

## VARIANTS OF BLUMBERG'S THEOREM

BY

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### 1. Introduction

In [4], J. B. Brown showed that the following statement, which is a variant of Blumberg's theorem [3], holds for any metric space  $X$  that is  $c$ -typically dense in itself [4, p. 244].

1.1 If  $f$  is a real valued function defined on  $X$ , then there are subsets  $D$  and  $E$  of  $X$  such that  $D$  is contained in  $E$ ,  $D$  is dense in  $X$ ,  $U \cap E$  is of cardinality at least  $c$  ( $= 2^\omega$ ) for every non-empty open subset  $U$  of  $X$ , and  $f|E$  is continuous at every point of  $D$ .

Every complete metric space is  $c$ -typically dense in itself [4, p. 251], and every topological space for which 1.1 holds is a Baire space [3, p. 667]. In Sections 2 and 3 of this paper, we shall show that an argument of Blumberg's can be used to prove that 1.1 holds for every space in a class  $\mathcal{C}$  (the  $\omega Bc \sigma\pi$  spaces) of topological spaces that includes every  $c$ -typically dense in itself metric space. If  $c = \omega_1$ , then any metric space for which 1.1 holds is  $c$ -typically dense in itself [4, p. 249]. In Section 5, we shall show that every weakly  $T_1 \sigma\pi$  space for which 1.1 holds is in  $\mathcal{C}$ . In sections 4 and 6, we shall study  $\mathcal{C}$  briefly.

The author wishes to thank the referee for noting that the original version of Corollary 4.9 needed an extra hypothesis (that of  $n > m$  where of  $n$  denotes the cofinality of  $n$  [7, p. 166]), and making several suggestions that improved the presentation of the results.

### 2. An argument of Blumberg's

In this section, we shall prove a technical result, Lemma 2.1, that can be used for handling, in the context of metrizable spaces (or  $\sigma\pi$  spaces), almost any variant of Blumberg's theorem. The proof of Lemma 2.1 is just Blumberg's proof [1]; however, instead of real valued functions defined on metric spaces, we consider functions defined on spaces of a slightly more general type and taking values in first countable spaces. This allows us to prove corollary 2.2, which is useful in a certain area of topology [17].

The proof of a variant of Blumberg's theorem for a certain class of metric (or near metric) spaces consists of two main parts. Given the function  $f$ , part

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one consists of finding an “appropriately dense” subset  $C$  of the domain  $X$  of  $f$  such that  $f|C$  has a “weak continuity” property. This first step does not use the fact that  $X$  is metric (or near metric). The second part consists of using the metric (or near metric) structure of  $C$ , together with the “weak continuity” of  $f$ , to find a much “smaller” dense subset  $D$  of  $C$  and a slightly “larger” set  $E$  that is not necessarily between  $D$  and  $C$ , such that  $f|E$  is continuous at each point of  $D$ . Lemma 2.1 performs the second step.

It is curious that Proposition 1.7 of [16] follows easily from the theorem in [3], while Theorem 3.1, with  $m = \omega$ ,  $n = \omega_1$ , and  $Y$  metrizable, does not seem to follow easily from Theorem 1 of [4], by a similar argument.

The cardinal number of a set  $S$  will be denoted by  $|S|$ . If  $\mathcal{F}$  denotes a collection of subsets of  $S$ , then:  $\mathcal{F}^*$  denotes  $\mathcal{F} \sim \{\phi\}$ ;  $\bigcup \mathcal{F}$  (respectively,  $\bigcap \mathcal{F}$ ) denotes

$$\bigcup \{F: F \in \mathcal{F}\} \quad (\text{respectively, } \bigcap \{F: F \in \mathcal{F}\});$$

and, for any subset  $A$  of  $S$ ,  $\mathcal{F} \cap A$  denotes  $\{F \cap A: F \in \mathcal{F}\}$ . A sequence  $(A_i, i < \omega)$  will usually be denoted by  $(A_i)$ ; the set  $\bigcup \{A_i: i < \omega\}$  (respectively,  $\bigcap \{A_i: i < \omega\}$ ) will be denoted by  $\bigcup_i A_i$  (respectively,  $\bigcap_i A_i$ ).

Suppose  $(X, \mathcal{T})$  is a topological space. We shall denote  $\mathcal{T}$  by  $tX$  and speak of “the topological space  $X$ ”. For any subset  $A$  of  $X$ , the closure of  $A$  is denoted by  $\text{cl } A$  and the interior of  $A$  by  $\text{int } A$ . We shall denote the collection of all nowhere dense subsets of  $X$  by  $NX$ . Suppose  $\mathcal{K}$  is a subset of  $NX$ . We shall say a subset  $E$  of  $X$  is  $\mathcal{K}$  dense in  $X$  if, whenever  $U \in tX^*$  then  $U \cap E$  contains an element of  $\mathcal{K}$ . Note that a subset  $K$  of  $X$  is nowhere dense in  $X$  if and only if for every  $U$  in  $tX^*$ ,  $U \cap K$  is not dense in  $U$ . If  $f$  is a function defined on  $X$ , taking values in the topological space  $Y$ , then we shall denote by  $\mathcal{B}(f, \mathcal{K})$  the set of all ordered pairs  $(D, E)$ , where  $E$  is a  $\mathcal{K}$  dense subset of  $X$ ,  $D$  is a subset of  $E$  that is dense in  $X$ , and  $f|D$  is continuous at every point of  $D$ .

Now we shall define the class of spaces for which Blumberg’s argument is valid. A pseudo-base for a space  $X$  is a subset  $\mathcal{P}$  of  $tX^*$  such that every element of  $tX^*$  contains an element of  $\mathcal{P}$ . A pseudo-base is called  $\sigma$ -disjoint if it is the union of a countable number of disjoint subcollections of  $tX^*$ . A space with a  $\sigma$ -disjoint pseudo-base will be called a  $\sigma\pi$  space. A pseudo-base  $\mathcal{P}$  for a  $\sigma\pi$  space  $X$  is called a standard pseudo-base for  $X$  if  $\mathcal{P} = \bigcup \mathcal{P}_i$ , where  $\mathcal{P}_0 = \{X\}$ , and for each  $i$ ,  $\mathcal{P}_{i+1}$  is a disjoint subcollection of  $tX^*$  that refines  $\mathcal{P}_i$ .

