

## OPERATING FUNCTIONS FOR BANACH FUNCTION SPACES

EGGERT BRIEM AND KRZYSZTOF JAROSZ

ABSTRACT. Let  $B$  be an ultraseparating Banach function space on a compact Hausdorff space  $X$ . We prove that if there exists a continuous non-affine operating function for  $B$ , then there is a finite subset  $E$  of  $X$ , such that  $B$  contains every continuous function vanishing in a neighborhood of  $E$ .

A real-valued function  $h$  defined on some interval of the real line is said to *operate* on a vector space  $B$  of real-valued functions on a set  $X$ , if the composite function  $h \circ b$  belongs to  $B$  whenever  $b$  belongs to  $B$  and  $h \circ b$  is defined.

The only obvious operating functions for  $B$  are affine functions, i.e., functions of the type  $h(t) = \alpha t$ , or  $h(t) = \alpha t + \beta$  if  $B$  contains the constant functions.

It turns out that if  $X$  is a compact Hausdorff space and  $B$  is a subspace of  $C(X)$  containing the constant functions and separating the points of  $X$  then, unless  $B$  is uniformly dense in  $C(X)$ , the affine functions are the only continuous operating functions for  $B$ .

The Stone-Weierstrass theorem can be viewed as a partial result in this direction: If  $h(t) = t^2$  operates on  $B$ , then  $B$  is uniformly dense in  $C(X)$ . Later K. de Leeuw and Y. Katznelson [10] proved that in this version of the Stone-Weierstrass theorem any continuous function, defined on some interval of the real line, which is not affine, can take the place of the function  $h(t) = t^2$ .

This result does not extend to arbitrary *Banach function spaces* on  $X$ , i.e., subspaces of  $C(X)$  which separate the points of  $X$ , contain the constant functions and which are Banach spaces in some norm which dominates the sup norm. The space of continuously differentiable functions on the interval  $[0, 1]$ , where the norm is given by  $\|f\| = \|f\|_\infty + \|f'\|_\infty$ , is a Banach function space. Obviously,  $h(t) = t^2$  operates on this space.

---

Received by the editors on June 15, 1993.

Copyright ©1997 Rocky Mountain Mathematics Consortium

There is, however, an extension of the theorem of K. de Leeuw and Y. Katznelson to a certain type of a Banach function space. J. Wermer showed in [12] that if  $h(t) = t^2$  operates on the real part  $B$  of a function algebra  $A$  on  $X$ , then  $B = C(X)$  (and consequently  $A = C_{\mathbb{C}}(X)$ ). The space  $B$  is a Banach function space in the norm  $\|b\| = \inf\{\|b + ia\|_{\infty} : b + ia \in A\}$ . Also, in this case, it has been proved (see [3] and [7]) that any non-affine function can take the place of the function  $h(t) = t^2$ . (It is known [1] that if a function, which is defined on an open interval, operates on the real part of a function algebra, then that function must be continuous.) The proofs are based on an ingenious idea of A. Bernard [1]. The idea is to apply the theorem of de Leeuw and Katznelson to the space  $l^{\infty}(B)$  consisting of all bounded sequences of functions from  $B$  (bounded with respect to the Banach space norm  $\|\cdot\|$  on  $B$ ). The space  $l^{\infty}(B)$  can be regarded as a subspace of  $C(\beta(\mathbf{N} \times X))$ , where  $\beta(\mathbf{N} \times X)$  denotes the Stone-Ćech compactification of  $\mathbf{N} \times X$ .

Bernard proved that if  $l^{\infty}(B)$  is uniformly dense in  $C(\beta(\mathbf{N} \times X))$ , then  $B = C(X)$ . Thus, if we could apply the result of de Leeuw and Katznelson not to  $B$ , but rather to  $l^{\infty}(B)$ , we could conclude that  $B = C(X)$ . There are two difficulties, however: (i) we must know that  $l^{\infty}(B)$  separates the points of  $\beta(\mathbf{N} \times X)$  and (ii) the function  $h$  operating on  $B$ , must also operate on  $l^{\infty}(B)$ .

The first difficulty is easy to deal with. Since  $B = C(X)$  only if  $l^{\infty}(B)$  separates the points of  $\beta(\mathbf{N} \times X)$ , we may simply assume that  $B$  has this property. We call a Banach function space  $B$  on  $X$  containing the constant functions and having this property *ultraseparating* on  $X$ . For example, the real part  $B$  of a Dirichlet function algebra  $A$  is ultraseparating [1]; moreover, if there is a nonaffine operating function on  $B$ , then  $A$  is automatically Dirichlet.

