

## Research Article

# Estimates on the Bergman Kernels in a Tangential Direction on Pseudoconvex Domains in $\mathbb{C}^3$

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Received 2 March 2018; Accepted 5 July 2018; Published 18 July 2018

Academic Editor: Milan Pokorný

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Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^3$  and assume that  $T_{\Omega}^{reg}(z_0) < \infty$  where  $z_0 \in b\Omega$ , the boundary of  $\Omega$ . Then we get optimal estimates of the Bergman kernel function along some “almost tangential curve”  $C_b(z_0, \delta_0) \subset \Omega \cup \{z_0\}$ .

## 1. Introduction

Let  $\Omega$  be a bounded domain in  $\mathbb{C}^n$ . A natural operator on  $\Omega$  is the orthogonal projection

$$P : L^2(\Omega) \longrightarrow H(\Omega) \cap L^2(\Omega), \quad (1)$$

where  $H(\Omega)$  denotes the holomorphic functions on  $\Omega$ . There is a corresponding kernel function  $K_{\Omega}(z, w)$ , called the Bergman kernel function on  $\Omega$ . The nature of the singularity of  $K_{\Omega}(z, w)$  tells us much about the holomorphic function theory of the domain in question and has been studied extensively since Bergman's original inquiries [1].

One of the methods for the estimates of the Bergman kernel is to construct maximal size of polydiscs in  $\Omega$  where we have a plurisubharmonic function with maximal Hessian. For strongly pseudoconvex domains in  $\mathbb{C}^n$ , these polydiscs are of size  $\delta > 0$  in normal direction and of size  $\delta^{1/2}$  in tangential directions. For weakly pseudoconvex domains, the size of the polydisc in tangential directions depends on the boundary geometry of  $\Omega$  near  $z_0 \in b\Omega$ , and hence we need complete analysis of the boundary geometry near  $z_0$ .

However these analyses and hence the optimal estimates on the Bergman kernels are done only for special type of pseudoconvex domains of finite type in  $\mathbb{C}^n$ . These domains are, for example, pseudoconvex domains of finite type in  $\mathbb{C}^2$  [2–4], decoupled, convex, or uniformly extendable domains of finite type in  $\mathbb{C}^n$  [5–7], or pseudoconvex domains in  $\mathbb{C}^n$  with  $(n-2)$  positive eigenvalues [8, 9]. For the estimates for weighted Bergman projections, one can also refer to [10–12].

Nevertheless, the optimal estimates for general pseudoconvex domains of finite type in  $\mathbb{C}^n$ ,  $n > 2$ , are not known, even for  $n = 3$  case.

Assume that  $\Omega$  is a smoothly bounded domain in  $\mathbb{C}^n$  with smooth defining function  $r$  with smooth boundary,  $b\Omega$ . Regular finite 1-type at  $z_0 \in b\Omega$ , denoted by  $T_{\Omega}^{reg}(z_0)$ , is the maximum order of vanishing of  $r \circ \gamma$  for all one complex dimensional regular curve  $\gamma$ ,  $\gamma(0) = z_0$ , and  $\gamma'(0) \neq 0$ . Thus  $T_{\Omega}^{reg}(z_0)$  satisfies

$$\Delta_{n-1}(z_0) \leq T_{\Omega}^{reg}(z_0) \leq \Delta_1(z_0), \quad (2)$$

where  $\Delta_q(z_0)$ ,  $1 \leq q \leq n-1$ , denotes finite  $q$ -type in the sense of D'Angelo [13]. Note that  $\Delta_{n-1}(z_0) = T_{BG}(z_0)$  where  $T_{BG}(z_0)$  is the type in the sense of Bloom-Graham.

*Remark 1.* Consider the domain  $\Omega$  [13] in  $\mathbb{C}^3$  defined by

$$r(z) = \operatorname{Re} z_3 + |z_1^2 - z_2^3|^2. \quad (3)$$

Then  $T_{\Omega}^{reg}(0) = 6$  and  $\Delta_2(0) = 4$  while  $\Delta_1(0) = \infty$  as the complex analytic curve  $\gamma(t) = (t^3, t^2, 0)$  lies in the boundary. Note that  $\gamma(t)$  is not regular curve.

In the sequel, we let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^3$ , and assume that  $T_{\Omega}^{reg}(z_0) = \eta < \infty$  where  $z_0 \in b\Omega$ . Let  $C_b(z_0, \delta_0) \subset \Omega \cup \{z_0\}$  be the “almost tangential curve” connecting a point  $z^{\delta_0} \in \Omega$  and  $z_0 \in b\Omega$  as defined in (20). Note that  $\operatorname{dist}(z^{\delta}, b\Omega) \approx \delta$  for each  $z^{\delta} \in$

$C_b(z_0, \delta_0)$ . Set  $\tau_1 = \delta^{1/\eta}$ ,  $\tau_2 = \tau(z^\delta, \delta)$  where  $\tau(z^\delta, \delta)$  is defined in (51).

**Theorem 2.** *Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^3$  and assume that  $T_\Omega^{reg}(z_0) < \infty$  where  $z_0 \in b\Omega$ . Then  $K_\Omega(z^\delta, z^\delta)$ , the Bergman kernel function of  $\Omega$  at  $z^\delta \in C_b(z_0, \delta_0)$ , satisfies*

$$K_\Omega(z^\delta, z^\delta) \approx \delta^{-2} \tau_1^{-2} \tau_2^{-2}. \tag{4}$$

**Theorem 3.** *Let  $\Omega$  and  $z_0 \in b\Omega$  be as in Theorem 2. For each  $\alpha = (\alpha_1, \alpha_2, \alpha_3)$ , there is a constant  $C_\alpha > 0$ , independent of  $\delta > 0$ , such that*

$$|D_z^\alpha K_\Omega(z, z^\delta)| \leq C_\alpha \delta^{-2-\alpha_3} \tau_1^{-2-\alpha_1} \tau_2^{-2-\alpha_2}, \tag{5}$$

for  $z \in \Omega$  and  $z^\delta \in C_b(z_0, \delta_0)$ .

*Remark 4.* (1) In Theorems 2 and 3, we do not assume that  $\Delta_1(z_0) < \infty$ , but we assume only that  $T_\Omega^{reg}(z_0) < \infty$  (see Remark 1). With this weaker condition, we get optimal estimates for Bergman kernel function along special ‘‘almost tangential’’ direction,  $C_b(z_0, \delta_0)$ , but not normal or arbitrary direction.

(2) In [14], Herbort gives an example of a domain  $\Omega_H \subset \mathbb{C}^3$  where the Bergman kernel grows logarithmically when  $z \in \Omega$  approaches to  $z_0 \in b\Omega$  in normal direction. Set

$$\Omega_H = \{z \in \mathbb{C}^3 \mid \operatorname{Re} z_3 + |z_1|^6 + |z_1|^2 |z_2|^2 + |z_2|^6 < 0\}, \tag{6}$$

and for each small  $\delta > 0$ , set  $z^\delta = (0, 0, -\delta)$ . Thus  $z^\delta$  approaches to  $0 \in b\Omega_H$  in normal direction as  $\delta \rightarrow 0$ . In this case, Herbort shows that  $K_\Omega(z^\delta, z^\delta) \approx \delta^{-3} (-\log \delta)^{-1}$ ; that is, the kernel grows logarithmically. For the same domain  $\Omega_H$  in (6), we note that  $\eta = T_\Omega^{reg}(z_0) = 6$  and hence  $\tau_1 = \delta^{1/6}$ ,  $\tau_2 = \delta^{1/3}$  in (4). Set  $C_b(z_0, \delta_0) := \{(\delta^{1/6}/2, 0, -\delta) : 0 \leq \delta \leq \delta_0\}$ . Then  $z^\delta := (\delta^{1/6}/2, 0, -\delta) \in C_b(z_0, \delta_0)$  approaches to  $0 \in b\Omega$  in ‘‘almost tangential direction’’. In the Appendix of this paper, we will show that

$$K_{\Omega_H}(z^\delta, z^\delta) \approx \delta^{-2} \tau_1^{-2} \tau_2^{-2} = \delta^{-3}. \tag{7}$$

In Section 2, we will construct special coordinates which reflect the regular finite type condition,  $\Delta_2(z_0) \leq T_\Omega^{reg}(z_0) = \eta < \infty$ , and then show that  $r(z)$  vanishes to order  $\eta$  in  $z_1$ -direction. We then consider the slices of  $\Omega$  by fixing  $z_1$ . Then the domains become domains in  $\mathbb{C}^2$ , and hence we can handle them. Also, the condition  $\Delta_2(z_0) < \infty$  acts like the condition  $\Delta_1(z_0) < \infty$  on these slices.

For the estimates of  $K(z, w)$ , Catlin [2, 15] constructed plurisubharmonic functions with maximal Hessian near each thin  $\delta$ -strip of  $b\Omega$  (Section 3 of [2]). In this paper, however, we will construct these functions only on nonisotropic polydiscs  $Q_{a\delta}(z^\delta) \subset \subset \Omega$  for each  $z^\delta \in C_b(z_0, \delta_0)$  (Proposition 23). This avoids complicated technical parts in Section 3 of [2]. To get estimates of  $K_\Omega(z, z^\delta)$ ,  $z \in \Omega$ ,  $z^\delta \in C_b(z_0, \delta_0)$ ,

we consider dilated domains  $D_\delta$  for each  $\delta > 0$ . Then the polydisc  $Q_{a\delta}(z^\delta) \subset \subset \Omega$  becomes  $P(0, 1) \subset \subset D_\delta$ , independent of  $\delta > 0$ , where  $P(0, 1)$  is a polydisc of radius one with center at the origin. Therefore the uniform 1/2-subelliptic estimates for  $\bar{\partial}$ -equation hold on  $P(0, 1)$ , and the estimates for  $K_\Omega(z, z^\delta)$  follow.

*Remark 5.* Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^3$ , and assume that  $\Delta_1(z_0) < \infty$ , where  $z_0 \in b\Omega$ . Then the conditions of Theorems 2 and 3 are satisfied. Near future, using the results of Theorems 2 and 3, we hope we can prove some function theories on  $\Omega$ , for example, the existence of peak function for  $\Omega$  that peaks at  $z_0 \in b\Omega$  or necessary conditions for the Hölder estimates for  $\bar{\partial}$ -equation.

## 2. Special Coordinates

In the sequel, we let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^3$  and assume that  $m = \Delta_2(z_0) \leq \eta = T_\Omega^{reg}(z_0) < \infty$ ,  $z_0 \in b\Omega$ . Note that  $m$  and  $\eta$  are positive integers. Without loss of generality, we may assume that  $z_0 = 0$ . In the sequel, we let  $\alpha = (\alpha_1, \alpha_2, \alpha_3)$  and  $\beta = (\beta_1, \beta_2, \beta_3)$  be multi-indices and set  $\alpha' = (\alpha_1, \alpha_2)$  and  $z' = (z_1, z_2)$ , etc. In Theorem 3.1 in [16], You constructed special coordinates which represent the local geometry of  $b\Omega$  near  $z_0$ .

**Theorem 6.** *Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^3$  with smooth defining function  $r$  and assume  $T_\Omega^{reg}(0) = \eta < \infty$ ,  $0 \in b\Omega$ . Then there is a holomorphic coordinate system  $z = (z_1, z_2, z_3)$  about 0 such that*

$$(1) \quad r(z) = \operatorname{Re} z_3 + \sum_{\substack{|\alpha'|+|\beta'|=m \\ |\alpha'_1, \beta'_1|>0}}^{\eta} a_{\alpha', \beta'} z^{\alpha'} \bar{z}^{\beta'} + \mathcal{O}(|z_3| |z| + |z'|^{\eta+1}), \tag{8}$$

$$(2) \quad |r(t, 0, 0)| \approx |t|^\eta,$$

where

$$a_{\alpha', \beta'} \neq 0 \tag{9}$$

with  $\alpha_2 + \beta_2 = m$  for some  $\alpha_2 > 0$ ,  $\beta_2 > 0$ .

(Idea of the proof) by the standard holomorphic coordinate changes,  $r(w)$  has the Taylor series expansion as in (8). Since  $T_\Omega^{reg}(0) = \eta$ , there is a regular curve which we may assume that  $\gamma(t) = (t, \gamma_2(t), \mathcal{O}(t^\eta))$  satisfying  $|r(\gamma(t))| \approx |t|^\eta$  for all sufficiently small  $t \in \mathbb{C}$ . Set  $z = (w_1, w_2 + \gamma_2(w_1), w_3)$ . Then, in  $z$  coordinates,  $r(z)$  has representation satisfying (8). Also (9) follows from the condition that  $m = \Delta_2(0)$ .