A space  $X$  will be called tractable if, whenever  $x \in X$  and  $\text{cl } \{x\} \in NX$ , then

(\*) there is a countable subcollection  $\mathcal{U}$  of  $tX^*$  such that  $x \in \bigcap \mathcal{U}$  and  $\bigcap \{\text{cl } U: U \in \mathcal{U}\} \in NX$ .

We note that the following types of spaces are tractable:

- (1) a first countable Hausdorff space;
- (2) a regular space in which every point is a  $G_\delta$ ;

(3) a  $\sigma\pi$  space  $X$  that has a standard pseudo-base  $\mathcal{P}$  such that, for each  $i$ ,  $\bigcup \mathcal{P}_i = X$ .

There are spaces (see 6.4) of the third type in which no point is a  $G_\delta$ .

If  $f$  is a function from the space  $X$  into the space  $Y$  and  $x \in X$ , then we shall say that  $f$  is  $\delta$  continuous at  $x$  if, for every  $V$  in  $tY$  such that  $f(x) \in V$ , there is a subset  $A$  of  $X$  such that  $x \in \text{int cl } A$  and  $f[A] \subset V$ .

2.1 LEMMA. Suppose  $f$  is a function from the tractable  $\sigma\pi$  space  $X$  into the first countable space  $Y$ , and  $\mathcal{X}$  is a subset of  $NX$ . If

(1) there is a dense subset  $C$  of  $X$  such that  $f|C$  is  $\delta$  continuous at every point of  $C$ , and

(2) whenever  $U \in tX$ ,  $V \in tY$ , and  $U \cap f^{-1}[V] \neq \phi$ , then  $U \cap f^{-1}[V]$  contains an element of  $\mathcal{X}$ ,

then  $\mathcal{B}(f, K) \neq \phi$ .

If, in addition,

(3)  $tX \subset f^{-1}[tY]$ ,

then there is  $(D, E)$  in  $\mathcal{B}(f, \mathcal{X})$  such that  $tX \cap D$  is metrizable.

*Proof.* Let  $W$  be a function from  $Y \times \omega$  into  $tY$  such that for each  $y$  in  $Y$ ,  $(W(y, i))$  is a non-increasing local base at  $y$ . Let

$$I = \{x \in X : \text{int cl } \{x\} \neq \phi\}, \quad G = \bigcup \{\text{int cl } \{x\} : x \in I\},$$

and  $H = X \sim \text{cl } G$ . It suffices to consider two cases:  $X = G$ ;  $X = H$ .

*Case 1.* Suppose  $X = G$ . In this case, the proof is easy. Of course,  $D = I$ . The set  $E$  is constructed as follows. Suppose  $x \in I$ ; because  $X$  is a  $\sigma\pi$  space, it satisfies the first axiom of countability at  $x$ . Let  $(S_i(x))$  be a local base at  $x$ , each element of which is contained in  $\text{int cl } \{x\}$ . Define a sequence  $(K_i(x))$  of elements of  $\mathcal{X}$  so that for each  $i$ ,

$$K_i(x) \subset S_i(x) \cap f^{-1}[W(f(x), i)].$$

If

$$E = D \cup \bigcup \{K_i(x) : x \in I, i < \omega\},$$

then  $(D, E) \in \mathcal{B}(f, \mathcal{X})$ .

*Case 2.* Suppose  $X = H$ . Because (1) holds, there is a function  $B$  from  $C \times \omega$  into  $tX$  such that: if  $x$  is in  $C$ , then, for each  $i$ ,

$$x \in B(x, i + 1) \subset B(x, i)$$

and  $B(x, i) \cap C \cap f^{-1}[W(f(x), i)]$  is dense in  $B(x, i)$ ; and

$$\bigcap_i \text{cl } B(x, i) \in NX.$$

Let

$$\mathcal{F} = \{U \cap f^{-1}[V]: U \in tX, V \in tY\},$$

and let  $\gamma$  be a function from  $\mathcal{F}^*$  into  $\mathcal{X}$  such that  $\gamma(F) \subset F$  for every  $F$  in  $\mathcal{F}^*$ . Let  $\gamma(\phi) = \phi$ , and let  $\mathcal{P}$  be a standard pseudo-base for  $X$ .

Define, by induction, a sequence  $(S_i, D_i, \varepsilon_i)$  where for each  $i$ ,  $D_i$  is a subset of  $C$ ,  $\varepsilon_i$  is a function from  $D_i$  into  $\omega$ , and  $S_i$  is a function from  $D_i$  into  $tX$  are such that, for each  $i$ , the following hold:  $S_i[D_i]$  is a disjoint collection such that  $\bigcup S_i[D_i]$  is dense in  $X$ ;  $S_{i+1}[D_{i+1}]$  refines  $S_i[D_i]$ ;  $D_i \subset D_{i+1}$ ;  $\varepsilon_{i+1}(x) = \varepsilon_i(x) + 1$  for all  $x$  in  $D_i$ ;  $S_{i+1}[D_{i+1} \sim D_i]$  refines  $\mathcal{P}_{i+1}$ ; if  $x \in D_i$ , then

$$x \in S_i(x) \subset B(x, \varepsilon_i(x));$$

if  $x \in D_i, x' \in D_{i+1} \sim D_i$ , and  $S_{i+1}(x') \subset S_i(x)$ , then

$$W(f(x'), \varepsilon_{i+1}(x')) \subset W(f(x), \varepsilon_i(x)) \quad \text{and} \quad S_{i+1}(x') \cap K_i(x) = \phi,$$

where

$$K_i(x) = \gamma(f^{-1}[W(f(x), \varepsilon_i(x))] \cap [S_i(x) \sim \text{cl } S_{i+1}(x)]).$$

Let  $D = \bigcup_i D_i$  and  $E = D \cup \bigcup_i \{K_i(x): x \in D_i\}$ . To show that  $D$  is dense in  $X$  and  $E$  is  $\mathcal{X}$  dense in  $X$ , it suffices to show that  $\bigcup_i S_i[D_i]$  is a pseudo-base for  $X$ . To do this, suppose that  $P \in \mathcal{P}_i$ . Then there is an  $x$  in  $D_i$  such that  $P \cap S_i(x) \neq \phi$ . Because

$$\bigcap \{\text{cl } S_j(x): j \geq i\} \in NX,$$

there is a  $k$  such that  $k \geq i$  and

$$U = P \cap [S_k(x) \sim \text{cl } S_{k+1}(x)] \neq \phi.$$

Because  $S_{k+1}[D_{k+1} \sim D_k]$  refines  $\mathcal{P}_{k+1}$ , there is an  $x'$  in  $D_{k+1}$  such that  $S_{k+1}(x') \subset U \subset P$ .

