

ON STRICT WHITNEY ARCS AND t -QUASI SELF-SIMILAR ARCS

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ABSTRACT. A connected compact subset E of \mathbb{R}^N is said to be a strict Whitney set if there exists a real-valued C^1 function f on \mathbb{R}^N with $\nabla f|_E \equiv 0$ such that f is constant on no non-empty relatively open subsets of E . We prove that each self-similar arc of Hausdorff dimension $s > 1$ in \mathbb{R}^N is a strict Whitney set with criticality s . We also study a special kind of self-similar arcs, which we call “regular” self-similar arcs. We obtain necessary and sufficient conditions for a regular self-similar arc Λ to be a t -quasi-arc, and for the Hausdorff measure function on Λ to be a strict Whitney function. We prove that if a regular self-similar arc has “minimal corner angle” $\theta_{\min} > 0$, then it is a 1-quasi-arc and hence its Hausdorff measure function is a strict Whitney function. We provide an example of a one-parameter family of regular self-similar arcs with various features. For some values of the parameter τ , the Hausdorff measure function of the self-similar arc is a strict Whitney function on the arc, and hence the self-similar arc is an s -quasi-arc, where s is the Hausdorff dimension of the arc. For each $t_0 \geq 1$, there is a value of τ such that the corresponding self-similar arc is a t -quasi-arc for each $t > t_0$, but it is not a t_0 -quasi-arc. For each $t_0 > 1$, there is a value of τ such that the corresponding self-similar arc is a t_0 -quasi-arc, but it is a t -quasi-arc for no $t \in [1, t_0)$.

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1. Introduction

In fractal geometry, Morse–Sard theorem (see [5]) states that if $f \in C^k(\mathbb{R}^m, \mathbb{R}^N)$ with $k \geq \max(m - N + 1, 1)$, then the set of critical values of f has zero Lebesgue measure in \mathbb{R}^N . However, Whitney in 1935 constructed a differentiable function $f: \mathbb{R}^2 \rightarrow \mathbb{R}$ whose critical set is a fractal planar arc γ with Hausdorff dimension $\log 4 / \log 3$, and whose set $f(\gamma)$ of critical values contains an interval and therefore has positive Lebesgue measure (see [9]). This is called Whitney phenomenon; it seems to contradict the Morse–Sard theorem. It is due to the fact that the arc γ is a fractal and f has lower smoothness. Such a set is called a Whitney set.

Definition 1.1. A connected set $E \subset \mathbb{R}^N$ is said to be a *Whitney set*, if there is a C^1 function $f: \mathbb{R}^N \rightarrow \mathbb{R}$ such that $\nabla f|_E \equiv 0$ but $f|_E$ is not constant. The function f is said to be a *Whitney function* for E , and its restriction $f|_E$ to E is said to be a *Whitney function* on E . If a Whitney function $f|_E$ on E is non-constant on each non-empty relatively open subset of E , then $f|_E$ is said to be a *strict Whitney function* on E , f is said to be a *strict Whitney function* for E , and the set E is said to be a *strict Whitney set*.

The following special case of the Whitney Extension Theorem [8] will be used.

LEMMA 1.2. *Suppose that $E \subset \mathbb{R}^N$ is compact and $f: E \rightarrow \mathbb{R}$ is a function. If for each $\varepsilon > 0$, there exists $\delta > 0$ such that for each pair of points $x, y \in E$ with $|x - y| < \delta$, one has $|f(x) - f(y)| \leq \varepsilon|x - y|$, then there is a C^1 extension $\tilde{f}: \mathbb{R}^N \rightarrow \mathbb{R}$ of f such that $\tilde{f}|_E = f$ and $\nabla \tilde{f}|_E \equiv 0$.*

Lemma 1.2 suggests the following definition.

Definition 1.3. A compact connected metric space A is said to be a *Whitney set* if there is a non-constant function $f: A \rightarrow \mathbb{R}$ such that $|f(x) - f(y)| = o(d_A(x, y))$ for $x, y \in A$.

By Lemma 1.2, for a compact connected subset A of \mathbb{R}^N , Definition 1.3 is consistent with Definition 1.1.

About Whitney sets, we know the following.

- (a) For a set $E \subset \mathbb{R}^N$, if every pair of points in E are connected by a rectifiable arc lying in E , then E is not a Whitney set (Whyburn [10], 1929).
- (b) For a continuous function $g: \mathbb{R} \rightarrow \mathbb{R}$, the graph G of g is not a Whitney set (Choquet [2], 1944).

Due to lack of work on critical sets with fractal feature, it is natural to ask how to characterize Whitney sets geometrically. Whitney posted this problem in his original paper [9]. The problem can be stated as follows.

Given a function f , how far from rectifiable must a closed connected set be to be a critical set for f on which f is not constant?

Definition 1.4 ([7]). Let Λ be an arc, a homeomorphic image of the interval $[0, 1]$ in \mathbb{R}^N , and let Λ be a Whitney set. Then Λ is said to be a *monotone Whitney arc* if there is an increasing Whitney function f on Λ .

Xi and Wu ([11]) in 2003 gave an interesting example of a Whitney arc which is not a monotone Whitney arc.

Xi and Wu’s Whitney arc γ mentioned above is not a strict Whitney set because it contains small line segments, and each Whitney function on γ must be constant on those line segments. It is not known whether there exists a strict Whitney arc which is not a monotone Whitney arc.

A mapping $f : (A, d_A) \rightarrow (B, d_B)$ between two metric spaces is said to be *non-expanding* if $d_B(f(x), f(y)) \leq d_A(x, y)$ for $x, y \in A$.

Wen and Xi obtained the following geometric characterization of Whitney sets (see [7, Theorem 1]).

A compact connected metric space A is a Whitney set if and only if there is a non-expanding mapping from A onto a monotone Whitney arc.

The “if” part is immediate because the “pull-back” of a Whitney function on the monotone Whitney arc is a Whitney function on A . The “only if” part can be seen as follows.

Suppose that f is a Whitney function on A with $f(A) = [0, 1]$. Let $B = [0, 1]$. For $0 \leq s < t \leq 1$, set

$$d_B(s, t) = \inf \left\{ \sum_{i=0}^{n-1} D(t_i, t_{i+1}) : s = t_0 < t_1 < \dots < t_n = t \right\},$$

$$D(s, t) = \inf_{f(x)=s, f(y)=t} d_A(x, y).$$

Then d_B extends in an obvious way to be a distance function on B . The metric space (B, d_B) is a monotone Whitney arc since the identity map $\tau : B \rightarrow [0, 1]$ is a monotone Whitney function on B . Moreover, $f : A \rightarrow B$ is a non-expanding map. For details, see [7, p. 315].

Definition 1.5. Let Λ be an arc, a homeomorphic image of the interval $[0, 1]$ in \mathbb{R}^N , and let $t \geq 1$. The arc Λ is said to be a *t -quasi-arc*, if there is a constant $\lambda > 0$ such that

$$(1) \quad |\Lambda(x, y)|^t \leq \lambda|x - y|$$

for each pair of points $x, y \in \Lambda$, where $|\Lambda(x, y)|$ is the diameter of the subarc $\Lambda(x, y)$ lying between x and y . A 1-quasi-arc is called a *quasi-arc*.

Note that (1) does not hold when $t < 1$, because $|\Lambda(x, y)| \geq |x - y|$. One can see that if an arc Λ is a t_0 -quasi-arc, then Λ will be a t -quasi-arc for all $t \geq t_0$. Therefore, each quasi-arc is a t -quasi-arc for each $t \geq 1$.

With the above definition of t -quasi-arcs, Norton (see [4]) obtained the following sufficient condition for an arc Λ to be a Whitney set: if Λ is a t -quasi-arc and if t is less than the Hausdorff dimension $\dim_H(\Lambda)$ of Λ , then Λ is a Whitney set.

Seeking for necessary conditions for a t -quasi-arc to be a Whitney set, Norton posed the following question (see [4]): is there an arc Λ and a C^1 function f critical but not constant on Λ such that for every subarc η of Λ on which f is not constant, η is a t -quasi-arc for no $t \in [1, \infty)$?

In [6], Wen and Xi gave an affirmative answer to the above question. They gave a Whitney function f on a self-similar arc Λ such that each subarc of Λ is a t -quasi-arc for no $t \in [1, \infty)$. In that paper, the function f is constant on some subarcs of Λ , which means that f is not a strict Whitney function on Λ . We are interested in finding a strict Whitney function on Λ .

In [4], Norton also considered the criticality of Whitney sets.

Definition 1.6. For a Whitney set E , the *Criticality* of E is defined to be

$$\text{Cr}(E) = \sup\{r : \text{there exists a non-constant function } f : E \rightarrow \mathbb{R} \text{ and an } M > 0 \text{ such that } |f(x) - f(y)| \leq M|x - y|^r \forall x, y \in E\}.$$

If E is a Whitney set, then $1 \leq \text{Cr}(E) \leq \dim_H(E)$ (see [4]). Recall that $\dim_H(E)$ is the Hausdorff dimension of E .

Wen and Xi worked on self-similar arcs in [6], and obtained that each self-similar arc of Hausdorff dimension greater than 1 is a Whitney set. In this paper, we obtain the following result.

THEOREM 1.7. *Let Λ be a self-similar arc of Hausdorff dimension $s > 1$. Then Λ is a strict Whitney set with criticality $\text{Cr}(\Lambda) = s$.*

Theorem 1.7 improves the main result in [6] in two aspects. First, the constructed Whitney function is strictly monotone. Second, the involved Hölder component \tilde{s} is arbitrarily close to the Hausdorff dimension s , hence it determines the criticality to be exactly s .

In Section 4, we define “Condition W_p ” for a self-similar arc at the p -th vertex, and prove that for a self-similar arc Λ with $\ell + 1$ vertices, the Hausdorff measure function is a Whitney function on Λ if and only if Condition W_p is satisfied for $p = 1, \dots, \ell - 1$. We also define “Condition Q_p^t ”, and prove that a self-similar arc is a t -quasi-arc if and only if Condition Q_p^t is satisfied for all “inner vertices”.

In order to have a better understanding of self-similar arcs, we introduce the notion of regular self-similar arcs in Section 5. Roughly speaking, a regular self-similar arc is a self-similar arc in \mathbb{R}^2 generated by a “basic figure” with certain properties. One classical example of regular self-similar arc is the Koch curve.

In Section 6, we further analyze Conditions W_p and Q_p^t for regular self-similar arcs, and reduce them to certain inequalities. We first prove that if the p -th corner angle $\theta_p > 0$ then Conditions W_p and Q_p^t (for each $t \geq 1$) are satisfied. Consequently, a regular self-similar arc with positive corner angles is necessarily a quasi-arc and its Hausdorff measure function is a strictly monotone Whitney function.

In case a corner angle is zero, Condition W_p and Condition Q_p^t are reduced to inequalities about specific parameters of the self-similar arc. By using these algebraic expressions, we could easily recognize t -quasi-arcs among regular self-similar arcs and determine whether the Hausdorff measure function on a regular self-similar arc is a Whitney function.

In the last section, we provide an example of a one-parameter family of regular self-similar arcs with various features. For some values of the parameter τ , the Hausdorff measure function on the self-similar arc is a strict Whitney function, and hence the self-similar arc is an s -quasi-arc, where s is the Hausdorff dimension of the arc. For each $t_0 \geq 1$, there is a value of τ such that the corresponding self-similar arc is a t -quasi-arc for each $t > t_0$, but it is not a t_0 -quasi-arc. For each $t_0 > 1$, there is a value of τ such that the corresponding self-similar arc is a t_0 -quasi-arc, but it is a t -quasi-arc for no $t \in [1, t_0)$.

In the construction of the above mentioned one-parameter family of self-similar arcs, a crucial step in the reasoning is that the self-similar arc is a t -quasi-arc if and only if the parameter τ has approximation property $J_{(t-1)\log(15/7)}$. See Definition 7.1 for the definition of approximation property J_a .

The significance of the given family of self-similar arcs lies in that it provides a method to produce various examples.

2. Self-similar arcs

A mapping $F : \mathbb{R}^N \rightarrow \mathbb{R}^N$ is said to be a contractive mapping if there exists $k \in [0, 1)$ such that $|F(x) - F(y)| \leq k|x - y|$ for all $x, y \in \mathbb{R}^N$.

A compact set $K \subset \mathbb{R}^N$ is said to be *invariant* with respect to a finite set $\mathcal{S} = \{S_1, \dots, S_\ell\}$ of contractive mappings on K , if

$$K = \bigcup_{j=1}^{\ell} S_j(K).$$

In [3], Hutchinson gave the following theorem.

THEOREM 2.1. *Let $X = (X, d)$ be a complete metric space and let $\mathcal{S} = \{S_1, \dots, S_\ell\}$ be a finite set of contractive mappings on X . Then there exists a unique closed bounded set K such that $K = \bigcup_{j=1}^{\ell} S_j(K)$. Furthermore, K is compact and is the closure of the set of fixed points $s_{j_1 \dots j_p}$ of finite compositions $S_{j_1} \circ \dots \circ S_{j_\ell}$ of members of \mathcal{S} .*

A mapping $S : \mathbb{R}^N \rightarrow \mathbb{R}^N$ is called a similitude if there is an $r > 0$ such that

$$|S(x) - S(y)| = r|x - y|, \quad \text{for } x, y \in \mathbb{R}^N.$$

If $0 < r < 1$, we say that S is a contractive similitude.

Suppose that $\mathcal{S} := \{S_1, \dots, S_\ell\}$ is a family of contractive similitudes with ratios r_1, \dots, r_ℓ . Then there is a unique set E satisfying

$$E = \bigcup_{i=1}^{\ell} S_i(E).$$

The set E is called the self-similar set associated to \mathcal{S} .

