

# Invariant subspaces in Bergman spaces and Hedenmalm's boundary value problem

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**Abstract.** A function  $G$  in a Bergman space  $A^p$ ,  $0 < p < \infty$ , in the unit disk  $D$  is called  $A^p$ -inner if  $|G|^p - 1$  annihilates all bounded harmonic functions in  $D$ . Extending a recent result by Hedenmalm for  $p=2$ , we show (Thm. 2) that the unique compactly-supported solution  $\Phi$  of the problem

$$\Delta \Phi = \chi_D (|G|^p - 1),$$

where  $\chi_D$  denotes the characteristic function of  $D$  and  $G$  is an arbitrary  $A^p$ -inner function, is continuous in  $C$ , and, moreover, has a vanishing normal derivative in a weak sense on the unit circle. This allows us to extend all of Hedenmalm's results concerning the invariant subspaces in the Bergman space  $A^2$  to a general  $A^p$ -setting.

## 1. Introduction

For  $0 < p < \infty$ , the Bergman space  $A^p(\mathbf{D})$ ,  $\mathbf{D} = \{z : |z| < 1\}$  consists of all functions  $f$  analytic in  $\mathbf{D}$  for which

$$\|f\|_p^p := \int_{\mathbf{D}} |f(z)|^p dA < \infty.$$

Here,  $dA$  is the area measure. As is well-known,  $\|\cdot\|_p^p$  makes  $A^p$  into a Banach space for  $1 \leq p < \infty$  and a complete metric space for  $0 < p < 1$ . A closed subspace  $I \subset A^p$  is called an *invariant subspace* if  $zf \in I$  for all  $f \in I$ . Let the function  $G \in I$  be a solution of the extremal problem

$$(1.1) \quad \sup\{\operatorname{Re} g^{(m)}(0) : g \in I, \|g\|_p \leq 1\},$$

where  $m$  is the order of the common zero at the origin for functions in  $I$ . For  $p > 1$ , the existence of  $G$  is an easy corollary of Fatou's lemma and a normal family argument. For  $p=1$  it follows from the well-known fact that  $A^1$  can be identified with a dual of the little Bloch space (cf. [Z]). For  $0 < p < 1$ , we do not know whether

the extremal function in (1.1) exists for the most general subspaces. However, if we in addition assume that the invariant subspace is *weakly* closed, i.e.,  $f_n \in I$ ,  $\|f_n\|_p \leq \text{const}$  and  $f_n \rightarrow f$  uniformly on compact subsets of  $\mathbf{D}$  imply that  $f \in I$ , then, as before, the existence of  $G$  for  $p: 0 < p < 1$  follows from Fatou’s lemma and Montel’s theorem. Note that all *zero subspaces*, i.e.,  $I = \{f \in A^p : f(\zeta_j) = 0, j = 1, \dots\}$ , are weakly closed. (Here,  $\{\zeta_j\}_1^\infty$  is a zero set of an  $A^p$ -function.) *Uniqueness* of  $G$  is known to hold for  $1 \leq p < \infty$ , while for an arbitrary  $I$  it remains an open problem for  $0 < p < 1$  (cf. [DKSS1], [DKSS2]). Let  $\Phi$  denote a (distributional) solution in  $\mathbf{R}^2$  of the problem

$$(1.2) \quad \Delta \Phi = \chi_{\mathbf{D}} (|G|^p - 1),$$

where  $\chi_{\mathbf{D}}$  is the characteristic function of  $\mathbf{D}$ . Problem (1.2) has been introduced by H. Hedenmalm in [H1] for  $p=2$ . Since a simple variational argument (cf. [H1], [DKSS1], [DKSS2]) shows that  $|G|^p - 1$  annihilates all bounded harmonic functions in  $\mathbf{D}$  ( $:= L_h^\infty$ ), i.e.,  $\int_{\mathbf{D}} (|G|^p - 1) u \, dA = 0$  for all  $u \in L_h^\infty$ , one solution  $\Phi$  of (1.2) has the integral representation

$$(1.3) \quad \Phi(z) = \frac{1}{2\pi} \int_{\mathbf{D}} (|G|^p - 1) \log |z - \zeta| \, dA(\zeta).$$

Henceforth,  $\Phi$  shall always denote that solution of (1.2) given by (1.3). Since  $g := |G|^p - 1$  only belongs to  $L^1(\mathbf{D})$ , one cannot expect a priori anything more than  $\Phi \in VMO(\mathbf{C})$ —cf. [IK]. However, in [H1], for  $p=2$ , using Hilbert space techniques and explicit calculations with power series, Hedenmalm was able to show much more.