If  $x \in D$ , then  $f \upharpoonright E$  is continuous at  $x$ , because for each  $i$ ,

$$f[E \cap S_i(x)] \subset W(f(x), \varepsilon_i(x)).$$

Finally, if (3) holds, then  $[\bigcup_i S_i[D_i]] \cap D$  is a  $\sigma$ -discrete base for  $f^{-1}[tY] \cap D = tX \cap D$ . ■

The main use of Lemma 2.1 is in proving Theorem 3.1. However, the following corollaries are also of some interest.

**2.2 COROLLARY.** *Every first countable Hausdorff  $\sigma\pi$  space has a dense metrizable subspace.*

*Proof.* If  $X$  is a first countable Hausdorff  $\sigma\pi$  space, let  $f$  be the identity mapping of  $X$  into  $X$  and let  $\mathcal{X} = \phi$ . Because  $tX \subset f^{-1}[tX]$ , Lemma 2.1 implies that  $X$  has a dense metrizable subspace. ■

If  $X$  is regular and has a dense metrizable subspace, then it is a  $\sigma\pi$  space. As Example 6.4 shows, the converse of this statement is false. The following result indicates, however, that many  $\sigma\pi$  spaces have metrizable spaces associated with them, in a rather simple fashion.

**2.3 COROLLARY.** *If the  $\sigma\pi$  space  $X$  has a dense tractable subspace, then there is a dense subset  $D$  of  $X$  and a topology  $\mathcal{W}$  such that  $(D, \mathcal{W})$  is metrizable and  $\mathcal{W}$  is a pseudo-base for  $(tX) \cap D$ .*

*Proof.* We may assume that  $X$  is tractable. Referring to the proof of Lemma 2.1, let  $\mathcal{W}$  be the topology on  $D$  generated by

$$\left(\bigcup_i S_i[D_i]\right) \cap D. \blacksquare$$

Corollary 2.3 is trivial if  $X$  is a Baire space. For let  $\mathcal{P}$  be a standard pseudo-base for  $X$  and let  $D$  be a subset of  $\bigcap_i [\bigcup \mathcal{P}_i]$  such that if  $(P_i)$  is a sequence for which  $P_i \in \mathcal{P}_i$  for each  $i$  and  $\bigcap_i P_i \neq \phi$ , then  $|D \cap \bigcap_i P_i| = 1$ . Let  $\mathcal{W}$  be the topology generated by  $\mathcal{P} \cap D$ ; then  $(D, \mathcal{W})$  is a metrizable Baire space. If, in addition,  $X$  is  $\alpha$ -favorable (see Section 6), then  $D$  may be chosen so that  $(D, \mathcal{W})$  is completely metrizable.

Suppose  $f$  is a function from the space  $X$  into the space  $Y$  and  $x \in X$ . We shall say  $f$  is  $\Delta$  continuous at  $x$  if there is a subset  $A$  of  $X$  such that  $x \in \text{int cl } A$  and  $f|A \cup \{x\}$  is continuous at  $x$ . It is clear that if  $f$  is  $\Delta$  continuous at  $x$ , then  $f$  is  $\delta$  continuous at  $x$ .

**2.4 COROLLARY.** *If  $f$  is a function from a regular  $\sigma\pi$  space  $X$  into a first countable  $T_1$  space  $Y$  that is  $\Delta$  continuous at every  $x$  in  $X$ , then there is a dense subset  $D$  of  $X$  such that  $f|D$  is continuous.*

*Proof.* Without loss of generality, we may, and do, assume that, for each  $x$  in  $X$ ,  $\{x\}$  is nowhere dense in  $X$ . Let  $X^+$  be the set of all points  $x$  of  $X$  for which  $(*)$  holds. Let  $G = \text{int } X^+$  and  $H = X \sim \text{cl } G$ . It suffices to consider two cases, when  $X = G$  and when  $X = H$ . If  $X = G$ , then Lemma 2.1, with  $\mathcal{X} = \phi$  and  $C = X$ , implies the existence of the required set  $D$ . Suppose  $X = H$ . It suffices to show that if  $U \in tX^*$ , then  $f$  is constant on some subset of  $U$  that is not nowhere dense in  $X$ . Given  $U$ , choose  $x$  in  $U \sim X^+$  and a subset  $A$  of  $X$  such that  $x \in \text{int cl } A$  and  $f|A \cup \{x\}$  is continuous at  $x$ . Let  $(V_i)$  be a local base at  $f(x)$ , and define a sequence  $(U_i)$  of open subsets of  $\text{int cl } A$  that contain  $x$  such that for each  $i$ ,  $\text{cl } U_{i+1} \subset U_i$  and  $f[A \cap U_i] \subset V_i$ . If  $K = A \cap [\text{int } \bigcap_i U_i]$ , then  $K \notin NX$  and  $f(y) = f(x)$  for every  $y$  in  $A$ .  $\blacksquare$

We shall denote the real line by  $R$ , the Euclidean topology on  $R$  by  $tR$ , and Lebesgue outer measure on  $R$  by  $\mu^*$ .

2.5 COROLLARY. *If  $f$  is a real-valued function defined on  $R$  for which*

$$\mu^*(U \cap f^{-1}[V]) > 0$$

*whenever  $U, V \in tR$  and  $U \cap f^{-1}[V] \neq \phi$ , then there are subsets  $D$  and  $E$  of  $R$  such that  $D$  is dense in  $R$ ,  $D \subset E$ ,  $\mu^*(E \cap U) > 0$  for all  $U$  in  $tR^*$ , and  $f|E$  is continuous at every point of  $D$ .*

*Proof.* Suppose  $f$  is as hypothesized. Because  $R$  is a metric Baire, there is a dense subset  $C$  of  $R$  such that  $f|C$  is continuous. Lemma 2.1, with  $\mathcal{K} = \{K \in NR: \mu^*(K) > 0\}$  implies the existence of the required sets  $D$  and  $E$ . ■

In [5], J. B. Brown gives an example that shows the conclusion of Corollary 2.5 is false for some real valued functions defined on  $R$ .