Definition 2.2. The compact self-similar set Λ associated to a family of contractive similitudes $\mathcal{S} = \{S_i\}_{1 \leq i \leq \ell}$ is called a *self-similar arc* if the following two conditions are satisfied:

- (1) $S_i(\Lambda) \cap S_j(\Lambda)$ is a singleton for $|i - j| = 1$;
- (2) $S_i(\Lambda) \cap S_j(\Lambda) = \emptyset$ for $|i - j| > 1$.

Let Λ be the self-similar arc associate to a family $\mathcal{S} = \{S_1, \dots, S_\ell\}$. The Hausdorff dimension s of Λ is determined by the equation

$$\sum_{j=1}^{\ell} r_j^s = 1,$$

where $\{r_j\}_{j=1}^{\ell}$ are the contractive ratios of $\{S_j\}_{j=1}^{\ell}$ (see [3]). We say that a self-similar arc Λ is *non-trivial* if the Hausdorff dimension of Λ is $s > 1$, *i.e.*, Λ is not a line segment.

Suppose that the non-trivial self-similar arc Λ is defined by a homeomorphism $h : [0, 1] \rightarrow \Lambda$ so that $h(0) \in S_1(\Lambda)$. For $x, y \in \Lambda$, we say that x precedes y , and write $x \prec y$, if $h^{-1}(x) < h^{-1}(y)$. Then we define intervals on Λ , $[x, y] = \{z \in \Lambda : x \preceq z \preceq y\}$. Now on Λ , there are points $z_0 \prec z_1 \prec \dots \prec z_\ell$ so that $S_j(\Lambda) = [z_{j-1}, z_j]$. Set $\mathcal{S}^{(k)} = \{S_{j_1} \dots S_{j_k} : 1 \leq j_1, \dots, j_k \leq \ell\}$ for $k \geq 1$ and $\mathcal{S}^{(0)} = \{\text{Id}\}$. Here $S_j S_i = S_j \circ S_i$, $S_j^k = S_j \dots S_j$ (k times), etc.

By Definition 1.5, for $x, y \in \Lambda$, $\Lambda(x, y)$ is the subarc between x and y . Here, we denote by $[x, y]$ the subarc from x to y . So $\Lambda(x, y) = [x, y]$ or $\Lambda(x, y) = [y, x]$.

The sets $S_{j_1} \dots S_{j_k}(\Lambda)$ are intervals on Λ overlapping only at end points. Thus there are points $z_j^{(k)}$, $j = 1, \dots, \ell^k$, and a numbering $\{S_j^{(k)} : 1 \leq j \leq \ell^k\}$ of elements of $\mathcal{S}^{(k)}$ such that $z_0^{(k)} \prec z_1^{(k)} \prec \dots \prec z_{\ell^k}^{(k)}$ and $S_j^{(k)}(\Lambda) = [z_{j-1}^{(k)}, z_j^{(k)}]$. In other works, $S_j^{(k)}$ is the unique member of $\mathcal{S}^{(k)}$ which maps Λ to $[z_{j-1}^{(k)}, z_j^{(k)}]$. If $k \leq m$, we have

$$(2) \quad z_j^{(k)} = z_{j\ell^{m-k}}^{(m)}.$$

Note that $S_1^{(k)}$ is not necessarily equal to S_1^k , because S_1 may be “order reversing”.

A similitude $S_{j_1} \cdots S_{j_k}$ is order-preserving if $S_{j_1} \cdots S_{j_k}(z_0) \prec S_{j_1} \cdots S_{j_k}(z_\ell)$; otherwise it is called order-reversing.

Let τ be the function on the collection of finite sequences (j_1, \dots, j_k) of members of $\{1, \dots, \ell\}$ defined by

$$(3) \quad \tau(j_1, \dots, j_k) = \begin{cases} 1, & \text{if } S_{j_1} \cdots S_{j_k} \text{ order-preserving,} \\ -1, & \text{if } S_{j_1} \cdots S_{j_k} \text{ order-reversing.} \end{cases}$$

The mapping $S_{j_1} S_{j_2}$ is order-preserving if S_{j_1} and S_{j_2} are both order-preserving or both order-reversing; it follows that $\tau(j_1, \dots, j_k) = \tau(j_1) \cdots \tau(j_k)$.

It would be more convenient for us if S_1 and S_ℓ are order-preserving. Of course, that is not the case in general. One might hope that when S_1 and S_ℓ are not both order-preserving, $S_1^{(k)}$ and $S_{\ell^k}^{(k)}$ could be made order-preserving by choosing k suitably. Unfortunately, that could not be achieved either. In other words, [6, Lemma 1] is incorrect.

Example 2.3. Suppose that S_1 is order-preserving, S_ℓ is order-reversing, and $k > 1$. Since $S_{\ell^k}^{(k)}$ is the unique composition $S_{j_1} \cdots S_{j_k}$ such that $S_{j_1} \cdots S_{j_k}(\Lambda) \ni z_\ell$, and since $S_\ell(S_1^{k-1})(z_0) = z_\ell$, it follows that $S_{\ell^k}^{(k)} = S_\ell S_1^{k-1}$. Therefore, $S_{\ell^k}^{(k)}$ is order-reversing for each $k > 1$.

By arguments similar to above, we obtain

$$(4) \quad \begin{aligned} S_1^{(k)} &= S_1^k, & S_{\ell^k}^{(k)} &= S_\ell^k, & \text{if } \tau(1) = 1, \tau(\ell) = 1, \\ S_1^{(k)} &= S_1^k, & S_{\ell^k}^{(k)} &= S_\ell S_1^{k-1}, & \text{if } \tau(1) = 1, \tau(\ell) = -1, \\ S_1^{(k)} &= S_1 S_\ell^{k-1}, & S_{\ell^k}^{(k)} &= S_\ell^k, & \text{if } \tau(1) = -1, \tau(\ell) = 1, \\ S_1^{(2k)} &= (S_1 S_\ell)^k, & S_{\ell^k}^{(2k)} &= (S_\ell S_1)^k, & \text{if } \tau(1) = -1, \tau(\ell) = -1, \\ S_1^{(2k+1)} &= (S_1 S_\ell)^k S_1, & S_{\ell^k}^{(2k+1)} &= (S_\ell S_1)^k S_\ell, & \text{if } \tau(1) = -1, \tau(\ell) = -1. \end{aligned}$$

We now define a homeomorphism g from $[0, 1]$ onto Λ , which has properties necessary for the proofs of several theorems. Set

$$\begin{aligned} \Gamma &= \{z_j^{(k)} : k \geq 1, 0 \leq j \leq \ell^k\}, \\ Q &= \left\{ \frac{j}{\ell^k} : k \geq 1, 0 \leq j \leq \ell^k \right\} \subset [0, 1]. \end{aligned}$$

Let $g : Q \rightarrow \Gamma$ be defined by

$$(5) \quad g\left(\frac{j}{\ell^k}\right) = z_j^{(k)}.$$

By (2), g is well defined. By its very definition, the function g is bijective and order-preserving, *that is*, $u < v$ implies that $g(u) \prec g(v)$. Since Q is dense in $[0, 1]$ and Γ is dense in Λ , g extends to be a homeomorphism from $[0, 1]$ onto Λ .

Suppose that $\alpha \in [0, 1] \setminus Q$. Then we can uniquely split $[0, 1]$ into (A, B) such that $a < \alpha < b$ for $a \in A$ and $b \in B$. Then the unique point on Λ which split Λ in $(g(A), g(B))$ is denoted by $g(\alpha)$. We have proved the following lemma.

LEMMA 2.4. *There is an order-preserving homeomorphism $g : [0, 1] \rightarrow \Lambda$ such that for each $k \geq 1$ and each $j = 1, 2, \dots, \ell^k$, we have*

$$g\left(\left[\frac{j-1}{\ell^k}, \frac{j}{\ell^k}\right]\right) = [z_{j-1}^{(k)}, z_j^{(k)}].$$

3. Proof of Theorem 1.7

LEMMA 3.1. *Let Λ be the self-similar arc associated to similitudes S_1, \dots, S_ℓ , let $s > 1$ be the Hausdorff dimension of Λ , let $\tilde{s} \in (1, s)$, and let $\{\varepsilon_k\}$ be a sequence of positive numbers with $\varepsilon_1 \leq 1$ and $\varepsilon_k \searrow 0$. Suppose that the ratios r_j of S_j satisfy*

$$(6) \quad r_1^{\tilde{s}} + r_\ell^{\tilde{s}} < \sum_{j=1}^{\ell} r_j^{\tilde{s}} - 1.$$

Then there exists a number $s' \in (\tilde{s}, s)$, a sequence $\{\tau_k\}$ of positive numbers with $\tau_k \searrow 0$, and a probability measure μ on Λ such that

- (i) $\tau_k \leq \min(r_1^s, r_\ell^s, \varepsilon_k^{\tilde{s}})$;
- (ii) $\mu([x, y]) > 0$ if $x, y \in \Lambda$ and $x \prec y$;
- (iii) $\mu(S_{j_1} \cdots S_{j_k}(E)) \leq (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} \mu(E)$ for each Borel subset E of Λ ;
- (iv) $\mu(S_{i_1} \cdots S_{i_k}(\Lambda)) = \tau_1 \cdots \tau_k$ if $i_n = 1$ or ℓ for $n = 1, \dots, k$.

Proof. By (6), we have $\sum_{j=2}^{\ell-1} r_j^{\tilde{s}} > 1$. By the basic property, $\sum_{j=1}^{\ell} r_j^s = 1$, of the self-similar set Λ , we obtain that $\sum_{j=2}^{\ell-1} r_j^s < 1$. So we see that there is an $s' \in (\tilde{s}, s)$ such that

$$(7) \quad \sum_{j=2}^{\ell-1} r_j^{s'} = 1.$$

Let $r = \min_{2 \leq j \leq \ell-1} r_j$. Since $s' < s$, we can choose $\gamma > 0$ so that $s' + \gamma < s$ and $r^{-(\gamma\pi^2)/6} < 1 + \varepsilon_1$. Therefore, $s' < s' + \gamma/k^2 < s$ for any $k \in \mathbb{N}$. It is easy to see that when we raise s' to $s' + \gamma/k^2$ in (7), we have the sum

$$(8) \quad \sum_{j=2}^{\ell-1} r_j^{s'+\gamma/k^2} < 1, \quad \text{for each } k \in \mathbb{N}.$$

Now let τ'_k be such that

$$(9) \quad 2\tau'_k + \sum_{j=2}^{\ell-1} r_j^{s'+\gamma/k^2} = 1.$$

Because of (9) and

$$1 = r_1^s + r_\ell^s + \sum_{j=2}^{\ell-1} r_j^s < r_1^s + r_\ell^s + \sum_{j=2}^{\ell-1} r_j^{s'+\gamma/k^2},$$

we have $0 < 2\tau'_k < r_1^s + r_\ell^s$. By (7) and (9), we know that

$$(10) \quad \lim_{k \rightarrow \infty} \tau'_k = \frac{1}{2} \lim_{k \rightarrow \infty} \left(1 - \sum_{j=2}^{\ell-1} r_j^{s'+\gamma/k^2} \right) = \frac{1}{2} \left(1 - \sum_{j=2}^{\ell-1} r_j^{s'} \right) = 0.$$

Let $\tau_k = \min(r_1^s, r_\ell^s, \varepsilon_k^{\tilde{s}}, \tau'_k)$. Then

$$2\tau_k + \sum_{j=2}^{\ell-1} r_j^{s'+\gamma/k^2} \leq 2\tau'_k + \sum_{j=2}^{\ell-1} r_j^{s'+\gamma/k^2} = 1,$$

and then we can choose $s_k \leq s' + \gamma/k^2$ so that

$$(11) \quad 2\tau_k + \sum_{j=2}^{\ell-1} r_j^{s_k} = 1.$$

By comparing (7) and (11), it follows that $s' < s_k$. Then $s' < s_k \leq s' + \gamma/k^2$ and therefore $s_k \rightarrow s'$ as $k \rightarrow \infty$. Since we choose τ_k to be less than or equal to τ'_k and since (10), we know that $\tau_k \searrow 0$ as $k \rightarrow \infty$.

For $j = 1, \dots, \ell, k = 1, 2, \dots$, we define numbers r_{jk} by

$$r_{jk} = \begin{cases} r_j^{s_k}, & \text{if } j \neq 1, \ell, \\ \tau_k, & \text{if } j = 1 \text{ or } \ell. \end{cases}$$

Then we have

$$(12) \quad \sum_{j=1}^{\ell} r_{jk} = 1.$$

We now define a probability measure μ by

$$(13) \quad \mu(\Lambda) = 1, \quad \mu(S_{j_1} \cdots S_{j_k}(\Lambda)) = r_{j_1,1} \cdots r_{j_k,k}.$$

Equality (12) implies that for $k = 1, 2, \dots$,

$$\mu(S_{j_1} \cdots S_{j_{k-1}}(\Lambda)) = \sum_{j=1}^{\ell} \mu(S_{j_1} \cdots S_{j_{k-1}} S_j(\Lambda)).$$

Thus the definition (13) is consistent.

