

## CHARACTERISTIC PAIRS ALONG THE RESOLUTION SEQUENCE

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**ABSTRACT.** Suppose that  $f$  is irreducible in a power series ring in two variables over an algebraically closed field  $k$  of characteristic 0. The characteristic pairs of  $f$  can be defined from a fractional power series expansion of a solution of  $f$ . The singularity of  $f$  can be resolved by a finite number of blow ups of points. This subject, which can be traced back to Newton, has been studied extensively. A few references are Abhyankar [1], Brieskorn and Knörrer [2], Campillo [3], Enriques and Chisini [4] and Zariski [7].

In Sections 1 and 2 we give an exposition of the basic results in the theory of Puiseux series. In Section 3 we give a formula for the characteristic pairs of the transform of  $f$  along the sequence of blow ups of points resolving the singularity. As a corollary, we obtain the classical theorem of Enriques and Chisini relating the multiplicity sequence of a resolution and the characteristic pairs of  $f$ , and we recover the classical result that the characteristic pairs are an invariant of  $f$ . We use an inversion formula of Abhyankar to obtain the results of this paper.

**1. The Puiseux series.** Let  $R$  be a power series ring in two variables over an algebraically closed field  $k$ . Then we have the following well-known theorem (see [2, pp. 405–406], [7, p. 7]).

**Theorem 1.1.** *Suppose that  $f \in R$  is irreducible and  $(x, y)$  are regular parameters for  $R$  such that the multiplicity  $\nu(f) = \nu(f(0, y))$ . Then a fractional power series exists (called a Puiseux series) of  $y$  in terms of  $x$ . The expansion has the form*

$$y = \sum_{i=1}^{l_1} \alpha_{1,i} x^i + b_1 x^{n_1/m_1}$$

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$$\begin{aligned}
 & + \sum_{i=1}^{l_2} \alpha_{2,i} x^{((n_1+i)/m_1)} + b_2 x^{(n_2/(m_1 m_2))} \\
 (1) \quad & + \cdots \\
 & + \sum_{i=1}^{l_g} \alpha_{g,i} x^{((n_{g-1}+i)/(m_1 \cdots m_{g-1}))} + b_g x^{(n_g/(m_1 \cdots m_g))} \\
 & + \sum_{i=1}^{\infty} c_i x^{((n_g+i)/(m_1 \cdots m_g))},
 \end{aligned}$$

where

$$\begin{aligned}
 & 1 < \frac{n_1}{m_1} < \frac{n_2}{m_1 m_2} < \cdots < \frac{n_g}{m_1 \cdots m_g}, \\
 & m_j > 1, \quad 1 \leq j \leq g, \\
 (2) \quad & (n_j, m_j) = 1, \quad 1 \leq j \leq g, \\
 & b_j \neq 0, \quad 1 \leq j \leq g, \\
 & l_j = \left[ \frac{n_j - n_{j-1} m_j}{m_j} \right], \quad 1 \leq j \leq g, \quad n_0 = 0 \\
 & m = m_1 m_2 \cdots m_g = \nu(f),
 \end{aligned}$$

where  $[t]$  represents the greatest integer function. Note that the  $\alpha_{j,i}$  and  $c_i$  can be 0. We define the characteristic pairs to be  $(m_i, n_i)$ ,  $1 \leq i \leq g$ .

We note that if the power series  $p(x^{1/m})$  is the Puiseux series (1), it can be shown that a unit  $\varphi \in R$  exists such that

$$(3) \quad f = \varphi \prod_{i=1}^m (y - p(\omega^i x^{1/m}))$$

where  $\omega$  is a primitive  $m$ th root of unity.

**2. An inversion theorem.** Suppose that  $h \in R$  is irreducible and  $(x, y)$  are regular parameters for  $R$ . Abhyankar [1] writes a fractional power series for  $y$  in terms of  $x$  in the form

$$\begin{aligned}
 & x = t^{\bar{m}} \\
 (4) \quad & y = \sum_{j=1}^{\bar{g}-1} \sum_{i=0}^{s_j} \bar{a}_{j,i} t^{(\bar{n}_j+i)} (\bar{m}_{j+1} \cdots \bar{m}_{\bar{g}}) + \sum_{i=1}^{\infty} \bar{a}_{\bar{g},i} t^{\bar{n}_{\bar{g}}+i}
 \end{aligned}$$

where

$$\begin{aligned}
 & \bar{g}, \bar{n}_1, \dots, \bar{n}_{\bar{g}}, \bar{m}_1, \dots, \bar{m}_{\bar{g}} \in \mathbf{N} \\
 & (\bar{m}_j, \bar{n}_j) = 1, \quad 1 \leq j \leq \bar{g} \\
 & \frac{\bar{n}_{j-1}}{\bar{m}_1 \cdots \bar{m}_{j-1}} < \frac{\bar{n}_j}{\bar{m}_1 \cdots \bar{m}_j}, \quad 1 < j \leq \bar{g} \\
 (5) \quad & s_j = \left[ \frac{\bar{n}_{j+1}}{\bar{m}_{j+1}} - \bar{n}_j \right], \quad 1 \leq j < \bar{g} \\
 & \bar{a}_{j,0} \neq 0, \quad 1 \leq j \leq \bar{g} \\
 & \bar{m}_j > 1, \quad 1 < j \leq \bar{g} \\
 & \bar{m} = \bar{m}_1 \cdots \bar{m}_{\bar{g}}
 \end{aligned}$$

Substituting  $t = x^{1/\bar{m}}$  in the expression for  $y$ , we get

$$(6) \quad y = \sum_{j=1}^{\bar{g}-1} \sum_{i=0}^{s_j} \bar{a}_{j,i} x^{((\bar{n}_j+i)/(\bar{m}_1 \cdots \bar{m}_j))} + \sum_{i=1}^{\infty} \bar{a}_{\bar{g},i} x^{((\bar{n}_{\bar{g}}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))}.$$

When  $(\bar{n}_1/\bar{m}_1) \geq 1$ , it is possible to compare (6) with the expression (1) for the series. We obtain the following

**Lemma 2.1.** *If  $\bar{m}_1 = 1$ , then*

$$\begin{aligned}
 m &= \bar{m} = \bar{m}_2 \cdots \bar{m}_{\bar{g}} \\
 g &= \bar{g} - 1 \\
 m_j &= \bar{m}_{j+1}, \quad 1 \leq j \leq g \\
 n_j &= \bar{n}_{j+1}, \quad 1 \leq j \leq g \\
 \Rightarrow (m_j, n_j) &= (\bar{m}_{j+1}, \bar{n}_{j+1}), \quad 1 \leq j \leq g \\
 l_j &= s_j, \quad 1 \leq j \leq g \\
 b_j &= a_{j+1,0}, \quad 1 \leq j \leq g.
 \end{aligned}$$

*If  $\bar{m}_1 > 1$ , then*

$$\begin{aligned}
 m &= \bar{m} = \bar{m}_1 \cdots \bar{m}_{\bar{g}} \\
 g &= \bar{g} \\
 m_j &= \bar{m}_j, \quad 1 \leq j \leq g
 \end{aligned}$$

$$\begin{aligned}
n_j &= \bar{n}_j, \quad 1 \leq j \leq g \\
\Rightarrow (m_j, n_j) &= (\bar{m}_j, \bar{n}_j), \quad 1 \leq j \leq g \\
l_{j+1} &= s_j, \quad 1 \leq j < g, \quad l_1 = 0 \\
b_j &= a_{j,0}, \quad 1 \leq j \leq g.
\end{aligned}$$