*Remark 7.* (1) The second condition in (8) and property (9) say that  $r(z)$  vanishes to order  $\eta$  along  $z_1$  axis and order  $m$  along  $z_2$  axis.

(2) There are much more terms (mixed with  $z_1, z_2$  and their conjugates), compared to the  $h$ -extensible domain cases, in the summation part of (8).

In conjunction with multitype  $\mathcal{M}(0) = (1, m, m_3)$ , we need to consider the dominating terms (in size) among the mixed terms in  $z_1$  and  $z_2$  variables in the summation part of (8). Using the notations of Section 3.2 in [16], set

$$\begin{aligned} \Gamma &= \{(\alpha', \beta'); a_{\alpha', \beta'} \neq 0, m \leq |\alpha'| + |\beta'| \\ &\leq \eta, \text{ and } |\alpha'|, |\beta'| > 0\} \\ S &= \{(p, q); \alpha_1 + \beta_1 = p, \alpha_2 + \beta_2 \\ &= q \text{ for some } (\alpha', \beta') \in \Gamma\} \cup \{(\eta, 0)\}. \end{aligned} \tag{10}$$

Then there are  $(p_\nu, q_\nu) \in S$  for  $\nu = 0, 1, \dots, N$  and  $\eta_\nu, \lambda_\nu > 0$  for  $\nu = 1, \dots, N$ , such that

$$\begin{aligned} (1) \quad &(p_0, q_0) = (\eta, 0), (p_N, q_N) = (0, m), \lambda_N \\ &= m, \eta_1 = \eta \\ (2) \quad &p_0 > p_1 > \dots > p_N \text{ and} \\ &q_0 < q_1 < \dots < q_N \\ (3) \quad &\lambda_1 < \lambda_2 < \dots < \lambda_N \text{ and} \\ &\eta_1 > \eta_2 > \dots > \eta_N \\ (4) \quad &\frac{p_{\nu-1}}{\eta_\nu} + \frac{q_{\nu-1}}{\lambda_\nu} = 1 \text{ and} \\ &\frac{p_\nu}{\eta_\nu} + \frac{q_\nu}{\lambda_\nu} = 1 \\ (5) \quad &a_{\alpha', \beta'} = 0 \\ &\text{if } \frac{\alpha_1 + \beta_1}{\eta_\nu} + \frac{\alpha_2 + \beta_2}{\lambda_\nu} < 1 \text{ for each } \nu = 1, \dots, N. \end{aligned} \tag{11}$$

*Remark 8.* (1) Here,  $p_\nu$ 's and  $q_\nu$ 's are the exponents of  $z_1$  and  $z_2$ , respectively, in the dominating terms in the summation part of (8).

(2) If  $\Delta_1(z_0) < \infty$ , then the expression in (8) will be similar to that of  $\mathbb{C}^2$  case in [2], and hence we need not consider the above complicated pairs.

Set  $t_0 = \eta$ . If  $1 \leq k \leq m$ , then  $q_{\nu-1} < k \leq q_\nu$  for some  $\nu = 1, \dots, N$ . In this case, set

$$t_k = \eta_\nu \left(1 - \frac{k}{\lambda_\nu}\right), \text{ i.e., } \frac{t_k}{\eta_\nu} + \frac{k}{\lambda_\nu} = 1. \tag{12}$$

Then  $(p_{\nu-1}, q_{\nu-1})$ ,  $(t_k, l)$ , and  $(p_\nu, q_\nu)$  are colinear points in the first quadrant of the plane, and  $\lambda_\nu$  (resp.,  $\eta_\nu$ ) is the intercept of  $q$ -axis (resp.,  $p$ -axis) of this line. Let  $L_\nu$  be the line segment from  $(p_{\nu-1}, q_{\nu-1})$  to  $(p_\nu, q_\nu)$  for  $\nu = 1, \dots, N$ , set  $L = L_1 \cup L_2 \cup \dots \cup L_N$ ,  $\Gamma_L = \{(\alpha', \beta') \in \Gamma; (\alpha_1 + \beta_1, \alpha_2 + \beta_2) \in L\}$ , and set

$$\begin{aligned} \Lambda &= \{(\alpha', \beta') \in \Gamma_L; \alpha' + \beta' = (p_\nu, q_\nu), \alpha_2 > 0, \beta_2 \\ &> 0, \nu = 1, \dots, N\}. \end{aligned} \tag{13}$$

As in Corollary 3.8 and Remark 3.9 in [16], we can rewrite (8) so that

$$\begin{aligned} r(z) &= \text{Re } z_3 + \sum_{\Gamma_L - \Lambda} a_{\alpha, \beta} z_1^\alpha \bar{z}_1^\beta \\ &+ \sum_{\nu=1}^N \sum_{\substack{\alpha_2 + \beta_2 = q_\nu \\ \alpha_2 > 0, \beta_2 > 0}} M_{\alpha_2, \beta_2}^\nu(z_1) z_2^{\alpha_2} \bar{z}_2^{\beta_2} \\ &+ \mathcal{O} \left( |z_3| |z| + \sum_{\nu=1}^N \sum_{l=q_{\nu-1}}^{q_\nu} |z_1|^{[t_l]+1} |z_2|^l + |z_2|^{m+1} \right), \end{aligned} \tag{14}$$

where  $M_{\alpha_2, \beta_2}^\nu(z_1)$  is a nontrivial homogeneous polynomial of degree  $p_\nu$  given by

$$M_{\alpha_2, \beta_2}^\nu(z_1) = \sum_{\alpha_1 + \beta_1 = p_\nu} a_{\alpha_1, \beta_1} z_1^{\alpha_1} \bar{z}_1^{\beta_1}, \tag{15}$$

and there are a small constant  $a_0 > 0$ , and  $d \in \{z_1 \in \mathbb{C}; |z_1| = 1\}$  such that

$$M_{\alpha_2, \beta_2}^\nu(z_1) \neq 0 \text{ for } |z_1 - d| < a_0, \tag{16}$$

$$\text{and } |M_{\alpha_2, \beta_2}^\nu(d\delta^{1/\eta})| \approx \delta^{p_\nu/\eta},$$

for all  $\alpha_2 + \beta_2 = q_\nu$  with all  $\nu = 1, \dots, N - 1$ . Property (16) means that there is  $(\alpha', \beta')$  with terms mixed in  $z_2$  and  $\bar{z}_2$  variables for  $|z_1 - d| < a_0$ . Let  $|d| = 1$  be the constant (direction) in (16) and we will fix  $d$  in the rest of this paper. In the sequel, we set  $\hat{z}_l$  equal to  $z_l$  or  $\bar{z}_l$ ,  $l = 1, 2, 3$ .

*Remark 9.* (a)  $\{t_k\}$  defined in (12) is strictly decreasing on  $k$ .

(b) Each of the summation parts of (14) contains the terms of the form  $\hat{z}_1^{t_k} \hat{z}_2^k$  where  $(t_k, k)$ 's are the pairs, defined in (12), on the polyline  $L$ .

(c) Each term of the first summation part in (14) is pure in  $z_2$  or  $\bar{z}_2$  variables.

(d) Each term of the second summation part in (14) has terms mixed in  $z_2$  and  $\bar{z}_2$ , and it corresponds to the pair of integers  $(p_\nu, q_\nu)$ , the vertices of the polyline  $L$ .

**Lemma 10.** Let  $d_0(z_1) := r(z_1, 0, 0)$  be the term containing only  $z_1$  or  $\bar{z}_1$  variables in the first sum of (14). Then

$$|d_0(z_1)| \approx |z_1|^\eta. \tag{17}$$

*Proof.* From (8) and (14), we see that  $|r(z_1, 0, 0)| \leq |z_1|^\eta$ . On the other hand, since the regular 1-type at  $0 \in b\Omega$  is equal to  $\eta = T_\Omega^{reg}(0)$ , there is  $\tilde{c}_1 > 0$  such that  $|r(z_1, 0, 0)| \geq \tilde{c}_1 |z_1|^\eta$ .  $\square$

In the sequel, we let  $V$  be a small neighborhood of  $z_0 = 0 \in b\Omega$  where  $r(z)$  has expression as in (14). Since  $(\partial r / \partial z_3)(0) \neq 0$ , we may assume that  $|(\partial r / \partial z_3)(z)| \geq c_0$  for all  $z \in V$  for a uniform constant  $c_0 > 0$  by shrinking  $V$  if necessary. For each fixed  $\delta > 0$  and for each  $z = (z_1, z_2, z_3) \in V$  satisfying  $|z_1 - d\delta^{1/\eta}| < \gamma\delta^{1/\eta}$ , for a sufficiently small  $\gamma > 0$  to be chosen, we set  $\pi(z) = (z_1, 0, e_\delta) := \bar{z} \in b\Omega$ , where  $\pi(z)$

is the composition of the projection onto  $z_1 z_3$  plane and then the projection onto  $b\Omega$  along the  $\text{Re } z_3$  direction. Using the Taylor series method in  $z_3$  variable about  $e_\delta$ , we see that

$$r(z_1, 0, 0) = 2 \operatorname{Re} \left[ \frac{\partial r(\bar{z})}{\partial z_3} (-e_\delta) \right] + \mathcal{O}(e_\delta^2). \quad (18)$$

Since  $|e_\delta| \ll 1$  and  $2 \operatorname{Re}(\partial r/\partial z_3) = 1 + \mathcal{O}(|z|) \geq 1/2$  on  $V$ , it follows from (17) that

$$|e_\delta| \approx |z_1|^\eta, \quad (19)$$

for  $z_1$  near 0.

Now for each small  $\delta > 0$ , set  $z(\delta) := (d\delta^{1/\eta}, 0, 0)$  and set  $\bar{z}^\delta = \pi(z(\delta)) = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$ . For a small constant  $b > 0$  to be chosen, set  $z^\delta = (d\delta^{1/\eta}, 0, e_\delta - b\delta) \in \Omega$ , and for a fixed small  $\delta_0 > 0$  satisfying  $r(z^{\delta_0}) < 0$ , set

$$\begin{aligned} C_b(z_0, \delta_0) &:= \{z^\delta: z^\delta = (d\delta^{1/\eta}, 0, e_\delta - b\delta), 0 \leq \delta \leq \delta_0\} \\ &\subset \Omega \cup \{z_0\}, \end{aligned} \quad (20)$$

connecting  $z^{\delta_0} \in V \cap \Omega$  and  $z_0 = 0 \in b\Omega$ .

Following the same arguments as in the proof of Proposition 1.2 in [2], for each fixed  $\bar{z} \in V$ , we can construct special coordinates about  $\bar{z}$  so that, in terms of new coordinates, there is no pure terms in  $z_2$  or  $\bar{z}_2$  variables in the first summation part of  $r(z)$  in (14). We will fix  $z_1$  variable and consider the coordinate changes only on  $z'' = (z_2, z_3)$  variables.

**Proposition 11.** *For each fixed  $\bar{z} = (\bar{z}_1, \bar{z}_2, \bar{z}_3) \in V$ , there is a holomorphic coordinate system  $z'' = \Phi_{\bar{z}}(\zeta'') = (\zeta_2, \Phi_3(\zeta''))$  such that in the new coordinates  $\zeta''$  defined by*

$$\Phi_{\bar{z}}(\zeta'') = (\bar{z}_2 + \zeta_2, \Phi_3(\zeta'')), \quad (21)$$

where

$$\Phi_3(\zeta'') = \bar{z}_3 + \left( \frac{\partial r}{\partial z_3}(\bar{z}) \right)^{-1} \left( \frac{\zeta_3}{2} - \sum_{l=1}^m c_l(\bar{z}) \zeta_l^i \right), \quad (22)$$

and where  $c_l(\bar{z})$ ,  $l = 2, 3, \dots, m$ , depends smoothly on  $\bar{z}$ , the function given by  $\rho(\bar{z}_1, \zeta'') := r(\bar{z}_1, \Phi_{\bar{z}}(\zeta''))$  satisfies

$$\begin{aligned} \rho(\bar{z}_1, \zeta'') &= r(\bar{z}) + \operatorname{Re} \zeta_3 + \sum_{\substack{j+k=2 \\ j,k>0}}^m a_{j,k}(\bar{z}_1) \zeta_2^j \bar{\zeta}_2^k \\ &+ \mathcal{O}(|\zeta_3| |\zeta| + |\zeta_2|^{m+1}). \end{aligned} \quad (23)$$

*Proof.* For  $\bar{z} \in V$ , define

$$\begin{aligned} \Phi^1(w'') &= \left( \bar{z}_2 + w_2, \bar{z}_3 \right. \\ &\left. + \left( \frac{\partial r}{\partial z_3}(\bar{z}) \right)^{-1} \left( \frac{w_3}{2} - \frac{\partial r}{\partial z_2}(\bar{z}) w_2 \right) \right), \end{aligned} \quad (24)$$

where  $w'' = (w_2, w_3)$ . Then we have

$$\begin{aligned} \rho_2(\bar{z}_1, w'') &:= r(\bar{z}_1, \Phi^1(w'')) \\ &= r(\bar{z}) + \operatorname{Re} w_3 + \mathcal{O}(|w''|^2). \end{aligned} \quad (25)$$