Now (i), (ii), and (iv) are satisfied; it remains to prove (iii). It suffices to show that (iii) holds for $E = S_{i_1} \cdots S_{i_n}(\Lambda)$, *i.e.*, for arbitrary $j_1, \dots, j_k, i_1, \dots, i_n$,

$$\mu(S_{j_1} \cdots S_{j_k} S_{i_1} \cdots S_{i_n}(\Lambda)) \leq (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} \mu(S_{i_1} \cdots S_{i_n}(\Lambda)).$$

We know that if $2 \leq j \leq \ell - 1$, then

$$\frac{r_{j,k+m}}{r_{jm}} = \frac{r_j^{s_{k+m}}}{r_j^{s_m}} \leq r^{-(s_m - s_{k+m})} \leq r^{-(s_m - s')} < r^{-\gamma/m^2};$$

if $j = 1$ or ℓ , then

$$\frac{r_{j,k+m}}{r_{jm}} = \frac{\tau_{k+m}}{\tau_k} \leq 1 < r^{-\gamma/m^2}.$$

Thus,

$$\frac{r_{j,k+m}}{r_{jm}} < r^{-\gamma/m^2}, \quad j = 1, 2, \dots, \ell, m = 1, 2, \dots$$

On the other hand we have that $r_{jk} < r_j^{s'}$ for $j = 1, \dots, \ell, k = 1, 2, \dots$. Therefore,

$$\begin{aligned} \frac{\mu(S_{j_1} \cdots S_{j_k} S_{i_1} \cdots S_{i_n}(\Lambda))}{\mu(S_{i_1} \cdots S_{i_n}(\Lambda))} &= r_{j_1,1} \cdots r_{j_k,k} \frac{r_{i_1,(k+1)}}{r_{i_1,1}} \cdots \frac{r_{i_\ell,(k+n)}}{r_{i_n,n}} \\ &\leq r_{j_1}^{s'} \cdots r_{j_k}^{s'} \prod_{m=1}^{\infty} r^{-\gamma/m^2} \\ &= (r_{j_1} \cdots r_{j_k})^{s'} r^{-\gamma\pi^2/6} \\ &< (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'}. \quad \square \end{aligned}$$

Proof of Theorem 1.7. Let $\tilde{s} \in (1, s)$ be given. We prove that there exists a function f on Λ , constant on no non-empty relatively open subsets of Λ , and a constant C such that $|f(x) - f(y)| \leq C|x - y|^{\tilde{s}}$ for all $x, y \in \Lambda$.

Suppose that Λ is the self-similar arc associated to a family $\mathcal{S} := \{S_1, \dots, S_\ell\}$ of contractive similitudes with ratios r_1, \dots, r_ℓ . Let $g: [0, 1] \rightarrow \Lambda$ be the homeomorphism defined in Lemma 2.4.

Suppose that $S_j(\Lambda) = [z_{j-1}, z_j]$, $j = 1, \dots, \ell$. For each $j = 1, \dots, \ell - 1$, we consider sequences of points $\{\alpha_{jk}\}_{k=1}^\infty$ and $\{\beta_{jk}\}_{k=1}^\infty$ in Λ which are converging to z_j , where

$$\begin{aligned} \alpha_{jk} &= g\left(\frac{j}{\ell} - \frac{1}{\ell^k}\right), \\ \beta_{jk} &= g\left(\frac{j}{\ell} + \frac{1}{\ell^k}\right). \end{aligned}$$

So $\alpha_{j1} = z_{j-1}$ and $\beta_{j1} = z_{j+1}$.

In the following, let $\text{dis}(X, Y)$ denote the euclidean distance between the two sets X, Y . Set

$$\varepsilon_{kj} = \min\{1, \text{dis}([z_{j-1}, \alpha_{j,k+1}], [z_j, z_{j+1}]), \text{dis}([z_{j-1}, z_j], [\beta_{j,k+1}, z_{j+1}])\},$$

and $\varepsilon_k = \min\{\varepsilon_{kj} : j = 1, \dots, \ell - 1\}$.

Since $\tilde{s} < s$, we have $\sum_{j=1}^{\ell} r_j^{\tilde{s}} - 1 > 0$, hence (6) holds provided that r_1, r_{ℓ} are sufficiently small. Note that the quantity on the right-hand side of (6) becomes larger when \mathcal{S} is replaced by $\mathcal{S}^{(k)}$. Therefore, replacing \mathcal{S} by $\mathcal{S}^{(k)}$ if necessary, we assume that r_1 and r_{ℓ} are so small that (6) holds.

By Lemma 3.1, there is a probability measure μ on Λ with properties (i)–(iv) specified in the lemma.

Now we define a function $f : \Lambda \rightarrow \mathbb{R}$ by letting $f(x) = \mu([z_0, x])$. By (ii), if $x \prec y$, then $f(y) - f(x) = \mu[x, y]$, hence f is non-constant on each subarc of Λ . We shall show that there is a constant $C > 0$ such that

$$(14) \quad |f(x) - f(y)| \leq C|x - y|^{\tilde{s}}, \quad \text{for } x, y \in \Lambda.$$

Consider two distinct points x, y in Λ . Let L be the diameter of Λ and let $R = \max r_j$. Set

$$W(x, y) = \{ \kappa : \kappa \geq 0, x, y \in S_j^{(\kappa)}(\Lambda) \text{ for some } j, 1 \leq j \leq \ell^{\kappa} \}.$$

Then $0 \in W(x, y)$ and $W(x, y) \neq \emptyset$. When $\kappa > \log(|x - y|/L)/\log R$, the diameter of $S_j^{(\kappa)}(\Lambda)$ has estimate $\text{diam}(S_j^{(\kappa)}(\Lambda)) \leq R^{\kappa}L < |x - y|$, which implies that $\{x, y\} \not\subset S_j^{(\kappa)}(\Lambda)$, and hence $\kappa \notin W(x, y)$. Thus, $\kappa \leq \log(|x - y|/L)/\log R$ for $\kappa \in W(x, y)$. Let $k = \max W(x, y)$. Then $x, y \in S_j^{(k)}(\Lambda)$ for some j with $1 \leq j \leq \ell^k$. Let $x', y' \in \Lambda$ be such that $x = S_j^{(k)}(x')$, $y = S_j^{(k)}(y')$. Without loss of generality, we assume that $x' \prec y'$. Choose integers d_1, d_2 so that $x' \in S_{d_1}(\Lambda)$ and $y' \in S_{d_2}(\Lambda)$. By the maximality of k , $d_1 < d_2$. We consider the following two cases.

Case 1. $S_{d_1}(\Lambda) \cap S_{d_2}(\Lambda) = \emptyset$.

By the definition of f and Lemma 3.1, there exists $s' \in (\tilde{s}, s)$ such that

$$|f(x) - f(y)| \leq \mu(S_{j_1} \cdots S_{j_k}(\Lambda)) \leq (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} \mu(\Lambda).$$

Let δ be the least distance between two disjoint subarcs $S_i(\Lambda)$ and $S_j(\Lambda)$ with $1 \leq i + 1 < j \leq \ell$. Then

$$\begin{aligned} |x - y| &\geq \text{dis}(S_{j_1} \cdots S_{j_k} S_{d_1}(\Lambda), S_{j_1} \cdots S_{j_k} S_{d_2}(\Lambda)) \\ &= r_{j_1} \cdots r_{j_k} \text{dis}(S_{d_1}(\Lambda), S_{d_2}(\Lambda)) \\ &\geq r_{j_1} \cdots r_{j_k} \delta. \end{aligned}$$

It follows that

$$\frac{|f(x) - f(y)|}{|x - y|^{\tilde{s}}} \leq \frac{(1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'}}{(r_{j_1} \cdots r_{j_k})^{\tilde{s}} \delta^{\tilde{s}}}.$$

Therefore,

$$(15) \quad \frac{|f(x) - f(y)|}{|x - y|^{\tilde{s}}} \leq \delta^{-\tilde{s}}(1 + \varepsilon_1).$$

Case 2. $S_{d_1}(\Lambda) \cap S_{d_2}(\Lambda) \neq \emptyset$.

In this case, we assume that $d_1 = p$ and $d_2 = p + 1$. Then $x' \in [z_{p-1}, z_p] = S_p(\Lambda)$, $y' \in [z_p, z_{p+1}] = S_{p+1}(\Lambda)$. By the maximality of k , we have $x' \prec z_p \prec y'$. Set $z = S_{j_1} \cdots S_{j_k}(z_p)$. Let m be the least positive integer such that $x' \prec \alpha_{p,m+1}$. So $\alpha_{pm} \preceq x' \prec \alpha_{p,m+1}$. Similarly, let q be the unique positive integer so that $\beta_{p,q+1} \prec y' \preceq \beta_{pq}$. Then we have

$$\begin{aligned} |x - y| &\geq \text{dis}(S_{j_1} \cdots S_{j_k}([\alpha_{p1}, \alpha_{p,m+1}], S_{j_1} \cdots S_{j_k}([\beta_{p,q+1}, \beta_{p1}])) \\ &= r_{j_1} \cdots r_{j_k} \text{dis}([\alpha_{p1}, \alpha_{p,m+1}], [\beta_{p,q+1}, \beta_{p1}]) \\ &\geq r_{j_1} \cdots r_{j_k} \max(\varepsilon_m, \varepsilon_q). \end{aligned}$$

We also have

$$\begin{aligned} |f(x) - f(y)| &= \mu([x, z]) + \mu([z, y]) \\ &\leq \mu(S_{j_1} \cdots S_{j_k}([\alpha_{pm}, z_p])) + \mu(S_{j_1} \cdots S_{j_k}([z_p, \beta_{pq}])) \\ &\leq (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} (\mu([\alpha_{pm}, z_p]) + \mu([z_p, \beta_{pq}])) \\ &= (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} (r_{i_1,1} \cdots r_{i_m,m} + r_{\iota_1,1} \cdots r_{\iota_q,q}) \\ &\leq (1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} (r_{i_m,m} + r_{\iota_q,q}). \end{aligned}$$

Here $r_{i_1,1} = r_p^{s_1}$, $r_{\iota_1,1} = r_{p+1}^{s_1}$, $r_{i_j,j} = \tau_j$ for $j > 1$, and $r_{\iota_n,n} = \tau_n$ for $n > 1$. If $m > 1$, then $r_{i_m,m}/\varepsilon_m^{\tilde{s}} = \tau_m/\varepsilon_m^{\tilde{s}} \leq 1 \leq \varepsilon_1^{-s}$. Also, $r_{i_1,1}/\varepsilon_1^{\tilde{s}} \leq 1/\varepsilon_1^{\tilde{s}} \leq \varepsilon_1^{-s}$. In any case, $r_{i_m,m}/\varepsilon_m^{\tilde{s}} \leq \varepsilon_1^{-s}$. Similarly, $r_{\iota_q,q}/\varepsilon_q^{\tilde{s}} \leq \varepsilon_1^{-s}$. Therefore,

$$\begin{aligned} (16) \quad \frac{|f(x) - f(y)|}{|x - y|^{\tilde{s}}} &\leq \frac{(1 + \varepsilon_1)(r_{j_1} \cdots r_{j_k})^{s'} (r_{i_m,m} + r_{\iota_q,q})}{(r_{j_1} \cdots r_{j_k})^{\tilde{s}} \max(\varepsilon_m, \varepsilon_q)^{\tilde{s}}} \\ &\leq 2\varepsilon_1^{-s}(1 + \varepsilon_1). \end{aligned}$$

It follows from (15) and (16) that

$$|f(x) - f(y)| \leq C|x - y|^{\tilde{s}},$$

where $C = \max(2\varepsilon_1^{-s}, \delta^{-\tilde{s}})(1 + \varepsilon_1)$. Since $\tilde{s} > 1$ is arbitrarily close to s , we see that the self-similar arc Λ is a strict Whitney set and $\text{Cr}(\Lambda) = s$. \square

4. Localization

In this section, we define ‘‘Condition W_p ’’ for a self-similar arc at the p -th vertex, and prove that for a self-similar arc Λ with $\ell + 1$ vertices, the Hausdorff measure function is a Whitney function on Λ if and only if Condition W_p is satisfied for $p = 1, \dots, \ell - 1$. We also define ‘‘Condition Q_p^t ’’, and prove that a self-similar arc is a t -quasi-arc if and only if Condition Q_p^t is satisfied for all inner vertices.

Suppose that Λ is the self-similar arc associated to a family $\mathcal{S} := \{S_1, \dots, S_\ell\}$ of contractive similitudes with ratios r_1, \dots, r_ℓ , and that the Hausdorff dimension of Λ is $s > 1$. Recall that for $x, y \in \Lambda$ with $x \prec y$, $[x, y]$ is the subarc of Λ from x to y . Let $H^s([x, y])$ be the s -dimensional Hausdorff

measure of $[x, y]$, and let $f(x) = H^s([z_0, x])$, where z_0 is the “initial point” of Λ .

As in the proof of Theorem 1.7, for distinct points $x, y \in \Lambda$, let $W(x, y)$ denote the set of positive integers k such that $x, y \in S_j^{(k)}$ for some j with $1 \leq j \leq \ell^k$.

Definition 4.1. Let Λ be a self-similar arc with $\ell + 1$ vertices, and let $1 \leq p \leq \ell - 1$. The arc Λ is said to satisfy *Condition W_p* if

$$(17) \quad |f(x) - f(y)| = o(|x - y|) \quad \text{for } z_{p-1} \preceq x \preceq z_p \preceq y \preceq z_{p+1}$$

or, equivalently, if for each $\varepsilon > 0$ there is a $\mu_p > 0$ such that

$$|f(x) - f(y)| \leq \varepsilon|x - y| \quad \text{whenever } z_{p-1} \preceq x \preceq z_p \preceq y \preceq z_{p+1} \text{ and } |x - y| < \mu_p.$$

PROPOSITION 4.2. *The function f has the property*

$$(18) \quad |f(x) - f(y)| = o(|x - y|), \quad x, y \in \Lambda$$

if and only if Λ satisfies Condition W_p for $p = 1, \dots, \ell - 1$.

Proof. The “only if” part is trivial.

