

On some behavior spaces and Riemann-Roch theorem on open Riemann surfaces¹⁾

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Introduction.

The purpose of this paper is to obtain a formulation of the Riemann-Roch theorem on open Riemann surfaces by using the real Hilbert space of square integrable complex differentials and introducing a special A_p -behavior space, as has been done by Shiba [9]. In our case, only A -periods are normalized, and B -periods are completely arbitrary and this character of our behavior space is in contrast with A_0 -behavior in [9]. Besides this, the period normalization in this paper gives much hope to obtain some relations between these behavior spaces and the classical works. Also it seems that in a similar way, we can get the Riemann-Roch theorem by treating the complex Hilbert space. But in this case the ideal boundary becomes small [7], [8]. To get the Riemann-Roch theorem for general open Riemann surfaces, Kusunoki [2, 3] made restrictions only on the real part of differentials. In Kusunoki's line, some works have been done. As in [9], our formulation of the Riemann-Roch theorem is valid for general surfaces with large boundaries.

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1. Preliminaries.

The totality of square integrable complex differentials on a Riemann surface W forms a Hilbert space over the complex field \mathbf{C} , if we introduce the usual inner product defined by

$$(\lambda_1, \lambda_2) = \iint_W \lambda_1 \wedge \bar{\lambda}_2^* = \iint_W (a_1 \bar{a}_2 + b_1 \bar{b}_2) dx dy$$

where $\lambda_j = a_j(z)dx + b_j(z)dy$ with local parameter $z = x + iy$. We denote it by $\tilde{\mathcal{A}} = \tilde{\mathcal{A}}(W)$. As usual $\bar{\lambda} = \bar{a}dx + \bar{b}dy$ and $\lambda^* = -bdx + ady$ stand for the complex conjugate and conjugate of λ respectively. The norm in $\tilde{\mathcal{A}}$ is denoted by $\|\lambda\| = (\lambda, \lambda)^{1/2}$. Square integrable real differentials on W also form a Hilbert space $\mathcal{A} = \mathcal{A}(W)$ over the real field \mathbf{R} with the same inner product as above. It can be easily checked that $\tilde{\mathcal{A}}$ forms a linear space over \mathbf{R} , and in this meaning we denote it by $\mathcal{A} = \mathcal{A}(W)$. \mathcal{A} forms a real Hilbert space with respect to the new inner product defined by

$$\langle \lambda_1, \lambda_2 \rangle = \text{Re}(\lambda_1, \lambda_2).$$

The norm in \mathcal{A} will be denoted by $\|\cdot\|$. It is trivial that $\|\cdot\| = \|\cdot\|$ and so $\tilde{\mathcal{A}}$ and \mathcal{A} have the same topological structure.

It should be noticed that, through this paper, the notations Γ and \mathcal{A} are different from those in Ahlfors-Sario [1]. With only these exceptions we follow Ahlfors-Sario [1] for notations and terminology. For instance $\Gamma_c, \Gamma_e, \Gamma_{co}, \Gamma_{eo}, \Gamma_h, \dots$ will be used to denote the subspaces of the real Hilbert space Γ , and also $\mathcal{A}_c, \mathcal{A}_e, \mathcal{A}_{co}, \mathcal{A}_{eo}, \mathcal{A}_h, \dots$ will stand for corresponding subspaces of \mathcal{A} . The orthogonality relation between these last subspaces certainly is taken with respect to the inner product $\langle \cdot, \cdot \rangle$. The following orthogonal decompositions are valid (cf. [9]):

$$\begin{aligned} \mathcal{A}_c &= \Gamma_c \dot{+} i\Gamma_c, & \mathcal{A}_{co} &= \Gamma_{co} \dot{+} i\Gamma_{co}, & \mathcal{A}_h &= \mathcal{A}_c \cap \mathcal{A}_c^* \\ \mathcal{A}_c &= \mathcal{A}_h \dot{+} \mathcal{A}_{eo}, & \mathcal{A}_h &= \mathcal{A}_{he}^* \dot{+} \mathcal{A}_{hm}, & \mathcal{A} &= \mathcal{A}_h \dot{+} \mathcal{A}_{co} \dot{+} \mathcal{A}_{eo}^*. \end{aligned}$$

The following lemma is frequently used in the sequel.