**Theorem 1** ([H1], for  $p=2$ ).

- (i)  $\Phi$  is continuous in  $\mathbf{C}$ .
- (ii)  $\partial \Phi / \partial n = 0$  weakly on  $\mathbf{T} = \partial \mathbf{D}$ , i.e.,

$$\lim_{r \rightarrow 1} \int_{r\mathbf{T}} \frac{\partial \Phi}{\partial n} s(z) \, d\sigma = 0,$$

for any  $C^2$ -smooth test function  $s(z)$ . (Here,  $\partial / \partial n$  is the outer normal derivative and  $d\sigma$  is the arclength.)

- (iii)  $\Phi = 0$  in  $\mathbf{C} \setminus \mathbf{D}$  and

$$0 \leq \Phi \leq \frac{1}{4}(1 - |z|^2) \quad \text{in } \bar{\mathbf{D}}.$$

From Theorem 1, by simply applying Green's formula, Hedenmalm obtained the following identity

$$(1.4) \quad \int_{\mathbf{D}} (|G|^2 - 1)|f|^2 dA = 4 \int_{\mathbf{D}} \Phi |f'|^2 dA$$

for any polynomial  $f$ . The Corollary, which immediately follows from (1.4), namely, that

$$(1.5) \quad \|Gf\|_2 \geq \|f\|_2 \quad \text{for all } f \in H^\infty,$$

is crucial in Hedenmalm's construction of contractive zero-divisors in  $A_2$ . In [DKSS2] (also, cf. [DKSS1]), we have been able to circumvent the boundary problem (1.2) by proving instead of (1.4) the following ( $0 < p < \infty$ ):

$$(1.6) \quad \int_{\mathbf{D}} (|G|^p - 1)|f|^p dA = \iint_{\mathbf{D} \times \mathbf{D}} \Delta_\zeta (|G|^p) \Delta_z (|f|^p) \Gamma(z, \zeta) dA_z dA_\zeta,$$

for all polynomials  $f$ , where  $\Gamma$  is the biharmonic Green kernel

$$\Gamma(z, \zeta) := \frac{1}{16\pi} \left\{ |z - \zeta|^2 \log \left| \frac{z - \zeta}{1 - \bar{\zeta}z} \right|^2 + (1 - |z|^2)(1 - |\zeta|^2) \right\}.$$

Since  $\Gamma$  is positive, by using (1.6) instead of (1.4) one can extend (1.5) to arbitrary  $0 < p < \infty$ .

In this note we extend Hedenmalm's original approach via a boundary-value problem (1.2) and Theorem 1 to all  $p$ ,  $0 < p < \infty$ . A general proof of the  $A^p$ -version of Thm. 1 (Thm. 2) we offer here is still somewhat simpler than Hedenmalm's original proof for  $p=2$  in [H1]. In the last section we give a number of corollaries, extending the results in [H1] to a general  $A^p$ -setting, and discuss some open problems.

## 2. Extension of Theorem 1 to $A^p$ -spaces for $0 < p < \infty$

Let us restate Thm. 1 in a general  $A^p$ -setting, adopting the concept of an  $A^p$ -inner function recently suggested by B. Korenblum (in view of (1.5)).

*Definition.* A function  $G \in A^p$  is called  $A^p$ -inner (or simply, inner); if  $|G|^p - 1$  is orthogonal to all bounded harmonic functions in  $\mathbf{D}$ .

(Note that all extremal functions for problems (1.1) are inner.)

**Theorem 2.** *Let  $G$  be an  $A^p$ -inner function,  $0 < p < \infty$ , and let  $\Phi$  be a solution of (1.2) defined by (1.3). Then*

- (i)  $\Phi$  is continuous in  $\mathbf{C}$ .
- (ii)  $\partial\Phi/\partial n = 0$  weakly on  $\mathbf{T}$ , i.e.,

$$\lim_{r \rightarrow 1} \int_{r\mathbf{T}} \frac{\partial\Phi}{\partial n} s(z) \, d\sigma = 0$$

for any  $C^2$ -smooth test function  $s$ .

- (iii)  $\Phi = 0$  in  $\mathbf{C} \setminus \mathbf{D}$  and

$$0 \leq \Phi \leq \frac{1}{4}(1 - |z|^2) \quad \text{in } \bar{\mathbf{D}}.$$

*Proof.* The major difficulty (technical) lies in proving (i). So, let us assume (i) for a moment, and derive (ii) and the second inequality in (iii).

