SYSTEMS CLASSICALLY AXIOMATIZED AND PROPERLY CONTAINED IN LEWIS'S S3

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It is well known that Lewis's modal systems S3, S4 and S5 can be classically axiomatized. That is, an axiomatic for those systems can be given with a finite number of axioms taking substitution for propositional variables and material detachment as the only primitive rules of inference. It will be shown in this paper that such an axiomatic is available for some systems properly contained in S3. Each section of the paper introduces new axiomatics for sub-systems of S3 and then gives new sub-systems which are classically axiomatized and in which all of Lewis's primitive rules of inference are derivable. The symbolism throughout is that of [7] and " α is a thesis" is abbreviated as " $\vdash \alpha$ ".

I. Lemmon in [4] gave new foundations for Lewis's systems S1-S3 of [5] analogous to a systematic for T of Feys-von Wright [2, 8, 12] due to Gödel in [3]. In this section new foundations for Lemmon's systems are described and two systems containing Lemmon's S0.5 and properly contained in S3 are classically axiomatized.

The Lemmon systems are N-C-L calculi with K and E defined in the usual way by C and N, \mathbb{C} defined as LC and $\mathbb{C}pq$ (strict equivalence) as $K\mathbb{C}pq\mathbb{C}qp$. Propositional calculus (PC) is given by three rules:

- (**PCa**) if α is a tautology, then $\vdash \alpha$;
- (PCb) substitution for propositional variables;

(PCc) material detachment (that is, from α and $C\alpha\beta$ infer β);

and Lewis's systems are based on selections from the following rules and axioms:

- (a) $\vdash \alpha$ only if $\vdash L\alpha$;
- (a'') α is a tautology only if $\vdash L\alpha$;
- (b) $\vdash LC \alpha \beta$ only if $\vdash LCL \alpha L\beta$;
- (b'') $\vdash \mathfrak{G}\alpha\beta$ only if $\vdash \mathfrak{G}L\alpha L\beta$;
- (1) CLCpqLCLpLq;
- (2) *CLpp*;

- (a') α is a tautology or axiom only if $\vdash L\alpha$;
- (b') substitutability of strict equivalents;
- (1') CLCpqCLpLq;
- (3) CKLCpqLCqrLCpr.

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Lemmon's foundations for the Lewis systems, S1-S3, are then given as:

 $S1 = \{PC; (a'); (b'); (3); (2)\}$ $S2 = \{PC; (a'); (b); (1'); (2)\}$ $S3 = \{PC; (a'); (1); (2)\}$

the system of Feys-von Wright as:

 $T = \{PC; (a); (1'); (2)\}$

and a system introduced by Lemmon as:

 $S0.5 = \{PC; (a''); (1'); (2)\}.$

Now from the following list of axioms;

A1.	LCCNppp	A1'.	LCLCNppp
A2.	LCCpqCCqrCpr	A2'.	LCLCpqCLCqrLCpr
A3.	LCpCNpq	A3'.	LCLpLCNpq
A4.	CLCpqCLpLq	A4'.	LCLCpqLCLpLq
A5.	СЬфр	A6.	CLCpqCLCqpLCLpLq

the axiomatics for the above systems are taken as:

 $M0 = \{PCb; PCc; A1; A2; A3; A4; A5\}$ $M1 = \{M0; (b'); A1'; A2'\}$ $M2 = \{M0; (b'); A1'; A3'\}$ $M3 = \{M0; A1'; A4'\}$ $M = \{M0; (a)\}.$

The adequacy of the revisions can be seen by observing first that all of Lemmon's systems contain M0; (i) A1-A3 follow from PC and either (a); (a'), or (a''), and (ii) A4 either follows from (1) and (2) by PC, or in S1 is provable from (3):

1.	CKLCpqLCqrLCpr	[(3)]
2.	CLCpqCLCqrLCpr	[1, PC]
3.	CLCNqNpCLCNppLCNqp	[2, PC]
4.	& CpqCNpNq	[PC, (a')]
5.	& <i>pCNpp</i>	[PC , (a')]
6.	& CNpqCNqp	[PC , (a')]
7.	CLCpqCLpLCNpq	[3, 4, 5, 6, (b')]
8.	CLCNqNpCLCNpqLCNqq	[2, PC]
9.	CLCpqCLCNpqLq	[8, 4, 5, (b')]
10.	CLCpqCLpLq	[7, 9, PC]

Thus, S0.5 contains M0 and T contains M.