Lemma 1.1. *Let Ω be a canonical regular region on W , and $\mathcal{E}(W) = \{A_j, B_j\}_{j=1}^g$ a canonical homology basis on W modulo di-*

viding cycles, such that $\Xi \cap \bar{\Omega}$ forms a canonical homology basis on $\bar{\Omega}$ modulo $\partial\Omega$. If φ_1, φ_2 are C^1 -differentials which are semiexact and closed respectively, then

$$(\varphi_1, \varphi_2^*)_{\Omega} = \int_{\partial\Omega} \left(\int \varphi_1 \right) \bar{\varphi}_2 + \sum_{\mathfrak{a}} \left(\int_{A_j} \varphi_1 \int_{B_j} \bar{\varphi}_2 - \int_{B_j} \varphi_1 \int_{A_j} \bar{\varphi}_2 \right).$$

This can be proved by cutting Ω along A_j, B_j , and applying Green's formula.

Note that because of closedness of φ_2 the integral $\int_{\partial\Omega} (\int \varphi_1) \bar{\varphi}_2$ is independent of the additive constant of $\int \varphi_1$.

2. A_p -behavior space.

Definition 2.1. A linear subspace A_p of A_{hse} will be called a *behavior space* if

- (1) There exists a closed subspace A_1 of A_{hse} such that

$$A_p \supset A_1 + iA_1^{\perp*}$$

where A_1^{\perp} is the orthogonal complement of A_1 in A_h

- (2) $\langle \lambda_p, i\lambda_p^* \rangle = 0$ for each $\lambda_p \in A_p$
- (3) $\int_{A_j} \lambda_p = 0, j=1, 2, \dots$ for every $\lambda_p \in A_p$.

From this definition it is easy to verify that if A_p is a behavior space, so is \bar{A}_p , where $\bar{A}_p = \{\bar{\lambda}_p : \lambda_p \in A_p\}$.

Definition 2.2. A meromorphic differential defined on a neighborhood U of the ideal boundary β of W is said to have A_p -behavior if there exist $\lambda_p \in A_p, \lambda_{e0} \in A_{e0} \cap A^1$ such that on a neighborhood U of β

$$\varphi = \lambda_p + \lambda_{e0}.$$

Definition 2.3. A meromorphic function f (not necessarily single-valued) defined near β is said to have A_p -behavior if differential df has A_p -behavior in the above sense.

3. The existence and uniqueness theorems.

Theorem 3.1. (uniqueness). *Let φ be a first kind differential*

which has A_p -behavior. Then it is identically zero if

$$\int_{A_j} \varphi = 0 \quad (j=1, 2, \dots, g)$$

where $g (\leq \infty)$ is the genus of W .

Proof. It should be observed that the condition in the theorem is only for finite number of A_j . Since φ has A_p -behavior, there exist $\lambda_p \in A_p$, $\lambda_{eo} \in A_{eo} \cap A^1$ such that on a neighborhood U of β φ can be written as

$$\varphi = \lambda_p + \lambda_{eo}.$$

Now let \mathcal{Q} be a canonical regular region on W such that its relative boundary $\partial\mathcal{Q}$ is contained in U . We may assume that $\mathcal{E} \cap \bar{\mathcal{Q}}$ forms a canonical homology basis of $\bar{\mathcal{Q}}$ modulo the border. Then, by Lemma 1.1 and $\int_{A_j} \varphi = 0$ ($j=1, 2, \dots$) we can write

$$\begin{aligned} \|\varphi\|_{\mathcal{Q}}^2 &= \|\varphi\|_{\mathcal{Q}}^2 = (\varphi, \varphi)_{\mathcal{Q}} = -i(\varphi, \varphi^*)_{\mathcal{Q}} \\ &= i \int_{\partial\mathcal{Q}} \left(\int \varphi \right) \bar{\varphi} - i \sum_{\mathcal{E}} \left(\int_{A_j} \varphi \int_{B_j} \bar{\varphi} - \int_{B_j} \varphi \int_{A_j} \bar{\varphi} \right) \\ &= i \int_{\partial\mathcal{Q}} \left(\int \varphi \right) \bar{\varphi} = i \int_{\partial\mathcal{Q}} \left(\int (\lambda_p + \lambda_{eo}) \right) (\overline{\lambda_p + \lambda_{eo}}) \\ &= -i(\lambda_p + \lambda_{eo}, \lambda_p^* + \lambda_{eo}^*)_{\mathcal{Q}} + i \sum \left(\int_{A_j} \lambda_p \int_{B_j} \bar{\lambda}_p - \int_{B_j} \lambda_p \int_{A_j} \bar{\lambda}_p \right). \end{aligned}$$