*Proof.* Case 1. If  $\bar{m}_1 = 1$ , then Abhyankar's series (6) is

$$\begin{aligned}
&\sum_{j=1}^{\bar{g}-1} \sum_{i=0}^{s_j} \bar{a}_{j,i} x^{((\bar{n}_j+i)/(\bar{m}_1 \cdots \bar{m}_j))} + \sum_{i=0}^{\infty} \bar{a}_{\bar{g},i} x^{((\bar{n}_{\bar{g}}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))} \\
&= \sum_{i=0}^{s_1} \bar{a}_{1,i} x^{((\bar{n}_1+i)/\bar{m}_1)} + \bar{a}_{2,0} x^{(\bar{n}_2/(\bar{m}_1 \bar{m}_2))} \\
&\quad + \sum_{i=1}^{s_2} \bar{a}_{2,i} x^{((\bar{n}_2+i)/(\bar{m}_1 \bar{m}_2))} + \bar{a}_{3,0} x^{(\bar{n}_3/(\bar{m}_1 \bar{m}_2 \bar{m}_3))} \\
&\quad + \cdots \\
&\quad + \sum_{i=1}^{s_{\bar{g}-1}} \bar{a}_{\bar{g}-1,i} x^{((\bar{n}_{\bar{g}-1}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}-1}))} + \bar{a}_{\bar{g},0} x^{(\bar{n}_{\bar{g}}/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))} \\
&\quad + \sum_{i=1}^{\infty} \bar{a}_{\bar{g},i} x^{((\bar{n}_{\bar{g}}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))}
\end{aligned}$$

which, since  $\bar{m}_1 = 1$ , we can write as

$$\begin{aligned}
y &= \sum_{i=0}^{s_1} \bar{a}_{1,i} x^{\bar{n}_1+i} + \bar{a}_{2,0} x^{(\bar{n}_2/\bar{m}_2)} \\
&\quad + \sum_{i=1}^{s_2} \bar{a}_{2,i} x^{((\bar{n}_2+i)/\bar{m}_2)} + \bar{a}_{3,0} x^{(\bar{n}_3/(\bar{m}_2 \bar{m}_3))} \\
&\quad + \cdots \\
&\quad + \sum_{i=1}^{s_{\bar{g}-1}} \bar{a}_{\bar{g}-1,i} x^{((\bar{n}_{\bar{g}-1}+i)/(\bar{m}_2 \cdots \bar{m}_{\bar{g}-1}))} + \bar{a}_{\bar{g},0} x^{(\bar{n}_{\bar{g}}/(\bar{m}_2 \cdots \bar{m}_{\bar{g}}))} \\
&\quad + \sum_{i=1}^{\infty} \bar{a}_{\bar{g},i} x^{((\bar{n}_{\bar{g}}+i)/(\bar{m}_2 \cdots \bar{m}_{\bar{g}}))},
\end{aligned}$$

which is exactly the classical Puiseux series (1) with

$$\begin{aligned} m &= \bar{m} = \bar{m}_2 \cdots \bar{m}_{\bar{g}} \\ g &= \bar{g} - 1 \\ m_j &= \bar{m}_{j+1}, \quad 1 \leq j \leq g \\ n_j &= \bar{n}_{j+1}, \quad 1 \leq j \leq g \\ l_j &= s_j, \quad 1 \leq j \leq g \\ b_j &= \bar{a}_{j+1,0}, \quad 1 \leq j \leq g. \end{aligned}$$

Hence,  $(m_j, n_j) = (\bar{m}_{j+1}, \bar{n}_{j+1})$  for all  $1 \leq j \leq g$  as claimed.

*Case 2.* If  $\bar{m}_1 > 1$ , then Abhyankar's series (6) has the form

$$\begin{aligned} &\sum_{j=1}^{\bar{g}-1} \sum_{i=0}^{s_j} \bar{a}_{j,i} x^{((\bar{n}_j+i)/(\bar{m}_1 \cdots \bar{m}_j))} + \sum_{i=0}^{\infty} \bar{a}_{\bar{g},i} x^{((\bar{n}_{\bar{g}}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))} \\ &= \bar{a}_{1,0} x^{\bar{n}_1/\bar{m}_1} \\ &\quad + \sum_{i=1}^{s_1} \bar{a}_{1,i} x^{((\bar{n}_1+i)/\bar{m}_1)} + \bar{a}_{2,0} x^{(\bar{n}_2/(\bar{m}_1 \bar{m}_2))} \\ &\quad + \sum_{i=1}^{s_2} \bar{a}_{2,i} x^{((\bar{n}_2+i)/(\bar{m}_1 \bar{m}_2))} + \bar{a}_{3,0} x^{(\bar{n}_3/(\bar{m}_1 \bar{m}_2 \bar{m}_3))} \\ &\quad + \cdots \\ &\quad + \sum_{i=1}^{s_{\bar{g}-1}} \bar{a}_{\bar{g}-1,i} x^{((\bar{n}_{\bar{g}-1}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}-1}))} + \bar{a}_{\bar{g},0} x^{(\bar{n}_{\bar{g}}/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))} \\ &\quad + \sum_{i=1}^{\infty} \bar{a}_{\bar{g},i} x^{((\bar{n}_{\bar{g}}+i)/(\bar{m}_1 \cdots \bar{m}_{\bar{g}}))}. \end{aligned}$$

This is the classical Puiseux series (1) with  $\alpha_{1,i} = 0$  for  $1 \leq i \leq l_1$ . Comparing terms, we have

$$\begin{aligned} m &= \bar{m} = \bar{m}_1 \cdots \bar{m}_{\bar{g}} \\ g &= \bar{g} \\ m_j &= \bar{m}_j, \quad 1 \leq j \leq g \\ n_j &= \bar{n}_j, \quad 1 \leq j \leq g \\ l_{j+1} &= s_j, \quad 1 \leq j < g, l_1 = 0 \\ b_j &= \bar{a}_{j,0}, \quad 1 \leq j \leq g, \end{aligned}$$

giving  $(m_j, n_j) = (\bar{m}_j, \bar{n}_j)$  for all  $1 \leq j \leq g$ .  $\square$

For a fractional power series of the form (6) we define  $g(y, x) = g$ ,  $m_j(y, x) = m_j$  and  $n_j(y, x) = n_j$  for  $1 \leq j \leq g$ . Abhyankar [1, Theorem 1] proves the following inversion theorem.

**Theorem 2.2** (Abhyankar). *Given a fractional power series of the form (6), we can express the inversion of this series using  $g(x, y) = g$ ,  $n_1(x, y) = m_1$ ,  $m_1(x, y) = n_1$ ,  $n_j(x, y) = n_j - (n_1 - m_1)m_2 \cdots m_j$  for  $1 < j \leq g$  and  $m_j(x, y) = m_j$  for  $1 < j \leq g$ .*

**3. The characteristic pairs.** Let  $R$  be a power series ring in two variables over an algebraically closed field  $k$  of characteristic 0. A *quadratic transform* of  $R$ ,  $R \rightarrow R_1$ , is defined as follows. Let  $(x, y)$  be regular parameters in  $R$ ,  $x = x_1$ ,  $y = x_1 y_1$ . Set  $R_1 = k[[x_1, y_1]]$ .

Suppose that  $f \in R$  is irreducible of multiplicity  $\nu(f) = r$  and  $R \rightarrow R_1$  is a quadratic transform. Then  $f = x_1^r f_1$  in  $R_1$  where  $x_1 \nmid f_1$ . There is a unique quadratic transform  $R \rightarrow R_1$  such that  $f_1$  is not a unit in  $R_1$ . The multiplicity  $\nu(f_1) \leq r$ . We call  $x_1$  the *exceptional divisor* of  $R \rightarrow R_1$ , and we call  $f_1$  the *strict transform* of  $f$  in  $R_1$ .

After a finite sequence of quadratic transforms, the strict transform of  $f$  becomes nonsingular (it has multiplicity 1).