Assume that (22) and (23) hold for  $l \geq 2$ . That is, we have defined  $\Phi^{l-1} : \mathbb{C}^2 \rightarrow \mathbb{C}^2$  so that  $\rho_l(\bar{z}_1, w'') := r(\bar{z}_1, \Phi^{l-1}(w''))$  can be written as

$$\begin{aligned} \rho_l(\bar{z}_1, w'') &= \operatorname{Re} w_3 + \sum_{\substack{j+k=2 \\ j,k>0}}^{l-1} a_{j,k}^{l-1}(\bar{z}) w_2^j \bar{w}_2^k \\ &+ \mathcal{O}(|w_3| |w''| + |w_2|^l). \end{aligned} \quad (26)$$

If we define  $\Phi^l = \Phi^{l-1} \circ \phi^l$ , where

$$\phi^l(\zeta'') = \left( \zeta_2, \zeta_3 - \frac{2}{l} \frac{\partial^l \rho_l}{\partial w_2^l}(\bar{z}_1, 0, 0) \zeta_2^l \right), \quad (27)$$

then  $\rho_{l+1}(\bar{z}_1, \zeta'') := r(\bar{z}_1, \Phi^l(\zeta''))$  satisfies (26) for  $l$  replaced by  $l+1$ . If we proceed up to  $l = m$  and set  $\Phi_{\bar{z}} = \Phi^m = \Phi^1 \circ \phi^2 \circ \dots \circ \phi^m$ , then by setting  $\rho = \rho_{m+1} = r(\bar{z}, \Phi_{\bar{z}}(\cdot))$ , we see that (22) and (23) hold.  $\square$

In the sequel, we will use the coordinate changes in Proposition 11 only at  $\bar{z} = (\bar{z}_1, 0, \bar{z}_3) \in V$ , (in particular at  $\bar{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$  in Section 3). We want to study the dependence of  $\Phi_{\bar{z}}$  about  $\bar{z}$ . For each  $\bar{z} = (\bar{z}_1, 0, \bar{z}_3) \in V$ , set  $c_0(\bar{z}) := (\partial r/\partial z_3)(\bar{z}) = 1 + \mathcal{O}(|\bar{z}|)$ , and we note that

$$c_l(\bar{z}) = \frac{1}{l!} \frac{\partial^l \rho_l}{\partial w_2^l}(\bar{z}_1, 0, 0), \quad l = 1, 2, \dots, m, \quad (28)$$

where  $\rho_l$  is defined in the inductive step of the proof of Proposition 11. Set

$$\begin{aligned} e_0(\bar{z}) &= \frac{1}{2} c_0(\bar{z})^{-1}, \\ e_1(\bar{z}) &= -c_0(\bar{z})^{-1} \frac{\partial r}{\partial z_2}(\bar{z}) \quad \text{and} \end{aligned} \quad (29)$$

$$e_l(\bar{z}) = -c_0(\bar{z})^{-1} c_l(\bar{z}) \quad l = 2, \dots, m,$$

and set  $\rho_0 = r$ . Then  $\rho_1(\bar{z}_1, \zeta'') = r(\bar{z}_1, \zeta_2, \bar{z}_3 + e_0 \zeta_3)$  and

$$\rho_{i+1} = \rho_i(\bar{z}_1, \zeta_2, \zeta_3 + e_i(\bar{z}) \zeta_2^i), \quad i = 1, 2, \dots, m. \quad (30)$$

To study the dependence of  $\Phi_{\bar{z}}$  and hence dependence of  $a_{j,k}(\bar{z}_1)$  about  $\bar{z}_1$  in (23), we thus need to study the dependence of  $e_i(\bar{z})$  on  $\bar{z}$  variable. For a convenience, set  $\bar{z} = (z_1, 0, z_3)$ , i.e., remove tildes, and assume that  $\bar{z}$  satisfies

$$\begin{aligned} |z_1 - d\delta^{1/\eta}| &< \gamma \delta^{1/\eta}, \\ \text{and } |z_3| &\leq |z_1|^\eta, \end{aligned} \quad (31)$$

for a sufficiently small  $\gamma > 0$  to be chosen. In view of (19), we see that  $\tilde{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta)$  satisfies (31). In following we let  $z$  be the given coordinates, and we let  $\zeta$  be the coordinates obtained from holomorphic coordinates changes of  $z$ , as in  $l$ -th step of coordinate changes in the proof of Proposition 11. Also we let  $D_k^s$  (resp.,  $\tilde{D}_k^s$ ),  $k = 1, 2, 3$ , denote any partial derivative operator of order  $s$  with respect to  $z_k$  and  $\bar{z}_k$  (resp.,  $\zeta_k$  and  $\bar{\zeta}_k$ ) variables. According to the coordinate changes in Proposition 11, we note that  $D_1 = \tilde{D}_1$ .

**Proposition 12.** *Assume that  $\tilde{z} = (z_1, 0, z_3) \in V$  satisfies (31). Then for each  $i = 0, 1, \dots, m + 1$ , we have*

$$\left| D_1^{l_1} \tilde{D}_2^k \rho_i(\tilde{z}) \right| \leq |z_1|^{l_1 - k}, \quad 0 \leq k \leq m, \quad 0 \leq l_1 \leq \eta, \quad (32)$$

and for each  $\alpha_2, \beta_2 > 0$  with  $\alpha_2 + \beta_2 = q_v$ , for some  $q_v$  in (14), we have

$$\left| D_1^{l_1} \tilde{D}_2^{q_v} \rho_i(\tilde{z}) \right| \approx \left| D_1^{l_1} D_2^{q_v} r(\tilde{z}) \right| \approx |z_1|^{p_v - l_1}, \quad (33)$$

$$l_1 \leq p_v, \quad 2 \leq i \leq m + 1.$$

*Proof.* We will prove by induction on  $i$ . From (14), (17), and (31) one obtains

$$\left| D_1^{l_1} D_2^k r(\tilde{z}) \right| \leq |z_1|^{\eta - l_1} + |z_1|^{t_k - l_1} + |z_3| + |z_1|^{[t_k] + 1 - l_1} \leq |z_1|^{t_k - l_1}, \quad (34)$$

and hence (32) follows for  $i = 0$ . Since  $\rho_1(z_1, \zeta'') = r(z_1, \zeta_2, z_3 + e_0 \zeta_3)$ , it follows, from (31) and chain rule, that

$$\left| D_1^{l_1} \tilde{D}_2^k \rho_1(\tilde{z}) \right| \leq \left| D_1^{l_1} \tilde{D}_2^k r(\tilde{z}) \right| + |z_3| \leq |z_1|^{t_k - l_1}, \quad (35)$$

because we are evaluating at  $\tilde{\zeta} = (z_1, 0, 0)$ . This proves (32) for  $i = 1$ .

By induction, assume that (32) holds for  $i = 0, 1, \dots, s$ . For the  $e_i(\tilde{z})$  defined in (29), it follows, from (34) and induction hypothesis, that

$$\left| D_1^{l_1} e_i(\tilde{z}) \right| \leq \sum_{j=0}^{l_1} \left| D_1^j D_2^i \rho_i(\tilde{z}) \right| \leq \sum_{j=0}^{l_1} |z_1|^{t_i - j} \leq |z_1|^{t_i - l_1}, \quad (36)$$

for  $i = 1, \dots, s$ . Since we are evaluating at  $\zeta_2 = 0$ , it follows, for  $s \geq 1$ , that

$$D_1^{l_1} \tilde{D}_2^k \rho_{s+1}(\tilde{z}) = D_1^{l_1} \tilde{D}_2^k \rho_s(\tilde{z}), \quad \text{if } k < s, \text{ and}$$

$$= D_1^{l_1} \tilde{D}_2^k \rho_s(\tilde{z}) + \mathcal{O} \left( \sum_{j=0}^{l_1} |D_1^j e_s(\tilde{z})| \right), \quad (37)$$

if  $k \geq s$ .

By (30), (36), and (37) and by induction, (32) holds for  $i = s + 1$  because  $t_k \leq t_s$  if  $k \geq s$ .

Now we prove (33). Assume  $\alpha_2 + \beta_2 = q_v$  with  $\alpha_2 > 0$ ,  $\beta_2 > 0$  where  $(p_v, q_v)$  are the pairs corresponding to the second summation part of (14). Note that the first summation

part of (14) will be annihilated by  $D_2^{q_v}$  because it contains the pure terms of  $z_2$  or  $\bar{z}_2$  mixed with  $\tilde{z}_1^k$ . Thus it follows from (14), (16), and (31) that

$$\left| D_1^{l_1} D_2^{q_v} r(\tilde{z}) \right| \approx \left| D_1^{l_1} M_{\alpha_2, \beta_2}^v(z_1) \right| + \mathcal{O} \left( |z_3| + |z_1|^{p_v + 1 - l_1} \right) \approx |z_1|^{p_v - l_1}. \quad (38)$$

Since  $\rho_1(z_1, \zeta'') = r(z_1, \zeta_2, z_3 + e_0 \zeta_3)$ , it follows from (31) and (38) that

$$\left| D_1^{l_1} \tilde{D}_2^{q_v} \rho_1(\tilde{z}) \right| \approx \left| D_1^{l_1} \tilde{D}_2^{q_v} r(\tilde{z}) \right| + \mathcal{O}(|z_3|) \approx |z_1|^{p_v - l_1}. \quad (39)$$

Similarly, since  $\rho_2(z_1, \zeta'') = \rho_1(z_1, \zeta_2, \zeta_3 + e_1 \zeta_2)$ , it follows from (36) that

$$\left| D_1^{l_1} \tilde{D}_2^{q_v} \rho_2(\tilde{z}) \right| \approx \left| D_1^{l_1} \tilde{D}_2^{q_v} \rho_1(\tilde{z}) \right| + \sum_{j=0}^{l_1} \left| D_1^j e_1 \right| \approx |z_1|^{p_v - l_1}, \quad (40)$$

because  $p_v = t_{q_v} < t_1$  for  $q_v \geq 2$ . This proves (33) for  $i = 2$ .

By induction assume that (33) holds for  $i = 2, \dots, s$ . If  $k = q_v = \alpha_v + \beta_v$  with  $\alpha_v > 0$  and  $\beta_v > 0$ , that is, if  $\tilde{D}_2^k$  has mixed derivatives of  $\partial/\partial\zeta_2$  and  $\partial/\partial\bar{\zeta}_2$ , we note that (37) becomes

$$D_1^{l_1} \tilde{D}_2^k \rho_{s+1}(\tilde{z}) = D_1^{l_1} \tilde{D}_2^k \rho_s(\tilde{z}), \quad \text{if } k \leq s, \text{ and}$$

$$= D_1^{l_1} \tilde{D}_2^k \rho_s(\tilde{z}) + \mathcal{O} \left( \sum_{j=0}^{l_1} |D_1^j e_s(\tilde{z})| \right), \quad (41)$$

if  $k > s$ .

If  $k = q_v \leq s$ , (33) follows from (41) and induction hypothesis of (33). If  $q_v > s$ , it follows, from (36), (41), and induction hypothesis of (33), that

$$\left| D_1^{l_1} \tilde{D}_2^{q_v} \rho_{s+1}(\tilde{z}) \right| = \left| D_1^{l_1} \tilde{D}_2^{q_v} \rho_s(\tilde{z}) \right| + \mathcal{O} \left( \sum_{j=0}^{l_1} |D_1^j e_s(\tilde{z})| \right) \approx |z_1|^{p_v - l_1}, \quad (42)$$

because  $t_s > p_v = t_{q_v}$  for  $q_v > s$ . Therefore (33) is proved for  $i = s + 1$ .  $\square$

Recall the expression of  $\rho = \rho_{m+1}$  and coefficient functions  $a_{j,k}(\tilde{z}_1)$  in (23).