The other difficulty concerning whether an operating function for  $B$  also operates on  $l^{\infty}(B)$  is not easy to deal with. It is not the case that if  $\sup \|b_n\| < \infty$ , then necessarily  $\sup \|h \circ b_n\| < \infty$ , as the following example shows:

**Example 1.** Let  $X = \mathbf{N} \cup \{\infty\}$ , and put

$$A = \left\{ a = (a_n) : \|a\| := \sup |a_n| + \sum |a_{n+1} - a_n| < \infty \right\}.$$

Then  $A$  is a Banach function algebra on  $X$ . Let  $h(t) = \cos(\pi/t)$  for  $t \in (0, 1)$ . The function  $h$  operates on  $A$  because if  $a \in A$  and  $a(X) \subseteq (0, 1)$ , then  $a(X) \subseteq [\varepsilon, 1 - \varepsilon]$  for some positive number  $\varepsilon$ . Now, since  $h$  is Lipschitz on this interval with some constant  $M$ , we see that

$$\begin{aligned} \|h(a)\| &= \sup |h(a_n)| + \sum |h(a_{n+1}) - h(a_n)| \\ &\leq \sup |h(a_n)| + \sum M|a_{n+1} - a_n| < \infty. \end{aligned}$$

Fix  $n_0 \in \mathbf{N}$  and put

$$a_n = \begin{cases} 1/n & \text{if } n \leq n_0 \\ 1/n_0 & \text{if } n \geq n_0 \end{cases}$$

and let  $a = (a_n)$ . Then  $\|a\| = 1 + (1 - 1/n_0) < 2$ , but

$$\|h(a)\| > \sum |\cos(n+1)\pi - \cos n\pi| = 2n_0.$$

We note that this algebra also has the property that there exists a constant  $K > 0$  such that for all  $x, y \in X$  there is a sequence  $a \in A$  with  $\|a\| < K$  such that  $a(x) = 1$  and  $a(y) = -1$ .

In [11], S. Sidney used the Baire category theorem in a clever way to show that  $h$  operates on a part of  $l^\infty(B)$ , and this enabled him to extend the result of K. de Leeuw and Y. Katznelson to the real part of a function algebra, with some restrictions on the operating function. In [7], O. Hatori showed that these restrictions are unnecessary. (See also [3].)

Turning now to more general spaces, in [4] it is proved that if  $B$  is an ultraseparating Banach function space on  $X$ , and if there is a nonaffine continuous operating function for  $B$ , then  $B$  is locally  $C(K_x)$  for all but finitely many  $x \in X$ , i.e., there is a finite subset  $E$  of  $X$  such that if  $x$  is not in  $E$ , then there is a compact neighborhood  $K_x$  of  $x$  such that  $B|K_x = C(K_x)$ .

There are other positive results in the case when one has some restrictions on the operating function  $h$ . In [11], S. Sidney proved that if  $B$  is an ultraseparating Banach function space on  $X$  for which there exists a continuous operating function which is totally nonaffine on some subinterval, i.e., not affine on any subinterval of some subinterval,

then  $B = C(X)$ . Further, in [5], it was shown that the same conclusion holds if  $|h(t)| \leq k|t|$  in some neighborhood of zero, and if  $h$  is not  $\lambda$ -homogeneous in any neighborhood of zero, i.e., for no neighborhood of zero does there exist a number  $\lambda \neq 1$  such that  $h(\lambda t) = \lambda h(t)$  for all  $t$  in that neighborhood. Then, recently O. Hatori [9] and A. Bernard [2] (in the metrizable case), have shown that the restriction that  $h$  is not  $\lambda$ -homogeneous suffices. In [9], O. Hatori also gives an example of an ultraseparating Banach function space on a compact Hausdorff space  $X$ , which is not  $C(X)$ , but for which the function  $h(t) = |t|$  operates.