Secondly, (i) each of the remaining systems, S1-S3, contain (b')(cf. p. 178 of [4]), and thus contain A1' by (2) and (a'); while (ii) S1 contains A2' by (3) and (a'), S2 contains A3' by A3 and (b), and S3 contains A4' by (1) and (a'). Thus S1-S3 contain M1-M3 respectively.

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Conversely, it can be shown that M0-M3, and M contain S0.5, S1-S3, and T. To this end the following theorems of M0 are established.

Theorem 1. If $\vdash \alpha$ in PC, then $\vdash L\alpha$.

Proof. If α is an axiom of **PC**, then $L\alpha$ is given by A1-A3 since CCNppp. CCpqCCqrCpr, and CpCNpq form a set of axioms for PC (cf. Appendix of [7]), and if α is derived from the axioms of PC, then $L\alpha$ is given by:

- 1. $L\beta$ [Induction hypothesis] [Induction hypothesis]
- 2. $LC\beta\alpha$
- 3. $CL\beta L\alpha$
- 4. $L\alpha$

Theorem 2. If $\vdash LC\alpha\beta$ and $\vdash LC\alpha\gamma$, then $\vdash LC\alpha K\beta\gamma$.

Proof. From hypothesis by Theorem 1 and A4.

Theorem 3. If $\vdash LC\alpha\beta$ and $\vdash LC\beta\gamma$, then $\vdash LC\alpha\gamma$.

Proof. From hypotheses by Theorem 1 and A4.

Theorem 4. If $\vdash \alpha$ and $\vdash \beta$, then $\vdash K\alpha\beta$.

Proof. From hypotheses by Theorem 1 and A5.

Theorem 5. If $\vdash \alpha$ and $\vdash LC\alpha\beta$, then $\vdash \beta$.

Proof. From hypotheses by A5.

Hence, PC is contained in each of M0-M3, and M by Theorem 1 and A_5 , and thus M0 contains S0.5 and M contains T.

Further, M3 contains (b'). The proof is given by showing that (i) $\vdash \Im \alpha \beta$ only if $\vdash \& L \alpha L \beta$, (ii) $\vdash \& \alpha \beta$ only if $\vdash \& N \alpha N \beta$, (iii) $\vdash \& \alpha \beta$ only if $\vdash \& C \alpha \gamma$ $C\beta\gamma$, and (iv) $\vdash \Im\alpha\beta$ only if $\Im C\gamma\alpha C\gamma\beta$. Now (i) follows by A4' and (ii-iv) follow from CLCpqLCNqNp, CLCpqLCCqrCpr, and CLCpqLCCrpCrq, each of which are obtained by Theorem 1 and A4.

Moreover M2 contains (b):

1.	LClphaeta	[Hypothesis]
2.	LCαα	[Theorem 1]
3.	LCαKα β	[1, 2, Theorem 2]
4.	LCKαβα	[Theorem 1]
5.	$LCL\alpha L\alpha$	[Theorem 1]
6.	LCLαLKαβ	[3, 4, 5, (b')]
7.	LCLΚαβLCΝΚαβΚΝαβ	[A3']
8.	LCLKlphaeta Leta	[7, Theorem 1, (b')]
9.	$LCL \alpha L \beta$	[6, 8, Theorem 3]

And by Theorem 1, in order to show that M1-M3 contain (a') it is sufficient to remark that (i) each system contains LCLpp by AI' and (b'), (ii) M1 contains LCKLCpqLCqrLCpr by A2' and (b'), (iii) M2 contains LCLCpqCLpLq by A2', (b') and Theorem 3, and (iv) M3 contains LCLCpqLCLpLq as A4'.

[2, A4]

[1, 3]

Thus, to complete the proof that M1-M3 contain S1-S3 it need only be shown that each of the axioms of S1-S3 which is not an axiom of M1-M3 are provable in M1-M3. In M1, (3) follows from LCKLCpqLCqrLCpr by A5. And in M3, (1) follows from A4' by A5.