There is a unique sequence of quadratic transforms

$$(7) \quad R \longrightarrow R_1 \longrightarrow \cdots \longrightarrow R_n$$

such that the strict transform of  $f$  in  $R_n$  has multiplicity 1 and  $fR_n = (x_n^a y_n^b)$  where  $(x_n, y_n)$  are regular parameters in  $R_n$  ( $fR_n$  has *simple normal crossings*), and for  $m < n$ ,  $fR_m$  does not have simple normal crossings. This is proved in [2] or [6] and will follow from Theorem 3.1. We will call (7) the *resolution sequence* of  $f$ .

Using the notation of (1), define  $r_{1,1} = m$ ,  $k_0 = 0$  and  $k_j = n_j m_{j+1} \cdots m_g$  for  $1 \leq j \leq g$ . We consider the following chain of  $g$

Euclidean algorithms:

$$\begin{aligned}
 k_j - k_{j-1} &= \mu_{j,1} r_{j,1} + r_{j,2} \\
 r_{j,1} &= \mu_{j,2} r_{j,2} + r_{j,3} \\
 &\vdots \\
 r_{j,w(j)-1} &= \mu_{j,w(j)} r_{j,w(j)}
 \end{aligned}
 \tag{8}$$

where  $1 \leq j \leq g$ , with  $0 \leq r_{j,q+1} < r_{j,q}$ , and we define  $r_{j,1} = r_{j-1,w(j-1)}$  for  $1 < j \leq g$ .

In (8) we have

1.  $\gcd(k_j - k_{j-1}, r_{j,1}) = r_{j,w(j)} = m_{j+1} \cdots m_g$  for  $1 \leq j \leq g$ , note that  $r_{g,w(g)} = 1$ ,
2.  $\mu_{1,1} > 0$  but  $\mu_{j,1}$  can be zero for  $j > 1$ ,
3.  $r_{j,2} > 0$  for all  $j$ .

As convention we use

$$\prod_{i=n+1}^n \beta_i = 1 \quad \text{and} \quad \sum_{i=1}^0 \alpha_i = 0.$$

**Theorem 3.1.** *Let  $f \in R$  be irreducible and  $R \rightarrow R_1 \rightarrow R_2 \rightarrow \cdots \rightarrow R_n$  be the resolution sequence of  $f$ . Let  $f_k$  be the strict transform of  $f$  in  $R_k$ , let  $g(k)$  be the genus of  $f_k$  and  $(m_1(k), n_1(k)), \dots, (m_{g(k)}(k), n_{g(k)}(k))$  be the characteristic pairs of the Puiseux expansion of  $f_k$ . We have the following*

1. *If  $(\sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i}) \leq k < (\sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i}) + \mu_{j,1}$  with  $1 \leq j \leq g$ , set  $l = k - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i}$ . Then*

$$\begin{aligned}
 g(k) &= g - j + 1 \\
 m_1(k) &= \frac{r_{j,1}}{m_{j+1} \cdots m_g} = m_j \\
 m_i(k) &= m_{i+j-1}, \quad 1 < i \leq g - j + 1 \\
 n_i(k) &= \frac{k_{i+j-1} - k_{j-1} - lr_{j,1}}{m_{i+j} \cdots m_g}, \quad 1 \leq i \leq g - j + 1 \\
 m(k) &= m_j \cdots m_g = r_{j,1}.
 \end{aligned}
 \tag{9}$$

2. If  $\sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{q-1} \mu_{j,i} \leq k < \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^q \mu_{j,i}$  with  $1 \leq j \leq g$ ,  $2 \leq q \leq w(j) - 1$ , set  $l = k - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{q-1} \mu_{j,i}$ . Then

$$\begin{aligned}
 g(k) &= g - j + 1 \\
 m_1(k) &= \frac{r_{j,q}}{m_{j+1} \cdots m_g} \\
 (10) \quad m_i(k) &= m_{i+j-1}, \quad 1 < i \leq g - j + 1 \\
 n_i(k) &= \frac{k_{i+j-1} - k_j + r_{j,q-1} - lr_{j,q}}{m_{i+j} \cdots m_g}, \quad 1 \leq i \leq g - j + 1 \\
 m(k) &= r_{j,q}.
 \end{aligned}$$

3. If  $\sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)-1} \mu_{j,i} \leq k < \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)} \mu_{j,i}$  with  $1 \leq j \leq g - 1$ , set  $l = k - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{w(j)-1} \mu_{j,i}$ . Then

$$\begin{aligned}
 g(k) &= g - j \\
 m_1(k) &= \frac{r_{j,w(j)}}{m_{j+2} \cdots m_g} = m_{j+1} \\
 (11) \quad m_i(k) &= m_{i+j}, \quad 1 \leq i \leq g - j \\
 n_i(k) &= \frac{k_{i+j} - k_j + r_{j,w(j)-1} - lr_{j,w(j)}}{m_{i+j+1} \cdots m_g}, \quad 1 \leq i \leq g - j, \\
 m(k) &= r_{j,w(j)} = m_{j+1} \cdots m_g.
 \end{aligned}$$

4. If  $\sum_{h=1}^{g-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(g)-1} \mu_{g,i} \leq k$ , then  $g(k) = 0$ .

We will find coordinates  $(x_k, y_k)$  in  $R_k$  such that  $f_k$  has a Puiseux



series expansion  $y_k(x_k^{(1/m(k))})$ :

$$\begin{aligned}
 y_k &= \sum_{i=1}^{l_1(k)} \alpha_{1,i}(k) x_k^i + b_1(k) x_k^{(n_1(k)/m_1(k))} \\
 &+ \sum_{i=1}^{l_2(k)} \alpha_{2,i}(k) x_k^{((n_1(k)+i)/m_1(k))} + b_2(k) x_k^{(n_2(k)/(m_1(k)m_2(k)))} \\
 &+ \dots \\
 &+ \sum_{i=1}^{l_{g(k)}(k)} \alpha_{g(k),i}(k) x_k^{((n_{g-1}(k)+i)/(m_1(k)\dots m_{g(k)-1}(k)))} \\
 &+ b_{g(k)}(k) x_k^{(n_g(k)/(m_1(k)\dots m_{g(k)}(k)))} \\
 &+ \sum_{i=1}^{\infty} c_i(k) x_k^{((n_g(k)+i)/(m_1(k)\dots m_{g(k)}(k)))}.
 \end{aligned}$$

Before proving the theorem we state and prove

**Lemma 3.2.** *Suppose that  $f_k$  has the Puiseux expansion  $y_k(x_k)$ .*

1. *Suppose that  $2 \leq (n_1(k)/m_1(k))$ . Set  $x_{k+1} = x_k$ ,  $y_{k+1} = (y_k/x_k) - \alpha_{1,1}(k)$ . Then  $f_{k+1}$  has the Puiseux expansion  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  with*

$$\begin{aligned}
 (12) \quad &g(k+1) = g(k), \\
 &m_i(k+1) = m_i(k), \quad 1 \leq i \leq g(k), \\
 &n_i(k+1) = n_i(k) - m_1(k) \dots m_i(k), \quad 1 \leq i \leq g(k).
 \end{aligned}$$

2. *Suppose that  $1 < (n_1(k)/m_1(k)) < 2$  and  $n_1(k) - m_1(k) > 1$ . (Note that this forces  $l_1(k) = 0$ .) Set  $x_{k+1} = (y_k/x_k)$ ,  $y_{k+1} = x_k$ . Then  $f_{k+1}$  has the Puiseux expansion  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  with*

$$\begin{aligned}
 (13) \quad &g(k+1) = g(k), \\
 &m_1(k+1) = n_1(k) - m_1(k), \\
 &m_i(k+1) = m_i(k), \quad 1 < i \leq g(k), \\
 &n_1(k+1) = m_1(k), \\
 &n_i(k+1) = n_i(k) + m_1(k) \dots m_i(k) - n_1(k) m_2(k) \dots m_i(k), \\
 &\quad 1 < i \leq g(k+1).
 \end{aligned}$$