In [4], it is shown that if a nonaffine function operates on an ultraseparating Banach function space  $B$  on  $X$ , then  $B$  is locally a  $C(K)$ -space except for finitely many points in  $X$ , i.e., there is for all but finitely many  $x$  in  $X$  a compact neighborhood  $K_x$  of  $x$  such that  $B|_{K_x} = C(K_x)$ . In this note we improve this result by showing that there is a finite subset  $E$  of  $X$  such that  $B$  contains every continuous function on  $X$ , vanishing in a neighborhood of  $E$ . Since the function  $h(t) = |t|$  is  $\lambda$ -homogeneous for every positive number  $\lambda$ , the results in [2, 4 and 9] are just about the best results one can get for operating functions on ultraseparating Banach function spaces. (See also Theorem 3 in this note).

We also prove a general theorem for operating functions on subspaces of Banach function spaces and show how the result of A. Bernard and O. Hatori, concerning operating functions which are not  $\lambda$ -homogeneous, can be derived from this theorem. In light of S. Sidney's result in [11], we will only consider operating functions which are not totally nonaffine on any subinterval.

**The main results.** We first present the main idea behind the proofs of our results:

Let  $\phi$  be a  $C_0^\infty$ -function with the support in a (small) neighborhood of zero. Let  $h_\phi = h * \phi$ , and look at the expression

$$\Lambda_{\phi,t,\delta}(b,c) = h_\phi \circ (b + (t + \delta)c) + h_\phi \circ (b + (t - \delta)c) - 2h_\phi \circ (b + tc)$$

this is

$$\begin{aligned} \Lambda_{\phi,t,\delta}(b,c) = \int & (h \circ (b + (t + \delta)c - s) + h \circ (b + (t - \delta)c - s) \\ & - 2h \circ (b + tc - s)) \phi(s) ds, \end{aligned}$$

where  $b, c \in B$ . Dividing by  $\delta^2$ , letting  $\delta \rightarrow 0$ , and then putting  $t = 0$ , we obtain  $c^2 \cdot h''_\phi \circ (b)$  as a limit. If  $h$  is not affine, we can choose  $\phi$  and some constant function  $b$  such that  $h''_\phi \circ (b) = k \neq 0$  and thus get  $k \cdot c^2$  as a limit. Thus, if  $\Lambda_{\phi,t,\delta} \circ (b, c) \in \overline{B}$ , then also  $c^2 \in \overline{B}$ , where the bar denotes uniform closure.

For the argument above one needs  $B$  to contain the constant functions; otherwise,  $h_\phi$  need not operate on  $B$  (or  $\overline{B}$ ).

If  $B$  does not contain the constant functions, we could instead look at the following expression

$$\Lambda_{\phi,t,\delta}(b_0, b, c) = \int (h \circ (b + (t + \delta)c - sb_0) + h \circ (b + (t - \delta)c - sb_0) - 2h \circ (b + tc - sb_0))\phi(s) ds.$$

We observe that the expression reduces to zero at points  $x \in X$  where  $c(x) = 0$  and at points  $x \in X$  where  $b(x)$  belongs to some fixed open interval on which  $h$  is affine (if  $t, \delta$  and the support of  $\phi$  are sufficiently small). We also note that  $\Lambda_{\phi,t,\delta}(b_0, b, c) = \Lambda_{\phi,t,\delta}(b, c)$  at those points  $x \in X$ , where  $b_0(x) = 1$ . Thus, if  $X$  can be written as a union of two sets  $X = X_1 \cup X_2$  such that  $b(X_1)$  is a subset of some interval on which  $h$  is affine and  $b_0 = 1$  on  $X_2 \cap \text{supp}(c)$ , then upon dividing by  $\delta^2$ , letting  $\delta \rightarrow 0$  and then putting  $t = 0$ , we get the limit  $c^2 \cdot h''_\phi \circ (b)$  as before.

We will refer to the arguments above in the proofs.

**Theorem 1.** *Let  $B$  be an ultraseparating Banach function space on a compact Hausdorff space  $X$ . If there exists a continuous non-affine operating function for  $B$ , then there exists a finite subset  $E$  of  $X$ , such that  $B$  contains every continuous function vanishing in a neighborhood of  $E$ .*

*Proof.* Call the operating function  $h$ . By a result of S. Sidney [11] mentioned earlier, we may assume that every subinterval, of the interval on which  $h$  is defined, contains an interval on which  $h$  is affine. Composing  $h$  with a suitable affine function we may also assume that  $h$  is not affine in any neighborhood of zero, but that  $h = 0$  on  $[0, r]$  for some positive number  $r$ .

We already know that there is a finite subset  $E$  of  $X$  such that each  $x$  not in  $E$  has a neighborhood  $K_x$  for which  $B|_{K_x} = C(K_x)$ .