(ii) Fix  $r < 1$  and let  $s_r(z)$  denote the solution of the Dirichlet problem for the Laplacian in  $r\mathbf{D}$  with data  $s$ . Applying Green’s formula in  $r\mathbf{D}$  we obtain ( $\Phi$  is obviously  $C^\infty$  inside  $\mathbf{D}$ —see (1.3)!)

$$(2.1) \quad \int_{r\mathbf{T}} \frac{\partial\Phi}{\partial n} s_r(z) \, d\sigma = \int_{r\mathbf{T}} \Phi \frac{\partial s_r(z)}{\partial n} \, d\sigma + \int_{r\mathbf{D}} g(z) s_r(z) \, dA(z)$$

(recall,  $g := |G|^p - 1$ ). As  $r \rightarrow 1$ , the first term in the right-hand side of (2.1) tends to 0, since  $\Phi = 0$  on  $\mathbf{T}$  (as  $g = |G|^p - 1 \perp L_h^\infty$ ,  $\Phi \equiv 0$  in  $\mathbf{C} \setminus \mathbf{D}$ ), while  $\partial s_r / \partial n$  remains bounded (in fact, it tends to  $\partial s_1 / \partial n$ , where  $s_1$  is a solution of the Dirichlet problem in  $\mathbf{D}$  with data  $s$ ). The second term tends to

$$\int_{\mathbf{D}} g(z) s_1(z) \, dA(z) = 0,$$

since  $s_1$  is harmonic in  $\mathbf{D}$  and  $g \perp L_h^\infty$ . From this (ii) follows.

- (iii) Consider  $\psi = \frac{1}{4}(1 - |z|^2) - \Phi$ . By (i)  $\psi \in C(\bar{\mathbf{D}})$ , and

$$\Delta\psi = -1 - (|G|^p - 1) = -|G|^p < 0$$

in  $\mathbf{D}$ . So  $\psi$  is superharmonic in  $\mathbf{D}$ , continuous in  $\bar{\mathbf{D}}$ , and  $\psi|_{\mathbf{T}} = 0$ . Hence,  $\psi \geq 0$  in  $\mathbf{D}$  and the second inequality in (iii) follows.

To prove (i), we need a lemma.

**Lemma.** *The measure  $|g| dA$  is a Carleson measure in  $\mathbf{D}$ .*

*Proof.* Since  $g = |G|^p - 1$  annihilates  $L_h^\infty$ ,  $|G|^p dA$  is a representing measure for bounded harmonic functions at the origin. In particular, for

$$u = u_\lambda = \operatorname{Re} \left( \frac{1 + \lambda z}{1 - \lambda z} \right) = \frac{1 - |\lambda|^2 |z|^2}{|1 - \lambda z|^2},$$

$\lambda \in \mathbf{D}$ , we have

$$\int_{\mathbf{D}} |G|^p \frac{1 - |\lambda|^2 |z|^2}{|1 - \lambda z|^2} dA(z) = 1.$$

Hence (see, e.g., [G; p. 239, Lemma 3.3]),  $|G|^p dA$  is a Carleson measure, and the lemma follows because  $|g| \leq |G|^p + 1$ .

*Proof of (i).* Since  $g$  is orthogonal to  $L_h^\infty$ , taking any  $a \in \mathbf{D}$  we can rewrite (1.3) in the form

$$(2.2) \quad \Phi(a) = -\frac{1}{2\pi} \int_{\mathbf{D}} g(\zeta) \log \left| \frac{a' - \zeta}{a - \zeta} \right| dA(\zeta),$$

where  $a'$ ,  $|a'| > 1$ , lies on the ray joining 0 to  $a$ , and  $|a' - a| = 2(1 - |a|)$ . Set  $w = (a' - \zeta)/(a - \zeta)$ . Let

$$\begin{aligned} \Omega_a &= \{ \zeta \in \mathbf{D} : |w - 1| < \sqrt{1 - |a|} \} = \left\{ \zeta \in \mathbf{D} : \left| \frac{a' - \zeta}{a - \zeta} - 1 \right| < \sqrt{1 - |a|} \right\} \\ &= \{ \zeta \in \mathbf{D} : |a - \zeta| > 2\sqrt{1 - |a|} \}. \end{aligned}$$

Then (cf. (2.2)),

$$(2.3) \quad -2\pi\Phi(a) = \int_{\Omega_a} + \int_{\mathbf{D} \setminus \Omega_a}.$$

