

ON THE POWER POLYNOMIAL  $x^d$   
OVER GALOIS RINGS

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ABSTRACT. Let  $p$  denote a prime. Let  $\text{GR}(p^n, m)$  denote the Galois ring of order  $p^{nm}$ . Let  $P_d(x)$  denote the power polynomial  $P_d(x) = x^d$  over the ring  $\text{GR}(p^n, m)$ . In this paper we determine two cardinalities: the cardinality of the value set  $\{P_d(x) : x \in \text{GR}(p^n, m)\}$ , and the cardinality of the preimage  $P_d^{-1}(P_d(x))$  for each  $x$  in  $\text{GR}(p^n, m)$ .

**1. Introduction.** For a prime  $p$ , let  $\text{GR}(p^n, m)$  denote the Galois ring of order  $p^{nm}$  which can be obtained as a Galois extension of  $Z_{p^n}$  of degree  $m$ . Thus  $\text{GR}(p^n, 1) = Z_{p^n}$  and  $\text{GR}(p, m) = K_{p^m}$ , the finite field of order  $p^m$ . The reader can find further details concerning Galois rings in the excellent reference [1].

Now, for  $d \geq 1$ , let  $P_d(x) = x^d$  denote the power polynomial of degree  $d$  over  $\text{GR}(p^n, m)$ . Then it is easy to check that the cardinality of the value set of  $P_d(x)$  over the field  $\text{GR}(p, m) = K_p m = K_q$  depends only upon  $(d, q-1)$ , the greatest common divisor of  $d$  and  $q-1$ . To be more specific,

$$|\{P_d(x) : x \in \text{GR}(p, m) = K_q\}| = \frac{q-1}{(q-1, d)} + 1$$

where  $q = p^m$ .

In this paper we not only determine the cardinality of the value set  $\{P_d(x) : x \in \text{GR}(p^n, m)\}$  for  $n \geq 1$ , but if  $x_0 \in \text{GR}(p^n, m)$ , we also determine the cardinality of the preimage of  $P_d(x_0)$ .

**2.  $p$  odd.** Throughout this section we assume that  $p$  is odd. Let  $\text{GR}^*(p^n, m)$  denote the group of units of  $\text{GR}(p^n, m)$ . Then, see [1, Theorem XVI.9],  $\text{GR}^*(p^n, m)$  is a direct product of two groups  $G_1$  and

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$G_2$  where  $G_1$  denotes a cyclic group of order  $p^m - 1 = q - 1$ , and  $G_2$  denotes a direct product of  $m$  cyclic groups each of order  $p^{n-1}$ . Thus,

$$(1) \quad \text{GR}^*(p^n, m) = G_1 \times G_2 = G_1 \times H_1 \times H_2 \times \cdots \times H_m$$

where  $H_i$  denotes a cyclic group of order  $p^{n-1}$  for  $i = 1, 2, \dots, m$ .

**Lemma 1.** *Let  $d$  denote a positive integer and write  $d = p^t e$  with  $(e, p) = 1$ . Then*

$$|\{x \in \text{GR}(p^n, m) : x^d = 1\}| = (d, q - 1)q^k$$

where  $k = \min\{n - 1, t\}$  and  $q = p^m$ .

*Proof.* Let  $A(d)$  denote the set of elements  $x$  in  $\text{GR}(p^n, m)$  so that  $x^d = 1$ . Thus,  $A(d)$  is a multiplicative subgroup of  $\text{GR}^*(p^n, m)$ , the group of units of  $\text{GR}(p^n, m)$ .

Now, by (1),

$$A(d) = A^* \times H_1^* \times H_2^* \times \cdots \times H_m^*$$

where  $A^*$  denotes the subgroup of  $G_1$  of order  $(d, q - 1)$  and  $H_i^*$  denotes the subgroup of  $H_i$  of order  $(p^t, p^{n-1})$  for  $i \geq 1$ . Therefore,