On the basis of the new foundations for Lewis's systems it is now possible to classically axiomatize some systems containing S0.5 and properly contained in S3. The systems to be considered are:

 $R1 = \{M0; A6; A1'; A2'\}$ $R2 = \{M0; A6; A1'; A3'\}$ $R3 = \{M3\}.$

Bull in [1] uses an equivalence relation employing schemata analogous to A6 as Lemma 1 part III (p. 212) while for R1-R3, since A6 yields (b''), the proof that each of the systems contains (b') can be established as was the proof above that M3 contains (b'). And thus:

 $R1 = {S1; A6}$ $R2 = {S2; A6}$ $R3 = {S3}.$

Hence R1-R3 obviously contain S0.5. But R1 and R2 are properly contained in S3 as is shown by a variation of Parry's matrix of [6]: a regular expansion of the C-N matrix (as are all matrices considered in this paper) to eight values:

С	1	2	3	4	5	6	7	8	N
1	1	2	3	4	5	6	7	8	8
2	1	1	3	3	5	5	7	7	7
3	1	2	1	2	5	6	5	6	6
4	1	1	1	1	5	5	5	5	5
5	1	2	3	4	1	2	3	4	4
6	1	2	3	3	1	2	3	3	3
7	1	2	1	2	1	2	1	2	2
8	1	1	1	1	1	1	1	1	1

with L(*1*2*3*45678) = (26888888), where *n indicates a designated value. For with this matrix all the axioms and rules of S2 are designated together with A6 while A4'(p/1,q/2) = LCLC12LCL1L2 = LCL2LC26 = LC6L5 =LC68 = L3 = 8.

It may be noted that the independence of A6 in R1 and R2 is shown by Parry's original matrix where only I and 2 are designated values. For in this case all the axioms and rules of S2 are still designated while A6(p/1,q/2) = CLC12CLC21LCL1L2 = CL2CL1LC26 = C6C2L5 = C6C28 = C67 = 3.

Moreover, the independence of A1' in R1-R3 is shown by a variation of Group IV of Lewis [5] in which L(*1*234) = (1333), since A1'(p/2) = LCLCN222 = LCLC322 = LCL22 = LC32 = L2 = 3.

The independence of A3' in R2 is shown by Group V of Lewis [5] in

which L(*1*234) = (2434), since A3'(p/3,q/2) = LCL3LCN32 = LC3LC22 = LC3L1 = LC32 = L2 = 3.

And though Lemmon has shown that A2' is derivable in S2 (cf. p. 178 of [4]), and hence R2, it remains an open question as to whether A2' is derivable or independent from the remaining axioms of R1. It should be noted that Lemmon describes a proof of A2' in S3 (p. 179 of [4]), but in so doing applies (a') to (1') which is not an axiom of S3. However A2' is derivable in S3, and hence R3, as follows:

1.	LCCpqCCqrCpr	[PC, (a')]
2.	LCLCpqLCCqrCpr	[1, (b)]
3.	LCLCpqLCLpLq	[(1), (a')]
4.	LCLpp	[(2), (a')]
5.	LCLCpqCLpLq	[3, 4, Theorem 3]
6.	LCLCpqCLCqrLCpr	[2, 5, Theorem 3]

Indeed, this derivation points up a significant difference between Lemmon's foundations for S1-S3 and those given here. The derivation requires line 5 whereas that thesis is independent of S0.5 (given Thomas's matrix of [11] in which L(*1*234) = (2334), since LCLC34CL3L4 = LCL2C34 = LCL2C34 = LC2C34 = LC32 = L2 = 3). And it is the absence of this thesis and A1' which gives rise to the systems to be discussed in the next section.