**Claim.**  $|\int_{\Omega_a}| \leq \text{const} \sqrt{1 - |a|}$ , and therefore, tends to 0 when  $|a| \rightarrow 1$ .

Indeed,  $|\int_{\Omega_a}| \leq \|g\|_{L^1} \|\log |w|\|_{L^\infty(\Omega_a)}$ . Since

$$|\log |w|| = \left| \log \left| 1 + \left( \frac{a' - \zeta}{a - \zeta} - 1 \right) \right| \right|$$

and on  $\Omega_a$

$$\left| \frac{a' - \zeta}{a - \zeta} - 1 \right| < \sqrt{1 - |a|} \rightarrow 0 \quad \text{when } |a| \rightarrow 1,$$

we have for  $\zeta \in \Omega_a$

$$\begin{aligned} |\log |w|| &= \left| \log \left| 1 + \left( \frac{a' - \zeta}{a - \zeta} - 1 \right) \right| \right| \\ &= O \left( \left| \frac{a' - \zeta}{a - \zeta} - 1 \right| \right) \leq O(\sqrt{1 - |a|}) \end{aligned}$$

and the Claim follows. To estimate  $|\int_{\mathbf{D} \setminus \Omega_a}|$  in (2.3), set

$$\Delta_a = \{ \zeta : |\zeta - a| < (1 - |a|)^3 \} \subset \mathbf{D} \setminus \Omega_a.$$

Then,

$$(2.4) \quad \left| \int_{\mathbf{D} \setminus \Omega_a} \right| \leq \left| \int_{\Delta_a} \right| + \left| \int_{\mathbf{D} \setminus \Omega_a \setminus \Delta_a} \right|.$$

Let  $E_a = \mathbf{D} \setminus \Omega_a \setminus \Delta_a$ .  $|a - \zeta| \geq (1 - |a|)^3$  on  $E_a$ , hence for  $\zeta \in E_a$  we have

$$\left| \frac{a' - \zeta}{a - \zeta} \right| \leq \frac{2(1 - |a|)^{1/2} + 2(1 - |a|)}{(1 - |a|)^3} \leq \frac{4}{(1 - |a|)^{5/2}}.$$

So,

$$\log \left| \frac{a' - \zeta}{a - \zeta} \right| \leq C \log \frac{1}{1 - |a|},$$

where  $C$  is a constant. Thus,

$$(2.5) \quad \left| \int_{E_a} \right| = \left| \int_{E_a} g(\zeta) \log \left| \frac{a' - \zeta}{a - \zeta} \right| dA \right| \leq C \log \frac{1}{1 - |a|} \left( \int_{E_a} |g(\zeta)| dA \right).$$

Clearly,  $E_a$  belongs to a Carleson square of size  $C\sqrt{1 - |a|}$ , with some absolute constant  $C$ . So, from (2.5) and the Lemma it follows that

$$\left| \int_{E_a} \right| \leq \text{const} \sqrt{1 - |a|} \log \frac{1}{1 - |a|} \rightarrow 0$$

when  $|a| \rightarrow 1$ . Finally, it remains to estimate  $|\int_{\Delta_a}|$  in (2.4). For this, we need the assertion:

**Assertion.**  $|g(\zeta)| = |G(\zeta)|^p - 1 = O(1/(1 - |\zeta|))$ .

Assume the Assertion and estimate

$$\left| \int_{\Delta_a} g(\zeta) \log \left| \frac{a' - \zeta}{a - \zeta} \right| dA \right|.$$

$\Delta_a = \{\zeta : |\zeta - a| < (1 - |a|)^3\}$ , so  $1 - |\zeta| \geq \frac{1}{2}(1 - |a|)$ , since we can always assume  $|a| \geq \frac{1}{2}$  in  $\Delta_a$ . From the above assertion it follows then that

$$(2.6) \quad |g(\zeta)| \leq \frac{\text{const}}{1 - |a|} \quad \text{in } \Delta_a.$$

Also,  $|a' - \zeta| \geq 1 - |a|$  for each  $\zeta \in \Delta_a$ . So,

$$(2.7) \quad |\log |a' - \zeta|| = \log \frac{1}{|a' - \zeta|} \leq \log \frac{1}{1 - |a|} \quad \text{on } \Delta_a.$$

Thus, from (2.6) and (2.7) we obtain

$$\begin{aligned} \left| \int_{\Delta_a} g(\zeta) \log \left| \frac{a' - \zeta}{a - \zeta} \right| dA \right| &\leq \frac{\text{const}}{1 - |a|} \int_{\Delta_a} \left( \log \frac{1}{|a - \zeta|} + \log \frac{1}{1 - |a|} \right) dA \\ &\leq \frac{\text{const}}{1 - |a|} \left( (1 - |a|)^6 \log \frac{1}{1 - |a|} \right) \rightarrow 0 \end{aligned}$$

as  $|a| \rightarrow 1$ . The last estimate follows from a direct calculation:

$$\begin{aligned} \int_{\Delta_a} \log \frac{1}{|a - \zeta|} dA &= \int_0^{2\pi} \int_0^{(1 - |a|)^3} \log \frac{1}{\rho} d\rho d\theta \\ &= \pi \left[ \rho^2 \log \frac{1}{\rho} \Big|_0^{(1 - |a|)^3} + \int_0^{(1 - |a|)^3} \rho d\rho \right] \\ &\leq \text{const} \left[ (1 - |a|)^6 \log \frac{1}{(1 - |a|)^3} \right]. \end{aligned}$$

Thus, (i) is proved modulo Assertion.