$$|A(d)| = (d, q - 1)(p^k)^m = (d, q - 1)q^k$$

where  $k = \min\{t, n - 1\}$ .  $\square$

**Theorem 2.** *Let  $d$  denote a positive integer and write  $d = p^t e$  with  $(e, p) = 1$ . Let  $x_0 \in \text{GR}(p^n, m)$  and write  $x_0 = p^i A$  with  $A$  a unit of  $\text{GR}(p^n, m)$ . Let  $P_d^{-1}(P_d(x_0))$  be the preimage of  $P_d(x_0)$ . Then*

$$|P_d^{-1}(P_d(x_0))| = \begin{cases} (d, q - 1)q^{i(d-1)+k} & \text{if } i \leq [(n-1)/d] \\ q^{n-[(n-1)/d]-1} & \text{if } i > [(n-1)/d] \end{cases}$$

where  $k = \min\{t, n - id - 1\}$  and  $q = p^m$ .

*Proof.* Let  $x_0 \in \text{GR}(p^n, m)$  and write  $x_0 = p^i A$  with  $A$  a unit of  $\text{GR}(p^n, m)$ . Let  $d = p^t e \geq 1$  with  $(e, p) = 1$ . Then we consider two cases.

*Case 1.*  $i \leq [(n-1)/d]$ . Then,  $x^d = x_0^d$  if and only if  $x = p^i B$  for some  $B$  in  $\text{GR}(p^n, m)$  with  $B^d \equiv A^d \pmod{p^{n-id}}$ . Thus,  $B \equiv wA \pmod{p^{n-id}}$  for some  $w$  in  $\text{GR}(p^{n-id}, m)$  with  $w^d = 1$ . Hence, by Lemma 1, there are  $(d, q-1)q^k$  distinct values  $B$  over  $\text{GR}(p^{n-id}, m)$  where  $k = \min\{t, n-id-1\}$  and  $q = p^m$ . Therefore, there are  $(d, q-1)q^{k+(n-1)-(n-id-1)-i} = (d, q-1)q^{i(d-1)+k}$  distinct values  $x$  over  $\text{GR}(p^n, m)$  satisfying  $x^d = x_0^d$ .

*Case 2.*  $n \geq i \geq [(n-1)/d] + 1$ .  $x^d = x_0^d = p^{id}A = 0$  if and only if  $x = Bp^{[(n-1)/d]+1}$  for some  $B$  in  $\text{GR}(p^n, m)$ . Therefore, there are  $q^{n-1-[(n-1)/d]}$  distinct values  $x$  in  $\text{GR}(p^n, m)$  so that  $x^d = 0$ . This completes the proof of the theorem.  $\square$

**Theorem 3.** *Let  $d$  denote a positive integer and write  $d = p^t e$  with  $(e, p) = 1$ . Then*

$$|\{P_d(x) : x \in \text{GR}(p^n, m)\}| = \frac{q-1}{(q-1, d)} \sum_{i=0}^{[\frac{n-1}{d}]} q^{n-1-id-k_i} + 1$$

where  $k_i = \min\{t, n-id-1\}$  for  $0 \leq i \leq [(n-1)/d]$ .

*Proof.* We partition  $\text{GR}(p^n, m)$  as follows:

$$\text{GR}(p^n, m) = \left( \bigcup_{i=0}^{[\frac{n-1}{d}]} p^i \text{GR}^*(p^n, m) \right) \cup \left( \bigcup_{i > [\frac{n-1}{d}]} p^i \text{GR}^*(p^n, m) \right).$$

Therefore, by Theorem 2,

$$\begin{aligned} |\{P_d(x) : x \in \text{GR}(p^n, m)\}| &= \sum_{i=0}^{[\frac{n-1}{d}]} \frac{(q-1)q^{n-1-i}}{(q-1, d)q^{i(d-1)+k_i}} + 1 \\ &= \frac{q-1}{(q-1, d)} \sum_{i=0}^{[\frac{n-1}{d}]} q^{n-1-id-k_i} + 1 \end{aligned}$$

where  $k_i = \min\{t, n-id-1\}$ .  $\square$

**Corollary.**  $P_d(x) = x^d$  permutes  $\text{GR}(p^n, m)$  if and only if  $d = 1$  or  $n = 1$  and  $(d, p^m - 1) = 1$ .