### 3. A generalization of two theorems of J. B. Brown

In this section, we shall prove a statement, Theorem 3.1, that generalizes Theorems 1 and 1' of [4].

We shall use  $m$  and  $n$  to denote cardinal numbers. If  $X$  is a topological space and  $n \geq \omega_1$ , then we denote by  $nNX$  the collection of all nowhere dense subsets of  $X$  of cardinality at least  $n$ . We denote by  $nGNX$  the collection of all subsets  $A$  of  $X$  such that for every  $U$  in  $tX^*$ ,  $U \cap A$  is not  $nNX$  dense in  $U$ . The elements of  $nGNX$  can be described as "generalized nowhere dense" subsets of  $X$ . It is convenient to let  $0GNX = NX$ . Note that a subset of a  $T_1$  space that has no isolated points is dense in  $X$  if and only if it is  $NX^*$  dense in  $X$ . If  $m \geq \omega$  and either  $n = 0$  or  $n \geq \omega_1$ , we shall denote by  $mMnX$  the collection of all subsets of  $X$  that are unions of subcollections of  $nGNX$  of cardinality at most  $m$ . Elements of  $mMnX$  can be described as "generalized meager" subsets of  $X$ . In fact,  $\omega M0X$  consists precisely of the meager subsets of  $X$ .

Suppose  $X$  is metrizable. It follows from 4.3 that  $\omega M\omega_1 X$  consists of what J. B. Brown calls the nowhere typically dense [4, p. 244] subsets of  $X$ . And it is easily verified that every element of  $\omega McX$  is what J. B. Brown calls nowhere  $c$ -typically dense [4, p. 250].

We shall say  $X$  is an  $mBn$  space if  $(tX^*) \cap mMnX = \phi$ . It follows that: a space is a Baire space if and only if it is an  $\omega B0$  space; a metric space is an  $\omega B\omega_1$  space if and only if it is typically dense in itself [4, p. 244]; any  $c$ -typically dense in itself [4, p. 250] metric space is an  $\omega Bc$  space.

3.1 THEOREM. *If  $f$  is a function from a  $\sigma\pi mBn$  space  $X$  into a first countable space  $Y$  of weight [7, p. 164] at most  $m$ , then  $\mathcal{B}(f, nNX) \neq \phi$ .*

*Remark.* Theorem 3.1, with  $n = 0$ , is a generalization of the "if" part of the theorem in [2]. If  $m = \omega$  and  $n = 0$ , Theorem 3.1 is essentially Proposition 1.7 of [16]. If  $m = \omega$  and  $n = \omega_1$ , then Theorem 3.1 generalizes Theorem 1 of [4]; if  $m = \omega$  and  $n = c$ , then it generalizes Theorem 1' of [4].

As Professor B. J. Pettis observed, Theorem 3.1 implies the following generalization of itself.

3.2 COROLLARY. *Suppose  $X$  is a  $\sigma\pi$   $mBn$  space. If for each  $i, f_i$  is a function from  $X$  into a first countable space  $Y_i$  of weight at most  $m$ , then  $\bigcap_i \mathcal{B}(f_i, nNX) \neq \phi$ .*

*Proof.* Apply Theorem 3.1 to  $f$ , where  $Y$  is the product of the  $Y_i$  and  $f(x) = (f_i(x))$  for all  $x$  in  $X$ . ■

We shall now prove Theorem 3.1. The proof is essentially the same as the proof of the theorem in [3]. In particular, the next few lines are similar to Lemmas 1, 2, and 3 of [3]. Therefore, the presentation is kept brief.

3.3 LEMMA. *If  $\mathcal{F}$  is a subset of  $mMnX$  of cardinality at most  $m$ , then  $\bigcup \mathcal{F} \in mMnX$ .*

3.4 LEMMA. *Suppose  $A$  is a subset of the space  $X$  such that every element  $U$  of  $tX^*$  contains an element  $V$  of  $tX^*$  such that  $V \cap A \in mMnX$ . Then  $A \in mMnX$ .*

The proof of Lemma 3.4 is a straightforward generalization of the proof of the Banach category theorem (see [13] and pages 201, 202 of [11]).

Now, for any subset  $A$  of the space  $X$ , let  $M(A, m, n)$  denote the set of all  $x$  in  $A$  such that every open subset  $U$  of  $X$  that contains  $x$  contains an element  $V$  of  $tX^*$  such that  $V \cap A \in mMnX$ . It follows from Lemma 3.4 that  $M(A, m, n) \in mMnX$ .

*Proof of 3.1.* Suppose  $f, X$ , and  $Y$  are as hypothesized, and let  $\mathcal{P}$  be a standard pseudo-base for  $X$ . If

$$d(f) = \{M(f^{-1}[V], m, n) : V \in tY\},$$

then, by Lemma 3.3,  $d(f) \in mMnX$ . So, if

$$X_f = [X \sim d(f)] \cap [\bigcap_i \cup \mathcal{P}_i],$$

then  $X_f$  is a tractable  $\sigma\pi$  space that is dense in  $X$ .

It is easy to verify that:  $f|X_f$  is  $\delta$  continuous at every point of  $X_f$ , and if  $U \in tX_f, V \in tY$ , and  $U \cap f^{-1}[V] \neq \phi$ , then  $U \cup f^{-1}[V]$  contains an element of  $nMX_f$ . So Lemma 2.1 implies that  $\mathcal{B}(f|X_f, nNX_f) \neq \phi$ . Hence  $\mathcal{B}(f, nNX) \neq \phi$ . ■

We conclude this section with a result that characterizes  $\omega Bn \sigma\pi$  spaces.

3.5 THEOREM. *For any  $\sigma\pi$  space, the following statements are equivalent.*

- (1)  $X$  is an  $\omega Bn$  space.
- (2) If  $f$  is a real valued function defined on  $X$ , then  $\mathcal{B}(f, nNX) \neq \phi$ .
- (3) If  $f$  is a function from  $X$  into  $\omega$ , then  $\mathcal{B}(f, nNX) \neq \phi$ .