Suppose that Λ satisfies Condition W_p for $p = 1, \dots, \ell - 1$. Let $\varepsilon > 0$ be given. Let $\mu_p > 0$ be the associated number in Definition 4.1, $p = 1, \dots, \ell - 1$. Set $\mu = \min\{\mu_1, \dots, \mu_{\ell-1}\}$ and $r = \max\{r_1, \dots, r_\ell\}$. Let δ_0 be the least distance between two disjoint subarcs $S_i(\Lambda)$ and $S_j(\Lambda)$ with $2 \leq i + 1 < j \leq \ell$. Let

$$\begin{aligned} \delta_1 &= \left(\frac{\varepsilon\delta_0^s}{\beta}\right)^{1/(s-1)}, \\ \delta_2 &= \left(\frac{\varepsilon\mu^s}{\beta}\right)^{1/(s-1)}, \\ \delta &= \min(\delta_1, \delta_2), \end{aligned}$$

where s is the Hausdorff dimension of Λ and $\beta = H^s(\Lambda)$.

Suppose that $x, y \in \Lambda$ with $0 < |x - y| < \delta$. Let $k = \max W(x, y)$. Then $x, y \in S_j^{(k)}(\Lambda)$ for some j with $1 \leq j \leq \ell^k$. Let $x', y' \in \Lambda$ be such that $x = S_j^{(k)}(x')$ and $y = S_j^{(k)}(y')$. Without loss of generality, we assume that $x' \prec y'$. There are integers $d_1 \leq d_2$ such that $x' \in S_{d_1}(\Lambda)$, $y' \in S_{d_2}(\Lambda)$. By the maximality of k , $d_1 < d_2$. We consider the following three cases.

Case 1. $d_2 - d_1 > 1$. Write $S_j^{(k)} = S_{j_1} \cdots S_{j_k}$. Then $(r_{j_1} \cdots r_{j_k})|x' - y'| = |x - y| < \delta_1$ implies that $(r_{j_1} \cdots r_{j_k}) < \delta_1/\delta_0$. Thus

$$\begin{aligned} \frac{|f(x) - f(y)|}{|x - y|} &= (r_{j_1} \cdots r_{j_k})^{s-1} \frac{|f(x') - f(y')|}{|x' - y'|} \\ &< \left(\frac{\delta_1}{\delta_0}\right)^{s-1} \frac{\beta}{\delta_0} = \varepsilon. \end{aligned}$$

Case 2. $d_2 - d_1 = 1$ and $|x' - y'| < \mu$. For convenience, set $p = d_1, p + 1 = d_2$. Since $|x' - y'| < \mu_p$, Condition W_p tells us that

$$\begin{aligned} \frac{|f(x) - f(y)|}{|x - y|} &= (r_{j_1} \cdots r_{j_k})^{s-1} \frac{|f(x') - f(y')|}{|x' - y'|} \\ &< (r_{j_1} \cdots r_{j_k})^{s-1} \varepsilon \leq \varepsilon. \end{aligned}$$

Case 3. $d_2 - d_1 = 1$ and $|x' - y'| \geq \mu$. As above, set $p = d_1, p + 1 = d_2$. Since $(r_{j_1} \cdots r_{j_k}) = |x - y|/|x' - y'| < \delta_2/\mu$, it follows that

$$\begin{aligned} \frac{|f(x) - f(y)|}{|x - y|} &= (r_{j_1} \cdots r_{j_k})^{s-1} \frac{|f(x') - f(y')|}{|x' - y'|} \\ &< \left(\frac{\delta_2}{\mu}\right)^{s-1} \frac{\beta}{\mu} = \varepsilon. \end{aligned}$$

Therefore, $|f(x) - f(y)| < \varepsilon|x - y|$ whenever $|x - y| < \delta$. The proof is complete. □

Let $t \geq 1$. Set $L(x, y) = |\Lambda(x, y)|^t/|x - y|$. Here $|\Lambda(x, y)|$ is the diameter of the subarc $\Lambda(x, y)$ of Λ between x and y . For $p = 1, \dots, \ell - 1$ we define Condition Q_p^t as follows.

Definition 4.3. A self-similar arc Λ is said to satisfy Condition Q_p^t , if there is a constant $C_p > 0$ such that $|\Lambda(x, y)|^t \leq C_p|x - y|$ when $z_{p-1} \preceq x \preceq z_p \preceq y \preceq z_{p+1}$.

PROPOSITION 4.4. A self-similar arc Λ is a t -quasi-arc if and only if Λ satisfies Condition Q_p^t for $p = 1, \dots, \ell - 1$.

Proof. The “only if” part is trivial.

Suppose that Λ satisfies Condition Q_p^t for $p = 1, \dots, \ell - 1$. Let $C_1, \dots, C_{\ell-1}$ be the numbers in Definition 4.3 for the vertices $z_1, \dots, z_{\ell-1}$, and set $C = \max\{C_1, \dots, C_{\ell-1}\}$. Let $L = |\Lambda|$ denote the diameter of Λ . As in the proof of Proposition 4.2, let δ_0 denote the least distance between two disjoint subarcs $S_i(\Lambda)$ and $S_j(\Lambda)$, $0 \leq i < j \leq \ell$. Set $M = \max(C, L^t/\delta_0)$.

Consider distinct points $x, y \in \Lambda$. Let $k = \max W(x, y)$. Then $x, y \in S_j^{(k)}(\Lambda)$ for some j with $1 \leq j \leq \ell^k$. Let $x', y' \in \Lambda$ be such that $x = S_j^{(k)}(x')$ and $y = S_j^{(k)}(y')$. Without loss of generality, we assume that $x' \prec y'$. There are integers $d_1 \leq d_2$ such that $x' \in S_{d_1}(\Lambda)$, $y' \in S_{d_2}(\Lambda)$. By the maximality of k , $d_1 < d_2$. We consider the following two cases.

Case 1. $d_2 - d_1 > 1$. Write $S_j^{(k)} = S_{j_1} \cdots S_{j_k}$. Then $|\Lambda(x, y)|^t = (r_{j_1} \cdots r_{j_k})^t |\Lambda(x', y')|^t$ and $|x - y| = (r_{j_1} \cdots r_{j_k})|x' - y'|$. Therefore,

$$L(x, y) = (r_{j_1} \cdots r_{j_k})^{t-1} L(x', y') \leq (r_{j_1} \cdots r_{j_k})^{t-1} \frac{L^t}{\delta_0} \leq \frac{L^t}{\delta_0}.$$

Case 2. $d_2 - d_1 = 1$. For convenience, set $p = d_1, p + 1 = d_2$. Since $z_{p-1} \preceq x' \preceq z_p \preceq y' \preceq z_{p+1}$, we have

$$L(x, y) = (r_{j_1} \cdots r_{j_k})^{t-1} L(x', y') \leq (r_{j_1} \cdots r_{j_k})^{t-1} C_p \leq C.$$

Therefore, $L(x, y) \leq M$ for distinct points $x, y \in \Lambda$. By Definition 1.5, Λ is a t -quasi-arc. □

5. Regular self-similar arcs in \mathbb{R}^2

In this section, we study “regular” self-similar arcs. We identify the euclidean plane with the complex plane \mathbb{C} and consider the similitudes on \mathbb{C} . It is an elementary fact that an orientation preserving similitude S is of the form $S(z) = az + b$, where $a, b \in \mathbb{C}$, while an orientation reversing similitude S has the form $S(z) = a\bar{z} + b$.

Let Ω be a polygon formed by a sequence of successive segments in the plane. Suppose that Ω has $\ell + 1$ vertices $\{A_0, A_1, \dots, A_\ell\}$, and that the points $A_1, A_{\ell-1}$ lie on segment $\overline{A_0 A_\ell}$ and the point $A_{\ell-1}$ lies on segment $\overline{A_1 A_\ell}$. Suppose that there is a vertex A_q such that all vertices of the polygon belong to the set Π , which is defined to be the union of the point A_q , the segment $\overline{A_0 A_\ell}$, and the set Π_0 , which is in turn defined to be the interior of triangle $A_0 A_\ell A_q$. Let Π_1 be the closure of Π_0 . For $j = 1, \dots, \ell$, there is a unique orientation preserving similitude S_j such that $S_j(A_0) = A_{j-1}$ and $S_j(A_\ell) = A_j$. We assume that the similitudes S_j are contractive, that the sets $S_j(\Pi_0)$ are pairwise disjoint, and that $S_j(A_q) \in \Pi_1$ for $j = 1, \dots, \ell$. Finally, we assume that

$$(19) \quad S_j(\Pi_1) \cap (\overline{A_q A_0} \cup \overline{A_q A_\ell}) = \emptyset, \quad \text{if } j \neq 1, \ell, q, q + 1.$$

If all the above conditions are satisfied, we say that Ω is a basic figure (see Figure 1), and Π_1 (and/or Triangle $A_0 A_\ell A_q$, which is the union of the three sides) is the corresponding basic triangle.

Let Ω be a basic figure with vertices $\{z_0, z_1, \dots, z_\ell\}$ and let $\mathcal{S} = \{S_1, \dots, S_\ell\}$ be the corresponding contractive similitudes for Ω . Let Λ be the self-similar set associated to \mathcal{S} , *that is*, Λ is the unique compact set such that $\Lambda = \bigcup_{i=1}^\ell S_i(\Lambda)$.

We now discuss under what conditions Λ is an arc. For convenience, we assume that $z_0 = 0, z_\ell = 1$.

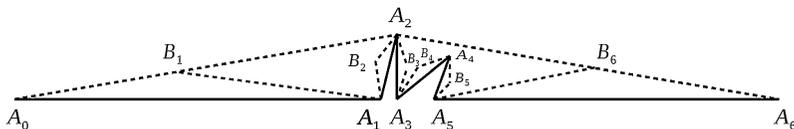


FIGURE 1. Basic figure.

PROPOSITION 5.1. *The self-similar set Λ is an arc if and only if*

$$(20) \quad S_j(\Lambda) \cap S_{j+1}(\Lambda) = \{z_j\}, \quad j = 1, \dots, \ell - 1.$$

Proof. Suppose that Λ is an arc. Let $1 \leq j \leq \ell$. Then $S_j(\Lambda)$ is the subarc of Λ from z_{j-1} to z_j , and $S_{j+1}(\Lambda)$ is the subarc from z_j to z_{j+1} . Thus, (20) is satisfied.

Conversely, suppose that (20) holds. In order to prove that Λ is an arc, we only need to prove that there is a homeomorphism between $[0, 1]$ and Λ .

Since S_j are orientation preserving contractive similitudes for Ω , we know that $S_j(z) = b_j z + z_{j-1}$, where $b_j = z_j - z_{j-1}$ for $j = 1, \dots, \ell$. Now each $x \in [0, 1]$ has a unique expansion $x = \sum_{j=1}^{\infty} u_j / \ell^j$, where $u_j = 0, \dots, \ell - 1$. Recall that

$$Q := \left\{ \frac{j}{\ell^k} : k \geq 1, 0 \leq j \leq \ell^k \right\} \subset [0, 1],$$

$$\Gamma := \{z_j^{(k)} : k \geq 1, 0 \leq j \leq \ell^k\} \subset \Lambda.$$

The function $g : Q \rightarrow \Gamma$ defined in (5) now has the form

$$g\left(\frac{j}{\ell^k}\right) = z_j^{(k)} = S_j^{(k)}(1) = S_{u_1+1} S_{u_2+1} \cdots S_{u_{k-1}+1} S_{u_k+1}(1),$$

where

$$\frac{j}{\ell^k} = \sum_{i=1}^k \frac{u_i}{\ell^i}.$$

It is straightforward but somewhat tedious to verify that

$$g\left(\sum_{j=1}^k \frac{u_j}{\ell^j}\right) = \sum_{j=1}^k a_{j-1}(u_1, \dots, u_{j-1}) z_{u_j},$$

where

$$a_0 = 1, \quad a_j(u_1, \dots, u_j) = \prod_{m=1}^j b_{u_m+1}, \quad j \geq 1.$$

By Lemma 2.4, the function g extends to be a homeomorphism $g : [0, 1] \rightarrow \Lambda$, which is given by

$$g(x) = \sum_{j=1}^{\infty} a_{j-1}(x) z_{u_j},$$

where

$$a_0(x) = 1, \quad a_j(x) = \prod_{m=1}^j b_{u_m+1}, \quad j \geq 1; \quad x = \sum_{j=1}^{\infty} \frac{u_j}{\ell^j}.$$

The proposition has been proved. □

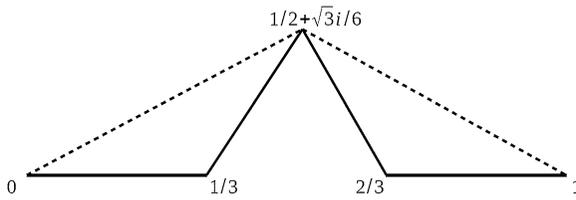


FIGURE 2. Basic figure of Koch curve.

Suppose that Ω is a basic figure with corresponding contractive, orientation preserving similitudes $\mathcal{S} = \{S_1, \dots, S_\ell\}$ and self-similar set Λ . If Λ is an arc, then Λ is a self-similar arc by Definition 2.2; in this case we say that Λ is the self-similar arc generated by the basic figure Ω . For example, the Koch curve is the self-similar arc generated by the basic figure which is the polygon with vertices $\{0, 1/3, 1/2 + \sqrt{3}i/6, 2/3, 1\}$ (see Figure 2), where we identify points on the complex plane with their complex number representations. Figure 1 gives us an example of a basic figure with 7 vertices. Triangle $A_2A_0A_6$ is the corresponding basic triangle.

Suppose that Λ is a self-similar arc generated by some basic figure and the associated similitudes $\mathcal{S} = \{S_1, \dots, S_\ell\}$ have contractive ratios r_1, \dots, r_ℓ . The vertices of the generating basic figure are not collinear, which implies that $r_1 + \dots + r_\ell > 1$. Since the Hausdorff dimension s of Λ is determined by the equation $r_1^s + \dots + r_\ell^s = 1$, it follows that $s > 1$.

