k)}$ -orientable if and only if $\alpha|_{B^k}$ is \mathcal{S} -orientable, and the latter holds if and only if $\alpha|_{B^k}$ is stably fibre-homotopy trivial [1, Proposition (2.8)].

If $u \in \tilde{h}^n(B^\alpha; \mathcal{G}^{(k)})$ is a Thom class for α , the associated Euler class is $\chi = \Delta_1^*(u) \in h^n(B; \mathcal{G}^{(k)})$.

LEMMA 2.2. *Suppose α is k -orientable and B is q -coconnected ($q \leq \min \{n+k, 2n-2\}$). Then α admits a cross-section if and only if $\chi = 0$.*

Proof. Let $f: B \rightarrow BO$ be a classifying map for α , and let $\tilde{\chi} \in h^n(B, f; \mathcal{G})$ be an Euler class for α , as constructed in [2]. By Theorem (13.23) of [2], α has a cross-section if and only if $\tilde{\chi} = 0$. Since $q \leq n+k$, the map

$$\lambda_{\#}^{(k)}: h^n(B, f; \mathcal{G}) \rightarrow h^n(B, f; \mathcal{G}^{(k)})$$

is an isomorphism. Therefore $\tilde{\chi} = 0$ if and only if $\lambda_{\#}^{(k)}(\tilde{\chi}) = 0$. Finally, by [2, Lemma (13.20)], $\lambda_{\#}^{(k)}(\tilde{\chi}) = 0$ if and only if $\chi = 0$.

Our proof of Theorem 1.1 is based on the following observation, which may be of independent interest.

THEOREM 2.3. *Suppose α is k -orientable and B is q -coconnected ($q \leq \min \{n+k, 2n-2\}$). Then α admits a cross-section if and only if B is contractible in B^α .*

Proof. If $\delta: B \rightarrow E$ is a cross-section, define a homotopy

$$D: B \times I \rightarrow \Sigma(E)$$

by $D(b, t) = [\delta(b), t]$ for $b \in B$ and $0 \leq t \leq 1$. Then D , followed by the collapsing map $\Sigma(E) \rightarrow B^\alpha$, is the desired contraction. On the other hand, if B is contractible in B^α , then Δ_1 is null-homotopic; hence $\chi = \Delta_1^*(u) = 0$. By Lemma 2.2, α has a cross-section.

3. PROOF OF THEOREM 1.1

Let $g: M \rightarrow N \times R$ be an embedding homotopic to $i_0 f$, and let $\nu(g)$ denote the normal bundle. We have the homotopy commutative diagram

$$\begin{array}{ccc} & N \times R & \xrightarrow{\theta} & M^{\nu(k)} \\ i_0 \nearrow & & \nwarrow g & \nearrow \Delta_1 \\ N & \xleftarrow{f} & M & \end{array} ,$$

where θ is the Pontryagin-Thom map. By moving $N \times \{0\}$ up to a level $N \times \{t\}$, so that θ maps $N \times \{t\}$ to a point, we see that $\theta i_0 f$, and hence Δ_1 , is homotopic to a constant. By Theorem 2.3, $\nu(g)$ has a cross-section; therefore $f^*(\tau(N)) - (\tau(M))$ has geometric dimension not exceeding $m - k$. By Hirsch's theorem [5], f is homotopic to an immersion.

Added March 9, 1970. It has come to my attention that D. Handel, in his paper *On the normal bundle of an embedding* (Topology 6 (1967), 65-68), has also proved Corollary 1.2 and has given other applications of this result.

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