*Proof of the Assertion.*  $|g(\zeta)| \leq |G|^p + 1$ , which is subharmonic, and by the Lemma  $(|G|^p + 1) dA$  is a Carleson measure. Let  $D_\zeta$  be a Carleson box of size  $C(1 - |\zeta|)$ , such that  $D_\zeta \supseteq \{z : |z - \zeta| < 1 - |\zeta|\}$ ,  $C$  is a constant. Then the subharmonicity of  $|G|^p + 1$  and the Lemma imply

$$|g(\zeta)| \leq \frac{1}{\pi(1 - |\zeta|)^2} \int_{D_\zeta} (|G|^p + 1) dA \leq \frac{\text{const}}{(1 - |\zeta|)^2} (1 - |\zeta|) = \frac{\text{const}}{1 - |\zeta|}.$$

Thus, (i) is proved.

*Remark.* Note that (iii) implies a better estimate of  $\Phi(a)$  near  $\mathbf{T}$  than the one we obtained in the above proof of (i). However, (i) is needed to establish (iii).

Finally, let us establish the remaining inequality in (iii) by showing that  $\Phi \geq 0$  in  $\mathbf{D}$ . For that we need the key integration formula (1.6) proved in [DKSS2]. Note that in fact (1.6) holds for an arbitrary, say  $C^2$ -smooth, function  $s$ , not merely  $|f|^p$  (cf. [DKSS2]). Let us rewrite (1.6) as follows ( $s \in C^2(\overline{\mathbf{D}})$ ):

$$(2.8) \quad \int_{\mathbf{D}} (|G|^p - 1)s \, dA = \int_{\mathbf{D}} (\Delta\Phi)s \, dA = \int_{\mathbf{D}} \Delta s(\zeta) \left\{ \int_{\mathbf{D}} \Delta^2\Phi(z)\Gamma(z, \zeta) \, dA_z \right\} dA_\zeta.$$

Now applying Green's formula to  $r\mathbf{D}$ ,  $0 < r < 1$ , using (i) and (ii) of Theorem 2 and letting  $r \rightarrow 1$ , we obtain from (2.8):

$$(2.9) \quad \begin{aligned} \int_{\mathbf{D}} (\Delta\Phi)s \, dA &= \lim_{r \rightarrow 1} \int_{r\mathbf{D}} (\Delta\Phi)s \, dA \\ &= \lim_{r \rightarrow 1} \left\{ \int_{r\mathbf{D}} \Phi\Delta s \, dA + \int_{r\mathbf{T}} \left[ \Phi \frac{\partial s}{\partial n} - s \frac{\partial \Phi}{\partial n} \right] d\sigma \right\} = \int_{\mathbf{D}} \Phi\Delta s \, dA. \end{aligned}$$

Hence from (2.8), (2.9), it follows that  $\Phi(\zeta) - \int_{\mathbf{D}} \Delta^2\Phi(z)\Gamma(z, \zeta) \, dA_z$  annihilates  $\Delta s(\zeta)$ , for all  $s \in C_0^2(\mathbf{R}^2)$ . But those functions (restricted to  $\overline{\mathbf{D}}$ ) are obviously dense in  $C(\overline{\mathbf{D}})$ . Thus,

$$(2.10) \quad \Phi(\zeta) = \int_{\mathbf{D}} \Delta^2\Phi(z)\Gamma(z, \zeta) \, dA_z \geq 0.$$

The proof of the Theorem is now complete.

### 3. Some corollaries and open questions

As above, let  $I \subset A^p$  be an invariant subspace, and  $G (=G_I)$ ,  $\Phi (= \Phi_I)$  be defined by (1.1) and (1.2). We can now (cf. (2.10)) rewrite (1.6) in the form

$$(3.1) \quad \|Gf\|_p^p = \|f\|_p^p + \int_{\mathbf{D}} \Phi\Delta(|f|^p) \, dA,$$

where  $f$  is a polynomial. As in [H1] for  $p=2$ , define the space  $\mathcal{A}_0 (= \mathcal{A}_0^{I,p})$  as the closure of the polynomials with respect to the norm

$$(3.2) \quad \|f\|_{\mathcal{A}_0} = \left( \|f\|_{A^p}^p + \int_{\mathbf{D}} \Phi\Delta(|f|^p) \, dA \right)^{1/p},$$

for  $1 \leq p < \infty$ . (That (3.2) is in fact a norm on  $\mathcal{A}_0$  follows at once from (3.1).) For  $p: 0 < p < 1$ , we define  $\mathcal{A}_0$  similarly as the closure of polynomials with respect to the metric

$$(3.3) \quad d(f, g) = \|f - g\|_{A^p} + \int_{\mathbf{D}} \Phi\Delta(|f - g|^p) \, dA.$$



Furthermore, define the space

$$\mathcal{A}(=A^{I,p}) := \left\{ f \in A^p : \int_{\mathbf{D}} \Phi \Delta(|f|^p) dA < \infty \right\}.$$

Then, (3.1) yields the following Corollary (for  $p=2$ , cf. [H1, Cor. 4.2]).