Let  $x_0 \notin E$ . We first show that there is an open neighborhood  $V_0$  of  $x_0$  such that  $B_0(V_0) = C_0(V_0)$ , where

$$C_0(V) = \{f \in C(X) : f = 0 \text{ outside } V\}$$

and

$$B_0(V) = \{b \in B(X) : b = 0 \text{ outside } V\}.$$

Let  $U$  be a neighborhood of  $x_0$  for which  $B|_U = C(U)$ , and let  $V$  be an open neighborhood of  $x_0$  such that  $\overline{V} \subset U$ . Put

$$B(U, V) = \{b \in B : b(x_0) = 0 \text{ and } b = 0 \text{ on } U \setminus V\}$$

and, for any  $\lambda > 0$ , let

$$B(U, V)_\lambda = \{b \in B(U, V) : \|b\| \leq \lambda\}.$$

By the theorem of K. de Leeuw and Y. Katznelson,  $B$  is dense in  $C(X)$ , and thus there is a function  $b_1 \in B$  such that  $b_1(x_0) = 0$  and such that  $b_1(X \setminus U)$  is contained in the interval  $(0, r)$ . By the Baire category theorem, there is a function  $b_2 \in B(U, V)_\lambda$  and positive numbers  $\varepsilon$  and  $M$  such that  $\|h \circ (b_1 + b_2 + b)\| < M$  for all  $b$  in some dense subset of the  $\varepsilon$ -ball  $B(U, V)_\varepsilon$ .

Let  $b_0 = b_1 + b_2$ . Taking  $\lambda$  and  $\varepsilon$  sufficiently small, we may assume that  $(b_0 + b)(X \setminus U) \subseteq (0, r)$  for any  $\|b\| \leq \varepsilon$ . Since  $h = 0$  on  $[0, r]$ , we have

$$h \circ (b_0 + c_1 - b) + h \circ (b_0 + c_2 - b) - 2h \circ (b_0 + c_3 - b) \in B_0(V)$$

if the  $c_i$ 's and  $b$  belong to  $B(U, V)$  and have sufficiently small norms. It follows that if  $\{b_n\}$  and  $\{c_n\}$  belong to  $l^\infty(B(U, V))$  and if  $s, t$  and  $\delta$  are sufficiently small real numbers, then

$$\begin{aligned} h \circ \{b_0 + (t + \delta)c_n - sb_n\} + h \circ \{b_0 + (t - \delta)c_n - sb_n\} \\ - 2h \circ \{b_0 + tc_n - sb_n\} \end{aligned}$$

belongs to  $\overline{l^\infty(B_0(V))}$  and thus

$$\begin{aligned} \int (h \circ \{b_0 + (t + \delta)c_n - sb_n\} + h \circ \{b_0 + (t - \delta)c_n - sb_n\} \\ - 2h \circ \{b_0 + tc_n - sb_n\}) \phi(s) ds \end{aligned}$$

belongs to  $\overline{l^\infty(B_0(V))}$ , if  $t$  and  $\delta$  are sufficiently small and if  $\phi \in C_0^\infty(\mathbf{R})$  has support in a sufficiently small neighborhood of zero.

Let us take an element  $\{c_n\}$  in  $l^\infty(B(U, V))$  where each  $c_n$  is zero near  $x_0$ . Since  $B|\overline{U} = C(\overline{U})$  we can for each  $n$  choose  $b_n$  in  $B(U, V)$  with the property that  $b_n = 1$  on the set  $\{x \in V : c_n(x) \neq 0\}$ . Using the open mapping theorem we can also assume that the sequence  $\{\|b_n\|\}$  is bounded so that  $\{b_n\} \in l^\infty B(U, V)$ . With this choice of  $\{c_n\}$  and  $\{b_n\}$  the expression above takes the form

$$h_\phi \circ \{b_0 + (t + \delta)c_n\} + h_\phi \circ \{b_0 + (t - \delta)c_n\} - 2h_\phi \circ \{b_0 + tc_n\}$$