3. Suppose that  $1 < (n_1(k)/m_1(k)) < 2$  and that  $n_1(k) - m_1(k) = 1$ . (This also forces  $l_1(k) = 0$ ). Then  $f_{k+1}$  has the Puiseux series expansion  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  with

$$(14) \quad \begin{aligned} g(k+1) &= g(k) - 1, \\ m_i(k+1) &= m_{i+1}(k), \quad 1 \leq i \leq g(k+1), \\ n_i(k+1) &= n_{i+1}(k) + m_1(k) \cdots m_{i+1}(k) \\ &\quad - n_1(k)m_2(k) \cdots m_{i+1}(k). \end{aligned}$$

*Proof.* Suppose we are in Case 1. Then a blow up of  $f_k$  at 0 gives the fractional power series expansion for  $y_{k+1}$  as

$$\begin{aligned} y_{k+1} &= \sum_{i=2}^{l_1(k)} \alpha_{1,i}(k) x_{k+1}^i + b_1(k) x_{k+1}^{((n_1(k)-m_1(k))/m_1(k))} \\ &\quad + \sum_{i=1}^{l_2(k)} \alpha_{2,i}(k) x_{k+1}^{((n_1(k)-m_1(k)+i)/m_1(k))} \\ &\quad + b_2(k) x_{k+1}^{((n_2(k)-m_1(k)m_2(k))/(m_1(k)m_2(k)))} \\ &\quad + \cdots \\ &\quad + \sum_{i=1}^{l_{g(k)}(k)} \alpha_{g(k),i}(k) x_{k+1}^{((n_{g-1}(k)-m_1(k) \cdots m_{g(k)}(k)+i)/(m_1(k) \cdots m_{g(k)-1}(k)))} \\ &\quad + b_{g(k)}(k) x_{k+1}^{((n_g(k)-m_1(k) \cdots m_{g(k)}(k))/(m_1(k) \cdots m_{g(k)}(k)))} \\ &\quad + \sum_{i=1}^{\infty} c_i(k) x_{k+1}^{((n_g(k)-m_1(k) \cdots m_{g(k)}(k)+i)/(m_1(k) \cdots m_{g(k)}(k)))}. \end{aligned}$$

We will show that this  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  is a Puiseux series.

Recalling (3), we can write

$$f_k = \varphi_k \prod_{r=1}^{m(k)} (y_k - y_k(\omega^r x_k^{(1/m(k))}))$$

for some unit  $\varphi_k$  in  $R_k$  and where  $\omega$  is a primitive  $m(k)$ th root of unity. Making the change of variables  $x_{k+1} = x_k$ ,  $y_{k+1} = (y_k/x_k) - \alpha_{1,1}(k)$

and solving the second of these for  $y_k$ , we get  $y_k = x_k(y_{k+1} + \alpha_{1,1}(k))$ . Substituting for  $y_k$ , we have

$$f_k = \varphi_k \prod_{r=1}^{m(k)} ((x_k(y_{k+1} + \alpha_{1,1}(k)) - x_k(y_{k+1}(\omega^r x_{k+1}^{(1/m(k))}) + \alpha_{1,1}(k))).$$

Then, substituting for  $x_k$ , we get

$$\begin{aligned} f_k &= \varphi_k \prod_{r=1}^{m(k)} ((x_{k+1}(y_{k+1} + \alpha_{1,1}(k)) \\ &\quad - x_{k+1}(y_{k+1}(\omega^r x_{k+1}^{(1/m(k))}) + \alpha_{1,1}(k)))) \\ &= \varphi_k x_{k+1}^{m(k)} \prod_{r=1}^{m(k)} (y_{k+1} - y_{k+1}(\omega^r x_{k+1}^{(1/m(k))})). \end{aligned}$$

Thus,  $f_{k+1} = \varphi_k \prod_{r=1}^{m(k)} (y_{k+1} - y_{k+1}(\omega^r x_{k+1}^{(1/m(k))}))$  is  $y$ -general of order  $m(k)$  and  $y_{k+1}(x_{k+1})$  is a fractional power series of  $f_{k+1}$ . Further, we note that

$$\frac{n_1(k) - m_1(k)}{m_1(k)} \geq 1$$

and

$$\begin{aligned} \frac{n_{i+1}(k) - m_1(k) \cdots m_{i+1}(k)}{m_1(k) \cdots m_{i+1}(k)} &= \frac{n_{i+1}(k)}{m_1(k) \cdots m_{i+1}(k)} - 1 \\ &\geq \frac{n_i(k)}{m_1(k) \cdots m_i(k)} - 1 \\ &= \frac{n_i(k) - m_1(k) \cdots m_i(k)}{m_1(k) \cdots m_i(k)} \end{aligned}$$

for all  $1 \leq i \leq g - 1$  and

$$(n_i(k) - m_1(k) \cdots m_i(k), m_i(k)) = (n_i(k), m_i(k)) = 1$$

for all  $i$ . Thus, (2) is satisfied and we see that  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  is a Puiseux series.

Now suppose we are in Case 2 or Case 3, then  $f_{k+1}$  has a fractional power series in the coordinates  $\tilde{x}_{k+1} = x_k$ ,  $\tilde{y}_{k+1} = (y_k/x_k)$ :

$$\begin{aligned} &\tilde{y}_{k+1} \\ &= b_1(k) \tilde{x}_{k+1}^{((n_1(k)-m_1(k))/m_1(k))} \\ &\quad + \sum_{i=1}^{l_2(k)} \alpha_{2,i}(k) \tilde{x}_{k+1}^{((n_1(k)-m_1(k)+i)/m_1(k))} \\ &\quad + b_2(k) \tilde{x}_{k+1}^{((n_2(k)-m_1(k)m_2(k)+i)/(m_1(k)m_2(k)))} \\ &\quad + \dots \\ &\quad + \sum_{i=1}^{l_{g(k)}(k)} \alpha_{g(k),i}(k) \tilde{x}_{k+1}^{((n_{g(k)-1}(k)-m_1(k)\dots m_{g(k)-1}(k)+i)/(m_1(k)\dots m_{g(k)-1}(k)))} \\ &\quad + b_{g(k)}(k) \tilde{x}_{k+1}^{((n_{g(k)}(k)-m_1(k)\dots m_{g(k)}(k))/(m_1(k)\dots m_{g(k)}(k)))} \\ &\quad + \sum_{i=1}^{\infty} c_i \tilde{x}_{k+1}^{((n_{g(k)}(k)-m_1(k)\dots m_{g(k)}(k)+i)/(m_1(k)\dots m_{g(k)}(k)))}. \end{aligned}$$

In the notation of (5) we have, for the series  $\tilde{y}_{k+1}(\tilde{x}_{k+1}^{(1/m(k))})$ ,  $\tilde{m}_i = m_i(k)$ ,  $1 \leq i \leq g(k)$  and  $\tilde{n}_i = n_i(k) - m_1(k) \dots m_i(k)$ ,  $1 \leq i \leq g(k)$ . By hypothesis, this expansion has  $(\tilde{n}_1/\tilde{m}_1) = ((n_1(k) - m_1(k))/m_1(k)) < 1$ , so we must perform the inversion  $x_{k+1} = \tilde{y}_{k+1}$ ,  $y_{k+1} = \tilde{x}_{k+1}$  to construct the Puiseux series.