*Proof.* All that remains to be shown is that (3) implies (1). So suppose (3) holds and  $X = \bigcup_i B_i$ , where:  $B_i \cap B_j = \phi$  if  $i \neq j$ ; and if  $i \geq 1$ , then  $B_i \in nGNX$ . Define  $f$  by letting  $f(x) = i$  if  $x \in B_i$ , and let  $(D, E)$  be an element of  $\mathcal{B}(f, nNX)$ . Then there is a  $k$ , and a  $U$  in  $tX^*$ , such that  $B_k \cap U \supset U \cap U$ ; therefore,  $B_k \cap U$  is  $nNX$  dense in  $U$ . So  $k = 0$  and  $X \neq \bigcup \{B_i: 1 \leq i < \omega\}$ . ■

**4. Some useful properties of  $\sigma\pi$  spaces**

Part of the material in this section will be used in Sections 5 and 6.

We shall denote the cellular number [7, p. 164] of a space  $X$  by  $oX$ . A space  $X$  satisfies the countable chain condition if  $oX \leq \omega$ . If  $oX > \omega$  for every  $U$  in  $tX^*$ , then  $X$  is called nowhere CCC. The space  $X$  is called weakly  $T_1$  if for each  $x$  in  $X$ , either  $cl \{x\} = \{x\}$  or  $cl \{x\}$  is nowhere dense in  $X$ . Note that any space that is nowhere CCC is weakly  $T_1$ .

4.1 THEOREM. *Suppose  $X$  is a  $\sigma\pi$  space.*

(1) *There is a disjoint subcollection of  $tX^*$  of cardinality  $oX$  (i.e.,  $oX$  is assumed).*

(2) *The density character [7, p. 164] of  $X$  equals  $oX$ .*

(3) *If  $X$  is nowhere CCC, then there is a family  $(F_\alpha, \alpha < \omega_1)$  of closed, nowhere dense subsets of  $X$  such that*

$$X = \bigcup \{F_\alpha: \alpha < \omega_1\}$$

*and if  $\alpha < \beta < \omega_1$ , then  $F_\alpha \subset F_\beta$ .*

(4) *If  $X$  is weakly  $T_1$  and has no isolated points, then it is the union of a subcollection of  $NX$  that is of cardinality at most  $c$ .*

The proofs of all four statements in Theorem 4.1 are the same for  $\sigma\pi$  spaces as they are for metrizable spaces. See pages 167, 168 of [7] for proofs of (1) and (2), when  $X$  is metrizable. We shall include a sketch of a proof of (3), which was given for metrizable spaces in [15].

*Proof of (3).* Let  $\mathcal{P}$  be a standard pseudo-base for  $X$ . Because no element of  $\mathcal{P}$  satisfies the countable chain condition, for each  $i$ , there is a family  $(V(i, \alpha), \alpha < \omega_1)$  of non-empty open subsets of  $X$  such that: if  $\alpha < \beta < \omega_1$ , then  $V(i, \alpha) \cap V(i, \beta) = \phi$ ; if  $\alpha < \omega_1$  and  $P \in \mathcal{P}_i$ , then  $P \cap V(i, \alpha) \neq \phi$ . For each  $\alpha$  less than  $\omega_1$ , let

$$F_\alpha = X \sim \bigcup \{V(i, \beta): i < \omega, \alpha < \beta < \omega_1\}.$$

It is easy to verify that  $(F_\alpha, \alpha < \omega_1)$  has the required properties. ■

We shall now give some applications of Theorem 4.1. The first is a simplification of the definition of  $mBn$  space when  $n = cf n > m$ . We shall denote by  $HX$  the collection of all  $U$  in  $tX^*$  for which  $oV = oU$  for all  $V$  in  $tU^*$ . The collection  $HX$  is a pseudo-base for  $X$ .

4.2 PROPOSITION. *Suppose  $X$  is a  $\sigma\pi$  space.*

- (1) *If  $X \in HX$  and  $oX \geq n \geq \omega_1$ , then  $mMnX = mM0X$ .*
- (2) *If  $\text{cf } n > \max(oX, m)$ , then a subset  $A$  of  $X$  is in  $mMnX$  if and only if there is  $B$  in  $mM0X$  such that  $A \sim B$  contains no element of  $nNX$ .*

*Proof.* (1) Under the hypothesis of (1), every dense subset of  $X$  is  $nNX$  dense. To see this, suppose  $B$  is dense in  $X$  and  $W \in tX^*$ . By 4.1(1), there is a disjoint subcollection  $\mathcal{U}$  of  $tW^*$  of cardinality at least  $n$ . Let  $A$  be a subset of  $(\bigcup \mathcal{U}) \cap B$  such that  $|A \cap B \cap U| = 1$  for every  $U$  in  $\mathcal{U}$ ; then  $A \in nNX$ , and  $B$  is  $nNX$  dense in  $X$ . Part (1) follows easily from this.

(2) Suppose  $\mathcal{F}$  is a subset of  $nGNX$  of cardinality at most  $m$ , and let  $A = \bigcup \mathcal{F}$ . If  $F \in \mathcal{F}$ , then because  $\text{cf } n > oX$ , there is a disjoint subcollection  $\mathcal{U}_F$  of  $tX^*$  such that  $\bigcup \mathcal{U}_F$  is dense in  $X$  and  $\bigcup \mathcal{U}_F$  contains no element of  $nNX$ . If

$$B = \bigcup \{A \sim \bigcup \mathcal{U}_F : F \in \mathcal{F}\},$$

then  $B$  has the required properties. ■

4.3 COROLLARY. *If  $\text{cf } n = n > m \geq \omega$ , then  $mMnX$  consists of all subsets  $A$  of  $X$  for which there is  $B$  in  $mM0X$  and  $C$  in  $nGNX$  such that  $A = B \cup C$ .*

We note that 4.1(3) and 4.1(4) restrict the cardinals for which a  $\sigma\pi$  space can be an  $mBn$  space.

4.4 PROPOSITION. *Suppose  $X$  is a  $\sigma\pi$   $mBn$  space.*

- (1) *If  $X$  is weakly  $T_1$  and has no isolated points, then  $m < c$ .*
- (2) *If  $X$  is nowhere CCC, then  $m = \omega$ .*
- (3) *If  $m > \omega$  and  $X$  is Hausdorff, then  $n \leq 2^c$ .*

*Proof.* Only (3) requires proof. In this case, we may, because of (2), assume that  $X$  satisfies the countable chain condition. By 4.1(2),  $X$  is separable. It follows from Lemma 15 of [7] that  $|X| \leq 2^c$ . ■

The next application will be used in Section 5. Suppose  $n \geq \omega_1$ . A subset  $A$  of a space is called  $n$  dense in  $X$  if  $|U \cap A| \geq n$  for every  $U$  in  $tX^*$ . Clearly, an  $nNX$  dense subset of  $X$  is  $n$  dense. Example 4.10 shows that the converse of this statement is false, even for  $\sigma\pi$  spaces. The following statements indicate that in some situations the converse is true.