From the condition (3) in the definition of A_p -behavior we obtain

$$\|\varphi\|_{\mathcal{Q}}^2 = \|\varphi\|_{\mathcal{Q}}^2 = (\lambda_p, i\lambda_p^*)_{\mathcal{Q}} - i\varepsilon_{\mathcal{Q}}$$

where $\varepsilon_{\mathcal{Q}} = (\lambda_{eo}, \lambda_p^*)_{\mathcal{Q}} + (\lambda_p, \lambda_{eo}^*)_{\mathcal{Q}} + (\lambda_{eo}, \lambda_{eo}^*)_{\mathcal{Q}}$. By making use of the orthogonal decompositions in section 1, it follows that $\lim_{\mathcal{Q} \rightarrow W} \varepsilon_{\mathcal{Q}} = 0$. Then, we get the equality

$$\|\varphi\|^2 = (\lambda_p, i\lambda_p^*) = \langle \lambda_p, i\lambda_p^* \rangle.$$

The right side is also zero because of the condition (2) in Definition 2.1, and so we get $\varphi = 0$.

Now we will prove the existence of certain first kind differentials which have A_p -behavior.

Theorem 3.2. *Let $\alpha_j \neq 0$ be given complex numbers. Then there exist square integrable first kind differentials $\phi_{\alpha_j}(B_j)$ which have the following properties:*

(i) $\phi_{\alpha_j}(B_j)$ have A_p -behavior

$$(ii) \quad \int_{A_k} \phi_{\alpha_j}(B_j) = \begin{cases} -\alpha_j & (k=j) \\ 0 & (k \neq j) \end{cases}$$

(iii) The $\phi_{\alpha_j}(B_j)$ are uniquely determined for each j .

Proof. The cycles B_j can be regarded as oriented analytic Jordan curves. Let R be a relatively compact ring domain containing a B_j , and v be a C^2 -function on $R - B_j$, defined as follows:

$$v = \begin{cases} \alpha_j & \text{on the left side of } B_j \\ 0 & \text{on the right side of } B_j. \end{cases}$$

Then v can be extended to $W - B_j$ such that it becomes a C^2 -function with relatively compact support in W . Denote the extension by \hat{v} . Then $d\hat{v} \in A_c^1(W)$ and so it can be written as:

$$d\hat{v} = \lambda_1 + \lambda_1^\perp + \lambda_{e0}$$

where

$$\lambda_1 \in A_1, \quad \lambda_1^\perp \in A_1^\perp, \quad A_1 + iA_1^{*\perp} \subset A_p.$$

Now we set

$$\phi_{\alpha_j}(B_j) = \lambda_1^\perp + i(\lambda_1^\perp)^* = d\hat{v} - (\lambda_1 - i\lambda_1^{*\perp}) - \lambda_{e0} = d\hat{v} - \lambda_p - \lambda_{e0}.$$

It can be seen from this equation that $\phi_{\alpha_j}(B_j)$ is a first kind differential and has A_p -behavior, since $d\hat{v}$ has compact support. Also for any cycle γ

$$\int_\gamma \phi_{\alpha_j}(B_j) = \alpha_j(B_j \times \gamma) - \int_\gamma \lambda_p.$$

Now if we take A_k instead of γ , then (ii) is satisfied. The uniqueness follows easily from Theorem 3.1.

To prove the existence of second and third kind differentials we need the following lemma [10].

Lemma 3.1. *Let Ω be a regularly imbedded connected sub-region of W whose relative boundary $\partial\Omega$ is compact, and V be the complement of $\bar{\Omega}$. For any closed C^1 -differential σ defined on a neighborhood of \bar{V} , the following two statements are equivalent:*

(i) $\sigma|_V$, the restriction of σ onto V , can be extended as a closed C^1 -differential $\hat{\sigma}$ on W such that the support of $\hat{\sigma}$ has a compact intersection with $\bar{\Omega}$.