**Corollary 13.** *Assume that  $\tilde{z} = (z_1, 0, z_3)$  satisfies (31). Then*

$$\left| D_1^{l_1} a_{j,k}(z_1) \right| \leq |z_1|^{t_{j+k} - l_1}, \quad (43)$$

and if  $j + k = q_v$ , for some  $q_v$  in (14), then

$$\left| D_1^{l_1} a_{j,k}(z_1) \right| \approx |z_1|^{p_v - l_1}. \quad (44)$$

*Proof.* From (23) we see that

$$D_1^l a_{j,k}(z_1) = D_1^l \bar{D}_2^{j+k} \rho(\tilde{\zeta}), \quad (45)$$

where  $\tilde{\zeta} = (z_1, 0, 0)$  and  $j, k > 0$ . Hence it follows from (32) that

$$|D_1^l a_{j,k}(z_1)| = |D_1^l \bar{D}_2^{j+k} \rho(\tilde{\zeta})| \leq |z_1|^{t_{j+k}-l}. \quad (46)$$

Assume  $q_\nu = j + k \leq m$  for some  $q_\nu$ . Thus  $j, k > 0$  and it follows from (23), (33), and (41) that

$$\begin{aligned} |D_1^l a_{j,k}(z_1)| &= |D_1^l \bar{D}_2^{q_\nu} \rho(\tilde{\zeta})| = |D_1^l \bar{D}_2^{q_\nu} \rho_{q_\nu}(\tilde{\zeta})| \\ &\approx |z_1|^{t_{q_\nu}-l} = |z_1|^{p_\nu-l}, \end{aligned} \quad (47)$$

because  $t_{q_\nu} = p_\nu$ . □

*Remark 14.* Suppose that  $q_{\nu-1} < l \leq q_\nu$  and  $p_\nu \leq t_l < p_{\nu-1}$ . Then  $(p_\nu, q_\nu)$ ,  $(t_l, l)$ , and  $(p_{\nu-1}, q_{\nu-1})$  are colinear points. From the standard interpolation method, we have

$$a^{t_l} b^l \leq a^{p_\nu} b^{q_\nu} + a^{p_{\nu-1}} b^{q_{\nu-1}}, \quad (48)$$

for all sufficiently small  $a, b \geq 0$ . Assume that  $j, k > 0$  and  $l = j + k \neq q_\nu$  for any of  $\nu = 1, 2, \dots, N$ . Therefore it follows from (43) and (48) that

$$\begin{aligned} |a_{j,k}(z_1) \zeta_2^j \bar{\zeta}_2^k| &\leq |z_1|^{t_{j+k}} |\zeta_2|^{j+k} \\ &\leq |z_1|^{p_\nu} |\zeta_2|^{q_\nu} + |z_1|^{p_{\nu-1}} |\zeta_2|^{q_{\nu-1}}. \end{aligned} \quad (49)$$

Therefore the terms of the form  $a_{j,k}(z_1) \zeta_2^j \bar{\zeta}_2^k$ , with  $j+k = q_\nu$  for some  $q_\nu$ , in the summation part in (23), are the major terms which bounds the other summation terms from above.

In the sequel, we assume that  $\tilde{z} = (z_1, 0, z_3)$  satisfies (31). As in Section 1 in [2], for each  $\tilde{z} = (z_1, 0, z_3)$ , set

$$\begin{aligned} A_l(\tilde{z}) &= A_l(z_1) = \max \{|a_{j,k}(z_1)|; j+k=l\}, \\ & \quad l = 2, \dots, m. \end{aligned} \quad (50)$$

In view of Remark 14, we will consider  $A_l(z_1)$  only for  $l = q_\nu$ ,  $0 \leq \nu \leq N-1$ . From (9) and (44) we note that

$$\begin{aligned} |A_{q_0}(z_1)| &= |A_m(z_1)| \approx 1, \text{ and} \\ |A_{q_\nu}(z_1)| &\approx |z_1|^{p_\nu}, \quad 1 \leq \nu \leq N-1, \end{aligned} \quad (51)$$

because  $q_0 = m$ . For each sufficiently small  $\delta > 0$ , set

$$\begin{aligned} \tau(\tilde{z}, \delta) &= \tau(z_1, \delta) \\ &= \min \left\{ \left( \frac{\delta}{A_{q_\nu}(z_1)} \right)^{1/q_\nu}; 0 \leq \nu \leq N-1 \right\}, \end{aligned} \quad (52)$$

and set

$$\begin{aligned} T(\tilde{z}, \delta) &= T(z_1, \delta) \\ &= \min \left\{ q_\nu; \left( \frac{\delta}{A_{q_\nu}(z_1)} \right)^{1/q_\nu} = \tau(z_1, \delta) \right\}. \end{aligned} \quad (53)$$

From (51) and (52), we see that if  $\delta' < \delta$ , then

$$\left( \frac{\delta'}{\delta} \right)^{1/2} \tau(\tilde{z}, \delta) \leq \tau(\tilde{z}, \delta') \leq \left( \frac{\delta'}{\delta} \right)^{1/m} \tau(\tilde{z}, \delta). \quad (54)$$

**Lemma 15.** For each  $0 < \epsilon \leq 1$ ,  $T(\tilde{z}, \epsilon\delta) \leq T(\tilde{z}, \delta)$ .

*Proof.* Set  $q_\nu^\epsilon = T(\tilde{z}, \epsilon\delta)$  and  $q_\nu = T(\tilde{z}, \delta)$ . Then

$$\begin{aligned} \tau(\tilde{z}, \epsilon\delta) &= \left( \frac{\epsilon\delta}{A_{q_\nu^\epsilon}(\tilde{z})} \right)^{1/q_\nu^\epsilon} = \epsilon^{1/q_\nu^\epsilon} \left( \frac{\delta}{A_{q_\nu^\epsilon}(\tilde{z})} \right)^{1/q_\nu^\epsilon} \\ &\geq \epsilon^{1/q_\nu^\epsilon} \tau(\tilde{z}, \delta) = \epsilon^{1/q_\nu^\epsilon} \left( \frac{\delta}{A_{q_\nu}(\tilde{z})} \right)^{1/q_\nu} \\ &= \epsilon^{1/q_\nu^\epsilon - 1/q_\nu} \left( \frac{\epsilon\delta}{A_{q_\nu}(\tilde{z})} \right)^{1/q_\nu} \\ &\geq \epsilon^{1/q_\nu^\epsilon - 1/q_\nu} \tau(\tilde{z}, \delta). \end{aligned} \quad (55)$$

Therefore  $q_\nu^\epsilon \leq q_\nu$ , because  $0 < \epsilon \leq 1$ . □

**Proposition 16.** Assume  $\tilde{z} = (z_1, 0, z_3)$  satisfies (31). Then

$$\tau(\tilde{z}, \delta) \approx \tau(\tilde{z}^\delta, \delta), \quad (56)$$

where  $\tilde{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta)$ .

*Proof.* By (31), we note that  $|z_1| \approx \delta^{1/\eta}$ . Assume that  $T(\tilde{z}^\delta, \delta) = q_\nu$ . Then  $A_{q_\nu}(\tilde{z}^\delta) \approx \delta^{p_\nu/\eta}$  by (51). Therefore it follows, from (50) and (52), that

$$A_{q_\nu}(\tilde{z}) \approx |z_1|^{p_\nu} \approx \delta^{p_\nu/\eta} \approx A_{q_\nu}(\tilde{z}^\delta), \quad (57)$$

and hence it follows from (52) and (53) that

$$\tau(\tilde{z}^\delta, \delta)^{q_\nu} = \frac{\delta}{A_{q_\nu}(\tilde{z}^\delta)} \approx \frac{\delta}{A_{q_\nu}(\tilde{z})} \geq \tau(\tilde{z}, \delta)^{q_\nu}. \quad (58)$$

Thus  $\tau(\tilde{z}^\delta, \delta) \geq \tau(\tilde{z}, \delta)$  follows. Similarly, one can show that  $\tau(\tilde{z}^\delta, \delta) \leq \tau(\tilde{z}, \delta)$ . □

Let  $0 < \sigma < 1$  be a small constant to be determined (in Remark 22). By Lemma 15,  $T(\tilde{z}^\delta, \sigma\delta) \leq T(\tilde{z}^\delta, \delta)$  for each  $0 < \sigma < 1$ , independent of  $\delta > 0$ . Therefore there is a smallest integer  $s = s(\tilde{z}^\delta)$ ,  $0 \leq s \leq m-1$ , such that

$$T(\tilde{z}^\delta, \sigma^{s+1}\delta) = T(\tilde{z}^\delta, \sigma^s\delta) := t_s. \quad (59)$$

Then  $t_s = q_{\nu(s)}$  for some  $q_{\nu(s)}$  by (53). In following, for the fixed integer  $s = s(\tilde{z}^\delta)$  in (59), set  $\delta_s = \sigma^s\delta$ ,  $\tau_s := \tau(\tilde{z}^\delta, \delta_s)$ , and  $\tau_1 = \delta^{1/\eta}$  as usual. If we define  $\Phi_{\tilde{z}}(\zeta) = (\zeta_1, \zeta_2, \Phi_3(\zeta''))$ , where  $\Phi_3(\zeta'')$  is defined in (22), we may regard that  $\Phi_{\tilde{z}}(\zeta) : \mathbb{C}^3 \rightarrow$

$\mathbb{C}^3$  is a biholomorphism. For each  $\bar{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$ , set  $\bar{\zeta}^\delta = (d\delta^{1/\eta}, 0, 0) = \Phi_{\bar{z}^\delta}^{-1}(\bar{z}^\delta)$ . For each small  $\gamma > 0$ , define

$$R_{\gamma^\delta}^s(\bar{\zeta}^\delta) := \left\{ \zeta \mid |\zeta_1 - d\delta^{1/\eta}| < \gamma\tau_1, \quad |\zeta_2| < \gamma\tau_s, \quad |\zeta_3| < \gamma\delta_s \right\}, \quad (60)$$

and,

$$Q_{\gamma^\delta}^s(\bar{z}^\delta) := \left\{ \Phi_{\bar{z}^\delta}(\zeta); \zeta \in R_{\gamma^\delta}^s(\bar{\zeta}^\delta) \right\},$$

and set  $R_{\gamma^\delta}^0(\bar{\zeta}^\delta) = R_{\gamma^\delta}(\bar{\zeta}^\delta)$  and  $Q_{\gamma^\delta}^0(\bar{z}^\delta) = Q_{\gamma^\delta}(\bar{z}^\delta)$  when  $s = 0$ .

**Proposition 17.** *The function  $\rho = r \circ \Phi_{\bar{z}^\delta}$  satisfies*

$$\begin{aligned} |D_1^l \rho(\bar{\zeta}^\delta)| &\leq \delta\tau_1^{-l}, \quad \text{and,} \\ |D_1^l \bar{D}_2^k \rho(\bar{\zeta}^\delta)| &\leq \delta_s \tau_1^{-l} \tau_s^{-k}, \quad 1 \leq k \leq m. \end{aligned} \quad (61)$$

*Proof.* Recall that  $\rho = \rho_{m+1}$ , and  $|z_1| = \delta^{1/\eta}$  in (32). When  $k = 0$ , it follows from (12) ( $t_0 = \eta$ ) and (32) that

$$|D_1^l \rho(\bar{\zeta}^\delta)| \leq (\delta^{1/\eta})^{\eta-l} = \delta\tau_1^{-l}. \quad (62)$$

Assume  $1 \leq k \leq m$ . Then by (12),  $q_{v-1} < k \leq q_v$  for some  $v$ , and hence it follows that  $p_v \leq t_k \leq p_{v-1}$ . Therefore one obtains, from (48)–(52), that

$$\begin{aligned} |z_1|^{t_k} \tau_s^k &\leq |z_1|^{p_{v-1}} \tau_s^{q_{v-1}} + |z_1|^{p_v} \tau_s^{q_v} \\ &\leq A_{q_{v-1}} \tau_s^{q_{v-1}} + A_{q_v} \tau_s^{q_v} \leq \delta_s. \end{aligned} \quad (63)$$

From (32) and (63), it follows that

$$\begin{aligned} |D_1^l \bar{D}_2^k \rho(\bar{\zeta}^\delta)| &\leq |z_1|^{t_k-l} = (|z_1|^{t_k} \tau_s^k) |z_1|^{-l} \tau_s^{-k} \\ &\leq \delta_s \tau_1^{-l} \tau_s^{-k}. \end{aligned} \quad (64)$$

□

Using the  $z$  coordinates defined in (14), set

$$\begin{aligned} L_3 &= \frac{\partial}{\partial z_3} \quad \text{and} \\ L_k &= \frac{\partial}{\partial z_k} - \left( \frac{\partial r}{\partial z_3} \right)^{-1} \frac{\partial r}{\partial z_k} \frac{\partial}{\partial z_3} := \frac{\partial}{\partial z_k} + b_k(z) \frac{\partial}{\partial z_3}, \quad (65) \\ &k = 1, 2. \end{aligned}$$

Then  $L_k$ ,  $k = 1, 2$ , are tangential holomorphic vector fields and  $|L_3 r| \geq c_0 > 0$  on  $V \cap \Omega$  for a uniform constant  $c_0 > 0$ . For any  $j, k$  with  $j, k > 0$ , define

$$\mathcal{L}_{j,k} \partial \bar{\partial} r(z) = \underbrace{L_2 \dots L_2}_{(j-1)\text{times}} \underbrace{\bar{L}_2 \dots \bar{L}_2}_{(k-1)\text{times}} \partial \bar{\partial} r(L_2, \bar{L}_2)(z). \quad (66)$$

In  $\zeta$ -coordinates defined by  $z = (z_1, \Phi_{\bar{z}}(\zeta)) := \Phi_{\bar{z}}(\zeta)$ , set  $L'_k = (d\Phi_{\bar{z}}^{-1})L_k$ ,  $k = 1, 2, 3$  and set  $\bar{b}_k(\zeta) = b_k(\Phi_{\bar{z}}(\zeta))$ ,  $k = 1, 2$ . If we define

$$\mathcal{L}'_{j,k} \partial \bar{\partial} \rho(\zeta) = \underbrace{L'_2 \dots L'_2}_{(j-1)\text{times}} \underbrace{\bar{L}'_2 \dots \bar{L}'_2}_{(k-1)\text{times}} \partial \bar{\partial} \rho(L'_2, \bar{L}'_2)(\zeta), \quad (67)$$

then by functoriality,

$$\mathcal{L}_{j,k} \partial \bar{\partial} r(z) = \mathcal{L}'_{j,k} \partial \bar{\partial} \rho(\zeta). \quad (68)$$

**Lemma 18.** *There is a small constant  $c_2 > 0$  such that*

$$\partial \bar{\partial} r(z)(L_1, \bar{L}_1) \geq c_2 \delta \tau_1^{-2}, \quad z \in Q_{\gamma^\delta}(\bar{z}^\delta), \quad (69)$$

provided  $\gamma > 0$  is sufficiently small.