There are two possibilities. Firstly, suppose that  $n_1(k) - m_1(k) > 1$ . Then we are in Case 1. By Abhyankar’s inversion theorem  $y_{k+1}(x_{k+1}^{(1/m(k))})$  is a Puiseux series with

$$\begin{aligned} (15) \quad &g(k+1) = g(k) \\ &m_1(k+1) = n_1(k) - m_1(k) \\ &m_i(k+1) = m_i(k) \\ &n_1(k+1) = m_1(k) \\ &n_i(k+1) = n_i(k) + m_1(k) \dots m_i(k) - n_1(k)m_2(k) \dots m_i(k), \\ &1 < i \leq g(k+1). \end{aligned}$$

Let  $f_{k+1}$  be the strict transform of  $f_k$  in  $R_k \cong k[[x_{k+1}, y_{k+1}]]$ . We have

$$R_{k+1}/(f_{k+1}) \hookrightarrow T \cong k[[t]]$$

where in  $T$  the relations (15) and (4) hold. Set

$$\Lambda = \prod_{r=1}^{m(k+1)} (y_{k+1} - y_{k+1}(\omega^r x_{k+1}^{(1/m(k+1))}))$$

where  $\omega$  is a primitive root  $m(k+1)$ th root of unity. Now  $\Lambda = 0$  in  $T$  and  $\Lambda \in R_{k+1}$ . We note that  $\Lambda$  is irreducible in  $R_{k+1}$  since its irreducible factors in  $R_{k+1}[x_{k+1}^{(1/m(k+1))}]$  are the terms  $y_{k+1} - y_{k+1}(\omega^r x_{k+1}^{(1/m(k+1))})$ , and the only product of these terms which is invariant under the action  $x^{(1/m(k+1))} \mapsto \omega x^{(1/m(k+1))}$  is  $\Lambda$ .

Hence,  $(\Lambda) = (f_{k+1})$ ,  $f_{k+1}$  is  $y$ -general of order  $m(k+1)$  and  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  is a Puiseux expansion of  $f_{k+1}$ .

Secondly, suppose that  $n_1(k) - m_1(k) = 1$ . Then we are in Case 2. By Abhyankar's inversion theorem,  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  is a Puiseux series with

$$\begin{aligned} g(k+1) &= g(k) - 1 \\ m_i(k+1) &= m_{i+1}(k) \quad 1 \leq i \leq g(k+1) \\ n_i(k+1) &= n_{i+1}(k) + m_1(k) \cdots m_{i+1}(k) - n_1(k)m_2(k) \cdots m_{i+1}(k) \\ &\quad 1 \leq i \leq g(k+1). \end{aligned}$$

As in the previous case,  $y_{k+1}(x_{k+1}^{(1/m(k+1))})$  is a Puiseux expansion of  $f_{k+1}$ , the strict transform of  $f_k$  in  $R_{k+1}$ .  $\square$

We now offer an inductive proof of Theorem 3.1.

*Proof.* Suppose that  $k = 0$ . We have  $\mu_{1,1} > 0$ , so we are in Case 1 with  $j = 1, l = 0, g(0) = g, m_1(0) = (r_{1,1}/(m_2 \cdots m_g)) = m_1, m_i(0) = m_i$  for  $1 < i \leq g-j+1, n_i(k) = (k_i/(m_{i+1} \cdots m_g)) = n_i$  for  $1 \leq i \leq g-j+1$  in agreement with the formula.

Now suppose that the theorem is true for  $k = n$ . We will verify the theorem for  $k = n + 1$ . There are six cases to consider:

$$C1 \quad \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} \leq n = \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \mu_{j,1} - 1 \quad 1 \leq j \leq g$$

$$\begin{aligned}
\text{C2} \quad & \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} \leq n < \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \mu_{j,1} - 1 \quad 1 \leq j \leq g \\
\text{C3} \quad & \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{q-1} \mu_{j,i} \leq n = \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^q \mu_{j,i} - 1 \\
& 1 \leq j \leq g, \quad 2 \leq q \leq w(j) - 1 \\
\text{C4} \quad & \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{q-1} \mu_{j,i} \leq n < \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^q \mu_{j,i} - 1 \\
& 1 \leq j \leq g, \quad 2 \leq q \leq w(j) - 1 \\
\text{C5} \quad & \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)-1} \mu_{j,i} \leq n = \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)} \mu_{j,i} - 1 \\
& 1 \leq j \leq g - 1 \\
\text{C6} \quad & \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)-1} \mu_{j,i} \leq n < \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)} \mu_{j,i} - 1. \\
& 1 \leq j \leq g - 1
\end{aligned}$$

Suppose we are in Case C1. Then  $n + 1 = \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \mu_{j,1}$ . There are three subcases to consider.

C1.1.  $2 < w(j)$ . Then  $n + 1$  is in Case 2 of the statement of the theorem (with  $q = 2$  and  $l = 0$ ).

C1.2.  $2 = w(j)$ ,  $j \leq g - 1$ . Then  $n + 1$  is in Case 3 of the statement of the theorem (with  $l = 0$ ).

C1.3.  $2 = w(j)$ ,  $j = g$ . Then  $n + 1$  is in Case 4 of the statement of the theorem.

We begin with subcase C1.1. Here  $n$  is in Case 1 of the statement of the theorem. So, by the inductive hypothesis and (9) we have

$$\begin{aligned}
\frac{n_1(n)}{m_1(n)} &= \frac{k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1}}{m_{j+1} \cdots m_g} \cdot \frac{m_{j+1} \cdots m_g}{r_{j,1}} \\
&= \frac{k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1}}{r_{j,1}}
\end{aligned}$$

$$= \frac{r_{j,1} + (k_j - k_{j-1} - \mu_{j,1} r_{j,1})}{r_{j,1}}.$$

Applying the identities from the Euclidean algorithms (8) we get

$$\frac{n_1(n)}{m_1(n)} = \frac{r_{j,1} + r_{j,2}}{r_{j,1}} < 2$$

since  $r_{j,2} < r_{j,1}$ . Similarly,

$$\begin{aligned} n_1(n) - m_1(n) &= \frac{k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1} - r_{j,1}}{m_{j+1} \cdots m_g} \\ &= \frac{k_j - k_{j-1} - \mu_{j,1} r_{j,1}}{m_{j+1} \cdots m_g} \\ &= \frac{r_{j,2}}{r_{j,w(j)}} \\ &> 1, \end{aligned}$$

since  $r_{j,w(j)} \mid r_{j,2}$  and  $2 < w(j)$ . We are thus in Case 2 of Lemma 3.2. So we have, after applying (13) and (9),

$$\begin{aligned} g(n+1) &= g(n) = g - j + 1 \\ m_1(n+1) &= n_1(n) - m_1(n) \\ &= \frac{k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1} - r_{j,1}}{m_{j+1} \cdots m_g} \\ &= \frac{r_{j,2}}{m_{j+1} \cdots m_g} \\ m_i(n+1) &= m_i(n) = m_{i+j-1}, \quad 1 < i \leq g - j + 1 \\ n_1(n+1) &= m_1(n) = \frac{r_{j,1}}{m_{j+1} \cdots m_g} \\ n_i(n+1) &= n_i(n) + m_1(n) \cdots m_i(n) - n_1(n) m_2(n) \cdots m_i(n) \\ &= \frac{(k_{i+j-1} - k_{j-1} - (\mu_{j,1} - 1) r_{j,1}) + r_{j,1} - (k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1})}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j-1} - k_{j-1} + r_{j,1}}{m_{i+j} \cdots m_g}, \quad 1 < i \leq g - j + 1, \end{aligned}$$

which is in agreement with the conclusion of the theorem.

Now consider subcase C1.2. Here we have  $n$  in Case 1 of the statement of the theorem. Therefore,

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1}}{r_{j,1}} \\ &= \frac{r_{j,1} + r_{j,2}}{r_{j,1}} \\ &< 2 \end{aligned}$$

and

$$n_1(n) - m_1(n) = \frac{r_{j,2}}{r_{j,w(j)}} = 1,$$

so we are in Case 3 of Lemma 3.2. Accordingly, we apply (14) and (9) to get

$$\begin{aligned} g(n+1) &= g(n) - 1 = g - j \\ m_i(n+1) &= m_{i+1}(n) = m_{i+j}, \quad 1 \leq i \leq g - j \\ n_i(n+1) &= n_{i+1}(n) + m_i(n) \cdots m_{i+1}(n) - n_1(n) m_2(n) \cdots m_{i+1}(n) \\ &= \frac{(k_{i+j} - k_{j-1} - (\mu_{j,1} - 1) r_{j,1}) + r_{j,1} - (k_j - k_{j-1} - (\mu_{j,1} - 1) r_{j,1})}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j} - k_j + r_{j,1}}{m_{i+j} \cdots m_g}, \quad 1 \leq i \leq g - j, \end{aligned}$$

again, in agreement with the conclusion of the theorem.