Let Ω be a basic figure with vertices $\{z_0, z_1, \dots, z_\ell\}$, and let $\Delta_{z_q z_0 z_\ell}$ be the corresponding basic triangle. From now on, we have a standing assumption that

$$\Im \frac{z_q - z_0}{z_\ell - z_0} > 0,$$

which simplifies to $\Im z_q > 0$ when $z_0 = 0$ and $z_\ell = 1$. For $p = 1, \dots, \ell - 1$, set

$$\theta_p = \arg \frac{S_p(z_q) - z_p}{S_{p+1}(z_q) - z_p}, \quad 0 \leq \theta_p < 2\pi.$$

Here θ_p is the argument of the fraction, so it is the angle between the two segments from the vertex z_p to $S_p(z_q)$ and $S_{p+1}(z_q)$, respectively. We call θ_p the *corner angle* at vertex z_p . Set $\theta_{\min} = \min\{\theta_1, \dots, \theta_{\ell-1}\}$.

We now consider which points of Λ lie on the sides $\overline{z_q z_0}$ and $\overline{z_q z_\ell}$ of the basic triangle. First, points $\{S_1^j(z_q)\}$ lie on $\overline{z_q z_0}$ and accumulate at z_0 ; while $\{S_\ell^j(z_q)\}$ lie on $\overline{z_q z_\ell}$ and accumulate at z_ℓ . For some basic figure, the side $\overline{z_q z_0}$ may contain more points of Λ . For example, for the Koch curve, $q = 2$, $\ell = 4$, and the points $\{S_2 S_4^j(z_2)\}$ lie on the side $\overline{z_2 z_0}$ and accumulate at z_2 .

For the self-similar arc Λ , we define angles η_1, η_2 by

$$(21) \quad \eta_1 = \arg \frac{S_q(z_q) - z_q}{z_0 - z_q}, \quad \eta_2 = \arg \frac{z_\ell - z_q}{S_{q+1}(z_q) - z_q}, \quad 0 \leq \eta_1, \eta_2 < 2\pi.$$

(For the Koch curve $\eta_1 = \eta_2 = 0$.) Set

$$(22) \quad \eta_0 = \min(\eta_1, \eta_2), \quad \xi := \theta_{\min} + \eta_0.$$

The angle ξ is said to be the *characteristic angle* of Λ and of the corresponding basic figure Ω .

For example, in Figure 1, the basic figure Ω has 7 vertices $\{A_0, A_1, A_2, A_3, A_4, A_5, A_6\}$ with A_0, A_1, A_5, A_6 collinear. We also have a family of contractive similitudes $\mathcal{S} = \{S_j : j = 1, \dots, 6\}$, where

$$S_j(z) = \frac{A_j - A_{j-1}}{A_6 - A_0}(z - A_0) + A_{j-1}.$$

The triangle $\triangle A_2A_0A_6$ is the basic triangle, and its images under the similitudes are the smaller triangles: $\triangle B_1A_0A_1 = S_1(\triangle A_2A_0A_6)$, $\triangle B_2A_1A_2 = S_2(\triangle A_2A_0A_6)$, etc. Therefore, the corner angle $\theta_1 = \angle B_2A_1B_1$, θ_2 is the reflex angle $\angle B_3A_2B_2$, and θ_4 is the reflex angle $\angle B_5A_4B_4$, etc. The angles η_1, η_2 are $\eta_1 = \angle A_0A_2B_2$, $\eta_2 = \angle B_3A_2A_6$.

Definition 5.2. A *regular* self-similar arc is a self-similar arc generated by some basic figure with a positive characteristic angle.

As in Proposition 5.1, let Ω be a basic figure with vertices $\{z_0, z_1, \dots, z_\ell\}$, where $z_0 = 0, z_\ell = 1$. We now express the condition (20) in terms of the corner angles and other parameters of Ω . Let Λ be the self-similar set generated by Ω .

We fix an index p , where $1 \leq p \leq \ell - 1$. We first consider the case where $\theta_p > 0$. Recall that Π_1 is the union of the basic triangle and its interior. Since $\theta_p > 0$, we see that $S_p(\Pi_1) \cap S_{p+1}(\Pi_1) = \{z_p\}$, and $z_p = S_p(z_\ell) = S_{p+1}(z_0)$. It follows that

$$S_p(\Lambda) \cap S_{p+1}(\Lambda) = \{z_p\}.$$

Therefore condition (20) holds for $j = p$ when $\theta_p > 0$.

Now we assume that $\theta_p = 0$. Let γ be the segment $S_p(\overline{z_q z_\ell})$, and let $\omega = S_{p+1}(\overline{z_q z_0})$. That $\theta_p = 0$ means that one of the two segments γ, ω is contained in the other. Since $S_p(\Lambda) \subset S_p(\Pi_1)$ and $S_{p+1}(\Lambda) \subset S_{p+1}(\Pi_1)$, we see that

$$S_p(\Lambda) \cap S_{p+1}(\Lambda) \subset S_p(\Pi_1) \cap S_{p+1}(\Pi_1) = \gamma \cap \omega.$$

For $j, k = 0, 1, 2, \dots$, let

$$\begin{aligned} Z_j &= S_p S_\ell^j(z_q), \\ W_k &= S_{p+1} S_1^k(z_q). \end{aligned}$$

Since $\eta_0 + \theta_{\min} > 0$, the assumption $\theta_p = 0$ implies that $\eta_0 = \min(\eta_1, \eta_2) > 0$, hence $S_p(\Lambda) \cap (\gamma \setminus \{z_p\}) = \{Z_j : j = 1, 2, \dots\}$ and $S_{p+1}(\Lambda) \cap (\omega \setminus \{z_p\}) = \{W_k : k = 1, 2, \dots\}$. It follows that

$$\begin{aligned} (S_p(\Lambda) \cap S_{p+1}(\Lambda)) \setminus \{z_p\} &= (S_p(\Lambda) \cap (\gamma \setminus \{z_p\})) \cap (S_{p+1}(\Lambda) \cap (\omega \setminus \{z_p\})) \\ &= \{Z_j : j = 0, 1, \dots\} \cap \{W_k : k = 0, 1, \dots\}. \end{aligned}$$

Therefore

$$S_p(\Lambda) \cap S_{p+1}(\Lambda) = \{z_p\}$$

if and only if

$$(23) \quad \{Z_j : j = 0, 1, 2, \dots\} \cap \{W_k : k = 0, 1, 2, \dots\} = \emptyset.$$

To summarize, we conclude that Λ is an arc if and only if for each p with $1 \leq p \leq \ell - 1$ and $\theta_p = 0$, (23) holds.

Since $S_j(z) = b_j z + z_{j-1}$ and $b_j = z_j - z_{j-1}$, it follows that

$$\begin{aligned} Z_j &= z_p - b_p r_\ell^j (1 - z_q), \\ W_k &= z_p + b_{p+1} r_1^k z_q. \end{aligned}$$

Here $r_i = |b_i|$, for $i = 1, \dots, \ell$. Set

$$(24) \quad \alpha = |r_p(1 - z_q)|, \quad \beta = |r_{p+1} z_q|,$$

$$(25) \quad \lambda = r_\ell, \quad \mu = r_1, \quad \iota = (Z_0 - z_p) / |Z_0 - z_p|.$$

Since $\theta_p = 0$, we have

$$\frac{Z_j - z_p}{|Z_j - z_p|} = \frac{W_k - z_p}{|W_k - z_p|} = \iota,$$

hence

$$(26) \quad Z_j - z_p = \alpha \lambda^j \iota, \quad W_k - z_p = \beta \mu^k \iota,$$

$$(27) \quad Z_j - W_k = (\alpha \lambda^j - \beta \mu^k) \iota.$$

Set

$$(28) \quad x = -\log \lambda, \quad y = -\log \mu, \quad u = \log(\alpha/\beta).$$

Then

$$(29) \quad u - jx + ky = \log \frac{\alpha \lambda^j}{\beta \mu^k}.$$

Therefore, $Z_j \neq W_k$ for $j, k = 0, 1, 2, \dots$ if and only if $u - jx + ky \neq 0$ for $j, k = 0, 1, 2, \dots$.

As a conclusion of the above discussion, we have the following proposition.

PROPOSITION 5.3. *Let Ω be a basic figure with corner angles θ_p , $p = 1, \dots, \ell - 1$, and let Λ be the self-similar set generated by Ω . Then Λ is a regular self-similar arc if and only if for each p with $1 \leq p \leq \ell - 1$ and $\theta_p = 0$ the following holds:*

$$u - jx + ky \neq 0, \quad \text{for } j, k = 0, 1, 2, \dots$$

6. Reduction of conditions W_p and Q_p^t

In this section, we assume that Λ is the regular self-similar arc generated by a basic figure Ω and $\{S_1, \dots, S_\ell\}$ are the corresponding contractive similitudes. Let r_j be the ratio of S_j for $j = 1, \dots, \ell$. Recall that $|\Lambda(x, y)|$ is the diameter of the subarc $\Lambda(x, y)$ of Λ between x and y , and that $L = |\Lambda|$. Recall also that when $x \prec y$, $[x, y]$ denotes the subarc from x to y .

PROPOSITION 6.1. *Suppose that $1 \leq p \leq \ell - 1$ and that the corner angle $\theta_p > 0$. Then Λ satisfies Condition W_p .*

Proof. Recall that the s -dimensional Hausdorff measure function $f : \Lambda \rightarrow \mathbb{R}$ is defined by

$$f(x) = H^s([z_0, x]),$$

where z_0 is the initial point of Λ . It is clear that f is non-constant on each subarc of Λ . We shall prove that there is a constant $M > 0$ such that

$$(30) \quad |f(x) - f(y)| \leq M|x - y|^s \quad \text{whenever } z_{p-1} \prec x \prec z_p \prec y \prec z_{p+1},$$

which implies (17).

Suppose that $z_{p-1} \prec x \prec z_p \prec y \prec z_{p+1}$. Let $m \geq 0$ be the greatest integer such that $x \in S_p S_\ell^m(\Lambda)$. Then $x \in S_p S_\ell^m(\Lambda)$ and $x \notin S_p S_\ell^{m+1}(\Lambda)$. Upon setting $x' = S_p S_\ell^{-m} S_p^{-1}(x)$ we obtain that $x' \in S_p(\Lambda) \setminus S_p S_\ell(\Lambda)$. Let A denote the positive number

$$A := \sup\{|f(w) - f(z_p)|/|w - z_p|^s : w \in S_p(\Lambda) \setminus S_p S_\ell(\Lambda)\}.$$

Since the similitude $S_p S_\ell^{-m} S_p^{-1}$ maps x, z_p to x', z_p , respectively, it follows that

$$(31) \quad \frac{|f(z_p) - f(x)|}{|z_p - x|^s} = \frac{|f(z_p) - f(x')|}{|z_p - x'|^s} \leq A.$$

Similarly,

$$(32) \quad \frac{|f(y) - f(z_p)|}{|y - z_p|^s} \leq B,$$

where

$$B := \sup\{|f(w) - f(z_p)|/|w - z_p|^s : w \in S_{p+1}(\Lambda) \setminus S_{p+1} S_1(\Lambda)\}.$$

Let

$$\varrho_p := \arg \frac{z_{p+1} - z_p}{z_{p-1} - z_p}, \quad 0 < \varrho_p < 2\pi,$$

be the positive angle from line segment $\overline{z_p z_{p-1}}$ to line segment $\overline{z_p z_{p+1}}$. Set

$$\psi_p = \min(\theta_p, \varrho_p).$$

It follows from the law of sines that

$$(33) \quad |x - z_p| \leq |x - y| \csc \psi_p, \quad |y - z_p| \leq |x - y| \csc \psi_p.$$

By (31), (32) and (33), we obtain that

$$\begin{aligned} |f(x) - f(y)| &= |f(x) - f(z_p)| + |f(y) - f(z_p)| \\ &\leq A|x - z_p|^s + B|y - z_p|^s \\ &\leq (A + B)(\csc^s \psi_p)|x - y|^s. \end{aligned}$$

Thus, (30) holds with $M = (A + B)(\csc^s \psi_p)$. □

PROPOSITION 6.2. *Suppose that $1 \leq p \leq \ell - 1$ and that the corner angle $\theta_p > 0$. Then Λ satisfies Condition Q_p^t for $t \geq 1$.*

Proof. Fix a number $t \geq 1$. We need to prove that there is a constant $M > 0$ such that

$$(34) \quad |\Lambda(x, y)|^t \leq M|x - y| \quad \text{whenever } z_{p-1} \prec x \prec z_p \prec y \prec z_{p+1}.$$

Recall that $|\Lambda(x, y)|$ is the diameter of the subarc $\Lambda(x, y)$ of Λ between x and y , and that L is the diameter of Λ . Suppose that $x, y \in \Lambda$ satisfy $z_{p-1} \prec x \prec z_p \prec y \prec z_{p+1}$. Let $m \geq 0$ be the greatest integer such that $x \in S_p S_\ell^m(\Lambda)$. Then $x \in S_p S_\ell^m(\Lambda) \setminus S_p S_\ell^{m+1}(\Lambda)$. As in the proof of Proposition 6.1, the point $x' := S_p S_\ell^{-m} S_p^{-1}(x)$ satisfies $x' \in S_p(\Lambda) \setminus S_p S_\ell(\Lambda)$. Upon setting

$$\delta = \min(\text{dis}(z_0, \Lambda \setminus S_1(\Lambda)), \text{dis}(z_\ell, \Lambda \setminus S_\ell(\Lambda))),$$

we obtain

$$|x' - z_p| \geq \text{dis}(z_p, S_p(\Lambda) \setminus S_p S_\ell(\Lambda)) = r_p \text{dis}(z_\ell, \Lambda \setminus S_\ell(\Lambda)) \geq r_p \delta.$$

Thus,

$$\begin{aligned} (35) \quad \frac{|\Lambda(x, z_p)|}{|x - z_p|^{1/t}} &= r_\ell^{m(1-1/t)} \frac{|\Lambda(x', z_p)|}{|x' - z_p|^{1/t}} \\ &\leq \frac{|\Lambda(z_{p-1}, z_p)|}{r_p^{1/t} \delta^{1/t}} \\ &= L\delta^{-1/t} r_p^{1-1/t}. \end{aligned}$$

Similarly,

$$(36) \quad \frac{|\Lambda(y, z_p)|}{|y - z_p|^{1/t}} \leq L\delta^{-1/t} r_{p+1}^{1-1/t}.$$

It follows from (33), (35) and (36) that

$$\begin{aligned} |\Lambda(x, y)| &\leq |\Lambda(x, z_p)| + |\Lambda(y, z_p)| \\ &\leq L\delta^{-1/t}(r_p^{1-1/t}|x - z_p|^{1/t} + r_{p+1}^{1-1/t}|y - z_p|^{1/t}) \\ &\leq L(\delta^{-1} \operatorname{csc} \psi_p)^{1/t}(r_p^{1-1/t} + r_{p+1}^{1-1/t})|x - y|^{1/t}. \end{aligned}$$

Therefore,

$$|\Lambda(x, y)|^t \leq L^t \delta^{-1} (\operatorname{csc} \psi_p) (r_p^{1-1/t} + r_{p+1}^{1-1/t})^t |x - y|,$$

and (34) has been proved. □

By Propositions 4.2, 4.4, 6.1 and 6.2 we have the following theorem.