*Proof.* Since the level sets of  $\rho$  are pseudoconvex, it follows from (61) that

$$\begin{aligned} \partial \bar{\partial} \rho(\zeta)(L'_1, \bar{L}'_1) &= \left| \frac{\partial^2 \rho}{\partial \zeta_1 \partial \bar{\zeta}_1}(\zeta) + \mathcal{O}(\bar{b}_1) \right| \\ &\geq \left| \frac{\partial^2 \rho}{\partial \zeta_1 \partial \bar{\zeta}_1}(\zeta) \right| - \bar{C}_1 \delta \tau_1^{-1}. \end{aligned} \quad (70)$$

Recall that  $d_0(z_1) = \sum_{\alpha_1 + \beta_1 = \eta} a_{\alpha_1, \beta_1} z_1^{\alpha_1} \bar{z}_1^{\beta_1}$  is the term which contains only  $z_1$  or  $\bar{z}_1$  variables in the first summation part of (14). Therefore it follows, from (17), (19), and (23), that

$$\begin{aligned} \left| \frac{\partial^2 \rho}{\partial \zeta_1 \partial \bar{\zeta}_1}(\bar{\zeta}^\delta) \right| &= \left| \frac{\partial^2 r(\bar{z}^\delta)}{\partial z_1 \partial \bar{z}_1} \right| \\ &= \left| \frac{\partial^2 d_0(z_1)}{\partial z_1 \partial \bar{z}_1} \right| + \mathcal{O}(|e_\delta| + |z_1|^{\eta-1}) \\ &\approx |z_1|^{\eta-2} = \delta \tau_1^{-2}, \end{aligned} \quad (71)$$

because  $|z_1| = |d\delta^{1/\eta}| = \tau_1 = \delta^{1/\eta}$ . If  $\zeta \in R_{\gamma^\delta}(\bar{\zeta}^\delta)$ , it follows from (61) and (71) and by using the Taylor series method that

$$\begin{aligned} \left| \frac{\partial^2 \rho}{\partial \zeta_1 \partial \bar{\zeta}_1}(\zeta) \right| &\geq \left| \frac{\partial^2 \rho}{\partial \zeta_1 \partial \bar{\zeta}_1}(\bar{\zeta}^\delta) \right| - \gamma \bar{C}_1 \delta \tau_1^{-2} \geq 2c_2 \delta \tau_1^{-2}, \\ &\zeta \in R_{\gamma^\delta}(\bar{\zeta}^\delta), \end{aligned} \quad (72)$$

provided  $\gamma > 0$  is sufficiently small. Thus (69) follows from (68), (70), and (72). □

In the sequel, we let  $c_2$  and  $C_2$  be the constants which may differ from time to time but depend only on the derivatives of  $r$  or  $\rho$  up to order  $\eta$ . Recall that  $\bar{b}_k(\zeta) = b_k(\Phi_{\bar{z}}(\zeta))$ ,  $k = 1, 2$ . By using (61), and by using Taylor series method, one obtains that

$$|D_1^l D_2^j \bar{b}_k(\zeta)| \leq C_2 \delta_s \tau_1^{-l} \tau_s^{-j} \bar{\tau}_k^{-1}, \quad \zeta \in R_{\gamma^\delta}(\bar{\zeta}^\delta), \quad (73)$$

provided  $\gamma > 0$  is sufficiently small, where  $\bar{\tau}_1 = \tau_1$  and  $\bar{\tau}_2 = \tau_s$ . Note that we can write

$$\partial \bar{\partial} \rho(\zeta)(L'_2, \bar{L}'_2) = \frac{\partial^2 \rho}{\partial \zeta_2 \partial \bar{\zeta}_2} + R_1, \quad (74)$$

where  $R_1 = \mathcal{O}(\bar{b}_2)$ . By applying  $L'_2$  or  $\bar{L}'_2$  successively to  $\partial \bar{\partial} \rho(\zeta)(L'_2, \bar{L}'_2)$ , we obtain that

$$D_1^l \bar{D}_2^j \mathcal{L}'_{j,k} \partial \bar{\partial} \rho(\zeta) = D_1^l \bar{D}_2^j \frac{\partial^{j+k} \rho}{\partial \zeta_2^j \partial \bar{\zeta}_2^k} + D_1^l \bar{D}_2^j R_{j+k-1}, \quad (75)$$

where, by (73) and by using induction method,  $R_{j+k-1}$  satisfies

$$\left| D_1^j \bar{D}_2^k R_{j+k-1}(\zeta) \right| \leq C_2 \delta_s \tau_1^{-j} \tau_s^{-k-j-k+1}, \quad (76)$$

$$\zeta \in R_{\gamma\delta}^s(\tilde{\zeta}^\delta).$$

Combining the estimate in (61), (75), and (76), one obtains that

$$\left| \mathcal{L}'_{j,k} \bar{\partial} \bar{\rho}(\zeta) \right| \leq C_2 \delta_s \tau_s^{-j-k}, \quad \zeta \in R_{\gamma\delta}^s(\tilde{\zeta}^\delta). \quad (77)$$

Assume that (59) holds. Thus  $t_s = q_{\nu(s)}$  for some  $q_{\nu(s)}$ , and hence it follows from (53) that  $A_{q_{\nu(s)}}(z_1) = \delta_s \tau_s^{-q_{\nu(s)}}$ . Therefore it follows from (23) and (50) that there exist integers  $j, k > 0$  with  $j + k = t_s = q_{\nu(s)}$ , such that

$$\left| \frac{\partial^{j+k} \rho}{\partial \zeta_1^j \partial \zeta_2^k}(\tilde{\zeta}^\delta) \right| = |a_{j,k}(\tilde{\zeta}^\delta)| = A_{q_{\nu(s)}}(z_1) = \delta_s \tau_s^{-q_{\nu(s)}} \quad (78)$$

$$= \delta_s \tau_s^{-j-k}.$$

For these  $j, k > 0$ , it follows from (61), (75), (76), and (78) and by using the Taylor series method that there are constants  $c_2, C_2 > 0$  such that

$$c_2 \delta_s \tau_s^{-j-k} \leq \left| \mathcal{L}_{j,k} \bar{\partial} \bar{r}(z) \right| \leq C_2 \delta_s \tau_s^{-j-k}, \quad (79)$$

$$z \in Q_{\gamma\delta}^s(\tilde{z}^\delta),$$

provided  $\gamma > 0$  is sufficiently small.

**Lemma 19.** *There is  $C_2 > 0$  such that*

$$\left| \bar{\partial} \bar{r}(z) (L_1, \bar{L}_2) \right| \leq C_2 \gamma \delta_s \tau_1^{-1} \tau_s^{-1}, \quad z \in Q_{\gamma\delta}^s(\tilde{z}^\delta). \quad (80)$$

*Proof.* By functoriality, we have

$$\bar{\partial} \bar{r}(z) (L_1, \bar{L}_2) = \bar{\partial} \bar{\rho}(\zeta) (L'_1, \bar{L}'_2) \quad (81)$$

$$= \frac{\partial^2 \rho}{\partial \zeta_1 \partial \zeta_2}(\zeta) + \mathcal{O}(\tilde{b}_1(\zeta) + \tilde{b}_2(\zeta)).$$

From (23), we see that

$$D_1 \left( \frac{\partial^2 \rho}{\partial \zeta_1 \partial \zeta_2} \right) (\tilde{\zeta}^\delta) = \mathcal{O}(|e_\delta|) = \mathcal{O}(\delta) \quad (82)$$

$$= \frac{\partial^2 \rho}{\partial \zeta_1 \partial \zeta_2}(\tilde{\zeta}^\delta),$$

and it follows from (61) that

$$\left| \bar{D}_2 \frac{\partial^2 \rho}{\partial \zeta_1 \partial \zeta_2}(\tilde{\zeta}^\delta) \right| \leq \delta_s \tau_1^{-1} \tau_s^{-2}. \quad (83)$$

Therefore (80) follows from (73), (81), and (83) and by using Taylor series method.  $\square$

Note that  $T(\tilde{z}^\delta, \sigma^s \delta) := t_s = q_{\nu(s)}$ , for some  $q_{\nu(s)}$ , and hence there exist  $j > 0, k > 0$  with  $j + k = t_s$ . In view of (79), we may assume that

$$\left| L_2 \left( \operatorname{Re} \mathcal{L}_{j-1,k} \bar{\partial} \bar{r}(z) \right) \right| \approx \delta_s \tau_s^{-t_s}, \quad z \in Q_{\gamma\delta}^s(\tilde{z}^\delta), \quad (84)$$

is valid (when  $j = 1$ , we replace  $\mathcal{L}_{j-1,k}$  by  $\mathcal{L}_{j,k-1}$ ). Set

$$G(z) = \operatorname{Re} \mathcal{L}_{j-1,k} \bar{\partial} \bar{r}(z). \quad (85)$$

By using the estimates (73)–(76), one obtains that

$$\left| L_1 G \right| \leq \left| D_1 \frac{\partial^{t_s-1} \rho}{\partial \zeta_2^{j-1} \partial \zeta_2^k} \right| + \left| D_1 R_{j+k-1} \right| + |b_1 G| \quad (86)$$

$$\leq C_2 \delta_s \tau_1^{-1} \tau_s^{-t_s+1},$$

and similarly,

$$\left| \bar{\partial} \bar{G}(L_j, \bar{L}_k)(z) \right| \leq C_2 \delta_s \tilde{\tau}_j^{-1} \tilde{\tau}_k^{-1} \tau_s^{-t_s+1}, \quad j, k = 1, 2, \quad (87)$$

for  $z \in Q_{\gamma\delta}^s(\tilde{z}^\delta)$ , where  $\tilde{\tau}_1 = \tau_1$  and  $\tilde{\tau}_2 = \tau_s$ .