**Corollary 1.** *Multiplication by  $G$  is an isometry of  $\mathcal{A}_0$  into  $A^p$ .*

In view of Thm. 2(iii),

$$(3.4) \quad \int_{\mathbf{D}} \Phi \Delta(|f|^p) dA \leq \frac{1}{4} \int_{\mathbf{D}} (1-|z|^2) \Delta(|f|^p) dA$$

for all polynomials  $f$ . As is well-known, the right-hand side of (3.4) is equivalent to the  $H^p$ -norm in  $H^p/\mathbf{C}$ . (To see this, it suffices to note that  $(1-|z|^2) \sim \log(1/|z|)$  near  $\mathbf{T}$ , replace  $\frac{1}{4}(1-|z|^2)$  by  $\text{const} \cdot \log(1/|z|)$  in the right integral in (3.4), and apply Green's formula.) Thus, the right-hand side of (3.4) is finite for all  $f \in H^p$  (i.e.,  $H^p \subset \mathcal{A}_0$ ), and we have the following result.

**Corollary 2.** *For any invariant subspace  $I \subset A^p$ ,  $G_I$  is a bounded multiplier of  $H^p(\mathbf{D})$  into  $A^p$ . In particular,  $G=G_I$  satisfies in  $\mathbf{D}$  the estimate*

$$(3.5) \quad |G(z)| \leq \text{const}(1-|z|)^{-1/p},$$

i.e.,  $G$  has more severe growth restrictions than an arbitrary  $A^p$ -function  $f$ , which is only known to satisfy  $|f(z)| \leq \text{const}(1-|z|)^{-2/p}$ .

*Remark.* The estimate (3.5), of course, also follows directly from the Assertion in the proof of Thm. 2.

Fix an  $A^p$ -inner function  $G$  and let  $I(G)$  denote the  $A^p$ -closure of the polynomial multiples of  $G$ . Clearly,  $I(G) \subset I$ .

**Corollary 3.**  *$I(G)=G \cdot \mathcal{A}_0$  (i.e.,  $I(G)$  is an (isometric) image of  $\mathcal{A}_0$  in  $A^p$  under multiplication by  $G$ ), and  $G=G_{I(G)}$ , i.e., it is the unique extremal function for  $I(G)$  with respect to (1.1).*

*Proof.* Let  $g \in I(G)$ , i.e., there is a sequence of polynomials  $\{f_n\}$  such that  $Gf_n \xrightarrow{A^p} g$ . Then  $\{f_n\}$  is a Cauchy sequence in  $\mathcal{A}_0$  (cf. (3.1) or (3.2)), and hence  $f_n \xrightarrow{\mathcal{A}_0} f$ . But  $f_n$  also converges to  $g/G$  pointwise in  $\mathbf{D}$ . Hence,  $f=g/G$  and  $\|f\|_{\mathcal{A}_0} = \|Gf\|_{A^p} = \|g\|_{A^p}$ . So,  $I(G) \subset G\mathcal{A}_0$ . Conversely, if  $\{f_n\}$  are polynomials and  $f_n \xrightarrow{\mathcal{A}_0} f$ , then  $\{Gf_n\}$  is a Cauchy sequence in  $A^p$  and  $\{Gf_n\}$  converges pointwise to  $Gf$ .

Hence,  $Gf_n \xrightarrow{A^p} Gf$ , so  $G \cdot \mathcal{A}_0 \subset I(G)$ . To show that  $G$  is extremal, simply note that for any polynomial  $q$  we have, in view of (3.1),  $\|Gq\|_p \geq \|q\|_p$ . Hence,

$$\frac{|(Gq)(0)|}{\|Gq\|_p} \leq |G(0)| \frac{|q(0)|}{\|q\|_p} \leq G(0)$$

( $|q|^p$  is a subharmonic function!). Moreover, since  $\|q\|_p = \|Gq/G\|_p \leq \|Gq\|_p$ , for all polynomials  $q$ ,  $G$  is a *contractive divisor* for  $I(G)$ , and therefore is the *unique* solution of the extremal problem (1.1). Indeed, suppose  $H$  is another solution. Then,

$$1 = \left| \frac{H(0)}{G(0)} \right| \leq \left\| \frac{H}{G} \right\|_p \leq \|H\|_p = 1.$$

Since  $|H/G|^p$  is subharmonic in  $\mathbf{D}$  it is a constant, and hence,  $H=G$ .