THEOREM 6.3. *Let Λ be a regular self-similar arc and let $s = \dim_H(\Lambda)$. If $\theta_{\min} := \min\{\theta_p : p = 1, \dots, \ell - 1\} > 0$, then Λ is a t -quasi-arc for each $t \geq 1$ and the s -dimensional Hausdorff measure function is a Whitney function on Λ .*

When the minimal corner angle $\theta_{\min} = 0$, the analysis of Hausdorff measure function on Λ is more complicated. We now consider the case where $\theta_p = 0$ for some $1 \leq p \leq \ell - 1$. As before, we assume that the three vertices of the basic triangle of the basic figure Ω under consideration are $z_0 = 0$, $z_\ell = 1$, and z_q with $\Im z_q > 0$.

Let $g : [0, 1] \rightarrow \Lambda$ be the homeomorphism in the proof of Proposition 5.1. Note that $g(p/\ell) = z_p$ for $p = 0, \dots, \ell$. Since $\theta_q > 0$ and $\theta_p = 0$, we see that $p \neq q$.

Recall that $b_p = z_{p+1} - z_p$. In Section 5, we constructed two sequences of points on the self-similar arc Λ ,

$$\begin{aligned} Z_j &= S_p S_\ell^j(z_q) = z_p - b_p r_\ell^j (1 - z_q), \\ W_k &= S_{p+1} S_1^k(z_q) = z_p + b_{p+1} r_1^k z_q. \end{aligned}$$

Set

$$\begin{aligned} (37) \quad a &= r_p^s H^s([z_q, z_\ell]), \\ b &= r_{p+1}^s H^s([z_0, z_q]), \\ c &= r_p |[z_q, z_\ell]|, \\ d &= r_{p+1} |[z_0, z_q]|, \end{aligned}$$

where $|[z_p, z_\ell]|$ denotes the diameter of the subarc of Λ from z_p to z_ℓ . Then we have

$$\begin{aligned} H^s([Z_j, z_p]) &= a \lambda^{sj}, & H^s([z_p, W_j]) &= b \mu^{sj}, \\ |[Z_j, z_p]| &= c \lambda^j, & |[z_p, W_j]| &= d \mu^j, \end{aligned}$$

where λ, μ are defined by (25).

As usual, let \mathbb{Z} denote the set of integers, let $\mathbb{N} = \{1, 2, \dots\}$ be the set of natural numbers, and let $\mathbb{Z}_+ = \{0, 1, 2, \dots\}$.

LEMMA 6.4. *Suppose that Λ is a regular self-similar arc with $\theta_p = 0$ for some $1 \leq p \leq \ell - 1$. Then there exists a constant $\Upsilon > 0$ such that if $j, k \in \mathbb{Z}_+$ and if*

$$(38) \quad \begin{aligned} x \in \Lambda(Z_j, Z_{j'}), & \quad |j - j'| = 1, & \quad |x - Z_j| \leq |x - Z_{j'}|, \\ y \in \Lambda(W_k, W_{k'}), & \quad |k - k'| = 1, & \quad |y - W_k| \leq |y - W_{k'}|, \end{aligned}$$

then

$$|Z_j - W_k| \leq \Upsilon|x - y|.$$

Proof. Since $\theta_{\min} = 0$, the angles η_0, η_1 and η_2 defined by (21) and (22) are positive. Let Θ denote the line containing the points $\{Z_j\}$ and $\{W_k\}$. Since $\eta_1, \eta_2 > 0$ and since (19) holds, it follows that the subarc $\Lambda(Z_0, Z_1)$ intersects Θ at exactly two points Z_0, Z_1 . The line Θ divides the plane into two half planes. Let us denote by H_1 the closed half plane which contains $\Lambda(Z_0, Z_1)$. The other closed half plane is denoted by H_2 . When $x \in \Lambda(Z_0, Z_1)$ is sufficiently close to Z_0 , the law of sines provides an estimate

$$|z - Z_0| < (\csc \eta_0)|z - x|, \quad z \in H_2.$$

It follows that there exists a constant $C > 0$ such that

$$|z - Z_0| \leq C|z - x|, \quad \text{if } z \in H_2, x \in \Lambda(Z_0, Z_1), |x - Z_0| \leq |x - Z_1|.$$

Similarly, there is a $C > 0$ so that

$$|z - Z_1| \leq C|z - x|, \quad \text{if } z \in H_2, x \in \Lambda(Z_0, Z_1), |x - Z_0| \geq |x - Z_1|.$$

It follows that for some constant $C > 0$, we have

$$(39) \quad |z - Z_j| < C|z - x|$$

whenever

$$\begin{aligned} z \in H_2, & \quad j \in \{0, 1\}, & \quad j' = 1 - j, \\ x \in \Lambda(Z_0, Z_1), & & \quad |x - Z_j| \leq |x - Z_{j'}|. \end{aligned}$$

Since $\Lambda(Z_j, Z_{j+1}) = S_p S_\ell^j S_p^{-1}(\Lambda(Z_0, Z_1))$ and since Θ and H are invariant under the similitude $S_p S_\ell^j S_p^{-1}$, it follows that for the same constant C , (39) holds whenever

$$\begin{aligned} z \in H_2, & \quad j \in \mathbb{N}, & \quad |j - j'| = 1, \\ x \in \Lambda(Z_j, Z_{j'}), & & \quad |x - Z_j| \leq |x - Z_{j'}|. \end{aligned}$$

Similarly, there exists a constant $C' > 0$ such that

$$|z - W_k| \leq C'|z - y|,$$

whenever

$$\begin{aligned} z \in H_1, & \quad k \in \mathbb{N}, & \quad |k - k'| = 1, \\ y \in \Lambda(W_k, W_{k'}), & & \quad |y - W_k| \leq |y - W_{k'}|. \end{aligned}$$

Now suppose that $j, k \in \mathbb{N}$ and (38) holds. Then

$$|Z_j - W_k| \leq C|x - W_k| \leq CC'|x - y|.$$

The proof is complete. □

PROPOSITION 6.5. *Suppose that $1 \leq p \leq \ell - 1$ and $\theta_p = 0$. Then Λ satisfies Condition W_p if and only if*

$$(40) \quad (\lambda^j + \mu^k)^s = o(|\alpha\lambda^j - \beta\mu^k|), \quad j, k = 0, 1, 2, \dots$$

Proof. Suppose that Λ satisfies Condition W_p . By Definition 4.1, we know that (17) holds for all Z_j and W_k , i.e.,

$$(41) \quad H^s([Z_j, W_k]) = |f(Z_j) - f(W_k)| = o(|Z_j - W_k|).$$

By (37), we have

$$H^s([Z_j, W_k]) = a\lambda^{sj} + b\mu^{sk},$$

which, together with (27) and (41), implies that

$$(42) \quad a\lambda^{sj} + b\mu^{sk} = o(|\alpha\lambda^j - \beta\mu^k|).$$

The Hölder inequality tells us that

$$(43) \quad (\lambda^j + \mu^k)^s \leq F(a\lambda^{sj} + b\mu^{sk}),$$

where $F = (a^{-1/(s-1)} + b^{-1/(s-1)})^{s-1}$. Now (40) is a consequence of (42) and (43).

Conversely, suppose that (40) holds. Let $x, y \in \Lambda$ be such that $z_{p-1} \prec x \prec z_p \prec y \prec z_{p+1}$. Let m be the least positive integer such that $x \prec Z_m$, so $x \in [Z_{m-1}, Z_m]$. If $|x - Z_{m-1}| \leq |x - Z_m|$ let $j = m - 1$ and $j' = m$; otherwise, let $j = m, j' = m - 1$. In either case we have

$$(44) \quad x \in \Lambda(Z_j, Z_{j'}), \quad |x - Z_j| \leq |x - Z_{j'}|, \quad |j - j'| = 1.$$

Similarly, there are integers $k, k' \geq 0$ such that

$$(45) \quad y \in \Lambda(W_k, W_{k'}), \quad |y - W_k| \leq |y - W_{k'}|, \quad |k - k'| = 1.$$

By Lemma 6.4, we have

$$|Z_j - W_k| \leq \Upsilon|x - y|,$$

which, together with (40), implies that

$$(46) \quad (\lambda^j + \mu^k)^s = o(|x - y|).$$

Setting $Z_{-1} = Z_0$ and $W_{-1} = W_0$, we have

$$\begin{aligned} H^s([x, y]) &\leq H^s([Z_{j-1}, W_{k-1}]) \\ &\leq a\lambda^{s(j-1)} + b\mu^{s(k-1)} \\ &\leq (a\lambda^{-s} + b\mu^{-s})(\lambda^{sj} + \mu^{sk}) \\ &\leq (a\lambda^{-s} + b\mu^{-s})(\lambda^j + \mu^k)^s. \end{aligned}$$

The last inequality and (46) imply that

$$H^s([x, y]) = o(|x - y|).$$

This completes the proof. \square

PROPOSITION 6.6. *Suppose that $t \geq 1$, $1 \leq p \leq \ell - 1$, and $\theta_p = 0$. Then Λ satisfies Condition Q_p^t if and only if there exists a constant $C > 0$ such that*

$$(47) \quad (\lambda^j + \mu^k)^t \leq C|\alpha\lambda^j - \beta\mu^k|, \quad j, k = 0, 1, 2, \dots$$

Proof. Suppose that Λ satisfies Condition Q_p^t . Then there exists a constant $C_p > 0$ such that

$$(48) \quad |[Z_j, W_k]|^t \leq C_p|Z_j - W_k|, \quad j, k = 0, 1, \dots$$

We have the following estimate

$$\min(c, d)(\lambda^j + \mu^k) \leq c\lambda^j + d\mu^k = |[Z_j, z_p]| + |[z_p, W_k]| \leq 2|[Z_j, W_k]|,$$

which, together with (27) and (48), implies that

$$(\lambda^j + \mu^k)^t \leq C'|[Z_j, W_k]|^t \leq C|\alpha\lambda^j - \beta\mu^k|,$$

where $C = C'C_p = \{2/\min(c, d)\}^t C_p$. Thus (47) holds.

Conversely, suppose that there exists a constant $C > 0$ such that (47) holds. Let $x, y \in \Lambda$ be such that $z_{p-1} \prec x \prec z_p \prec y \prec z_{p+1}$. We need to prove that there exists constant $M > 0$ such that

$$|[x, y]|^t \leq M|x - y|.$$

As in the proof of previous proposition, there exist integers j, j', k, k' such that (44) and (45) hold. By Lemma 6.4, we have

$$|Z_j - W_k| \leq \Upsilon|x - y|,$$

which, together with (27) and (47), implies that

$$(49) \quad (\lambda^j + \mu^k)^t \leq C\Upsilon|x - y|.$$

Now

$$\begin{aligned} |[x, y]|^t &\leq |[Z_{j-1}, W_{k-1}]|^t \\ &\leq (|[Z_{j-1}, z_p]| + |[z_p, W_{k-1}]|)^t \\ &\leq (c\lambda^{j-1} + d\mu^{k-1})^t \\ &\leq (c\lambda^{-1} + d\mu^{-1})^t (\lambda^j + \mu^k)^t. \end{aligned}$$

The last inequality and (49) imply that

$$|[x, y]|^t \leq M|x - y|,$$

where $M = (c\lambda^{-1} + d\mu^{-1})^t C\Upsilon$. \square

PROPOSITION 6.7. *Let Λ be a regular self-similar arc and let $s = \dim_H(\Lambda)$. If the s -dimensional Hausdorff measure function f is a Whitney function on Λ , then Λ is an s -quasi-arc. If Λ is a t -quasi-arc for some t with $s > t \geq 1$, then f is a Whitney function on Λ .*

Proof. By Theorem 6.3 and Proposition 6.6, the self-similar arc Λ is a t -quasi arc if and only if for each p with $\theta_p = 0$, one has

$$(50) \quad (\lambda^j + \mu^k)^t = O(|\alpha\lambda^j - \beta\mu^k|), \quad \text{where } j, k = 0, 1, 2, \dots$$

By Theorem 6.3 and Proposition 6.5, the s -dimensional Hausdorff measure function on Λ is a Whitney function if and only if for each p with $\theta_p = 0$, one has

$$(51) \quad (\lambda^j + \mu^k)^s = o(|\alpha\lambda^j - \beta\mu^k|), \quad \text{where } j, k = 0, 1, 2, \dots$$

The proposition follows because (51) implies (50) when $t = s$, and because (51) follows from (50) when $1 \leq t < s$. □

The second part of Proposition 6.7 is contained in the result of Norton [4] mentioned in the introduction of this paper.