**Lemma 20.** *Assume that (59) holds. Then*

$$|G(z)| \leq C_2 \sigma^{1/t_s} \delta_s \tau_s^{-t_s+1}, \quad z \in Q_{\gamma\delta}^s(\tilde{z}^\delta). \quad (88)$$

*Proof.* Suppose  $z \in Q_{\gamma\delta}^s(\tilde{z}^\delta)$ . In view of (51)–(53), (56), and (59), we see that

$$\left( \frac{\sigma^{s+1} \delta}{A_{t_s-1}(z)} \right)^{1/(t_s-1)} \geq \tau(z, \sigma^{s+1} \delta) = \left( \frac{\sigma^{s+1} \delta}{A_{t_s}(z)} \right)^{1/t_s} \quad (89)$$

$$= \sigma^{1/t_s} \tau(z, \sigma^s \delta) \approx \sigma^{1/t_s} \tau_s,$$

and hence it follows that

$$A_{t_s-1}(z) \leq \sigma^{1/t_s} \delta_s \tau_s^{-t_s+1}. \quad (90)$$

This together with (73)–(78) implies the estimate (88).  $\square$

In the sequel, we write

$$L = a_1 L_1 + a_2 L_2 + a_3 L_3. \quad (91)$$

**Lemma 21.** *There is a positive number  $\sigma > 0$ , independent of  $\tilde{z}^\delta$  and  $\delta$ , such that if  $z \in Q_{\gamma\delta}^s(\tilde{z}^\delta)$  and if (59) holds, then there are constants  $c_2 > 0$  and  $C_2 > 0$ , independent of  $\tilde{z}, \delta$  and  $\sigma > 0$ , such that*

$$\bar{\partial} \bar{G}^2(L, \bar{L})(z) \geq c_2 \delta_s^2 \tau_s^{-2t_s} |a_2|^2 \quad (92)$$

$$- C_2 \delta_s^2 \tau_1^{-2} \tau_s^{-2t_s+2} |a_1|^2 - C_2 |a_3|^2.$$

*Proof.* Suppose  $z \in Q_{\gamma\delta}^s(\tilde{z}^\delta)$ . From (87) and (88), we note that

$$\left| G(z) \bar{\partial} \bar{G}(z) (L_j, \bar{L}_k) \right| \leq \sigma^{1/t_s} \delta_s^2 \tau_s^{-2t_s+2} \tilde{\tau}_j^{-1} \tilde{\tau}_k^{-1}, \quad (93)$$



for  $j, k = 1, 2$  where  $\bar{\tau}_1 = \tau_1$  and  $\bar{\tau}_2 = \tau_s$ . Using (84)–(88) and (93) and by using small (large) constant method, one obtains that

$$\begin{aligned} \partial\bar{\partial}G(z)^2(L, \bar{L}) &= 2|LG(z)|^2 + 2G(z)\partial\bar{\partial}G(L, \bar{L})(z) \\ &\geq 2c_2\delta_s^2\tau_s^{-2t_s}|a_2|^2 \\ &\quad + 4\operatorname{Re}\left(\sum_{1\leq j<k\leq 3}(L_jG)(\bar{L}_kG)a_j\bar{a}_k\right) \\ &\quad + 2\sum_{1\leq j\leq k\leq 3}G(z)\partial\bar{\partial}G(z)(L_j, \bar{L}_k)a_j\bar{a}_k \\ &\geq c_2\delta_s^2\tau_s^{-2t_s}|a_2|^2 - C_2\delta_s^2\tau_1^{-2}\tau_s^{-2t_s+2}|a_1|^2 - C_2|a_3|^2, \end{aligned} \tag{94}$$

for some  $c_2 > 0$  and  $C_2 > 0$  provided  $\sigma > 0$  is sufficiently small.  $\square$

*Remark 22.* From now on, we fix constants  $c_2 > 0$  and  $C_2 > 0$ , which depend only on the derivatives of  $r$  or  $\rho$  of order up to  $\eta$  on  $V$ , satisfying (69), (73), (80), and (86)–(92), and set  $C_2 = c_2^{-1}$  for a convenience. Now we choose and fix  $\gamma > 0$  and then fix  $\sigma > 0$  so that

$$\begin{aligned} 420C_2^4\gamma^{-7/2}\sigma^{2/m} &\leq \frac{1}{16}, \text{ and} \\ 420C_2^2\gamma^{1/2} &\leq 1, \text{ and} \end{aligned} \tag{95}$$

### 3. Estimates on the Bergman Kernels

Recall that  $\bar{z}^\delta = \pi(z(\delta)) = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$  where  $z(\delta) = (d\delta^{1/\eta}, 0, -\delta)$  and where  $\pi$  is the projection defined before (19). Also note that  $\Phi_{\bar{z}^\delta}(\bar{z}^\delta) = \bar{z}^\delta$  where  $\bar{z}^\delta = (d\delta^{1/\eta}, 0, 0)$  and where  $\Phi_{\bar{z}^\delta}$  is the holomorphic coordinate function defined in Proposition 11 about  $\bar{z} = \bar{z}^\delta$ . Also recall  $C_b(z_0, \delta_0)$  defined in (20). In this section we estimate the Bergman kernel function  $K_\Omega(z, z^\delta)$ , for  $z \in \Omega$  and  $z^\delta \in C_b(z_0, \delta_0)$ .

To get optimal estimates of the Bergman kernel, we need to construct a plurisubharmonic function which has maximal Hessian near each thin neighborhood of  $b\Omega$  as in [2, 15]. It contains complicated estimates depending on the type conditions of each boundary points. In this paper, however, we will construct such functions only at  $\bar{z}^\delta \in b\Omega$ . This will make the estimates much simpler than those in [2, 15] but still contain many complicated estimates.

Note that  $\sigma > 0$  and  $\gamma > 0$  are fixed in Remark 22 and hence the type  $t_s$  and the integer  $s$  defined in (59) depend only on  $\bar{z}^\delta \in b\Omega$ . Recall that  $\delta_s = \sigma^s\delta$ ,  $\tau_1 = \delta^{1/\eta}$ ,  $\tau_2 = \tau(\bar{z}^\delta, \delta)$ , and  $\tau_s = \tau(\bar{z}^\delta, \delta_s)$ . From (54) we have

$$\sigma^{s/2}\tau_2 \leq \tau_s \leq \sigma^{s/m}\tau_2. \tag{96}$$

Let us write  $L = a_1L_1 + a_2L_2 + a_3L_3$ .

**Proposition 23.** *There exist a smooth plurisubharmonic function  $g_{\bar{z}^\delta}$  on  $\bar{\Omega}$  that satisfies the following:*

(i)  $|g_{\bar{z}^\delta}(z)| \leq 1$ , for  $z \in \bar{\Omega}$ , and  $g_{\bar{z}^\delta}$  is supported in  $Q_{\gamma\delta}^s(\bar{z}^\delta) \cap \bar{\Omega}$ .

(ii) There exist a small constant  $b > 0$  such that if  $z \in Q_{2b\delta}(\bar{z}^\delta) \cap \bar{\Omega}$ , then

$$\partial\bar{\partial}g_{\bar{z}^\delta}(L, \bar{L})(z) \approx \tau_1^{-2}|a_1|^2 + \tau_2^{-2}|a_2|^2 + \delta^{-2}|a_3|^2. \tag{97}$$

(iii) If  $\Phi_{\bar{z}^\delta}(\zeta) = (z_1, z_2, \Phi_3(\zeta))$  where  $\Phi_3$  is defined in (22), then

$$|\bar{D}^\alpha(g_{\bar{z}^\delta} \circ \Phi(\zeta))| \leq C_\alpha\tau_1^{-\alpha_1}\tau_2^{-\alpha_2}\delta^{-\alpha_3}. \tag{98}$$

holds for all  $\zeta \in R_{\gamma\delta}^s(\bar{z}^\delta)$  where  $\bar{D}^\alpha = \bar{D}_1^{\alpha_1}\bar{D}_2^{\alpha_2}\bar{D}_3^{\alpha_3}$ .

*Proof.* For each fixed  $\bar{z}^\delta$ , we note that the integers  $s = s(\bar{z}^\delta)$  and  $t_s$ , defined in (59), will be fixed. Set  $\bar{\tau}_1 = \tau_1$  and  $\bar{\tau}_2 = \tau_s$ . Note that  $\gamma^{-2}\sigma^{-4s}\bar{\tau}_i^2 \leq 1$  provided  $\delta > 0$  is sufficiently small. Since  $\delta_s = \sigma^s\delta$ , it follows from (80) that

$$\begin{aligned} |\partial\bar{\partial}r(z)(L_1, \bar{L}_2)a_1\bar{a}_2| &\leq C_2\gamma\delta(\tau_1^{-2}|a_1|^2 + \sigma^{2s}\tau_s^{-2}|a_2|^2), \text{ and} \\ |\partial\bar{\partial}r(z)(L_i, \bar{L}_3)a_i\bar{a}_3| &\leq C_2\gamma\sigma^{2s}\delta\bar{\tau}_i^{-2}|a_i|^2 + C_2\gamma^{-1}\sigma^{-2s}\delta^{-1}\bar{\tau}_i^{-2}|a_3|^2 \\ &\leq C_2\gamma\sigma^{2s}(\delta\bar{\tau}_i^{-2}|a_i|^2 + \delta^{-1}|a_3|^2), \quad i = 1, 2, \end{aligned} \tag{99}$$

for  $z \in Q_{\gamma\delta}^s(\bar{z}^\delta)$ . From now on, we fix  $\lambda = 420C_2^2\gamma^{-9/2}$  and set  $\lambda_s = \sigma^{-2s}\lambda$ .

We may assume that the level sets of  $r$  are pseudoconvex on  $V$  and  $|L_3r|^2 \geq c_0^2 > 0$  on  $V \cap \Omega$ , where we may assume that  $c_0^2 \geq 4c_2$ . Also  $4C_2\gamma^{1/2} \leq c_2/10$  by (95). Therefore it follows from (69) and (99) that

$$\begin{aligned} &\lambda_s\delta^{-1}\partial\bar{\partial}r(L, \bar{L}) + (\lambda_s\delta^{-1})^2|Lr|^2 \\ &= \lambda_s\delta^{-1}\sum_{k=1}^3\partial\bar{\partial}r(L_k, \bar{L}_k)|a_k|^2 + 2\lambda_s\delta^{-1} \\ &\quad \cdot \operatorname{Re}\sum_{1\leq j<k\leq 3}\partial\bar{\partial}r(L_j, \bar{L}_k)a_j\bar{a}_k + \lambda_s^2\delta^{-2}|a_3|^2|L_3r|^2 \\ &\geq \lambda_s\delta^{-1}\left[\frac{4c_2}{5}\delta\tau_1^{-2}|a_1|^2\right. \\ &\quad \left. + \left(\partial\bar{\partial}r(z)(L_2, \bar{L}_2) - \frac{c_2}{10}\gamma^{1/2}\sigma^{2s}\delta\tau_s^{-2}\right)|a_2|^2\right] \\ &\quad + 3c_2\lambda_s^2\delta^{-2}|a_3|^2, \end{aligned} \tag{100}$$

for  $z \in Q_{\gamma\delta}^s(\bar{z}^\delta)$ .

Let  $\psi(\zeta)$  be defined by

$$\psi(\zeta) = \chi\left(\tau_1^{-2}|\zeta_1 - d\delta^{1/\eta}|^2 + \tau_s^{-2}|\zeta_2|^2 + \delta_s^{-2}|\zeta_3|^2\right), \tag{101}$$

where  $\chi$  is a smooth function such that  $\chi(t) = 1$  for  $t < \gamma^2/9$  and  $\chi(t) = 0$  for  $t \geq \gamma^2$ , satisfying  $|D^k\chi| \leq C_k\gamma^{-2k}$ . Set  $\Psi(z) =$

$\psi((\Phi_{\bar{z}^\delta})^{-1}(z))$ . Note that  $\Phi_{\bar{z}^\delta}^{-1}(z)$  has similar expression as in (22). Thus it follows, from (22), (29), (30), and chain rule, that

$$|D^\alpha \Psi(z)| \leq C_{|\alpha|} \gamma^{-2|\alpha|} \tau_1^{-\alpha_1} \tau_s^{-\alpha_2} \delta_s^{-\alpha_3} \quad z \in Q_{\gamma\delta}^s(\bar{z}^\delta). \quad (102)$$

Here  $\alpha = (\alpha_1, \alpha_2, \alpha_3)$  and  $|\alpha| = \alpha_1 + \alpha_2 + \alpha_3$ . Since  $C_2 = c_2^{-1}$ , one obtains

$$\begin{aligned} & |\partial\bar{\partial}\Psi(z)(L, \bar{L})| \\ & \leq C_2 \gamma^{-4} (\tau_1^{-2} |a_1|^2 + \tau_s^{-2} |a_2|^2 + \delta_s^{-2} |a_3|^2), \end{aligned} \quad (103)$$

$$\begin{aligned} & \lambda_s \delta^{-1} |L_i \Psi(z)| |a_i| |a_3| \\ & \leq 10 C_2 \gamma^{-4} \tilde{\tau}_i^{-2} |a_i|^2 + \frac{c_2}{10} \lambda_s^2 \delta^{-2} |a_3|^2, \quad i = 1, 2, \end{aligned}$$

where  $\tilde{\tau}_1 = \tau_1$  and  $\tilde{\tau}_2 = \tau_s$ .