Finally, although A6 is useful in classically axiomatizing systems properly contained in S3, its addition to system T yields S4, and thus it is useless in attempting to axiomatize T. That T with A6 yields S4 clearly follows from the fact that the proper axiom of S4, LCLpLLp, follows from A6 in T⁰ (= PC; (a); A4):

1. CLCqpCLCpqLCLpLq	[<i>A6</i> , PC]
2. CLCqCppCLCCppqLCLCppLq	[1, PC]
3. LCqCpp	[PC, (a)]
4. CLCCppqLCLCppLq	[2, 3, PC]
5. LCqCCppq	[PC , (a)]
6. CLqLCCppq	[5, A4 PC]
7. CLqLCLCppLq	[4, 6, PC]
8. CLCppCCLCppLqLq	[PC]
9. <i>LCpp</i>	[PC , (a)]
10. CCLCppLqLq	[8, 9, PC]
11. LCCLCppLqLq	[10, (a)]
12. CLCLCppLqLLq	[11, <i>A4</i> , PC]
13. CLqLLq	[7, 12, PC]
14. LCLqLLq	[13, (a)]

Thus this section gives a classical axiomatization for two systems R1 and R2 which contain S0.5 and are properly contained in S3.

II. Sobociński in [9] describes a system, $S3^*$, which is properly contained in S3 and is classically axiomatized. In this section, $S3^*$ will be given a new basis and other systems properly contained in $S3^*$ will be classically axiomatized. System S3* is an *N*-*K*-*M* calculus with *C* and *E* given their usual definitions, &pq defined as *NMKpNq*, &pq as K&pq &qp, and *L* as *NMN*. The rules of inference are PCb adjusted to *N*-*K*-*M* and PCc for *N*-*K* (that is from α and *NK\alphaN* β infer β). The following are the axioms of S3*:

Z1.	ΝΜΚϸΝΚϸϷ	(i.e., <i>©pKpp</i>)
Z2.	NMKKpqNq	(i.e., <i>©Kpqq</i>)
Z3.	$NMKKK_{\gamma p}NK_{q\gamma}NK_{p}N_{q}$	(i.e., <i>©KKrpNKqrKpNq</i>)
Z4.	NMKNMKpNqNNMKNMqNNMp	(i.e., $\mathbb{S}\mathbb{Q}pq\mathbb{S}NMqNMp$)
Z5.	NKNMpNNp	(i.e., <i>CNMpNp</i>)

While the new basis for $S3^*$ is the *N*-*C*-*L* calculus:

 $R3* = \{M0; A4'\}.$

To see that R3* contains S3* first observe that the definitions of E, C, \mathbb{S} , and L are provable in the form of strict equivalences, when K is given its usual N-C definition and M = NLN.

Now the proof of section I that M3 contains (b') in no way relies on A_1 '. Thus R3* contains (b'). And hence the axioms of S3*, are obtainable from the following theses of R3*: LCpKpp, LCKpqq, LCKKrpNKqrKpNq, LCLCpqLCLpLq, and CLpp, while the rules of S3* are obtainable from the rules of R3*.

Conversely, to show that S3* contains R3* it must be remarked that the definitions of K, E, \mathbb{S} , and M are provable in the form of strict equivalences when C is given its usual N-K definition and L = NMN. Thus, once the substitutability of strict equivalents is shown for S3*, it is clear that A4' follows from Z4, A5 from Z5, and A4 from A4' and A5 by PC.

Hence, besides showing (b') in S3* it is sufficient to show that S3* contains PC and that if $\vdash \alpha$ in PC then $\vdash NMN\alpha$ in S3*, in order to complete the proof that S3* contains R3*. For in such a case, A1-A3 will be theses of S3*.

To this end, the following meta-rules and theses of $S3^*$ given passim in [9] are required.

RI. $\vdash \alpha$ and $\vdash NMK\alpha N\beta$ only if $\vdash \beta$.

RIII. \vdash NMK α N β and \vdash NMK β N γ only if \vdash NMK α N γ .

RIV. \vdash NMKaN β only if \vdash NMKMaNM β .

RV. \vdash NMKaN β and \vdash NMKaN γ only if \vdash NMKaNK $\beta\gamma$.

- Z7. NMKNpp Z12. NMKNKNNprNNKrp
- Z8. NMKNMKpNqNNMKNqNNp Z16. NMKKpqNKqp
- Z9. NMKpNNNp Z21. NMKKpqNp

It is now possible to derive the following meta-rules.