Next we consider subcase C1.3. In this case  $n$  is again in Case 1 of the statement of the theorem so we can apply (9) to get

$$\frac{n_1(n)}{m_1(n)} = \frac{r_{j,1} + r_{j,2}}{r_{j,1}} < 2$$

and

$$n_1(n) - m_1(n) = \frac{r_{j,2}}{r_{j,w(j)}} = 1$$

which implies we are again in Case 3 of Lemma 3.2. Therefore, (14) and (9) imply  $g(n+1) = g(n) - 1 = (g - j + 1) - 1 = 1 - 1 = 0$  as claimed in the theorem.



Suppose we have Case C2. Then  $n + 1$  is in Case 1 of the statement of the theorem. This puts  $n$  in Case 1 of the statement of the theorem. Thus, by (9), we have

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{k_j - k_{j-1} - lr_{j,1}}{m_{j+1} \cdots m_g} \cdot \frac{m_{j+1} \cdots m_g}{r_{j,1}} \\ &= \frac{\mu_{j,1}r_{j,1} - (n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i})r_{j,1}}{r_{j,1}} \\ &\geq \frac{2r_{j,1}}{r_{j,1}} = 2 \end{aligned}$$

which is in Case 1 of Lemma 3.2. Applying (12) and (9) we will have

$$\begin{aligned} g(n+1) &= g(n) = g - j + 1 \\ m_1(n+1) &= m_1(n) = \frac{r_{j,1}}{m_{j+1} \cdots m_g} \\ m_i(n+1) &= m_i(n) = m_{i+j-1}, \quad 1 < i \leq g - j + 1 \\ n_i(n+1) &= n_i(n) - m_1(n) \cdots m_i(n) \\ &= \frac{k_{i+j-1} - k_{j-1} - (n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i})r_{j,1} - r_{j,1}}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j-1} - k_{j-1} - ((n+1) - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i})r_{j,1}}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j-1} - k_{j-1} - lr_{j,1}}{m_{i+j} \cdots m_g} \end{aligned}$$

as desired.

Now we consider case C3. Here we have

$$n + 1 = \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{q(n)} \mu_{j,i}$$

with  $1 \leq j \leq g$  and  $2 \leq q(n) \leq w(j) - 1$ . There are three subcases.

C3.1.  $q(n) + 1 \leq w(j) - 1$ . Then  $n + 1$  is in Case 2 of Theorem 3.1 with  $q(n+1) = q(n) + 1$  and  $l = 0$ .

C3.2.  $q(n) = w(j) - 1$ ,  $j \leq g - 1$ . Then  $n + 1$  is in Case 3 of Theorem 3.1 with  $l = 0$ .

C3.3.  $q(n) = w(j) - 1$ ,  $j = g$ .

Suppose we are in subcase C3.1. Then we have that  $n$  is in Case 2 of the statement of the theorem, so by (10):

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{r_{j,q(n)-1} - (\mu_{j,q(n)} - 1)r_{j,q(n)}}{m_{j+1} \cdots m_g} \cdot \frac{m_{j+1} \cdots m_g}{r_{j,q(n)}} \\ &= \frac{r_{j,q(n)} + r_{j,q(n)+1}}{r_{j,q(n)}} \\ &< 2 \end{aligned}$$

and

$$n_1(n) - m_1(n) = \frac{r_{j,q(n)+1}}{m_{j+1} \cdots m_g} = \frac{r_{j,q(n)+1}}{r_{j,w(j)}} > 1$$

since  $r_{j,w(j)} \mid r_{j,q(n)+1}$  and  $w(j) > q(n) + 1$ . This places us in Case 2 of Lemma 3.2. We use (13) and (10) to conclude

$$\begin{aligned} g(n+1) &= g(n) = g - j + 1 \\ m_1(n+1) &= n_1(n) - m_1(n) \\ &= \frac{r_{j,q(n)-1} - (\mu_{j,q(n)} - 1)r_{j,q(n)} - r_{j,q(n)}}{m_{j+1} \cdots m_g} \\ &= \frac{r_{j,q(n)+1}}{m_{j+1} \cdots m_g} \\ &= \frac{r_{j,q(n+1)}}{m_{j+1} \cdots m_g} \\ m_i(n+1) &= m_i(n) = m_{i+j-1}, \quad 1 < i \leq g - j + 1 \\ n_1(n+1) &= m_1(n) = \frac{r_{j,q(n)}}{m_{j+1} \cdots m_g} \\ &= \frac{r_{j,q(n)+1} - 1}{m_{j+1} \cdots m_g} \\ n_i(n+1) &= n_i(n) + m_1(n) \cdots m_i(n) - n_1(n)m_2(n) \cdots m_i(n) \\ &= \frac{k_{i+j-1} - k_j + r_{j,q(n)-1} - (\mu_{j,q(n)} - 1)r_{j,q(n)}}{m_{j+1} \cdots m_g} \\ &\quad + \frac{r_{j,q(n)} - (r_{j,q(n)-1} - (\mu_{j,q(n)} - 1)r_{j,q(n)})}{m_{j+1} \cdots m_g} \end{aligned}$$

$$\begin{aligned} &= \frac{k_{i+j-1} - k_j + r_{j,q(n)}}{m_{j+1} \cdots m_g} \\ &= \frac{k_{i+j-1} - k_j + r_{j,q(n+1)-1}}{m_{j+1} \cdots m_g}, \quad 1 < i \leq g - j + 1, \end{aligned}$$

as desired.

Now consider subcase C3.2. In this case we have that  $n$  is in Case 2 of the statement of the theorem. From (10) we get:

$$1 < \frac{n_1(n)}{m_1(n)} = \frac{r_{j,q} + r_{j,q+1}}{r_{j,q}} < 2$$

as in C3.1 above. Also

$$n_1(n) - m_1(n) = \frac{r_{j,q(n)+1}}{r_{j,w(j)}} = 1$$

since  $q(n) + 1 = w(j)$ . This places us in Case 3 of Lemma 3.2. Hence we invoke (14) and (10) to write

$$\begin{aligned} g(n+1) &= g(n) - 1 = g - j \\ m_i(n+1) &= m_{i+1}(n) = m_{i+j}, \quad 1 \leq i \leq g - j \\ n_i(n+1) &= n_{i+1}(n) + m_1(n) \cdots m_{i+1}(n) \\ &\quad - n_1(n)m_2(n) \cdots m_{i+1}(n) \\ &= \frac{k_{i+j} - k_j + r_{j,q-1} - (\mu_{j,q} - 1)r_{j,q}}{m_{i+j} \cdots m_g} \\ &\quad + \frac{r_{j,q} - (r_{j,q-1} - (\mu_{j,q} - 1)r_{j,q})}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j} - k_j + r_{j,q}}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j} - k_j + r_{j,w(j)-1}}{m_{i+j} \cdots m_g} \end{aligned}$$

as claimed in the theorem.

In subcase C3.3,  $n + 1$  and  $n$  are each in Case 2 of the statement of the theorem. By (10), we have

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{r_{j,q-1} - (\mu_{j,q} - 1)r_{j,q}}{m_{j+1} \cdots m_g} \cdot \frac{m_{j+1} \cdots m_g}{r_{j,q}} \\ &= \frac{r_{j,q} + r_{j,q+1}}{r_{j,q}} \\ &< 2 \end{aligned}$$

and

$$n_1(n) - m_1(n) = \frac{r_{j,q(n)+1}}{r_{j,w(j)}} = 1.$$

Again we are in Case 3 of Lemma 3.2 so (14) and (10) imply  $g(n+1) = g(n) - 1 = 1 - 1 = 0$ .