where  $h_\phi = h * \phi$ . Dividing by  $\delta^2$ , letting  $\delta$  tend to 0 and then putting  $t = 0$ , we deduce that  $\{c_n\}^2 \cdot h''_\phi \circ \{b_0\} \in \overline{l^\infty(B_0(V))}$ , where  $\{b_0\} = \{b_0, b_0, \dots\}$ . Approximating an arbitrary element in  $l^\infty(B(U, V))$  with a sequence  $\{c_n\}$  as above, we find that  $\{c_n\}^2 \cdot h''_\phi \circ \{b_0\} \in l^\infty(B_0(V))$  for all  $\{c_n\}$  in  $l^\infty(B(U, V))$ . Since  $h''_\phi \circ b_0 = 0$  on  $X \setminus U$  and since  $B|\overline{U} = C(\overline{U})$ , it follows that

$$\{c_n\}^2 \cdot h''_\phi \circ \{b_0\} \in \overline{l^\infty(B_0(V))}$$

if  $\{c_n\} \in l^\infty(C_0(V))$  and  $c_n(x_0) = 0$  for all  $n$ .

Since  $B$  is dense in  $C(X)$ , we can find a function  $b \in B$  such that if  $a = h \circ b$ , then  $a(x_0) \neq 0$  and  $a = 0$  outside some given neighborhood  $V_1$  of  $x_0$  with  $\overline{V_1} \subset V$ . Thus,  $\{a\} + \{c_n\}^2 \cdot h''_\phi \circ \{b_0\} \in \overline{l^\infty(B_0(V))}$  for all  $\{c_n\} \in l^\infty(C_0(V))$  with  $c_n(x_0) = 0$  for all  $n$ . We now use the fact that  $h$  is not affine in any neighborhood of 0 to choose  $\phi$  with the property that  $h''_\phi(0) \neq 0$ . It follows that there is a neighborhood  $V_0$  of  $x_0$ , with  $\overline{V_0} \subset V$ , such that  $l^\infty(C_0(V_0)) \subset \overline{l^\infty(B_0(V))}$ . Hence  $l^\infty(B_0(V_0))$  is dense in  $l^\infty(C_0(V_0))$  and, by the result of Bernard mentioned earlier, we have  $B_0(V_0) = C_0(V_0)$ .

To end the proof of Theorem 1, let  $f \in C(X)$  and assume that  $K = \text{supp}(f)$  does not intersect  $E$ . Let  $\{V_\gamma : \gamma \in \Gamma\}$  be a finite open cover of  $K$  such that  $B_0(V_\gamma) = C_0(V_\gamma)$  for all  $\gamma$ . Let  $\{f_\gamma\}$  be a finite partition of unity on  $K$ , subordinated to the open cover  $\{V_\gamma : \gamma \in \Gamma\}$ . We can extend each function from the family  $f_\gamma$  to a continuous function on  $X$ . Since  $f = \sum_\gamma f_\gamma f$  and  $f_\gamma f \in B$  we get  $f \in B$ .  $\square$

**Corollary.** *Let  $B$  be a Banach function space on  $X$  with the property that each  $x$  in  $X$  has a compact neighborhood  $K_x$  for which  $B|K_x = C(K_x)$ . If there is a continuous nonaffine operating function for  $B$ , then  $B = C(X)$ .*

The next result concerns operating functions and subspaces which do not contain the constant functions. We say that  $h$  operates *boundedly* on a Banach function space  $A$  if  $h$  operates on  $A$  and there exist numbers  $\varepsilon$  and  $M > 0$  such that  $\|h \circ b\| < M$  for all  $b$  in some dense subset of the  $\varepsilon$ -ball of  $A$ .

**Theorem 2.** *Let  $A$  be a Banach space of continuous functions on a locally compact Hausdorff space  $Y$ , where the norm dominates the sup-norm. Assume that  $l^\infty(A)$  separates the points of  $\overline{\mathbf{N} \times K}$  for each compact subset  $K$  of  $Y$ , where the bar denotes closure in  $\beta(\mathbf{N} \times Y)$ . Suppose further that  $h$  is a continuous function with  $h(0) = 0$  operating boundedly on  $A$ , which is not  $\lambda$ -homogeneous for any  $\lambda \neq 1$ , and with the property that every neighborhood of zero contains a subinterval on which  $h$  is affine. Then  $A|K = C(K)$  for every compact subset  $K$  of  $Y$ .*

*Proof.* We begin by proving that if  $K$  is a compact subset of  $Y$  for which there is a function  $b_0$  in  $A$ , with  $b_0 = 1$  on  $K$ , then there exists a neighborhood  $U$  of  $K$  such that  $A|\overline{U} = C(\overline{U})$ .