RVII. \vdash NMK α N β only if \vdash NMKN β NN α .

Proof: From hypothesis by Z8 and RI.

RVIII. \vdash *NMKaN* β only if \vdash *NMKKa* γ *NK* $\beta\gamma$.

Proof:

ΝΜΚαΝβ	[Hypothesis]
ΝΜΚΚαγΝα	[<i>Z21</i>]
ΝΜΚΚαγΝβ	[1, 2, RIII]
ΝΜΚΚαγΝγ	[Z2]
ΝΜΚΚαγΝΚβγ	[3, 4, RV]
	ΝΜΚαΝβ ΝΜΚΚαγΝα ΝΜΚΚαγΝβ ΝΜΚΚαγΝγ ΝΜΚΚαγΝΚβγ

RIX. $\vdash \mathfrak{G} \alpha \beta$ and $\vdash \gamma$ only if $\vdash \delta$ where δ results from γ by replacing α by β (β by α) in one or more places.

Proof: The meta-rule follows immediately from (i) $\vdash \& \alpha\beta$ only if $\vdash \& M\alpha M\beta$, (ii) $\vdash \& \alpha\beta$ only if $\vdash \& N\alpha N\beta$, (iii) $\vdash \& \alpha\beta$ only if $\vdash \& K\alpha\gamma K\beta\gamma$, and (iv) $\vdash \& \alpha\beta$ only if $\vdash \& K\gamma\alpha K\gamma\beta$, which are obtained from RIV, RVII, RVIII, and RVIII with Z16, respectively.

RX. If $\vdash \alpha$ in **PC**, then $\vdash \alpha$.

Proof: The meta-rule is established by deriving a sufficient set of axioms for PC. B1-B4, below, is such a set, given by Sobociński in [10].

B1.	ΝΚϷΝΚϷϷ	[Z1, Z5]
B2.	NKKpqNq	[Z2, Z5]
B3.	NKKKrpNKqrNKpNq	[Z3, Z5]
B4.	NKNKpNqNNKNqNNp	[<i>Z12, Z7, Z9,</i> RIX]

RXI. If $\vdash \alpha$ then $\vdash NMN\alpha$.

Proof: In case α is an axiom of **PC**, *NMN* α is given by:

NMNNKpNK pp	[<i>Z1, Z7, Z9,</i> RIX]
NMNNKKpqNq	[<i>Z2, Z7, Z9,</i> RIX]
NMNNKKKrpNKqrNKpNq	[Z3, Z7, Z9, RIX]
NMNNKNKpNqNNKNqNNp	[Z12, Z7, Z9, RIX]

and in case α is derived from the axioms of PC, the proof is completed by the following derivation.

1.	ΝΜΝβ	[Induction hypothesis]
2.	ΝΜΝΝΚβΝα	[Induction hypothesis]
3.	ΝΜΚβΝα	[2, <i>Z7, Z9,</i> RIX]
4.	ΝΜΚΝαΝΝβ	[3, RVII]
5.	ΝΜΚΝΜΝβΝΝΜΝα	[4, <i>Z4</i> , RI]
6.	ΝΜΝα	[1, 5, R]

Thus RIX-RXI complete the proof that S3* contains R3*.

With $S3^*$ given a basis analogous to those of section I, the following systems are now defined:

$S2* = {M0; (b'); A3'};$	$R2* = \{M0; A6, A3'\};$
$S1* = {M0; (b')};$	$R1* = \{M0; A6\}.$

The independence of A2' from all the systems under consideration in this section is given by the Thomas matrix, for A2'(p/1,q/2,r/4) = CCLC12CLC24LC14 = LC2CL3L4 = LC3C34 = LC32 = L2 = 3. Thus

S3 properly contains R3*, while proofs of the previous section establish that

 $R2* = {S2*;A6};$ $R1* = {S1*;A6};$

as well as showing that each of the following systems properly contains its predecessor: S0.5, R1*, R2*, and R3*.

Thus this section gives a classical axiomatization for three systems, $R1^*$, $R2^*$, and $R3^*$, which properly contain S0.5 and are properly contained in S3.