Suppose that  $z$  satisfies  $\Psi(z) \geq 1/4$ . Using the fact that  $L_k r = 0$ ,  $k = 1, 2$ , and the fact that  $84 C_2 \gamma^{-9/2} = (c_2/5)\lambda \leq (c_2/5)\lambda_s$ , it follows from (100)–(103) that

$$\begin{aligned} & \partial\bar{\partial}(\Psi e^{\lambda_s \delta^{-1} r})(L, \bar{L}) = e^{\lambda_s \delta^{-1} r} \left[ \partial\bar{\partial}\Psi(L, \bar{L}) \right. \\ & \quad \left. + 2\lambda_s \delta^{-1} \sum_{i=1}^3 \operatorname{Re}((L_i \Psi)(\bar{L}_3 r)) a_i \bar{a}_3 \right] \\ & \quad + e^{\lambda_s \delta^{-1} r} \left[ \lambda_s \delta^{-1} \Psi \partial\bar{\partial}r(L, \bar{L}) + \lambda_s^2 \delta^{-2} \Psi |Lr|^2 \right] \geq \frac{1}{4} \quad (104) \\ & \quad \cdot e^{\lambda_s \delta^{-1} r} \left[ \frac{3c_2}{5} \lambda_s \tau_1^{-2} |a_1|^2 + c_2 \lambda_s^2 \delta^{-2} |a_3|^2 \right] + \frac{1}{4} \\ & \quad \cdot e^{\lambda_s \delta^{-1} r} \left[ \lambda_s \delta^{-1} \partial\bar{\partial}r(z)(L_2, \bar{L}_2) - \frac{2c_2}{5} \gamma^{1/2} \lambda \tau_s^{-2} \right] \\ & \quad \cdot |a_2|^2. \end{aligned}$$

We note that the negative part in (104) contains  $\gamma^{1/2} \lambda$  instead of  $\gamma^{1/2} \lambda_s$ .

Let  $h$  be a smooth convex function such that  $h(t) = 0$  for  $t \leq 1/2$  and  $h(t) > 0$  for  $t > 1/2$  and  $h(9/8) \leq 1$ . Set  $G_{\bar{z}^\delta}(z) = \Psi(z) e^{\lambda_s \delta^{-1} r(z)}$  and set  $g_{\bar{z}^\delta}(z) = h(G_{\bar{z}^\delta}(z))$ . Suppose  $T(\bar{z}^\delta, \delta) = 2$ . Then  $s = 0$ , and hence (79) holds for  $\delta_s = \delta$  with  $j = k = 1$ ; that is,

$$c_2 \delta \tau_2^{-2} \leq \partial\bar{\partial}r(z)(L_2, \bar{L}_2) \leq C_2 \delta \tau_2^{-2}, \quad z \in Q_{\gamma\delta}(\bar{z}^\delta). \quad (105)$$

For those  $z$  with  $\Psi(z) \geq 1/4$ , it follows from (104) (with  $\lambda_s = \lambda$ ) and (105) that

$$\begin{aligned} & \partial\bar{\partial}G_{\bar{z}^\delta}(z) \\ & \geq \frac{3c_2 \lambda}{20} e^{\lambda \delta^{-1} r} \left[ \tau_1^{-2} |a_1|^2 + \tau_2^{-2} |a_2|^2 + \delta^{-2} |a_3|^2 \right]. \end{aligned} \quad (106)$$

If  $\Psi(z) \leq 1/4$ , then  $G_{\bar{z}^\delta}(z) \leq 1/4$  and hence  $g_{\bar{z}^\delta}(z) = 0$ . Hence  $g_{\bar{z}^\delta}$  is a smooth plurisubharmonic function supported on  $Q_{\gamma\delta}(\bar{z}^\delta)$ , and  $|g_{\bar{z}^\delta}| \leq 1$ .

Now assume  $T(\bar{z}^\delta, \delta) > 2$  and assume that (59) holds. Then (79) holds for some positive integers  $j, k$  with  $j+k = t_s$ . Let  $G(z)$  be the function defined in (85). From (88) and (95), we see that

$$\begin{aligned} \lambda \gamma^{1/2} \delta_s^{-2} \tau_s^{2t_s-2} G(z)^2 & \leq \lambda \gamma^{1/2} C_2^2 \sigma^{2/t_s} \\ & \leq 420 C_2^4 \gamma^{-7/2} \sigma^{2/m} \leq \frac{1}{16}, \end{aligned} \quad (107)$$

$z \in Q_{\gamma\delta}^s(\bar{z}^\delta)$ , because  $\lambda = 420 C_2^2 \gamma^{-4}$  and  $t_s \leq m$ . Set

$$\begin{aligned} & g_{\bar{z}^\delta}(z) \\ & = h\left(\Psi(z) e^{\lambda_s \delta^{-1} r(z)} + \phi\left(\lambda \gamma^{1/2} \delta_s^{-2} \tau_s^{2t_s-2} G(z)^2\right)\right), \end{aligned} \quad (108)$$

where  $\phi(t)$  is a smooth function that satisfies  $\phi(t) = t$ , for  $t \leq 1/16$ ,  $\phi(t) = 0$  for  $t \geq 1$ , and  $\phi(t) \leq 1/8$  for all  $t$ . Thus  $g_{\bar{z}^\delta} \in C_0^\infty(Q_{\gamma\delta}^s(\bar{z}^\delta))$  and  $|g_{\bar{z}^\delta}| \leq 1$  because  $h(9/8) \leq 1$ . By (107) we note that  $\phi(z) = z$  on  $Q_{\gamma\delta}^s(\bar{z}^\delta)$ , and we also note that  $g_{\bar{z}^\delta} = 0$  if  $\Psi(z) e^{\lambda_s \delta^{-1} r(z)} \leq 3/8$ , in particular,  $g_{\bar{z}^\delta} = 0$  outside  $Q_{\gamma\delta}^s(\bar{z}^\delta)$ . From (92), we obtain that

$$\begin{aligned} & \lambda \gamma^{1/2} \delta_s^{-2} \tau_s^{2t_s-2} \partial\bar{\partial}G(z)^2(L, \bar{L}) \\ & \geq \gamma^{1/2} (c_2 \lambda \tau_s^{-2} |a_2|^2 - C_2 \lambda \tau_1^{-2} |a_1|^2 - C_2 \lambda \delta_s^{-2} |a_3|^2) \quad (109) \\ & \geq c_2 \gamma^{1/2} \lambda \tau_s^{-2} |a_2|^2 - \frac{c_2}{40} \lambda \tau_1^{-2} |a_1|^2 - \frac{c_2}{40} \lambda \delta_s^{-2} |a_3|^2, \end{aligned}$$

for  $z \in Q_{\gamma\delta}^s(\bar{z}^\delta)$ , because  $\gamma^{1/2} C_2 \leq c_2/40$ .

Assuming that  $\Psi(z) e^{\lambda_s \delta^{-1} r(z)} \geq 3/8$ , we note that the negative coefficient part of  $|a_2|^2$  of the Hessian of  $\Psi(z) e^{\lambda_s \delta^{-1} r(z)}$  in (104) is controlled by the first term in the third line of (109), and the error terms of the coefficients of  $|a_1|^2$  and  $|a_3|^2$  in the third line of (109) are controlled by the corresponding coefficients of the Hessian of  $\Psi(z) e^{\lambda_s \delta^{-1} r(z)}$  in (104). In either  $T(\bar{z}^\delta, \delta) = 2$  or  $T(\bar{z}^\delta, \delta) > 2$  cases, it follows from (104), (106), and (109) that

$$\begin{aligned} & \partial\bar{\partial}g_{\bar{z}^\delta}(L, \bar{L}) \geq \frac{c_2}{32} e^{\lambda_s \delta^{-1} r(z)} (\lambda_s \tau_1^{-2} |a_1|^2 \\ & \quad + \gamma^{1/2} \lambda \tau_s^{-2} |a_2|^2 + \lambda_s^{-2} \delta^{-2} |a_3|^2), \end{aligned} \quad (110)$$

for  $z \in Q_{\gamma\delta}^s(\bar{z}^\delta)$ .

Note that parameters,  $c_2$ ,  $C_2$ ,  $\gamma$ ,  $\sigma$ , and  $\lambda$ , are fixed in Remark 22, independent of  $\delta > 0$ . Therefore the upper bound of  $g_{\bar{z}^\delta}$  follows from (84)–(88), (96), (99), (102), and (103). Note that  $e^{\lambda_s \delta^{-1} r(z)} > e^{-1/4} > 3/4$ , if  $r(z) > -\delta/4\lambda_s = -\delta\sigma^{2s}/4\lambda$ , and this property holds on  $Q_{2b\delta}(\bar{z}^\delta)$  if we take  $b > 0$  sufficiently small; say,  $0 < 2b < \sigma^{2m}/\lambda^2$ . Also note that  $\Psi = 1$  on  $Q_{2b\delta}(\bar{z}^\delta)$ . This fact together with (96) and (110) proves properties (i) and (ii). Property (iii) follows from (22), (30), (32), and (96).  $\square$

For each  $z^\delta = (d\delta^{1/\eta}, 0, e_\delta - b\delta) \in C_b(z_0, \delta_0)$ , set  $\zeta^\delta := \Phi_{\bar{z}^\delta}^{-1}(z^\delta) = (d\delta^{1/\eta}, 0, -b\delta)$ .

**Proposition 24.** *There is a small constant  $a > 0$  such that  $R_{2a\delta}(\zeta^\delta) \subset\subset \Omega$  for all sufficiently small  $\delta > 0$ .*

*Proof.* From (22)–(29), we obtain that

$$\rho(\zeta^\delta) = r(z^\delta) = -b\delta + \mathcal{O}(\delta^{1+1/\eta}) < -\frac{b\delta}{2}, \quad (111)$$

for all sufficiently small  $\delta > 0$ . Assume  $\zeta \in R_{2a\delta}(\zeta^\delta)$  and write

$$\begin{aligned} \rho(\zeta) &= [\rho(\zeta) - \rho(d\delta^{1/\eta}, \zeta_2, \zeta_3)] \\ &\quad + [\rho(d\delta^{1/\eta}, \zeta_2, \zeta_3) - \rho(\zeta^\delta)] + \rho(\zeta^\delta) \\ &:= E_1 + E_2 + \rho(\zeta^\delta). \end{aligned} \quad (112)$$

From (61), and by using Taylor series method, one obtains that

$$|E_1| \leq a \max_{|\tilde{\zeta}_1 - d\delta^{1/\eta}| < 2a\delta^{1/\eta}} |D_1 \rho(\tilde{\zeta}_1, \zeta_2, \zeta_3)| \delta^{1/\eta} \leq 2aC_2\delta, \quad (113)$$

for a uniform constant  $C_2 > 0$ . Similarly, we obtain  $|E_2| \leq 4aC_2\delta$ . Combining these estimates and (111) and if we set  $a = b/24C_2$ , then we obtain that

$$\rho(\zeta) < 6aC_2\delta - \frac{b\delta}{2} = -\frac{b\delta}{4}, \quad \zeta \in R_{a\delta}(\zeta^\delta). \quad (114)$$

□

*Remark 25.* (1) Set  $\tilde{g}_\delta(\zeta) := g_{\tilde{z}^\delta} \circ \Phi_{\tilde{z}^\delta}(\zeta)$ . Then, by functoriality, Proposition 23 holds, where  $g_{\tilde{z}^\delta}$  is replaced by  $\tilde{g}_\delta$ , and  $Q_{\gamma^\delta}(\tilde{z}^\delta)$  is replaced by  $R_{\gamma^\delta}(\tilde{\zeta}^\delta)$ .

For each fixed  $\delta > 0$ , and for each fixed  $\tilde{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$ , set  $\Omega_{\tilde{z}^\delta} = \Phi_{\tilde{z}^\delta}^{-1}(\Omega)$ . Note that  $|\det(J_C \Phi_{\tilde{z}^\delta}^{-1}(z))| = 2|(\partial r/\partial z_3)(\tilde{z}^\delta)| \geq 2c_0 > 0$  on  $V$ . Thus it follows, from transformation formula, that

$$K_\Omega(z, z^\delta) = 4 \left| \frac{\partial r}{\partial z_3}(\tilde{z}^\delta) \right|^2 K_{\Omega_{\tilde{z}^\delta}}(\zeta, \zeta^\delta). \quad (115)$$

In view of Propositions 23 and 24, there is a smooth plurisubharmonic weight function  $g_{\tilde{z}^\delta}$  which has maximal Hessian on  $Q_{a\delta}(z^\delta) \subset\subset \Omega$ . We also note that  $\tau(\tilde{z}^\delta, \delta) \approx \tau(z^\delta, \delta)$  by (56). If we use these properties and (115), we get the following estimates for the Bergman kernel function  $K_\Omega(z^\delta, z^\delta)$  at  $z^\delta \in C_b(z_0, \delta_0)$  as in Theorem 6.1 in [2]:

$$\begin{aligned} K_\Omega(z^\delta, z^\delta) &\approx \delta^{-2} \delta^{-2/\eta} \tau(z^\delta, \delta)^{-2}, \\ &z^\delta \in C_b(z_0, \delta_0). \end{aligned} \quad (116)$$

This proves Theorem 2.