Let  $\{b_0\} = \{b_0, b_0, \dots\}$  and let  $\mathcal{K} = \{\eta \in \beta(\mathbf{N} \times Y) : \{b_0\}(\eta) = 1\}$ . The space  $l^\infty(A)|\mathcal{K}$  separates the points of  $\mathcal{K}$  and contains the constant functions. Further,  $h \circ \{b_n\} \in \overline{l^\infty(A)|\mathcal{K}}$  if  $\{b_n\} \in l^\infty(A)|\mathcal{K}$  and  $\|\{b_n\}\| < \varepsilon$ . It follows from the theorem of K. de Leeuw and Y. Katznelson that  $l^\infty(A)|\mathcal{K}$  is dense in  $C(\mathcal{K})$ . A local version [3, Theorem 1], of Bernard's theorem yields the existence of the desired neighborhood  $U$ .

We show next that if  $K = K_1 \cup K_2$ , where  $A|K_i = C(K_i)$  for  $i = 1, 2$ , then  $A|K = C(K)$ . We know that  $h \circ \{b_n\} \in l^\infty(A|K)$ , if the  $b_n$ 's belong to some dense subset of the  $\varepsilon$ -ball  $A_\varepsilon$  of  $A$ , and hence  $h \circ \{b_n\} \in \overline{l^\infty(A|K)}$ , if  $\{b_n\} \in l^\infty(A|K)_\varepsilon$ .

To show that  $A|K = C(K)$  we show that  $l^\infty(A|K)$  is dense in  $C(\overline{\mathbf{N} \times K})$ . Since  $A|K$  is a Banach space in the quotient norm, and since  $\overline{\mathbf{N} \times K} = \beta(\mathbf{N} \times K)$ , Bernard's theorem then shows that



$A|K = C(K)$ .

Let  $\mu$  be a measure on  $\overline{\mathbf{N} \times K}$  which annihilates  $l^\infty(A|K)$ , and suppose that  $\mu$  is not zero around a point  $\eta \in \overline{\mathbf{N} \times K_2} \setminus \overline{\mathbf{N} \times K_1}$ , by this we mean that  $|\mu|(\mathcal{V}) > 0$  for any neighborhood  $\mathcal{V}$  of  $\eta$ . For each  $f \in C(K)$ , let  $\{f\} = \{f, f, f, \dots\}$ . Since the map  $f \mapsto \{f\}(\eta)$  is a multiplicative linear functional on  $C(K)$ , there is a point  $y_0 \in K$  such that  $\{f\}(\eta) = f(y_0)$  for all  $f \in C(K)$ . Clearly,  $y_0 \in K_2 \setminus K_1$ .

We claim that there is a function  $\{b_0\} \in l^\infty(A|K)$  such that  $\{b_0\} = 1$  on  $\overline{\mathbf{N} \times K_1}$  and  $b_0(y_0) = 0$ . Since  $A|K_1 = C(K_1)$  there is a  $b \in A$  such that  $b = 1$  on  $K_1$ . If  $b(y_0) = 1$ , then  $A|K_1 \cup \{y_0\} = C(K_1 \cup \{y_0\})$  as we saw above, implying the existence of the desired function  $b_0$ . If  $b(y_0) = \chi \neq 1$ , then we look at the functions  $h \circ (tb)$  where  $t$  is a real number with  $|t| \leq 1$ . Since  $h$  is not  $\lambda$ -homogeneous, we can choose  $t$  such that  $h(t\chi) \neq \chi h(t)$ . A suitable linear combination of  $b$  and  $h \circ (tb)$  gives the desired function  $b_0$ .

Let  $r$  be a real number such that if  $\{b_n\}, \{c_n\} \in l^\infty(A|K)$  then  $\{rb_0 + tc_n - sb_n\} \in l^\infty(A|K)_\varepsilon$  and  $\{rb_0 + tc_n - sb_n\}(\overline{\mathbf{N} \times K_1}) \subseteq J$  for all  $|s|$  and  $|t|$  sufficiently small, where  $J$  is an open interval on which  $h$  is affine.