(ii) $\int_{\partial\Omega} \sigma = 0$.

Theorem 3.3. *Let θ_j be an analytic singularity given at each point p_j on W ($j=1, 2, \dots, n$). Consider a differential θ which is equal to θ_j near p_j and the sum of residues of θ is zero. Then there exists a differential $\varphi = \varphi_\theta$ such that*

- (i) φ has A_p -behavior
- (ii) φ is regular analytic except at p_j ($j=1, 2, \dots, n$)
- (iii) φ has singularity θ , that is, $\|\theta - \varphi\|_{U_j} < \infty$ for a punctured neighborhood U_j of p_j ($j=1, 2, \dots, n$).

The proof can be carried out in the same manner as Ahlfors-Sario [1], Shiba [9], if we use our orthogonal decomposition

$$A = A_1 + A_1^\perp + A_{e_0} + A_{e_0}^*.$$

Namely define

$$\tau = \hat{\theta} - \lambda_1 - \lambda'_{e_0} = \lambda_1^\perp + \lambda''_{e_0} + i\hat{\theta}^*.$$

Then τ is a complex harmonic differential with singularity θ . Consequently $\lambda'_{e_0}, \lambda''_{e_0} \in A_{e_0} \cap A^1$, since $\tau \in A^1$, $\hat{\theta} \in C^1$, $\lambda_1 \in A_1$. If we set $\varphi = \frac{1}{2}(\tau + i\tau^*)$ it is easily seen that φ has the desired properties.

Remark. We can see that the differentials constructed above are uniquely determined, if we require that φ should satisfy

$$\int_{A_j} \varphi = 0 \quad (j=1, 2, \dots, g).$$

Now we show that this normalization is always possible.

Indeed, let x_j be A_j -periods of φ such that only a finite number

of x_j are different from zero. We set

$$\varphi_p = \varphi + \sum_j \phi_{x_j}(B_j).$$

It is clear that φ_p preserves the singularity, and satisfies the normalization:

$$\int_{A_j} \varphi_p = \int_{A_j} \varphi + \sum_j \int_{A_j} \phi_{x_j}(B_j) = \int_{A_j} \varphi - x_j = 0.$$

As for uniqueness we need only Theorem 3.1.

The following normalized differentials whose existence is guaranteed by Theorem 3.3, and the holomorphic (first kind) differentials $\phi_{\alpha_j}(B_j)$ obtained by Theorem 3.2, will play an important role in the proof of the Riemann-Roch theorem.

- (I) $\varphi_{p_j, n}$, (resp. $\tilde{\varphi}_{p_j, n}$): differential with A_p -behavior, regular analytic except at p_j where it has singularity dz/z_j^n (resp. idz/z_j^n) ($n=2, 3, \dots$)
- (II) ψ_{p_j, q_j} , (resp. $\tilde{\psi}_{p_j, q_j}$): meromorphic differential with A_p -behavior, which has residues 1 at p_j , -1 at q_j (resp. i at p_j , $-i$ at q_j) and regular elsewhere.

4. Dual boundary behaviors.

Definition 4.1. Let $A_p^{(k)} = A_p(A_1^{(k)}, 0, \mathbf{C})$ ($k=1, 2$) be two behavior spaces corresponding to the subspaces $A_1^{(1)}, A_1^{(2)} \subset A_{hse}$. We say that $A_p^{(1)}$ -behavior and $A_p^{(2)}$ behavior are *dual to each other* if for all $\lambda_p^{(1)} \in A_p^{(1)}$, $\lambda_p^{(2)} \in A_p^{(2)}$

$$(\lambda_p^{(1)}, \overline{\lambda_p^{(2)*}}) = 0 \quad (\Leftrightarrow \langle \lambda_p^{(1)}, \overline{\lambda_p^{(2)*}} \rangle = \langle \lambda_p^{(1)}, i\overline{\lambda_p^{(2)*}} \rangle = 0).$$

The following lemma is a nice consequence of this definition.