One of the most celebrated results in the Hardy space theory is Beurling’s Theorem on invariant subspaces. In the present context it can be stated as follows: *every invariant subspace  $I \subset H^p$  has the form  $I=I(G)$ , where  $G$  is a solution of the extremal problem (1.1) (posed, of course, with respect to the  $H^p$ -metric).* Unfortunately, the direct analogue of Beurling’s Theorem cannot hold in  $A^p$  for the following reason. Every invariant subspace  $I$  of type  $I=I(G)$  has the so-called *codimension 1 property*:  $\dim(I/zI)=1$  (cf. [R]). (Indeed, if  $I \ni F = \lim_n Gf_n$ , where  $f_n$  are polynomials, then  $f_n(0)$  converges to some complex number  $c$  and  $f = \lim_n Gf_n = \lim_{n \rightarrow \infty} G[f_n - f_n(0)] + cG$ , where  $G[f_n - f_n(0)] \in zI$ .) On the other hand, in [BFP] it was shown that for any integer  $n \geq 0$  there exists an invariant subspace  $I \subset A^2$ , such that  $\dim(I/zI)=n$ . Recently, much simpler, constructive examples of such subspaces have been given by Hedenmalm [H2]. Nevertheless, for zero-invariant subspaces there is a good chance that a Beurling-type theorem does hold.

**Corollary 4.** *Let  $I = \{f \in A^p : f(\zeta_j) = 0, j = 1, \dots\}$ , where  $\{\zeta_j\}$  is a zero-set of an  $A^p$ -function and  $G = G_I$  be the corresponding extremal function. Then,  $I = G \cdot \mathcal{A}$ .*

*Proof.* Let  $g \in I$ . It follows from results in [DKSS1], [DKSS2] that  $g = Gh, h \in A^p$ . As before, denote by  $G_n$  the extremal function (1.1) for the “cut-off” subspace  $I_n := \{f \in A^p : f(\zeta_j) = 0, j = 1, \dots, n\}$ . Let  $f_n = g/G_n$ . We know that  $f_n \in A^p, G_n \xrightarrow{A^p} G$ , and hence,  $f_n \rightarrow h$  pointwise in  $\mathbf{D}$ . Moreover, since all  $G_n$ ’s are analytic across  $\partial\mathbf{D}$  ([DKSS1], [DKSS2]), the corresponding functions  $\Phi_n$  defined by (1.3) are real analytic across  $\partial\mathbf{D}$ , and hence (3.1), with  $G_n, \Phi_n$ , holds for all  $f \in A^p$ ! So,

$$(3.6) \quad \|g\|_{A^p}^p = \|G_n f_n\|_{A^p}^p = \|f_n\|_{A^p}^p + \int_{\mathbf{D}} \Phi_n \Delta(|f_n|^p) dA.$$

Now, since  $|G_n|^p - 1 \rightarrow |G|^p - 1$  in  $L^1(\mathbf{D})$ ,  $\Phi_n$  (defined in accordance with (1.3)) tend to  $\Phi$  in  $L^1(\mathbf{D})$ . (In fact, looking over the proof of Thm. 2 in Section 2, it is easy to see that  $\Phi_n \rightarrow \Phi$  uniformly in  $\mathbf{D}$ .) Therefore, we can assume that  $\Phi_n \rightarrow \Phi$  pointwise in  $\mathbf{D}$ . Thus, since  $f_n \rightarrow h$  uniformly on compact subsets in  $\mathbf{D}$ , applying Fatou's lemma to (3.6) we obtain

$$\int_{\mathbf{D}} \Phi \Delta(|h|^p) dA \leq \liminf \int_{\mathbf{D}} \Phi_n \Delta(|f_n|^p) dA \leq \|g\|_p^p,$$

i.e.,  $h \in A$ .

The following question then, is crucial.

**Question.** *Is  $\mathcal{A}_0 = \mathcal{A}$ ?*

If so, the Corollaries 3 and 4 imply the following.