Now we want to get derivative estimates of  $K(z, z^\delta)$  for  $z \in \Omega$  and  $z^\delta \in C_b(z_0, \delta_0)$ . In view of (115), we will estimate  $K_{\Omega_{\tilde{z}^\delta}}(\zeta, \zeta^\delta)$  where  $z = \Phi_{\tilde{z}^\delta}(\zeta)$  and  $z^\delta = \Phi_{\tilde{z}^\delta}(\zeta^\delta)$ . We will follow the methods in [3, 9] which use dilated coordinates.

For each fixed  $\delta > 0$ , we recall that  $\tau_1 = \delta^{1/\eta}$ ,  $\tau_2 = \tau(z^\delta, \delta)$  and  $\tau_3 = \delta$ . Define a dilation map  $D_\delta$  given by

$$\begin{aligned} D_\delta(\zeta) &= \left( \frac{\zeta_1 - d\delta^{1/\eta}}{a\tau_1}, \frac{\zeta_2}{a\tau_2}, \frac{\zeta_3 + b\delta}{a\tau_3} \right) := (w_1, w_2, w_3) \\ &= w, \end{aligned} \quad (117)$$

set

$$\begin{aligned} \rho_\delta(w) &:= \delta^{-1} (\rho \circ D_\delta^{-1}(w)), \\ \Omega_\delta &= \{w \in \mathbb{C}^3; \rho_\delta(w) < 0\}, \end{aligned} \quad (118)$$

and set

$$\lambda_\delta(w) := \tilde{g}_\delta \circ D_\delta^{-1}(w), \quad (119)$$

where  $\tilde{g}_\delta(\zeta) := g_{\tilde{z}^\delta} \circ \Phi_{\tilde{z}^\delta}(\zeta)$  and where  $g_{\tilde{z}^\delta}$  is defined in Proposition 23.

Set

$$\begin{aligned} L_3^\delta &= \frac{\partial}{\partial w_3}, \\ L_k^\delta &= \frac{\partial}{\partial w_k} - \left( \frac{\partial \rho_\delta}{\partial w_3} \right)^{-1} \frac{\partial \rho_\delta}{\partial w_k} \frac{\partial}{\partial w_3}, \end{aligned} \quad (120)$$

$k = 1, 2,$

and write  $L^\delta = b_1 L_1^\delta + b_2 L_2^\delta + b_3 L_3^\delta$ . The properties of  $\lambda_\delta(w)$ , which follow from Propositions 23 and 24 and Remark 25, are summarized in the following proposition.

**Proposition 26.** *For each  $\delta > 0$  there is  $\lambda_\delta(w)$ , defined on  $\Omega_\delta$ , such that*

- (1)  $\lambda_\delta(w)$  is smooth plurisubharmonic in  $\Omega_\delta$ , and  $|\lambda_\delta| \leq 1$ ;
- (2)  $\text{supp } \lambda_\delta(w) \subset P(0, \bar{C})$ , for some  $\bar{C} = 2a^{-1}\gamma > 1$ ;
- (3)  $\partial\bar{\partial}\lambda_\delta(L^\delta, \bar{L}^\delta)(w) \approx |b_1|^2 + |b_2|^2 + |b_3|^2$  if  $w \in P(0, 1)$ ;
- (4)  $|D_w^\alpha \lambda_\delta(w)| \leq C_\alpha$ .

The weight function with the properties in Proposition 26 is the key ingredient for the derivative estimates of the Bergman kernel function off the diagonal. Set  $P = P(0, \bar{C})$  and let  $N_\delta$  be the Neumann operator on  $\Omega_\delta$ . Then we have the following  $L^2$  estimates of  $N_\delta$  (Proposition 3.14 in [3]).

**Proposition 27.** *Let  $h \in L^2$  be a  $(0, 1)$  form and  $\text{supp } h \subset P$ . Then there is  $C > 0$ , independent of  $\delta > 0$ , so that*

$$\int_{\Omega_\delta \cap P} |N_\delta h|^2 \leq C \|h\|^2. \quad (121)$$

Note that  $D_\delta(\zeta^\delta) = 0$ . Set

$$P(0, r) := \{w = (w_1, w_2, w_3) : |w_k| \leq r, k = 1, 2, 3\}. \quad (122)$$

From (117) and Proposition 24, we note that

$$D_\delta(R_{a\delta}(\zeta^\delta)) = P(0, 1) \subset\subset P(0, 2) \subset\subset \Omega_\delta, \quad (123)$$

independent of  $\delta > 0$ . Let  $\xi_1, \xi_2 \in C_0^\infty(P(0, 1))$  with  $\xi_1 = 1$  in a neighborhood of 0 and  $\xi_2 = 1$  on  $\text{supp } \xi_1$ . From (123), we see that  $\text{supp } \xi_2 \subset P(0, 1) \subset P(0, 2) \subset \Omega_\delta$ , independent of  $\delta > 0$ . Therefore we have the following elliptic estimates:

$$\|\xi_1 f\|_{s+2}^2 \leq C_s (\|\xi_2 \square_\delta f\|_s^2 + \|f\|^2), \tag{124}$$

$$s \geq 0, f \in \text{Dom}(\bar{\partial}) \cap \text{Dom}(\bar{\partial}^*),$$

where  $\square_\delta$  is the complex Laplacian on  $\Omega_\delta$ .

*Remark 28.* The estimates in (124) are on the polydisc  $P(0, 1) \subset P(0, 2) \subset \Omega_\delta$ , strictly inside of  $\Omega_\delta$ , independent of  $\delta > 0$ . Therefore we gain two derivatives in (124) and it is stable; that is,  $C_s$  is independent of  $\delta > 0$ . Also we note that we do not require that  $\Delta_1(z_0) < \infty$ . Since  $P(0, 2) \subset P = P(0, \bar{C})$  where  $\bar{C} = 2a^{-1}\gamma > 2$ , we can also apply the estimate (121) on  $P(0, 2)$ .

Let  $\phi \in C_0^\infty(P(0, 1))$ ,  $\int \phi = 1$ , and  $\phi$  be polyradial. In terms of  $w$ -coordinates in (117), we have the following well known representation of Bergman kernel function on  $\Omega_\delta$ .

$$K_{\Omega_\delta}(w, 0) = \phi(w) - \bar{\partial}_* N_\delta \bar{\partial} \phi(w). \tag{125}$$

Let  $\chi \in C^\infty(\Omega_\delta)$  with  $\chi = 1$  outside  $P(0, 1)$  and  $\chi = 0$  on  $\text{supp } \phi$ . Combining (121)–(125), we can prove the following lemma as in the proof of Theorem 4.2 in [3].

**Lemma 29.** *For each  $s \geq 0$  there is  $C_s > 0$  such that*

$$\|\chi N_\delta \bar{\partial} \phi\|_s \leq C_s. \tag{126}$$

Now, if we use the estimate (126) with  $s = |\alpha| + 3$ , we can prove Theorem 3 as in the proof of Theorem 4.2 in [3].

### Appendix

We recall Herbot's example  $\Omega_H$  in (6). Therefore  $\eta = 6 = \Delta_1(0)$  and hence  $\tau_1 = \delta^{1/6}$ ,  $\tau_2 = \delta^{1/3}$ , and  $\tau_3 = \delta$  in our notations. For each fixed  $\delta > 0$ , set  $z^\delta = (\delta^{1/6}/2, 0, -\delta)$ . Then  $z^\delta \in \Omega_H$  and approaches to  $0 \in b\Omega_H$  in “almost tangential direction” as the points do along  $C_b(z_0, \delta_0)$ . In this case, we will show that

$$K_{\Omega_H}(z^\delta, z^\delta) \approx \delta^{-3} = \delta^{-2} \tau_1^{-2} \tau_2^{-2}, \tag{A.1}$$

which is exactly same result as Theorem 2.

*Proof of (A.1).* Set

$$P_\delta(z^\delta) := \left\{ z : \left| z_1 - \frac{\delta^{1/6}}{2} \right| < \frac{\delta^{1/6}}{10}, |z_2| < \frac{\delta^{1/3}}{10}, |z_3 + \delta| < \frac{\delta}{10} \right\}. \tag{A.2}$$

Then the polydisc  $P_\delta(z^\delta)$  about  $z^\delta$  is contained in  $\Omega_H$ . Therefore the upper bound  $K_{\Omega_H}(z^\delta, z^\delta) \leq \delta^{-3}$  follows. Let us show lower bounds.

Let  $\Delta_3$  be the unit polydisc in  $\mathbb{C}^3$ . Since the localization lemma is valid for  $\Omega_H$ , we will estimate  $K_{\Omega_H \cap \Delta_3}(z^\delta, z^\delta)$ . Set

$$P_1(z_1, z_2) = |z_1|^6 + |z_1|^2 |z_2|^2 + |z_2|^6, \text{ and} \tag{A.3}$$

$$P_2(z_1, z_2) = |z_1|^6 + |z_1|^2 |z_2|^2,$$

and set

$$G_1 = \{\text{Re } z_3 + P_1(z_1, z_2) < 0\}, \text{ and} \tag{A.4}$$

$$G_2 = \{\text{Re } z_3 + P_2(z_1, z_2) < 0\}.$$

Then  $\Omega_H = G_1 \subset G_2$  and  $z^\delta \in G_1 \cap G_2$ . Set  $f_\delta = 8\delta^{11/6} z_1 / (z_3 - \delta)^2$ . Then  $f_\delta(z^\delta) = 1$ . Note that

$$\|f_\delta\|_{L^2(G_1 \cap \Delta_3)}^2 \leq \|f_\delta\|_{L^2(G_2 \cap \Delta_3)}^2$$

$$= 16\delta^{11/3} \int_{|z_1|, |z_2| < 1} |z_1|^2 \cdot \left[ \int_{\text{Re } z_3 < -P_2} |z_3 - \delta|^{-4} dV_2(z_3) \right] dV_4$$

$$\leq \delta^{11/3} \int_{|z_1|, |z_2| < 1} |z_1|^2 (\delta + P_2)^{-2} dV_4 := (*),$$

where we have used  $\int_{\mathbb{R}} (ds / (1+s^2)^2) = c_1 < \infty$ . Set  $z_1 = \delta^{1/6} z'_1$  and  $z_2 = \delta^{1/3} z'_2$ . Then

$$(*) \leq \delta^3 \int_{|z'_2| < \delta^{-1/3}} \int_{|z'_1| < \delta^{-1/6}} |z'_1|^2 (1 + P_2(z'_1, z'_2))^{-2} dV_4(z'_1, z'_2)$$

$$\leq \delta^3 \int_0^{\delta^{-1/3}} \int_0^{\delta^{-1/6}} r_1^3 r_2 (1 + r_1^2 r_2^2 + r_1^6)^{-2} dr_1 dr_2 := (**)$$

where we have used the polar coordinates:  $z'_k = r_k \sigma^{i\theta}$ ,  $k = 1, 2$  in the second line.

Set  $r_1^4 = x$  and  $r_2^2 = y$ . Then

$$(**) \leq \delta^3 \int_0^{\delta^{-2/3}} \int_0^{\delta^{-2/3}} (1 + x^{1/2} y + x^{3/2})^{-2} dy dx$$

$$= \delta^{7/3} \int_0^{\delta^{-2/3}} \frac{dx}{(1 + x^{3/2})(1 + \delta^{-2/3} x^{1/2} + x^{3/2})}$$

$$:= \delta^{7/3} I(\delta).$$

Write  $I(\delta) = \int_0^1 + \int_1^{\delta^{-2/3}} := I_1(\delta) + I_2(\delta)$ . Set  $\delta^{-2/3} x^{1/2} = x'$ . Then

$$I_1(\delta) \leq \int_0^{\delta^{-2/3}} \frac{\delta^{4/3} x' dx'}{1 + x'} \leq \delta^{4/3} \int_0^{\delta^{-2/3}} dx' = \delta^{2/3}. \tag{A.8}$$

Also,

$$\begin{aligned} I_2(\delta) &\leq \int_1^{\delta^{-2/3}} \frac{dx}{x^{3/2}(x^{3/2} + \delta^{-2/3}x^{1/2})} \\ &\leq \delta^{2/3} \int_1^{\delta^{-2/3}} x^{-2} dx \leq \delta^{2/3}. \end{aligned} \quad (\text{A.9})$$

Combining (A.5)–(A.9), we obtain that  $\|f_\delta\|_{L^2(\Omega_H)}^2 \leq \delta^3$ .  
Therefore  $K_{\Omega_H}(z^\delta, z^\delta) \geq \delta^{-3}$ .  $\square$

*Remark 30.* Set  $f(z) = \exp(z_3/(1 - z_3))$ . Then  $f$  is a peak function that peaks at  $0 \in b\Omega_H$  for the domain  $\Omega_H$ .

## Data Availability

No data were used to support this study.

## Conflicts of Interest

The author declares that there are no conflicts of interest regarding the publication of this paper.

## Acknowledgments

The author was partially supported by the Sogang University Research Fund.

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