Lemma 4.1. *Suppose that $A_p = A_p(A_1, 0, \mathbf{C})$ is a behavior which satisfies the condition:*

$$(i) \quad (\lambda_p, i\overline{\lambda_p^{1*}}) = 0 \quad (\Leftrightarrow \langle \lambda_p, i\overline{\lambda_p^{1*}} \rangle = \langle \lambda_p, \lambda_p^{1*} \rangle = 0)$$

for all $\lambda_p, \lambda_p^1 \in A_p$.

Then A_p -behavior and $\overline{A_p}$ -behavior are dual to each other.

Proof. Since $\overline{A_p}$ is a behavior space, we need only check the condition in definition 4.1. For this purpose take $\lambda_p, \lambda_p^1 \in A_p$ then by (i) we get

$$\begin{aligned} (\lambda_p, \overline{(\lambda_p^1)^*}) &= \langle \lambda_p, \lambda_p^{1*} \rangle + i \langle \lambda_p, i \lambda_p^{1*} \rangle \\ &= 0 \end{aligned} \qquad \text{q.e.d.}$$

The following lemma [2, 3], will be used in the proof of Riemann-Roch theorem. Therefore we prove it in our terminology.

Lemma 4.2. *Let $A_p^{(1)}$ and $A_p^{(2)}$ be dual boundary behaviors to each other. Let φ be an abelian differential (of first or second kind) with $A_p^{(1)}$ -behavior and ψ any abelian differential with $A_p^{(2)}$ -behavior. Let W_0 be the planar surface obtained from W by cutting along A_j and B_j cycles. Then,*

(i) *there exists a single valued meromorphic function f on W_0 such that $df = \varphi$,*

$$(ii) \quad 2\pi i \sum \text{Res } f\psi = - \sum_{j=1}^g \left(\int_{A_j} \varphi \int_{B_j} \psi - \int_{B_j} \varphi \int_{A_j} \psi \right).$$

Proof. (i) is obvious by assumptions. To prove (ii) we apply Lemma 1.1 to the region Ω_0 obtained from a sufficiently large canonical region Ω by taking off mutually disjoint parametric disks about the singularities of φ and ψ . We may suppose that $\Xi \cap \overline{\Omega}$ forms a canonical homology basis of $\overline{\Omega}$ modulo $\partial\Omega$ then

$$2\pi i \sum \text{Res } f\psi = - \sum_{\Xi} \left(\int_{A_j} \varphi \int_{B_j} \psi - \int_{B_j} \varphi \int_{A_j} \psi \right) + \int_{\partial\Omega} f\psi.$$

By assumption we know that $\varphi = \lambda_p^{(1)} + \lambda_{e_0}$, $\psi = \lambda_p^{(2)} + \lambda_{e_0}^1$ near the ideal boundary, in particular near $\partial\Omega$. By use of Lemma 1.1, and from the definitions of A_p and its dual behavior we can write

$$\begin{aligned} \int_{\partial\Omega} f\psi &= - \left(\lambda_p^{(1)}, \overline{\lambda_p^{(2)*}} \right)_{\Omega} + \sum_{\Xi} \left(\int_{A_j} \lambda_p^{(1)} \int_{B_j} \lambda_p^{(2)} - \int_{B_j} \lambda_p^{(1)} \int_{A_j} \lambda_p^{(2)} \right) + \varepsilon_{\Omega} \\ &= - \left(\lambda_p^{(1)}, \overline{\lambda_p^{(2)*}} \right)_{\Omega} + \varepsilon_{\Omega} \rightarrow 0 \quad (\Omega \rightarrow W). \end{aligned}$$

Thus we get the desired result.

5. The Riemann-Roch Theorem.

Let $\delta = \delta_p / \delta_q$ be a finite divisor on W , where $\delta_p = P_1^{m_1} P_2^{m_2} \dots P_r^{m_r}$ and $\delta_q = q_1^{n_1} q_2^{n_2} \dots q_s^{n_s}$ are disjoint integral divisors. Let $A_p^{(1)}$ and $A_p^{(2)}$ be dual boundary behaviors. We consider the following sets which evidently form linear spaces over \mathbf{R} :

$S(A_p^{(1)}; 1/\delta) = \{f: \text{(i) single valued meromorphic function on } W, \text{(ii) has } A_p^{(1)}\text{-behavior, (iii) is multiple of } 1/\delta\}$