By Proposition 6.6, Condition Q_p^t is reduced to an inequality (47). In the following proposition, it is further reduced to an inequality of a certain form which is more convenient for determining whether a self-similar arc is a t -quasi-arc and which is directly related to the degree to which a number u is approximated by numbers of the form $jx - ky$, where x, y are fixed positive numbers and j, k are non-negative integers.

Recall that λ, μ, x, y, u are defined by (25) and (28).

PROPOSITION 6.8. *Suppose that $1 \leq p \leq \ell - 1$ and $\theta_p = 0$. Then Λ satisfies Condition Q_p^t if and only if there exists a constant $M > 0$ such that*

$$(52) \quad e^{-j(t-1)x} \leq M|u - jx + ky|, \quad j, k = 0, 1, 2, \dots$$

Proof. By Proposition 6.6, we only need to show that there exists a constant $C > 0$ such that (47) holds if and only if there exists a constant $M > 0$ such that (52) holds.

Suppose that there exists no constant C such that (47) holds. Then there are increasing sequences $\{j_n\}$ and $\{k_n\}$ of positive integers such that

$$(53) \quad \lim_{n \rightarrow \infty} \frac{|\alpha\lambda^{j_n} - \beta\mu^{k_n}|}{(\lambda^{j_n} + \mu^{k_n})^t} = 0.$$

Since $(\lambda^{j_n} + \mu^{k_n}) \geq (\lambda^{j_n} + \mu^{k_n})^t$ when n is large enough, we see that

$$(54) \quad \lim_{n \rightarrow \infty} \frac{|\alpha\lambda^{j_n} - \beta\mu^{k_n}|}{\lambda^{j_n} + \mu^{k_n}} \leq \lim_{n \rightarrow \infty} \frac{|\alpha\lambda^{j_n} - \beta\mu^{k_n}|}{(\lambda^{j_n} + \mu^{k_n})^t} = 0.$$

This implies that when n is sufficiently large, the quotient $|\alpha\lambda^{j_n} - \beta\mu^{k_n}|/(\lambda^{j_n} + \mu^{k_n})$ does not exceed $\alpha/2$, hence we have

$$\begin{aligned} \alpha\lambda^{j_n} &\leq |\alpha\lambda^{j_n} - \beta\mu^{k_n}| + \beta\mu^{k_n} \leq \frac{\alpha}{2}(\lambda^{j_n} + \mu^{k_n}) + \beta\mu^{k_n}, \\ \frac{\alpha}{2}\lambda^{j_n} &\leq \left(\frac{\alpha}{2} + \beta\right)\mu^{k_n}. \end{aligned}$$

It follows that there is a constant Q_1 such that $\lambda^{j_n} \leq Q_1^{-1}\mu^{k_n}$ for all n . Similarly, there is a constant Q_2 such that $\mu^{k_n} \leq Q_2\lambda^{j_n}$ for all n . Therefore,

$$(55) \quad Q_1\lambda^{j_n} \leq \mu^{k_n} \leq Q_2\lambda^{j_n}.$$

Now

$$(56) \quad \beta \frac{(\alpha/\beta)\lambda^{j_n}\mu^{-k_n} - 1}{\lambda^{(t-1)j_n}} = \frac{\alpha\lambda^{j_n} - \beta\mu^{k_n}}{(\lambda^{j_n} + \mu^{k_n})^t} \left(\frac{\lambda^{j_n} + \mu^{k_n}}{\lambda^{j_n}}\right)^t \frac{\lambda^{j_n}}{\mu^{k_n}}.$$

The first factor on the right-hand side of (56) tends to 0 as $n \rightarrow \infty$ by (53), while the second and third factors are bounded above and below because of (55). It follows that

$$(57) \quad \lim_{n \rightarrow \infty} \frac{(\alpha/\beta)\lambda^{j_n}\mu^{-k_n} - 1}{\lambda^{(t-1)j_n}} = 0.$$

Since the denominator in (57) is ≤ 1 , it follows that the numerator tends to 0 as $n \rightarrow \infty$. By (57) and the equality $\lim_{w \rightarrow 1} [(\log w)/(w - 1)] = 1$, we have

$$(58) \quad \lim_{n \rightarrow \infty} \frac{\log((\alpha/\beta)\lambda^{j_n}\mu^{-k_n})}{\lambda^{(t-1)j_n}} = 0.$$

Substituting $x = -\log \lambda$, $y = -\log \mu$, and $u = \log(\alpha/\beta)$ into (58) yields that

$$(59) \quad \lim_{n \rightarrow \infty} \frac{u - j_n x + k_n y}{e^{-j_n(t-1)x}} = 0.$$

Thus, there exists no M such that (52) holds.

Conversely, suppose that there exists no M such that (52) holds. Then there are increasing sequences $\{j_n\}$ and $\{k_n\}$ of positive integers such that (59) holds, hence the equivalent equalities (58) and (57) hold. Since the numerator in (57) tends to 0 as $n \rightarrow \infty$, it follows that $1/2 < (\alpha/\beta)\lambda^{j_n}\mu^{-k_n} < 2$ for n large enough, which implies (55). Then (53) follows from (55) and (57). Therefore there exists no C such that (47) holds. \square

PROPOSITION 6.9. *Suppose that $1 \leq p \leq \ell - 1$ and $\theta_p = 0$. Then Λ satisfies Condition Q_p^1 if and only if x/y is rational.*

Proof. By Proposition 6.8, Λ satisfies Condition Q_p^1 if and only if the inequality (52) holds when $t = 1$.

Suppose that $\tau := x/y$ is rational. Then the set

$$\Pi := \{j\tau - k : j, k \in \mathbb{N}\}$$

is discrete. By Proposition 5.3, the distance from the point u/y to Π is positive. It follows that $|u - jx + ky| > \delta$ for some $\delta > 0$. Thus, the inequality (52) holds with $M = 1/\delta$.

Suppose that $\tau = x/y$ is irrational. We show that the set Π is dense in \mathbb{R} , which implies that (52) does not hold with $t = 1$ and that Λ does not satisfy Condition Q_p^1 . Let $c \in \mathbb{R}$ and $\varepsilon > 0$. There exist $j_0, k_0 \in \mathbb{Z}$ such that

$$(60) \quad |(j_0\tau - k_0) - c| < \varepsilon/2.$$

By Dirichlet's Approximation theorem (see, e.g., [1, p. 143]), there are positive integers $j', k' > \max(|k_0|, |j_0|, 2\tau/\varepsilon)$ such that

$$\left| \frac{j'}{k'} - \frac{1}{\tau} \right| < \frac{1}{k'^2} < \frac{\varepsilon}{2k'\tau},$$

hence

$$(61) \quad |j'\tau - k'| < \varepsilon/2.$$

Set $j = j_0 + j'$ and $k = k_0 + k'$. Then $j, k \in \mathbb{N}$. It follows from (60) and (61) that $|(j\tau - k) - c| < \varepsilon$. Therefore, Π is dense in \mathbb{R} . \square

7. A one-parameter family of self-similar arcs

In this section, we construct and examine a one-parameter family of regular self-similar arcs with $\theta_p = 0$ for some fixed p . For different values of the parameter τ , the corresponding regular self-similar arcs have various features. It turns out that the self-similar arc satisfies Condition Q_p^t if and only if the number τ satisfies a certain "approximation property" $J_{(t-1)\zeta}$, where $\zeta = \ln(15/7)$. We now define approximation property J_a , $a > 0$, of irrational numbers.

Definition 7.1. Let $a > 0$. An irrational number τ is said to have *approximation property* J_a if

$$(62) \quad \exists C > 0, \quad |\tau - k/j| \geq Cj^{-1}e^{-aj}, \quad \forall k \in \mathbb{Z}, j \in \mathbb{N}.$$

It follows directly from the definition that if τ has approximation property J_{a_0} then τ has approximation property J_a for each $a > a_0$. By Liouville's Approximation Theorem (see, e.g., [1, p. 146]), each algebraic irrational number τ satisfies $|\tau - k/j| > Cj^{-m}$, where m is the degree of the irreducible polynomial with integer coefficients of which τ is a root, hence τ has approximation property J_a for each $a > 0$.

THEOREM 7.2. *Let $a_0 > 0$ and let $\nu \in \mathbb{N}$. There exists a transcendental number τ with $1 < \tau < 1 + 2^{-\nu}$ such that τ has approximation property J_{a_0} , but τ has approximation property J_a for no $a \in (0, a_0)$.*

Proof. Define a number τ by

$$\begin{aligned}
 \tau &= 1 + 2^{-n_1} + 2^{-n_2} + \dots, \\
 (63) \quad n_1 &= m_1, \quad n_2 = m_1 + m_2, \quad n_3 = m_1 + m_2 + m_3, \dots, \\
 m_1 &= \max(8, \nu + 1), \\
 m_{i+1} &= \max(\lceil 2^{n_i} a_0 / \log 2 \rceil - n_i, n_i + 2) \quad \text{for } i \geq 1,
 \end{aligned}$$

where $\lceil \cdot \rceil$ is the ceiling function, *i.e.*, $\lceil u \rceil$ is the least integer greater than or equal to u . Since $m_i \rightarrow \infty$ as $i \rightarrow \infty$, we see that

$$\tau = 1 + 2^{-m_1} (1 + 2^{-m_2} + 2^{-m_2 - m_3} + \dots) < 1 + 2^{-m_1 + 1} \leq 1 + 2^{-\nu}.$$

For $i \geq 1$, set $j_i = 2^{n_i}$ and $k_i = j_i \tau_i$, where

$$(64) \quad \tau_i = 1 + 2^{-n_1} + 2^{-n_2} + \dots + 2^{-n_i}.$$

Then k_i is an integer, and

$$j_i \tau = k_i + (2^{-m_{i+1}} + 2^{-m_{i+1} - m_{i+2}} + \dots).$$

It follows that

$$(65) \quad 0 < j_i \tau - k_i < 2^{-m_{i+1} + 1}.$$

From the definition of m_{i+1} in (63), we see that there is an $i_0 \in \mathbb{N}$ such that for $i \geq i_0$ we have

$$(66) \quad 2^{m_{i+1} - 1} < 2^{-n_i} \exp(2^{n_i} a_0) \leq 2^{m_{i+1}}.$$

Combining inequalities (65) and (66), we obtain that

$$0 < j_i \tau - k_i < 2 j_i e^{-a_0 j_i}.$$

Consider a fixed number $a \in (0, a_0)$. The above inequality tells us that for $i \geq i_0$,

$$\frac{|\tau - k_i / j_i|}{j_i^{-1} e^{-a j_i}} < 2 j_i e^{(a - a_0) j_i}.$$

Since $a - a_0 < 0$ and hence the right-hand side of the above inequality tends to 0 as i approaches ∞ , we see that (62) does not hold. Thus τ does not have approximation property J_a .

Now we assume that $j \geq 2^{n_{i_0}}$ and k is an arbitrary integer. Then there is an $i \geq i_0$ such that $2^{n_i} \leq j < 2^{n_{i+1}}$. By (66), the integers n_i and n_{i+1} satisfy

$$(67) \quad 2^{n_{i+1} - 1} < \exp(2^{n_i} a_0) \leq 2^{n_{i+1}}.$$

In order to obtain a lower bound for $|j\tau - k|$, we write

$$(68) \quad j\tau - k = (j\tau_{i+1} - k) + j(\tau - \tau_{i+1}).$$

Recall that τ_i is defined by (64). Since $2^{n_{i+1}}\tau_{i+1}$ is an odd integer, and since j is not a multiple of $2^{n_{i+1}}$, we see that $2^{n_{i+1}}j\tau_{i+1}$ is not a multiple of $2^{n_{i+1}}$. It follows that $|2^{n_{i+1}}j\tau_{i+1} - 2^{n_{i+1}}k| \geq 1$, and therefore

$$(69) \quad |j\tau_{i+1} - k| \geq 2^{-n_{i+1}}.$$

For the second term on the right-hand side of (68) we have

$$\begin{aligned} j(\tau - \tau_{i+1}) &< 2^{n_{i+1}}(2^{-n_{i+2}} + 2^{-n_{i+3}} + \dots) \\ &= 2^{-m_{i+2}} + 2^{-m_{i+2}-m_{i+3}} + \dots \\ &< 2^{-m_{i+2}+1}. \end{aligned}$$

Since $m_{i+2} \geq n_{i+1} + 2$, by the definition of m_i , the right-hand side of the last inequality is $\leq 2^{-n_{i+1}-1}$. Thus,

$$(70) \quad 0 < j(\tau - \tau_{i+1}) < 2^{-n_{i+1}-1}.$$

Now inequalities (68), (69) and (70) tell us that

$$(71) \quad |j\tau - k| \geq 2^{-n_{i+1}-1}.$$

From (71) and (67), we obtain that

$$\begin{aligned} |j\tau - k| &\geq 2^{-n_{i+1}-1} \\ &> (1/4)\exp(-2^{n_i}a_0) \\ &\geq (1/4)e^{-a_0j}. \end{aligned}$$

Therefore, the inequality in (62), with a replaced by a_0 , holds with $C = 1/4$ as long as $j \geq 2^{n_{i_0}}$. This implies that τ has approximation property J_{a_0} .