**3.  $p$  even.** Throughout this section we assume that  $p = 2$ . Then, the group of units  $\text{GR}^*(p^n, m)$ , see [1, Theorem XVI.9], is a direct product of two groups  $G_1$  and  $G_2$ , where  $G_1$  denotes a cyclic group of order  $p^m - 1 = q - 1$ , and  $G_2$  denotes a group with two possible structures depending on the value of  $n$ . If  $n \leq 2$ ,  $G_2$  is a direct product of  $m$  cyclic groups of order  $p^{n-1}$ . Thus,

$$(2) \quad \text{GR}^*(p^n, m) = G_1 \times G_2 = G_1 \times H_1 \times H_2 \times \cdots \times H_m$$

where  $H_i$  denotes a cyclic group of order  $p^{n-1}$  for  $i \geq 1$ . On the other hand, if  $n \geq 3$ ,  $G_2$  is a direct product of a group of order 2, a cyclic group of order  $2^{n-2}$  and  $m - 1$  cyclic groups each of order  $2^{n-1}$ . Thus,

$$(3) \quad \text{GR}^*(p^n, m) = G_1 \times G_2 = G_1 \times E_1 \times E_2 \times H_1 \times H_2 \times \cdots \times H_{m-1}$$

where  $E_1, E_2$  and  $H_i$ ,  $i \geq 1$  are cyclic groups of orders 2,  $2^{n-2}$  and  $2^{n-1}$ , respectively.

Corresponding to Lemma 1 and Theorems 2 and 3 for odd  $p$ , we can prove the following results when  $p = 2$ . As the proofs are analogous to those given for odd  $p$ , we omit the details for the  $p = 2$  case.

**Lemma 1'.** Let  $d$  denote a positive integer and write  $d = p^t e$  with  $(p, e) = 1$ . Then

$$|\{x \in \text{GR}(p^n, m) : x^d = 1\}| = \begin{cases} (d, q - 1) & \text{if } t = 0 \\ 2(d, q - 1)q^t & \text{if } 1 \leq t \leq n - 2 \\ (d, q - 1)q^{n-1} & \text{if } n - 1 \leq t \end{cases}$$

where  $q = p^m$ .

**Theorem 2'.** Let  $d$  denote a positive integer and write  $d = p^t e$  with  $(e, p) = 1$ . Let  $x_0 \in \text{GR}(p^n, m)$  and write  $x_0 = p^i A$  with  $A$  a unit of

$\text{GR}(p^n, m)$ . Let  $P_d^{-1}(P_d(x_0))$  be the preimage of  $P_d(x_0)$ . Then,

$$|P_d^{-1}(P_d(x_0))| = \begin{cases} (d, q-1)q^{i(d-1)} & \text{if } t = 0 \text{ and } id \leq n-1 \\ 2(d, q-1)q^{t+i(d-1)} & \text{if } 1 \leq t, t < n-1-id, \\ & \text{and } id \leq n-1 \\ (d, q-1)q^{n-1-i} & \text{if } 1 \leq t, t \geq n-1-id, \\ & \text{and } id \leq n-1 \\ (d, q-1)q^{n-[(n-1)/d]-1} & \text{if } id \geq n. \end{cases}$$

**Theorem 3'.** Let  $d, n$  and  $m$  denote three positive integers and write  $d = p^t e$  with  $(e, p) = 1$ . Let  $V$  denote the value set

$$V = \{P_d(x) : x \in \text{GR}(p^n, m)\}.$$

a) Assume  $t = 0$ . Then,

$$|V| = \frac{q-1}{(q-1, d)} \sum_{i=0}^{\lfloor \frac{n-1}{d} \rfloor} q^{n-1-id} + 1$$

b) Assume  $1 \leq t$  and  $n-1-(j+1)d \leq t < n-1-jd$  for some  $j$ ,  $0 \leq j \leq \lfloor (n-1)/d \rfloor$ . Then,

$$|V| = \frac{q-1}{(d, q-1)} \left( \lfloor (n-1)/d \rfloor - j + (1/2) \sum_{i=0}^j q^{n-1-id+t} \right) + 1$$

c) Assume  $t \geq n-1$ . Then,

$$|V| = \frac{q-1}{(d, q-1)} (\lfloor (n-1)/d \rfloor + 1) + 1$$

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REFERENCES

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