If we are in Case C4 we see that  $n$  is in Case 2 of the statement of the theorem. Thus, (10) yields

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{r_{j,q-1} - \left( n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{q-1} \mu_{j,i} \right) r_{j,q}}{m_{i+j} \cdots m_g} \cdot \frac{m_{i+j} \cdots m_g}{r_{j,q}} \\ &= \frac{\mu_{j,q} r_{j,q} - \left( n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{q-1} \mu_{j,i} \right) r_{j,q}}{r_{j,q}} \\ &\geq 2, \end{aligned}$$

and we are in Case 1 of Lemma 3.2. Whence, by (12) and (10),

$$\begin{aligned} g(n+1) &= g(n) = g - j + 1 \\ m_1(n+1) &= m_1(n) = \frac{r_{j,q}}{m_{j+1} \cdots m_g} \\ m_i(n+1) &= m_i(n) = m_{i+j-1}, \quad 1 < i \leq g - j + 1 \\ n_i(n+1) &= n_i(n) - m_1(n) \cdots m_i(n), \quad 1 \leq i \leq g - j + 1 \\ &= \frac{k_{i+j-1} - k_j + r_{j,q-1}}{m_{i+j} \cdots m_g} \\ &\quad + \frac{- \left( n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{q-1} \mu_{j,i} \right) r_{j,q} - r_{j,q}}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j-1} - k_j + r_{j,q-1}}{m_{i+j} \cdots m_g} \\ &\quad - \frac{\left( (n+1) - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{q-1} \mu_{j,i} \right) r_{j,q}}{m_{i+j} \cdots m_g} \\ &= \frac{k_{i+j-1} - k_j + r_{j,q-1} - l r_{j,q}}{m_{i+j} \cdots m_g} \end{aligned}$$

in agreement with the statement of the theorem.

Turning to Case C5 we see that

$$n + 1 = \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} + \sum_{i=1}^{w(j)} \mu_{j,i} = \sum_{h=1}^j \sum_{i=1}^{w(h)} \mu_{h,i}$$

when  $1 \leq j \leq g - 1$ . This generates the following subcases.

C5.1.  $\mu_{j+1,1} > 0$ . Here  $n + 1$  is in Case 1 of the statement of the theorem with  $l = 0$  and  $j(n + 1) = j(n) + 1$ .

C5.2.  $\mu_{j+1,1} = 0$  and  $2 < w(j + 1)$ . Then  $n + 1$  is in Case 2 of the statement of the theorem with  $l = 0$ ,  $j(n + 1) = j(n) + 1$  and  $q(n + 1) = 2$ .

C5.3.  $\mu_{j+1,1} = 0$ ,  $w(j + 1) = 2$  and  $j + 1 \leq g - 1$ . Then  $n + 1$  is in Case 3 of the statement of the theorem and we have  $l = 0$  and  $j(n + 1) = j(n) + 1$ .

C5.4.  $\mu_{j+1,1} = 0$ ,  $w(j + 1) = 2$  and  $j + 1 = g$ . In this case  $n + 1$  is in Case 4 of the statement of the theorem.

In subcase C5.1 we have that  $n$  is in Case 3 of the statement of the theorem. Using (11), we compute

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{k_{j+1} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1) r_{j,w(j)}}{r_{j,w(j)}} \\ &= \frac{k_{j+1} - k_j + r_{j,w(j)}}{r_{j,w(j)}} \end{aligned}$$

since  $r_{j,w(j)-1} - \mu_{j,w(j)} r_{j,w(j)} = 0$ . Now, by the Euclidean algorithms (8) and the fact that  $r_{j,w(j)} = r_{j+1,1}$ , we can write this as

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{\mu_{j+1,1} r_{j+1,1} + r_{j+1,2} + r_{j+1,1}}{r_{j+1,1}} \\ &= \frac{(\mu_{j+1,1} + 1) r_{j+1,1} + r_{j+1,2}}{r_{j+1,1}} \\ &\geq 2, \end{aligned}$$

and we are in Case 1 of Lemma 3.2. Thus, by (12) and (11),

$$g(n + 1) = g(n) = g - j(n) = g - j(n + 1) + 1$$

$$\begin{aligned}
m_1(n+1) &= m_1(n) = m_{j(n)+1} = m_{j(n+1)} \\
m_i(n+1) &= m_i(n) = m_{i+j(n)} = m_{i+j(n+1)-1} \quad 1 < i \leq g-j(n+1)+1 \\
n_i(n+1) &= n_i(n) - m_1(n) \cdots m_i(n) \\
&= \frac{k_{i+j} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1)r_{j,w(j)} - r_{j,w(j)}}{m_{i+j+1} \cdots m_g} \\
&= \frac{k_{i+j} - k_j}{m_{i+j+1} \cdots m_g} \\
&= \frac{k_{i+j(n+1)-1} - k_j(n+1) - 1}{m_{i+j(n+1)} \cdots m_g} \quad 1 \leq i \leq g-j(n+1)+1,
\end{aligned}$$

as claimed in the theorem.

In the event that we are in subcase C5.2, we would have that  $n$  is in Case 3 of the statement of the theorem. Hence, by (11):

$$\begin{aligned}
\frac{n_1(n)}{m_1(n)} &= \frac{k_{j+1} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1)r_{j,w(j)}}{r_{j,w(j)}} \\
&= \frac{(k_{j+1} - k_j) + (r_{j,w(j)-1} - \mu_{j,w(j)}r_{j,w(j)}) + r_{j,w(j)}}{r_{j,w(j)}} \\
&= \frac{(\mu_{j+1,1}r_{j+1,1} + r_{j+1,2}) + (0) + r_{j+1,1}}{r_{j,w(j)}} \\
&= \frac{r_{j+1,1} + r_{j+1,2}}{r_{j+1,1}} \\
&< 2
\end{aligned}$$

and

$$\begin{aligned}
n_1(n) - m_1(n) &= \frac{(k_{j+1} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1)r_{j,w(j)}) - r_{j,w(j)}}{m_{j+2} \cdots m_g} \\
&= \frac{(r_{j+1,1} + r_{j+1,2}) - r_{j,w(j)}}{m_{j+2} \cdots m_g} \\
&= \frac{r_{j+1,2}}{m_{j+2} \cdots m_g} \\
&> 1
\end{aligned}$$

since  $2 < w(j+1)$ . This places us in Case 2 of Lemma 3.2. We then apply (13) and (11) to get

$$g(n+1) = g(n) = g - j(n) = g - j(n+1) + 1$$

$$\begin{aligned}
 m_1(n+1) &= n_1(n) - m_1(n) \\
 &= \frac{k_{i+j} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1)r_{j,w(j)} - r_{j,w(j)}}{m_{j+1} \cdots m_g} \\
 &= \frac{r_{j+1,2}}{m_{j+2} \cdots m_g} \\
 &= \frac{r_{j(n+1),q(n+1)}}{m_{j(n+1)+1} \cdots m_g} \\
 m_i(n+1) &= m_i(n) = m_{i+j(n)} = m_{i+j(n+1)-1} \quad 1 < i \leq g - j(n+1) + 1 \\
 n_1(n+1) &= m_1(n) = \frac{r_{j,w(j)}}{m_{j+1} \cdots m_g} \\
 &= \frac{r_{j+1,1}}{m_{j+2} \cdots m_g} = \frac{r_{j(n+1),q(n+1)-1}}{m_{j(n+1)+1} \cdots m_g} \\
 n_i(n+1) &= n_i(n) + m_1(n) \cdots m_i(n) - n_1(n) m_2(n) \cdots m_i(n) \\
 &= \frac{k_{i+j} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1)r_{j,w(j)} + r_{j,w(j)}}{m_{i+j+1} \cdots m_g} \\
 &\quad - \frac{k_{j+1} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1)r_{j,w(j)}}{m_{i+j+1} \cdots m_g} \\
 &= \frac{k_{i+j} - k_{j+1} + r_{j,w(j)}}{m_{i+j+1} \cdots m_g} \\
 &= \frac{k_{i+j(n+1)-1} - k_{j(n+1)} + r_{j(n+1),q(n+1)-1}}{m_{i+j(n+1)+1} \cdots m_g} \\
 &\quad 1 < i \leq g - j(n+1) + 1
 \end{aligned}$$

in agreement with the conclusion of the theorem.