Finally, since τ does not have approximation property J_a for $a < a_0$, it cannot be an algebraic number. Thus, τ is a transcendental number. \square

THEOREM 7.3. *Let $a_0 > 0$ and let $\nu \in \mathbb{N}$. There exists a transcendental number τ with $1 < \tau < 1 + 2^{-\nu}$ such that τ has approximation property J_a for each $a > a_0$, but τ does not have approximation property J_{a_0} .*

Proof. Define a number τ by

$$(72) \quad \begin{aligned} \tau &= 1 + 2^{-n_1} + 2^{-n_2} + \dots, \\ n_1 &= m_1, \quad n_2 = m_1 + m_2, \quad n_3 = m_1 + m_2 + m_3, \dots, \\ m_1 &= \max(8, \nu + 1), \quad m_{i+1} = n_i + \max(\lceil 2^{n_i}a_0/\log 2 \rceil, 2) \quad \text{for } i \geq 1. \end{aligned}$$

Then τ satisfies $1 < \tau < 1 + 2^{-\nu}$. As in the previous theorem, τ is a transcendental number because we shall show that τ does not have property J_{a_0} .

Setting $j_i = 2^{n_i}$ and $k_i = j_i\tau_i$, we obtain that

$$(73) \quad |j_i\tau - k_i| < 2^{-m_{i+1}+1}.$$

By the definition of m_{i+1} , there is an $i_0 \in \mathbb{N}$ such that for $i \geq i_0$ we have

$$(74) \quad 2^{m_{i+1}-1} < 2^{n_i} \exp(2^{n_i}a_0) \leq 2^{m_{i+1}}.$$

We then combine (73) and (74) to obtain

$$|j_i \tau - k_i| < 2j_i^{-1} e^{-a_0 j_i},$$

which implies that for $i \geq i_0$,

$$\frac{|\tau - k_i/j_i|}{j_i^{-1} e^{-a_0 j_i}} < 2j_i^{-1}.$$

Thus, τ does not have approximation property J_{a_0} .

Let $a > a_0$. We now prove that τ has approximation property J_a . Choose an integer $i_1 \geq i_0$ such that whenever $i \geq i_1$, the following inequality holds:

$$(75) \quad 2^{-2n_i-2} \exp(-2^{n_i} a_0) > \exp(-2^{n_i} a).$$

Assume that $i \geq i_1$, $2^{n_i} \leq j < 2^{n_{i+1}}$, and k is an arbitrary integer. Similar to the previous proof, we have

$$(76) \quad 2^{n_{i+1}-1} < 2^{2n_i} \exp(2^{n_i} a_0) \leq 2^{n_{i+1}},$$

and

$$(77) \quad |j\tau - k| \geq 2^{-n_{i+1}-1}.$$

From (75), (76) and (77), we obtain that

$$\begin{aligned} |j\tau - k| &\geq 2^{-n_{i+1}-1} \\ &> 2^{-2n_i-2} \exp(-2^{n_i} a_0) \\ &> \exp(-2^{n_i} a) \\ &\geq e^{-aj}. \end{aligned}$$

Therefore, the inequality in (62) holds with $C = 1$ as long as $j \geq 2^{m_{i_1}}$. This implies that τ has approximation property J_a . □

THEOREM 7.4. *Let $\nu \in \mathbb{N}$. Then there exists a transcendental number τ with $1 < \tau < 1 + 2^{-\nu}$ such that τ has approximation property J_a for each $a > 0$.*

Proof. Define a number τ by

$$(78) \quad \begin{aligned} \tau &= 1 + 2^{-n_1} + 2^{-n_2} + \dots, \\ n_1 &= m_1, \quad n_2 = m_1 + m_2, \quad n_3 = m_1 + m_2 + m_3, \dots, \\ m_1 &= \max(8, 2\nu), \quad m_{i+1} = 2^{m_i/2} \quad \text{for } i \geq 1. \end{aligned}$$

Then $1 < \tau < 1 + 2^{-\nu}$, as in the previous theorem. It is clear that for each i , m_i is an integer, and $m_{i+1} > n_i + 2$, which will be needed later.

Setting $j_i = 2^{n_i}$ and $k_i = j_i \tau_i$, we obtain that

$$(79) \quad |j_i \tau - k_i| < 2^{-m_{i+1}+1} = 2^{-\sqrt{j_i}+1}.$$

It follows that for each positive integer n ,

$$\lim_{i \rightarrow \infty} \frac{|j_i \tau - k_i|}{j_i^{-n}} = 0.$$

By Liouville’s Approximation theorem, τ must be a transcendental number.

Let $a > 0$. We now prove that τ has approximation property J_a . Choose i_0 so that when $i \geq i_0$, we have

$$2^{-n_{i+1}-1} = 2^{-n_i-2^{n_i/2}-1} > \exp(-2^{n_i} a).$$

Assume that $i \geq i_0$, $2^{n_i} \leq j < 2^{n_{i+1}}$, and k is an arbitrary integer. Similar to the previous proof, since $m_{i+1} > n_i + 2$, we see that

$$|j\tau - k| \geq 2^{-n_{i+1}-1}.$$

It follows that

$$|j\tau - k| > \exp(-2^{n_i} a) \geq e^{-aj}.$$

Therefore, τ has approximation property J_a . □

THEOREM 7.5. *Let $\nu \in \mathbb{N}$. Then there exists a transcendental number τ with $1 < \tau < 1 + 2^{-\nu}$ such that τ has approximation property J_a for no $a > 0$.*

Proof. Define a number τ by

$$(80) \quad \begin{aligned} \tau &= 1 + 2^{-n_1} + 2^{-n_2} + \dots, \\ n_1 &= m_1, \quad n_2 = m_1 + m_2, \quad n_3 = m_1 + m_2 + m_3, \dots, \\ m_1 &= \max(8, \nu + 1), \quad m_{i+1} = 2^{2^{n_i}} \quad \text{for } i \geq 1. \end{aligned}$$

Then $1 < \tau < 1 + 2^{-\nu}$, as in the previous theorem.

Setting $j_i = 2^{n_i}$ and $k_i = j_i \tau_i$, we obtain, as in the proof of the previous theorem, that

$$(81) \quad |j_i \tau - k_i| < 2^{-m_{i+1}+1} = 2^{-j_i^2+1}.$$

Consider a fixed number $a > 0$. Then (81) implies that

$$\lim_{i \rightarrow \infty} \frac{|j_i \tau - k_i|}{e^{-aj_i}} = 0,$$

and hence τ does not have approximation property J_a . By Liouville’s Approximation theorem, τ is necessarily a transcendental number. □

Now we construct a one-parameter family of regular self-similar arcs. We start by constructing a family of basic figures depending on a parameter τ with $1 < \tau < 1.001$.

For a fixed τ with $1 < \tau < 1.001$, the corresponding basic figure is as in Figure 3. The points B, D, F lie on segment \overline{AG} , and the magnitudes of the

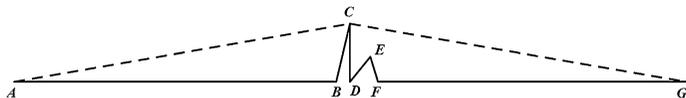


FIGURE 3. Basic figure with a zero corner angle.

segments are $\overline{AG} = 1$, $\overline{AD} = 1/2$, $\overline{AB} = (7/15)^{1/\tau}$, $\overline{FG} = 7/15$. The magnitudes of the angles are $\angle CAG = \angle CGA = \pi/18$, $\angle CDE = \pi/9$. The position of point E is determined by $\overline{DE} = (7/15)^{1/\nu} \overline{CD}$, where

$$(82) \quad \nu = \begin{cases} \tau, & \text{if } \tau \text{ is irrational,} \\ \tau - \frac{\tau-1}{\sqrt{2}}, & \text{if } \tau \text{ is rational.} \end{cases}$$

Note that ν is always irrational and $1 < \nu < 1.001$.

Let E' be the projection of E on \overline{AG} . Then

$$\begin{aligned} \overline{DE'} &= (1/2) \tan(\pi/18) (7/15)^{1/\tau} \sin(\pi/9) \\ &< (1/2) (7/15)^{1000/1001} (1 - \cos(\pi/9)) \\ &< 1/30. \end{aligned}$$

Thus E' is between D and F . It follows that $\angle EFG > \pi/2$ and E is in the interior of triangle CAG . Therefore, polygon $ABCDEF$ is a basic figure with basic triangle $\triangle CAG$.

We denote polygon $ABCDEF$ by Ω_τ , and the corresponding self-similar set by Λ_τ . The corner angles satisfy $\theta_j > 0$ for $j \neq 3$ and $\theta_3 = 0$. It is clear that $\eta_0 > 0$. By Proposition 5.3, in order to show that Λ_τ is a regular self-similar arc, it suffices to verify

$$u \notin \Sigma := \{jx - ky : j, k \in \mathbb{N}\},$$

where

$$(83) \quad x = \zeta, \quad y = \tau^{-1}\zeta, \quad \zeta = \log(15/7), \quad u = \log \frac{\overline{CD} \cdot \overline{CG}}{\overline{DE} \cdot \overline{AG}} = \nu^{-1}\zeta.$$

If τ is rational, then $\nu = \tau - (\tau - 1)/\sqrt{2}$ is irrational, and for $j, k = 0, 1, 2, \dots$,

$$u - (jx - ky) = \zeta(\nu^{-1} - (j - k/\tau)) \neq 0.$$

If τ is irrational, then $\nu = \tau$, and for $j, k = 0, 1, 2, \dots$,

$$u - (jx - ky) = \tau^{-1}\zeta j(-\tau + (k + 1)/j) \neq 0.$$

Therefore $u \notin \Sigma$ and Λ_τ is a regular self-similar arc.

When τ is rational, x/y is rational, hence Λ_τ is a 1-quasi-arc. We now consider the case where τ is irrational. In this case, we have $\nu = \tau$ and $u = y$. By Proposition 6.8, Λ_τ is a t -quasi-arc if and only if there is a constant $M_{\tau,t} > 0$ such that

$$(84) \quad e^{-j(t-1)x} \leq M_{\tau,t} |u - jx + ky|.$$

In light of (83), inequality (84) is reduced to

$$\tau(M_{\tau,t}\zeta)^{-1} j^{-1} e^{-j(t-1)\zeta} < |\tau - (k + 1)/j|,$$

which is equivalent to

$$C_{\tau,t} j^{-1} e^{-j(t-1)\zeta} < |\tau - k/j|.$$

Therefore, for $t > 1$, Λ_τ is a t -quasi-arc if and only if τ has approximation property $J_{(t-1)\zeta}$.

We summarize the above discussion as follows.

Example 7.6. For $1 < \tau < 1.001$, let Λ_τ be the regular self-similar arc generated by the basic figure Ω_τ in Figure 3, where

$$\begin{aligned} \overline{AG} &= 1, & \overline{AD} &= 1/2, & \overline{AB} &= (7/15)^{1/\tau}, & \overline{FG} &= 7/15, \\ \angle CAG &= \angle CGA = \pi/18, & \angle CDE &= \pi/9, & \overline{DE} &= (7/15)^{1/\nu} \overline{CD}, \end{aligned}$$

where ν is defined by (82). For $t > 1$, Λ_τ is a t -quasi-arc if and only if τ has approximation property $J_{(t-1)\zeta}$.

- (1) For a fixed $t_0 > 1$, by Theorem 7.2, there is a transcendental number $\tau \in (1, 1.001)$ such that τ has approximation property $J_{(t_0-1)\zeta}$, but τ has approximation property $J_{(t-1)\zeta}$ for no $t \in (1, t_0)$. For such a τ , Λ_τ is a t_0 -quasi-arc, but Λ_τ is a t -quasi-arc for no $t < t_0$.
- (2) For a fixed $t_0 > 1$, by Theorem 7.3, there is a transcendental number $\tau \in (1, 1.001)$ such that τ does not have approximation property $J_{(t_0-1)\zeta}$, but τ has approximation property $J_{(t-1)\zeta}$ for each $t > t_0$. For such a τ , Λ_τ is a t -quasi-arc for each $t > t_0$, but Λ_τ is not a t_0 -quasi-arc.
- (3) By Theorem 7.4, there is a transcendental number $\tau \in (1, 1.001)$ such that τ has approximation property $J_{(t-1)\zeta}$ for each $t > 1$. For such a τ , Λ_τ is a t -quasi-arc for each $t > 1$. Since $x/y = \tau$, which is an irrational number, it follows from Theorem 6.9 that Λ_τ is not a 1-quasi-arc.
- (4) By Theorem 7.5, there is a transcendental number $\tau \in (1, 1.001)$ such that τ has approximation property $J_{(t-1)\zeta}$ for no $t > 1$. For such a τ , Λ_τ is a t -quasi-arc for no $t > 1$.

As a consequence of the example, we obtain the following theorem.

THEOREM 7.7.

- (1) *There exists a regular self-similar arc Λ with $\theta_{\min} = 0$ such that Λ is t -quasi-arc for no $t \geq 1$.*
- (2) *Let $t_0 > 1$. Then there exists a regular self-similar arc Λ with $\theta_{\min} = 0$ such that Λ is a t_0 -quasi-arc, but Λ is a t -quasi-arc for no $1 \leq t < t_0$.*
- (3) *Let $t_0 \geq 1$. Then there exists a regular self-similar arc Λ with $\theta_{\min} = 0$ such that Λ is a t -quasi-arc for each $t > t_0$, but Λ is not a t_0 -quasi-arc.*

REMARK. When $t_0 = 1$, the third part of Theorem 7.7 says that there exists a regular self-similar arc Λ with $\theta_{\min} = 0$ which is an t -quasi-arc for each $t \in (1, s)$, where s is the Hausdorff dimension of Λ . Then by Theorem 6.7, the Hausdorff measure function f is a Whitney function on Λ .

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