4.5 THEOREM. *If  $X$  is nowhere CCC  $\sigma\pi$  space, then every  $n$  dense subset of  $X$  is  $nNX$  dense.*

*Proof.* It suffices to show that if the hypothesis holds and  $X$  is  $n$  dense in  $X$ , then  $nNX \neq \emptyset$ . To show this, suppose that  $(F_\alpha, \alpha < \omega_1)$  satisfies the conclusion of Theorem 4.1(3).

Case 1. Suppose of  $n > \omega_1$ . Because

$$n \leq |X| = \sup \{ |F_\alpha| : \alpha < \omega_1 \},$$

one of the  $F_\alpha$ s is in  $nNX$ .

Case 2. Suppose of  $n = \omega$ . Let  $(n_i)$  be a sequence of regular cardinals such that  $n = \sup \{ n_i : i < \omega \}$ . For each  $i$ , there is an  $\alpha_i$  less than  $\omega_1$  such that  $|F_{\alpha_i}| \geq n_i$ . Let

$$\gamma = \sup \{ \alpha_i : i < \omega \} + 1.$$

Then  $F_\gamma \in nNX$ , because  $(|F_\alpha|, \alpha < \omega_1)$  is non-decreasing.

Case 3. Suppose  $n > \text{cf } n = \omega_1$ . Let  $(n_\alpha, \alpha < \omega_1)$  be a family of regular cardinals, each of which is greater than  $\omega$ , such that

$$n = \sup \{ n_\alpha : \alpha < \omega_1 \},$$

and let  $(U_\alpha, \alpha < \omega_1)$  be a disjoint family of elements of  $tX^*$ . If  $\alpha < \omega_1$ , then by Case 1, there is a  $K_\alpha$  in  $n_\alpha NU_\alpha$ . Then

$$\bigcup \{ K_\alpha : \alpha < \omega_1 \} \in nNX.$$

Case 4. Suppose  $n = \omega_1$ . As in the proof of 4.2(1), every dense subset of  $X$  is  $nNX$  dense. ■

4.6 PROPOSITION. Suppose  $X$  is a weakly  $T_1$   $\sigma\pi$  space. If either (1)  $\text{cf } n > c$ , or (2)  $n > c$  and  $\text{cf } n = \omega$ , then every  $n$  dense subset of  $X$  is  $nNX$  dense.

*Proof.* Because of 4.5, we may assume that  $oX = \omega$ . In both cases, it suffices to show that if  $X$  is  $n$  dense in  $X$ , then  $nNX \neq \phi$ . If (1) holds, then this follows from 4.1(4). If (2) holds, then the proof is similar to Case 3 of the proof of 4.5, using 4.1(4) instead of 4.1(3). ■

The following statement is an often useful alternative to the continuum hypothesis.

4.7 MARTIN'S AXIOM (topological form). If  $\omega < m < c$ , then every compact Hausdorff space that satisfies the countable chain condition is an  $mB0$  space.

If  $\omega_1 = c$ , then 4.7 definitely holds. In [14], it is proven that it is consistent with ZFC that 4.7 holds and  $\omega_1 < c$ . It is shown in [12] that if 4.7 holds, then  $c$  is regular; in fact, it is shown that in this case,  $2^m = c$  whenever  $\omega < m < c$ . In any statement in this paper, "[MA]" indicates that 4.7 is part of the hypothesis of that statement.

4.8 THEOREM [MA]. If  $X$  has a countable pseudo-base and  $\omega < m < c$ , then  $mNOX = \omega MOX$ .

The proof of 4.8 is the same as the proof of the theorem on page 170 of [12].

4.9 COROLLARY [MA]. *Suppose  $X$  and  $m$  satisfy the hypothesis of 4.8. If  $\text{cf } n > m$  and  $X$  is an  $\omega Bn$  space, then it is an  $mBn$  space.*

*Proof.* It follows from 4.8 and 4.2(2) that under the hypothesis of 4.9, we have  $\omega MnX = mMnX$ . ■

4.10 Example [MA]. There are subsets of  $R$  that are  $c$  dense, but not  $cNR$  dense, in  $R$ .

Using 4.8 and a simple modification of the argument on pages 146, 147 of [9], we can construct a  $c$  dense subset  $A$  of  $R$  such that  $|A \cap F| < c$  for every  $F$  in  $cNR$ . ■

4.11 PROPOSITION [MA]. *Suppose  $X$  is a weakly  $T_1 \sigma\pi$  space. If  $n \geq \omega_1$  and  $\text{cf } n \neq c$ , then every  $n$  dense subset of  $X$  is  $nNX$  dense.*

*Proof.* Because of 4.5 and 4.6, we may assume that  $\text{cf } n < c$  and  $\omega X = \omega$ . Suppose  $X$  is  $n$  dense in  $X$ . We first show that there is a set  $A$  in  $\omega M0X$  of cardinality  $n$ . If  $n < c$ , let  $A$  be any subset of  $X$  of cardinality  $n$ ; it follows from 4.8 that  $A \in \omega M0X$ . If  $n > c$ , then by 4.1(4), there is a subcollection  $\mathcal{F}$  of  $NX$  of cardinality at most  $c$  such that  $|\bigcup \mathcal{F}| \geq n$ . Let  $(n_\alpha, \alpha < \text{cf } n)$  be a family of regular cardinals such that for each  $\alpha, c < n_\alpha < n$ , and  $n = \sup \{n_\alpha : \alpha < \text{cf } n\}$ . For each  $\alpha$  less than  $\omega_1$ , there is an  $F_\alpha$  in  $\mathcal{F}$  such that  $|F_\alpha| \geq n_\alpha$ . If

$$A = \bigcup \{F_\alpha : \alpha < \text{cf } n\},$$

then  $A \in (\text{cf } n)M0X = \omega M0X$  and  $|A| \geq n$ .