$M(A_p^{(1)}; 1/\delta_p) = \{f: \text{(i) is a multi-valued meromorphic function on } W. \text{(ii) has } A_p^{(1)}\text{-behavior, (iii) is a multiple of } 1/\delta_p \text{ (iv) periods of } df \text{ are normalized, i.e., } \int_A df = 0\}$

$D(A_p^{(2)}; \delta) = \{\alpha: \text{(i) a meromorphic differential on } W, \text{(ii) has } A_p^{(2)}\text{-behavior, (iii) is a multiple of } \delta\}$

$E(A_p^{(2)}; 1/\delta_q) = \{\alpha: \text{(i) a meromorphic differential on } W, \text{(ii) has } A_p^{(2)}\text{-behavior, (iii) is a multiple of } 1/\delta_q\}$

In the case that $\delta_q \neq 1$ we identify the elements f_1, f_2 of M if and only if $f_1 - f_2 = \text{constant}$.

The following well-known algebraic lemma should be provided.

Lemma 5.1. *Let X and Y be two linear spaces over a field K , and consider a bilinear form (x, y) defined over $X \times Y$. Denote the left kernel by X_0 and the right kernel by Y_0 . If the quotient space X/X_0 is finite dimensional, then there is an isomorphism $X/X_0 \simeq Y/Y_0$.*

Theorem 5.1. *(Riemann-Roch). Suppose that $A_p^{(1)}$ - and $A_p^{(2)}$ -behaviors are dual to each other. Let $\delta = \delta_p / \delta_q$ be a finite divisor on W , where δ_p and δ_q are disjoint integral divisors. Then*

$$\dim S(A_p^{(1)}; 1/\delta) = 2[\deg \delta_p + 1 - \min(\deg \delta_q, 1)] - [\dim E(A_p^{(2)}; 1/\delta_q) / D(A_p^{(2)}; \delta)].$$

Proof. We follow essentially the proof of Kusunoki [2]. We define a function $h_p(f, \alpha)$ on $M \times E$ by

$$h_p(f, \alpha) = \text{Re} \left(\sum_j \sum_{p_j} \text{Res}_j f \alpha \right) \quad \text{for } f \in M, \alpha \in E.$$

Since α is regular at each p_j , additive constants of f have no effect on the residues of $f\alpha$ at each p_j . Therefore $h_p(f, \alpha)$ is well-defined. Then by Lemma 4.2 we can write

$$h_p(f, \alpha) = \frac{1}{2\pi} \operatorname{Im} \left[\sum_{j=1}^g \int_{B_j} df \int_{A_j} \alpha \right] - \operatorname{Re} \left[\sum_k \operatorname{Res}_{q_k} f\alpha \right]$$

since df is normalized, i.e., $\int_{A_j} df = 0$. Thus, if f belongs to the left-kernel of $h_p(f, \alpha)$, i.e., $0 = h_p(f, \alpha)$ for every $\alpha \in E$, then we get $\operatorname{Im} \int_{B_k} df = 0$, $\operatorname{Re} \int_{B_k} df = 0$ by taking $\alpha \equiv \phi_1(B_k)$ and $\alpha \equiv \phi_i(B_k)$ respectively. Thus $\int_{B_k} df = 0$. Therefore f is single-valued on the whole W , since by assumption we already know that $\int_{A_k} df = 0$. If δ is an integral divisor, then $\delta = \delta_p$ and so $f \in S$. If δ is non-integral, then we take $\alpha \equiv \phi_{q_1, q_k}^{(2)}$. It can be seen that $\operatorname{Im} f(q_1) = \operatorname{Im} f(q_k)$ and $\operatorname{Re} f(q_1) = \operatorname{Re} f(q_k)$ ($k = 1, 2, \dots, s$). Thus $f - f(q_1)$ has zeros at q_k ($2 \leq k \leq s$). Moreover, if we take $\phi_{q_k, \nu}^{(2)}$ and $\tilde{\varphi}_{q_k, \nu}^{(2)}$ as α ($1 \leq k \leq s, 2 \leq \nu \leq n_k$) it follows that $f - f(q_1)$ has at least n_k zeros at q_k . By the equivalent relation in M we get $f \in S$. Conversely, it is obvious that the left-kernel of h_p contains S . In a similar way we can see that D is the right-kernel of h_p . Indeed, since $f\alpha$ is regular analytic at each p_j for $f \in M, \alpha \in D$, then D is contained in the right-kernel. The converse is proved by taking the integrals $\int \varphi_{p_j, \mu}^{(1)}$ and $\int \tilde{\varphi}_{p_j, \mu}^{(1)}$ as f ($1 \leq j \leq r, 2 \leq \mu \leq m_j + 1$). To get the final result we must see that M is a finite-dimensional space. For $\delta_q \neq 1$ the following integrals span M ;