Since  $A|K_2 = C(K_2)$  we can choose  $\{b_n\}$  such that  $\{b_n\} = 1$  on  $\overline{\mathbf{N} \times K_2}$ . As we saw in the discussion preceding Theorem 1 (with  $\{b_0\}, \{c_n\}$  and  $\{b_n\}$  in place of  $b, c$  and  $b_0$ ), we can conclude that  $\{c_n\}^2 \cdot h''_\phi \circ \{rb_0\} \in l^\infty(A|K)$ . Also, since  $h$  is not affine in any neighborhood of zero, we can choose  $\phi$  such that  $h''_\phi(0) \neq 0$ . Further, since  $l^\infty(A)|\overline{\mathbf{N} \times K_2} = C(\overline{\mathbf{N} \times K_2})$  and since  $h''_\phi \circ \{rb_0\} = 0$  on  $\overline{\mathbf{N} \times K_1}$ , we can replace  $\{c_n\}$  by any element of  $C(\overline{\mathbf{N} \times K})$ . Hence, there is a neighborhood  $\mathcal{W}$  of  $\eta$  relative to  $\overline{\mathbf{N} \times K}$  such that

$$\{f \in C(\overline{\mathbf{N} \times K}) : f = 0 \text{ outside } \mathcal{W}\} \subseteq l^\infty(A)|\overline{\mathbf{N} \times K}.$$

It follows that  $\mu$  is zero around  $\eta$ . This contradiction together with the regularity of  $\mu$  shows that  $\mu$  must have all of its mass on  $\overline{\mathbf{N} \times K_1}$ . But  $l^\infty(A)|\overline{\mathbf{N} \times K_1} = C(\overline{\mathbf{N} \times K_1})$ , and hence  $\mu = 0$ .

To finish the proof of the theorem, we note that if  $y \in Y$  then  $l^\infty(A)$  separates the points of  $\overline{\mathbf{N} \times \{y\}}$  and thus there is a function  $b \in A$  with  $b(y) = 1$ . It follows that there is a neighborhood  $U$  of  $y$  such that  $A|\overline{U} = C(\overline{U})$ .  $\square$

We end this note by showing how the last theorem can be used to give a short proof of the following theorem of A. Bernard and O. Hatori (cf. [2] and [9]):

**Theorem 3.** *Let  $B$  be an ultraseparating Banach function space on a compact Hausdorff space  $X$ , and suppose there is a continuous operating function  $h$  for  $B$ , defined in a neighborhood of zero and with  $h(0) = 0$ , which is not  $\lambda$ -homogeneous in any neighborhood of zero. Then  $B = C(X)$ .*

*Proof.* As mentioned earlier, we may assume, by a result of S. Sidney [11], that every neighborhood of zero contains an interval on which  $h$  is affine. The function  $h$  is, of course, not affine in any neighborhood of zero since it is not  $\lambda$ -homogeneous. By the corollary to Theorem 1, it suffices to show that each  $x \in X$  has a compact neighborhood  $K_x$  for which  $B|_{K_x} = C(K_x)$ .

Let  $x \in X$  and put  $B(x) = \{b \in B : b(x) = 0\}$ . By the Baire category theorem, there is a function  $b_0 \in B(x)$  and positive numbers  $\varepsilon$  and  $M$  such that  $h \circ (b_0 + b) \in (B(x))_M$  for all  $b$  in a dense subset of an  $\varepsilon$ -ball  $B(x)_\varepsilon$  of  $B(x)$ . It follows that  $h \circ \{b_0 + b_n\} \in l^\infty(B(x))_M$  for  $\{b_n\}$  in a dense subset of  $l^\infty(B(x))_\varepsilon$ .

Put  $\mathcal{X} = \{\eta \in \beta(\mathbf{N} \times X) : \{b_0\}(\eta) = 0\}$ . If we can show that  $l^\infty(B)|_{\mathcal{X}}$  is dense in  $C(\mathcal{X})$ , then by the local version of Bernard's theorem [3], there exists a compact neighborhood  $K_x$  of  $x$  for which  $B|_{K_x} = C(K_x)$ .

The space  $l^\infty(B(x))|_{\mathcal{X}}$  is a Banach space of continuous functions on  $\mathcal{X}$  in the quotient norm. Since  $\{b_0 + b_n\}|_{\mathcal{X}} = \{b_n\}|_{\mathcal{X}}$  for  $\{b_n\} \in l^\infty(B)$ , we have  $h \circ \{b_n\}|_{\mathcal{X}} \in (l^\infty(B(x))|_{\mathcal{X}})_M$  for all  $\{b_n\}|_{\mathcal{X}}$  in a dense subset of  $(l^\infty(B(x))|_{\mathcal{X}})_\varepsilon$ .