Now, if  $\text{cf } n > \omega$ , then it is clear that the existence of an element of  $\omega M0X$  of cardinality  $n$  implies that  $nNX \neq \phi$ . So suppose  $\text{cf } n = \omega$ . Let  $(n_i)$  be a sequence of uncountable regular cardinals, each of which is less than  $n$  and different from  $c$ , such that  $n = \sup \{n_i : i < \omega\}$ , and  $(U_i)$  be a disjoint sequence of elements of  $tX^*$ . For each  $i$ , there is  $K_i$  in  $n_iNU_i$ ; then  $\bigcup_i K_i \in nNX$ . ■

### 5. Converses

In this section we shall prove some converses of Theorem 3.1. One of them, Theorem 5.2(1), generalizes Theorems 2 and 2' of [4]. And Theorems 5.1 (with  $n = c$ ) and 5.2(1) characterize the weakly  $T_1 \sigma\pi$  spaces for which Proposition C of [4] holds (Proposition C of [4] is just 1.1): they are just the  $\omega Bc$  spaces. This naturally leads to another question. Are the weakly  $T_1 \sigma\pi$  spaces for which Proposition B of [4] (which is 1.1 with  $c$  replaced by  $\omega_1$ ) holds just the  $\omega B\omega_1$  spaces? Theorem 5.2(4) implies this is true if Martin's axiom holds. And, if  $X$  is a nowhere  $CCC \sigma\pi$  space, then it follows from Theorems 3.5 and 4.5 that Proposition B of [4] holds for  $X$  if and only if  $X$  is an  $\omega B\omega_1$  space. So the question reduces to the following. Must a weakly  $T_1$  space with a countable pseudo-base for which Proposition B of [4] holds be an  $\omega B\omega_1$  space?

If  $X$  and  $Y$  are topological spaces,  $f$  is a function from  $X$  into  $Y$ , and  $n \geq \omega_1$ , then we shall denote by  $\mathcal{B}'(f, n)$  the set of all ordered pairs  $(D, E)$  such that  $E$  is an  $n$  dense subset of  $X$ ,  $D$  is a dense subset of  $E$ , and  $f|E$  is continuous at every point of  $D$ . A space  $X$  will be called an  $n$  Brown space if  $\mathcal{B}'(f, n)$  is non-empty for every real-valued function  $f$  defined on  $X$ .

The following statement follows from Theorem 3.1.

5.1 THEOREM. *If  $n \geq \omega_1$ , then every  $\sigma\pi \omega Bn$  space is an  $n$  Brown space.*

We shall prove the following converses.

5.2 THEOREM. *If  $n$  satisfies any of the following conditions, then every weakly  $T_1$ ,  $\sigma\pi n$  Brown space is an  $\omega Bn$  space:*

- (1)  $n = c$ ;
- (2) cf  $n > c$ ;
- (3)  $n > c$  and cf  $n = \omega$ ;
- (4) [MA] cf  $n \neq c$ .

Parts (2), (3), and (4) of Theorem 5.2 follow from 3.5, 4.6, and 4.11. We shall now prove (1), starting with a lemma whose proof is omitted.

5.3 LEMMA. *If  $X$  is a  $c$  Brown space and  $Y$  is a subset of  $X$  such that  $X \sim Y$  is either closed or meager in  $X$ , then  $Y$  is a  $c$  Brown space.*

Now suppose that  $X$  is a weakly  $T_1$ ,  $\sigma\pi c$  Brown space. Because of 4.5, we may assume that  $X$  satisfies the countable chain condition. Because of 4.2(2) and 5.3, it suffices to show that  $cNX \neq \phi$ . So suppose, to the contrary, that every nowhere dense subset of  $X$  has cardinality less than  $c$ . It follows from 4.1(4) that  $X$  has cardinality at most  $c$ ; hence  $|X| = c$ . Let  $\mathcal{P}$  be a standard pseudo-base for  $X$ , let  $\mathcal{S}$  denote the  $\sigma$ -algebra generated by  $\mathcal{P}$ , and let  $\mathcal{M}$  denote the set of all real valued functions defined on  $X$  that are measurable ( $\mathcal{S}$ ). By Exercise 9 on page 26 of [10],  $\mathcal{S}$  is of cardinality at most  $c$ . Because each element of  $\mathcal{M}$  is the limit of a sequence of elements of  $\mathcal{M}$ , each of which has finite range, it follows that  $|\mathcal{M}| = c$ .

The argument on page 148 of [9] shows that there is a function  $h$  from  $X$  into  $R$  such that

$$|\{x: h(x) = g(x)\}| < c$$

for every  $g$  in  $\mathcal{M}$ . We shall obtain a contradiction by showing that the hypothesis on  $X$  implies that there is an  $f$  in  $\mathcal{M}$  such that  $\{x: h(x) = f(x)\}$  has cardinality  $c$ . To show this, first pick  $(D, E)$  in  $\mathcal{B}'(h, c)$ . Define, by induction, a sequence  $(\mathcal{Q}_i)$  of disjoint subcollections of  $\mathcal{P}$  such that for each  $i$ ,  $\bigcup \mathcal{Q}_i$  is dense in  $X$ ,  $\mathcal{Q}_{i+1}$  refines  $\mathcal{Q}_i$ , and if  $Q \in \mathcal{Q}_i$  and  $x, y \in E \cap Q$ , then  $|h(x) - h(y)| < 2^{-i}$ . Let  $Y = \bigcap_i \bigcup \mathcal{Q}_i$ , and for each  $i$ , let

$$f_i(x) = \sup \{h(y): y \in Q \cap E\} \quad \text{if } x \in Q \in \mathcal{Q}_i,$$

and

$$f_i(x) = 1 \quad \text{if } x \in X \sim \bigcup \mathcal{Q}_i.$$

Then  $f = \lim_i f_i$  exists,  $f$  is measurable ( $\mathcal{S}$ ), and

$$E \cap Y \subset \{x: h(x) = f(x)\}.$$

But, because  $X \sim Y$  is meager in  $X$ , it has cardinality less than  $c$ . Hence  $|\{x: h(x) = f(x)\}| = c$ . ■

### 6. Existence of $mBn \sigma\pi$ spaces

Suppose  $X$  is a  $\sigma\pi$  space. If  $X$  satisfies the countable chain condition and is an  $\omega Bn$  space, then by Lemma 15 of [7],  $n \leq 2^c$ . (If, in addition,  $X$  is metrizable, then  $n \leq c$ .) In this section, we shall show (Example 6.4) that there is a compact Hausdorff space with a countable pseudo-base that is an  $\omega B(2^c)$  space. First, however, we shall identify some  $\omega Bc$  spaces.