Subcase C5.3 puts both  $n$  and  $n + 1$  into Case 3 of the statement of the theorem. So, by (11), we have

$$\frac{n_1(n)}{m_1(n)} = \frac{r_{j+1,1} + r_{j+1,2}}{r_{j+1,1}} < 2$$

which we computed in C5.2 above. But now,

$$n_1(n) - m_1(n) = \frac{r_{j+1,2}}{m_{j+2} \cdots m_g} = 1$$

since  $w(j+1) = 2$ . We are thus in Case 3 of Lemma 3.2 which, via (14) and (11), gives

$$\begin{aligned}
 g(n+1) &= g(n) - 1 = g - j - 1 = g - j(n+1) \\
 m_i(n+1) &= m_{i+1}(n) = m_{i+j+1} = m_{i+j(n+1)}, \quad 1 \leq i \leq g - j(n+1) \\
 n_i(n+1) &= n_{i+1}(n) + m_1(n) \cdots m_{i+1}(n) - n_1(n) m_2(n) \cdots m_{i+1}(n) \\
 &= \frac{k_{i+j+1} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1) r_{j,w(j)} + r_{j,w(j)}}{m_{i+j+2} \cdots m_g} \\
 &\quad - \frac{k_{i+j} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 1) r_{j,w(j)}}{m_{i+j+2} \cdots m_g} \\
 &= \frac{k_{i+j+1} - k_{j+1} + r_{j,w(j)}}{m_{i+j+2} \cdots m_g} \\
 &= \frac{k_{i+j(n+1)} - k_{j(n+1)} + r_{j(n+1),w(j((n+1))-1)}}{m_{i+j(n+1)+1} \cdots m_g} \\
 &\quad 1 \leq i \leq g - j(n+1),
 \end{aligned}$$

as desired.

If we are in subcase C5.4, we note that  $n$  is in Case 3 of the statement of the theorem. Thus, as in C5.3, we have

$$\frac{n_1(n)}{m_1(n)} = \frac{r_{j+1,1} + r_{j+1,2}}{r_{j+1,1}} = \frac{r_{g,1} + r_{g,2}}{r_{g,1}} < 2$$

and

$$n_1(n) - m_1(n) = \frac{r_{j+1,2}}{m_{j+2} \cdots m_g}.$$

Now by our convention

$$\prod_{n=i}^{i-1} \beta_n = 1.$$

Using this, the fact that  $j+1 = g$ ,  $w(g) = 2 \Rightarrow r_{j+1,2} = r_{g,w(g)}$ , and recalling from (8) that  $r_{g,w(g)} = 1$ , we get

$$n_1(n) - m_1(n) = r_{g,w(g)} = 1,$$

which implies that we are in Case 3 of Lemma 3.2 and we have from (14) and (11) that  $g(n+1) = g(n) - 1 = g - j - 1 = g - (j+1) = 0$  as claimed.



Lastly, suppose we are in Case C6. Then  $n$  and  $n + 1$  are each in part 3 of the statement of the theorem. So by (11) we have

$$\begin{aligned} \frac{n_1(n)}{m_1(n)} &= \frac{k_{j+1} - k_j + r_{j,w(j)-1}}{m_{j+2} \cdots m_g} \\ &\quad - \frac{\left( n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{w(j)-1} \mu_{j,i} \right) r_{j,w(j)}}{m_{j+2} \cdots m_g} \cdot \frac{m_{j+2} \cdots m_g}{r_{j,w(j)}} \\ &\geq \frac{k_{j+1} - k_j + r_{j,w(j)-1} - (\mu_{j,w(j)} - 2) r_{j,w(j)}}{r_{j,w(j)}} \\ &= \frac{k_{j+1} - k_j + \mu_{j,w(j)} r_{j,w(j)} - (\mu_{j,w(j)} - 2) r_{j,w(j)}}{r_{j,w(j)}} \\ &= \frac{k_{j+1} - k_j + 2 r_{j,w(j)}}{r_{j,w(j)}} \\ &\geq 2, \end{aligned}$$

which places us in Case 1 of Lemma 3.2. By (12) and (11) we have

$$\begin{aligned} g(n+1) &= g(n) = g - j \\ m_i(n+1) &= m_i(n) = m_{i+j}, \quad 1 \leq i \leq g - j \\ n_i(n+1) &= n_i(n) - m_1(n) \cdots m_i(n) \\ &= \frac{k_{i+j} - k_j + r_{j,w(j)-1} - \left( n - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} \right.}{m_{i+j+1} \cdots m_g} \\ &\quad \left. + \frac{- \sum_{i=1}^{w(j)-1} \mu_{j,i} \right) r_{j,w(j)} - r_{j,w(j)}}{m_{i+j+1} \cdots m_g} \\ &= \frac{k_{i+j} - k_j + r_{j,w(j)-1}}{m_{i+j+1} \cdots m_g} \\ &\quad - \frac{\left( (n+1) - \sum_{h=1}^{j-1} \sum_{i=1}^{w(h)} \mu_{h,i} - \sum_{i=1}^{w(j)-1} \mu_{j,i} \right) r_{j,w(j)}}{m_{i+j+1} \cdots m_g} \\ &= \frac{k_{i+j} - k_j + r_{j,w(j)-1} - l r_{j,w(j)}}{m_{i+j+1} \cdots m_g}, \quad 1 \leq i \leq g - j, \end{aligned}$$

in accord with the theorem.

Thus, we've shown the theorem true by induction.  $\square$

Let

$$R \longrightarrow R_1 \longrightarrow \cdots \longrightarrow R_n$$

be the resolution sequence of an irreducible  $f \in R$ . Let  $\nu_i = \nu(f_i)$  where  $f_i$  is the strict transform of  $f$  in  $R_i$  and  $\nu_0 = \nu(f)$ . We define the *multiplicity sequence* of  $f$  to be the sequence  $(\nu_0, \nu_1, \dots, \nu_{n-1})$ .

A classical theorem of Enriques and Chisini [4] follows from Theorem 3.1 since  $\nu_k = m(k)$  for all  $k$ .

**Corollary 3.3** (Enriques-Chisini). *Let the notation be as in the statement of Theorem 3.1.*

1. *The multiplicity sequence of  $f$  is completely determined by the characteristic pairs of  $f$ . In fact, the multiplicity sequence is determined by the chain of Euclidean algorithms (8). In the multiplicity sequence, the multiplicity  $r_{i,j}$  appears  $\mu_{i,j}$  times where  $i = 1, \dots, g$ ;  $j = 1, \dots, w(i)$ , i.e., the multiplicity sequence is*

$$\underbrace{r_{1,1}, \dots, r_{1,1}}_{\mu_{1,1}} \underbrace{r_{1,2}, \dots, r_{1,2}}_{\mu_{1,2}} \cdots \underbrace{r_{1,w(1)}, \dots, r_{1,w(1)}}_{\mu_{1,w(1)}} \underbrace{r_{2,1}, \dots, r_{2,1}}_{\mu_{2,1}}, \dots$$

2. *Conversely, one can reconstruct the characteristic pairs of a Puiseux expansion of  $f$  from the multiplicity sequence by the chain of Euclidean algorithms (8).*

An immediate consequence of this corollary is the fact that the characteristic pairs are an invariant of  $f$ .

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