Put  $A = l^\infty(B(x))|_{\mathcal{X}}$  and  $Y = \mathcal{X} \setminus \overline{\mathbf{N} \times \{x\}}$ . We proved that  $h \circ a \in A_M$  for all  $a$  in some dense subset of  $A_\varepsilon$ . By an argument similar to one in the proof of the previous theorem, we see that if  $K$  is a compact subset of  $Y$ , then  $l^\infty(A)$  separates the points of  $\overline{\mathbf{N} \times K}$ .

Let us now turn to showing that  $l^\infty(B)|_{\mathcal{X}}$  is dense in  $C(\mathcal{X})$ . To do so it suffices to show that  $l^\infty(B(x))|_{\mathcal{X}}$  is dense in  $C_0(\mathcal{X})$ , the space of all continuous functions on  $\mathcal{X}$ , which vanish on  $\overline{\mathbf{N} \times \{x\}}$ . Let  $\mu$  be an annihilating measure for  $l^\infty(B(x))|_{\mathcal{X}}$  with no mass on  $\overline{\mathbf{N} \times \{x\}}$ . We

choose compact subsets  $\mathcal{K}_n$  of  $\mathcal{X} \setminus \overline{\mathbf{N} \times \{x\}}$  and functions  $f_n \in C_0(\mathcal{X})_1$  such that  $\int_{\mathcal{K}_n} f_n d\mu \rightarrow \|\mu\|$ .

Put  $\tilde{\mathcal{K}} = \overline{\cup\{n\} \times \mathcal{K}_n}$ . Now  $\tilde{\mathcal{K}}$  is contained in

$$\beta(\mathbf{N} \times \mathcal{X}) \setminus \overline{(\mathbf{N} \times (\mathbf{N} \times \{x\}))}$$

and thus there is an element  $\{b_n\} \in l^\infty(l^\infty(B(x))|\mathcal{X})$  such that  $\{b_n\}|_{\tilde{\mathcal{K}}} = \{f_n\}|_{\tilde{\mathcal{K}}}$  and hence  $b_n|_{\mathcal{K}_n} = f_n|_{\mathcal{K}_n}$  for all  $n$ . It follows that  $\int_{\mathcal{K}_n} b_n d\mu \rightarrow \|\mu\|$ . Since  $\mu$  annihilates each  $b_n$ , and since  $\{b_n\}$  is a bounded sequence, we conclude that  $\mu = 0$ . This finishes the proof of Theorem 3.  $\square$

## REFERENCES

1. A. Bernard, *Espaces des parties réelles des éléments d'une algèbre de Banach de fonctions*, J. Funct. Anal. **10** (1972), 387–409.
2. ———, *Une fonction non Lipschitzienne peut-elle opérer sur un espace de Banach de fonctions non trivial?*, J. Funct. Anal. **112** (1994), 451–477.
3. E. Briem, *Ultraseparating function spaces and operating functions for the real part of a function algebra*, Proc. Amer. Math. Soc. **111** (1991), 55–59.
4. ———, *Operating functions and ultraseparating function spaces*, Lecture Notes in Pure and Appl. Math. **136** (1991), 55–59.
5. ———, *Banach function spaces and operating functions*, unpublished.
6. A.J. Ellis, *Separation and ultraseparation properties for continuous function spaces*, J. London Math. Soc. (2) **29** (1984), 521–532.
7. O. Hatori, *Functions which operate on the real part of a uniform algebra*, Proc. Amer. Math. Soc. **83** (1981), 565–568.
8. ———, *Range transformations on a Banach function algebra II*, Pacific J. Math. **138** (1989), 89–118.
9. ———, *Separation properties and operating functions on a space of continuous function*, Internat. J. Math **4** (1993), 551–600.
10. K. de Leeuw and Y. Katznelson, *Functions that operate on non-selfadjoint algebras*, J. Analyse Math. **11** (1963), 207–219.
11. S.J. Sidney, *Functions which operate on the real part of a uniform algebra*, Pacific J. Math. **80** (1979), 265–272.
12. J. Wermer, *The space of real parts of a function algebra*, Pacific J. Math. **13** (1963), 1423–1426.

SCIENCE INSTITUTE, UNIVERSITY OF ICELAND, DUNHAGA 3, 107 REYKJAVIK,  
ICELAND

DEPARTMENT OF MATHEMATICS AND STATISTICS, SOUTHERN ILLINOIS UNIVERSITY  
AT EDWARDSVILLE, EDWARDSVILLE, IL 62026-1653