III. In [9] Sobociński has also described a system, $S3^{\circ}$, analogous to $S1^{\circ}$ and $S2^{\circ}$ of Feys [2], which is properly contained in S3. In this section, analogues, $R1^{\circ}-R3^{\circ}$, of these systems will be classically axiomatized.

Systems S1⁰-S3⁰ have the same primitive basis as S3^{*}, while their rules of inference are the four given by Lewis [5]: substitution for propositional variables adjusted to N-K-M; substitutability of strict equivalents; adjunction (that is, $\vdash \alpha$ and $\vdash \beta$ only if $\vdash K\alpha\beta$); and strict detachment (that is, $\vdash \alpha$ and $\vdash \beta$). Their axioms are drawn from:

F1.	NMKKpqN p	(i.e., © <i>Kpqp</i>)	
F2.	NMKKpqNKqp	(i.e., <i>©KpąKąp</i>)	
F3.	NMKKKpqrNKpKqr	(i.e., ©KKpqrKpKqr))
F4.	ΝΜΚϸΝΚϸϸ	(i.e., © <i>pKpp</i>)	
F5.	NMKKNMKpNqNMKqNrNNMKpNr	(i.e., $CKCpqCqrCpr$))
K1.	ΝΜΚΜΚϸϥΝΜϸ	(i.e., <i>©MKpqMp</i>)	
L1.	NMKNMKpNqNNMKMpNMq	(i.e., $CCpqCMpMq$)	

so that, with the above rules of procedure:

 $S1^{\circ} = \{F1; F2; F3; F4; F5\};$ $S2^{\circ} = \{S1^{\circ}; K1\};$ $S3^{\circ} = \{S1^{\circ}; L1\},$

The R-systems to be considered are:

 $R1^{\circ} = \{M0; A6; A2'\};$ $R2^{\circ} = \{M0; A6; A2'; A3'\};$ $R3^{\circ} = \{M0; A2'; A4'\};$

and it will be shown that

 $R1^{\circ} = \{S1^{\circ}; A5; A6\}; \\ R2^{\circ} = \{S2^{\circ}; A5; A6\}; \\ R3^{\circ} = \{S3^{\circ}; A5\}.$

As in section II the required definitions are provable in both the R- and S-systems as strict equivalences, as is the substitutability of strict equivalences. Thus R1°-R3° contain: F1-F4 by Theorem 1 and A5; F5 by A2'; and the remaining rules of inference by Theorems 4 and 5. Moreover, R2° contains K1:

1.	LCNMNpNMNCNpq	[A3', (b'), M0]
2.	LCMNCNpqMNp	[1, (b'), M0]

3.	LCMNCNNpNqMNNp	[2, PC]
4.	NMKMKpqNMp	[3, (b'), M0]

and finally, L1 follows in R3° by A4'.

Conversely, the required containments are obvious, since S1° together with A5 yields M0 as is clear from Feys [2], and thus the adequacy of R1°-R3° is established.

It should be noted that the presence of A5 with S3° (S1°, or S2°) defines a system which properly contains S3° (S1°, or S2°) and is properly contained in S3 (S1, or S2). A5 is shown to be independent from S1°-S3° by interpreting L as *verum*, and the independence of A1' from R1°-R3° was given in section I. (But whether or not it is possible to classically axiomatize S3° remains an open question.)

Finally, the proofs of independence given in the two previous sections show that (i) R1-R3 properly contain R1°-R3° respectively, just as these systems properly contain R1*-R3* respectively, while (ii) each of the following systems properly contains its predecessor: S0.5, R1°, R2°, R3° and S3.

Thus this section gives a classical axiomatization for three systems $R1^{\circ}-R3^{\circ}$ which contain S0.5 and are properly contained in S3.

IV. In conclusion the appended table shows the containment relations between the systems discussed in this paper.

 $R1 \longleftarrow R2 \longleftarrow R3 (= S3)$ $R1^{\circ} \longleftarrow R2^{\circ} \longleftarrow R3^{\circ} (= S3^{\circ}; CLpp)$ $S0.5 \longleftarrow R1^{*} \longleftarrow R2^{*} \longleftarrow R3^{*} (= S3^{*})$

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