$$\int \varphi_{p_j, \mu}^{(1)} \quad \text{and} \quad \int \tilde{\varphi}_{p_j, \mu}^{(1)} \quad \begin{array}{l} 1 \leq j \leq r \\ 2 \leq \mu \leq m_j + 1 \end{array}$$

If $\delta_q = 1$, the above integrals and 1, i make a basis of M . So we find that

$$\dim M = \begin{cases} 2 \sum_{j=1}^r m_j + 2 = 2 \deg \delta_p + 2 & (\delta_q = 1) \\ 2 \sum_{j=1}^r m_j = 2 \deg \delta_p & (\delta_q \neq 1) \end{cases}$$

So in any case we have $\dim M = 2[\deg \delta_p + 1 - \min(\deg \delta_q, 1)]$. Then we can apply Lemma 5.1. q.e.d.

If the genus of W is finite, Theorem 5.1 reduces to the follow-

ing rather classical form:

Corollary 5.1. *If $A_p^{(1)}$ - and $A_p^{(2)}$ -behaviors are dual to each other, then for any finite divisor δ on W*

$$\dim S - \dim D = 2(\deg \delta - g + 1).$$

Proof. We can find a basis for E :

(a) if $\delta_q = 1$ $\{\phi_{a_j}^{(2)}(B_j), \phi_{ib_j}^{(2)}(B_j)\}_{j=1}^g$ span E , where $a_j, b_j \in \mathbf{R}$.

(b) if $\delta_q \neq 1$ $\{\phi_{a_j}^{(2)}(B_j), \phi_{ib_j}^{(2)}(B_j), \varphi_{q_k, \nu}^{(2)}, \tilde{\varphi}_{q_k, \nu}^{(2)}, \psi_{q_1, q_1}^{(2)}, \tilde{\psi}_{q_1, q_1}^{(2)}\}_{\substack{1 \leq j \leq g, 1 \leq k \leq s \\ 2 \leq l \leq s, 2 \leq \nu \leq n_k}}$

span E provided that in both cases we choose a_j and b_j as in Theorem 2.1, then

$$\dim E = \begin{cases} 2g & (\delta_q = 1) \\ 2[g + \sum_{k=1}^s (n_k - 1) + s - 1] & (\delta_q \neq 1). \end{cases}$$

So, $\dim E = 2[g - \min(\deg \delta_q, 1) + \deg \delta_q]$ and the result easily follows from Theorem 5.1.

6. Generalization.

Divide the set of positive integers $\{1, 2, \dots, g\}$ into two disjoint sets J_1, J_2 , and let $\{L_j\}$ be a set of straight lines L_j ($j \in J_1$) passing through the origin $z = 0$.

Definition 6.1. A linear subspace $A_p = A_p(J_1, J_2)$ of $A_{h,se}$ is called a behavior space if

(1) there exists a closed subspace A_1 of $A_{h,se}$ such that

$$A_p \supset A_1 + iA_1^{\perp*}$$

where A_1^{\perp} is the orthogonal complement of A_1 in A_h

(2) $\langle \lambda_p, i\lambda_p^* \rangle = 0$ for each $\lambda_p \in A_p$

(3) $\int_{A_j} \lambda_p \in L_j$ if $j \in J_1$, and $\int_{A_j} \lambda_p = 0$ if $j \in J_2$.

We can similarly formulate the Riemann-Roch theorem in terms of such behavior spaces. As a special case where $J_2 = \emptyset$, we have Shiba's result [9], and our result is the case $J_1 = \emptyset$. Given L_j we can prove that a behavior space A_p actually exists.

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