A space  $X$  is called  $\alpha$ -favorable [6, p. 116], if there is a function  $\theta: tX^* \rightarrow tX^*$  such that:  $\theta(U) \subset U$  for all  $U$  in  $tX^*$ ; if  $(U_i)$  is a sequence of elements of  $tX^*$  such that for each  $i$ ,  $U_{i+1} \subset \theta(U_i)$ , then  $\bigcap_i U_i \neq \phi$ . In [6], it is shown that every locally compact Hausdorff space and every completely metrizable space is  $\alpha$ -favorable.

6.1 PROPOSITION. *Every  $\alpha$ -favorable, weakly  $T_1 \sigma\pi$  space without isolated points is an  $\omega Bc$  space.*

*Proof.* This proof is similar to the proof of the corollary on page 251 of [4]. Suppose  $X$  satisfies the hypothesis of 6.1. Let  $\theta$  denote the function that exists because  $X$  is  $\alpha$ -favorable, and let  $\mathcal{P}$  be a standard pseudo-base for  $X$ . Suppose  $(B_i)$  is a sequence of elements of  $cGNX$ ; it suffices to show that  $X \neq \bigcup_i B_i$ . Define, by induction, a sequence  $(\mathcal{C}_i)$  of disjoint subcollections of  $\mathcal{P}$  such that the following hold for each  $i$ :  $\mathcal{C}_i$  is a subset of

$$\bigcup \{\mathcal{P}_j: i \leq j < \omega\}$$

of cardinality  $2^i$ ;  $\mathcal{C}_{i+1}$  refines  $\mathcal{C}_i$ ; if  $C \in \mathcal{C}_i$  and

$$\mathcal{A}(C) = \{D \in \mathcal{C}_{i+1}: D \subset C\},$$

then  $|\mathcal{A}(C)| = 2$  and  $\bigcup \mathcal{A}(C) \neq C$ ; if  $C \in \mathcal{C}_i$ , then  $C \cap B_i$  contains no element of  $cNX$ ; and, if  $C \in \mathcal{C}_i$  and  $D \in \mathcal{A}(C)$ , then there is  $U$  in  $tX^*$  such that  $D \subset \theta(U) \subset U \subset C$ . Let  $A = \bigcap_i \bigcup \mathcal{C}_i$ . An adaptation of the argument on page 251 of [4] shows that  $A \in cNX$  and for each  $i$ ,  $A \cap B_i \notin cNX$ . Hence  $A \sim \bigcup_i B_i \neq \phi$  and  $\bigcup_i B_i \neq X$ . ■

For any topological space  $X$ , we shall denote the smallest cardinal number of a non-empty  $G_\delta$  subset of  $X$  by  $\#X$ .

6.2 PROPOSITION. *Suppose  $X$  is a weakly  $T_1$ ,  $mB0$   $\sigma\pi$  space. If  $\#X \geq n$  and  $\text{cf } n > m$ , then  $X$  is an  $mBn$  space.*

*Proof.* Suppose  $\mathcal{P}$  is a standard pseudo-base for  $X$ , and  $\mathcal{F}$  is a subset of  $nGNX$  of cardinality at most  $m$ ; we shall show that  $\bigcup \mathcal{F} \neq X$ . For each  $F$  in  $\mathcal{F}$ , there is a disjoint subcollection  $\mathcal{U}_F$  of  $\mathcal{P}$  such  $\bigcup \mathcal{U}_F$  is dense in  $X$  and if  $U \in \mathcal{U}_F$ , then  $U \cap F$  contains no element of  $nNX$ . By hypothesis, there is an  $x$  is

$$\bigcap \{ \bigcup \mathcal{U}_F : F \in \mathcal{F} \} \cap [ \bigcap_i \cup \mathcal{P}_i ] .$$

If  $A = \bigcap \{ P \in \mathcal{P} : x \in P \}$ , then  $A$  is a nowhere dense  $G_\delta$  set. And, because  $|F| < \text{cf } n$ ,

$$|A \cap [ \bigcup \mathcal{F} ]| \leq \sum \{ |A \cap F| : F \in \mathcal{F} \} < n .$$

Hence  $A$  is not contained in  $\bigcup \mathcal{F}$ . ■

6.9 COROLLARY. *Suppose  $X$  is a  $\sigma\pi$  space.*

(1) *If  $X$  is a  $T_1$  Baire space and the set*

$$\{ x \in X : \{x\} \text{ is a } G_\delta \}$$

*is meager in  $X$ , then  $X$  is an  $\omega B\omega_1$  space.*

(2) *Suppose  $X$  is a completely regular, Hausdorff meager space. If  $\beta X$ , the Stone-Ćech compactification of  $X$ , is an  $mB0$  space, then  $\beta X$  and  $\gamma X = \beta(\beta X \sim X)$  are  $mB(2^c)$  spaces.*

*Proof.* We shall prove (2); the proof of (1) is similar. Let  $Y$  be a dense  $G_\delta$  subset of  $\beta X$  that is contained in  $\beta X \sim X$ . It suffices to show that  $Y$  is an  $mB(2^c)$  space; to do this, we shall show that  $\#Y \geq 2^c$ . So suppose  $K$  is a non-empty  $G_\delta$  subset of  $Y$ , and choose  $x$  in  $K$ . Because  $K$  is a  $G_\delta$  in  $\beta X$ , by 3.11(b) of [8], there is a closed  $G_\delta$  subset  $C$  of  $\beta X$  such that  $x \in C \subset K$ . Because  $C \subset \beta X \sim X$ , by Theorem 9.5 of [8], the cardinality of  $C$  is at least  $2^c$ . ■

6.4 Example. Let  $Q$  denote the space of rational numbers. By 6.3(2),  $\gamma Q$  is an  $\omega B(2^c)$  space. Because  $\#\gamma Q = 2^c$ , any metrizable subspace of  $\gamma X$  is nowhere dense. And, if Martin's axiom holds, then  $\gamma Q$  is an  $mB(2^c)$  space for any cardinal  $m$  such that  $\omega \leq m < c$ . ■

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