**Conjecture.** *If  $I \subset A^p$  is an invariant subspace defined by zeros, then  $I = I(G)$ , where  $G = G_I$  is the solution of (1.1).*

The technical problem of extending the  $p$ -analogue of (1.5) to all  $f \in A^p$  is of fundamental importance: for  $I$  being a *zero subspace*, this has been done in [DKSS1], [DKSS2]. For arbitrary invariant subspaces, the question is still open. It is not hard to see that (1.5) can easily be violated if we allow  $f$  to be *any* holomorphic function in  $\mathbf{D}$ . Indeed, let  $I$  be the closed subspace in, say,  $A^2$ , generated by the polynomial multiples of the inner function  $\varphi = \exp((z+1)/(z-1))$ . Then, it is easy to show (cf. [Sh]) that  $I$  is a *proper* subspace, and, moreover, all  $f \in I$  decay exponentially along the radius. Thus, in particular, this holds for  $G = G_I$ , the extremal function in (1.1). Hence,  $G^{-1} \notin A^2$  since it is well-known that the  $A^2$ -functions satisfy the (trivial) growth estimate  $|f(z)| \leq \|f\|_2 (1 - |z|)^{-1}$ . On the other hand,  $\|GG^{-1}\|_2 = \|1\|_2 = \pi < \|G^{-1}\|_2 = \infty$ . Nevertheless, the following Corollary shows that a counterexample to (1.5), if it exists, may be quite difficult to construct. Let  $I \subset A^p$ ,  $G = G_I$ , be as above.

**Corollary 5.** *(1.5) holds for all  $f \in N^+$ .*

The Corollary follows at once from (3.1), the monotone convergence theorem, and the following simple (but important) Proposition due to V. I. Smirnov [S]. (For the definition and properties of the Smirnov class  $N^+$ , see, e.g., [D].)

**Proposition.** *For every  $f \in N^+$ , there exists a sequence of  $H^\infty$ -functions  $\{f_n\}$  such that  $f_n \rightarrow f$  pointwise in  $\mathbf{D}$ , while  $|f_n| \uparrow |f|$ . Conversely, if  $f$  is a pointwise limit of bounded analytic functions with increasing moduli, then  $f \in N^+$ .*

For the reader's convenience we include a proof.

*Proof of the Proposition.* Since  $f \in N^+$ , it can be written as

$$f(z) = h(z) \exp\left(\frac{1}{2\pi} \int_0^{2\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} \log^+ |f(e^{i\theta})| d\theta\right),$$

where  $|h| \leq 1$  in  $\mathbf{D}$ . Set

$$f_n(z) = h(z) \exp\left(\frac{1}{2\pi} \int_0^{2\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} [\log^+ |f(e^{i\theta})|]^n d\theta\right),$$

where

$$[g]^n := \begin{cases} g, & g \leq n \\ n, & g > n. \end{cases}$$

is the truncated function, and the assertion follows.

To prove the converse, first note that if  $f = \lim f_n$ ,  $f_n \in H^\infty$ , where convergence is pointwise and  $\{|f_n(z)|\}$  increases with  $n$  for each  $z$ , then  $f = f_n / (f_n/f)$  is a quotient of two bounded functions, and hence belongs to the Nevanlinna class  $N$ . Let  $f = S_1 F / S_2$  be a canonical factorization of  $f$ , where  $S_1, S_2$  are inner functions (in the  $H^p$ -sense, of course) and  $F$  is an outer function. Since  $|f| = |F|$  almost everywhere on  $\mathbf{T}$  and  $|f(e^{i\theta})| = \lim_{r \rightarrow 1} |f(re^{i\theta})|$  for almost all  $\theta$  while  $|f(re^{i\theta})| \geq |f_n(re^{i\theta})|$  for all  $n$ , it follows that  $|f| \geq |f_n|$  almost everywhere on  $\mathbf{T}$  for all  $n$ . Let  $F_n$  denote the outer part of  $f_n$ . Then,  $|f| = |F| \geq |F_n|$  almost everywhere on  $\mathbf{T}$  for all  $n$ . Now for a fixed  $z = re^{i\theta}$  in  $\mathbf{D}$  we have ( $f_n \in H^\infty!$ ):

$$\begin{aligned} \log |f(re^{i\theta})| &= \lim_{n \rightarrow \infty} \log |f_n(re^{i\theta})| \leq \lim_{n \rightarrow \infty} \log |F_n(re^{i\theta})| \\ &= \lim_{n \rightarrow \infty} \frac{1}{2\pi} \int_0^{2\pi} \frac{1-r^2}{1+r^2-2r \cos(\theta-\varphi)} \log |F_n(e^{i\varphi})| d\varphi \\ &= \frac{1}{2\pi} \int_0^{2\pi} \frac{1-r^2}{1+r^2-2r \cos(\theta-\varphi)} \log |F(e^{i\varphi})| d\varphi = \log |F(re^{i\theta})|. \end{aligned}$$

Hence,  $|f| \leq |F|$  in  $\mathbf{D}$  and so  $|S_1/S_2| \leq 1$ . Thus,  $S_1/S_2 \in H^\infty$ , and therefore  $S_2 \equiv \text{const}$ .

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