

LOCALIZATION THEOREM IN K-THEORY FOR SINGULAR VARIETIES ⁽¹⁾

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0. Introduction

0.1. The preceding paper [1] constructs a map $L.: K_0^{eq}(X) \rightarrow K_0^{abs}(|X|) \otimes \Lambda$ for any equivariant quasi-projective X with projective fixed point scheme $|X|$. The construction of $L.$ involves an imbedding in a nonsingular variety; it is proved that $L.$ is independent of the imbedding and is a covariant natural transformation. A fixed point formula results by mapping X to a point. Here we give a direct proof of the following stronger result.

LOCALIZATION THEOREM. *Let $i: |X| \rightarrow X$ be the inclusion of the fixed point subvariety. Then the induced map i_* from $K_0^{eq}(|X|) \otimes \Lambda$ to $K_0^{eq}(X) \otimes \Lambda$ is an isomorphism.*

In § 1 we recall the construction of $L.$ for a fixed imbedding of X in a nonsingular variety, and we show that $L. \circ i_*$ is the identity endomorphism of $K_0^{abs}(|X|) \otimes \Lambda$. We prove in § 2 that i_* is surjective. Thus since $L.$ and i_* are inverse isomorphisms, $L.$ is independent of the imbedding. Since i_* is clearly covariant, the covariance of $L.$ follows. One recovers the Lefschetz–Riemann–Roch formula of [1], since the other properties of $L.$ listed in that theorem are consequences of the corresponding properties of i_* .

This localization theorem is an analogue of localization theorems in topological K -theory (cf. [1], 0.8), and Nielsen's result [4] in algebraic K -theory for nonsingular varieties.

0.2. As in [1], "equivariant varieties" are quasi-projective schemes with an endomorphism of finite order prime to the characteristic of the ground field k , such that the fixed point

⁽¹⁾ Appendix to Lefschetz–Riemann–Roch for singular varieties by P. Baum, W. Fulton and G. Quart.

scheme is projective; Λ is the localization of $Z[k]$ at the multiplicative set generated by $\{([1]-[\lambda]) \mid \lambda \text{ is a root of unity and } \lambda \neq 1\}$. Other notations are as in [1].

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1. Injectivity of i_*

Here we make explicit without relative K -groups the map L of [1] for a fixed imbedding $X \subset M$.

Let $\alpha: X \rightarrow M$ be a fixed closed embedding where M is a nonsingular variety. Let \mathcal{F} be an equivariant coherent sheaf on X and suppose \mathcal{L}' and \mathcal{L}'' are two complexes of equivariant locally free sheaves on M that resolve $\alpha_* \mathcal{F}$. There is a third resolution \mathcal{L}''' of $\alpha_* \mathcal{F}$ by locally free equivariant sheaves on M which maps surjectively to \mathcal{L}' and \mathcal{L}'' and induces the identity map on the zeroth degree equivariant homology sheaves; this follows from the fact that every coherent equivariant sheaf is the image of a locally free sheaf (cf. [3] p. 261) and the dominating sequence argument of Borel-Serre ([2], p. 107). The kernel of each of the surjections is an acyclic complex of equivariant locally free sheaves on M . Thus, for each q , $\mathcal{H}_q(\mathcal{L}'|_{|M|}) = \mathcal{H}_q(\mathcal{L}'''|_{|M|}) = \mathcal{H}_q(\mathcal{L}''|_{|M|})$ where $\mathcal{H}_q(\mathcal{L}^{(\cdot)}|_{|M|})$ is the q th equivariant homology sheaf of $\mathcal{L}^{(\cdot)}$ restricted to $|M|$. Whence, $\mathcal{H}_q(\mathcal{L}|_{|M|})$ is an equivariant sheaf on $|M|$ with support on $|X|$ determined up to canonical isomorphism. Also, if $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$ is an exact sequence of equivariant coherent sheaves on X , there is an exact sequence of complexes of equivariant locally free sheaves $0 \rightarrow \xi' \rightarrow \xi'' \rightarrow \xi''' \rightarrow 0$ on M with each ξ exact in degree > 0 and the map on the zeroth degree homology sheaves is $0 \rightarrow \alpha_* \mathcal{F}_1 \rightarrow \alpha_* \mathcal{F}_2 \rightarrow \alpha_* \mathcal{F}_3 \rightarrow 0$. After restricting $0 \rightarrow \xi' \rightarrow \xi'' \rightarrow \xi''' \rightarrow 0$ to $|M|$, we obtain the usual long exact sequence in the \mathcal{H}_q 's:

$$\dots \rightarrow \mathcal{H}_q(\xi'|_{|M|}) \rightarrow \mathcal{H}_q(\xi''|_{|M|}) \rightarrow \mathcal{H}_q(\xi'''|_{|M|}) \rightarrow \mathcal{H}_{q-1}(\xi'|_{|M|}) \rightarrow \dots$$

We define $I^M: K_0^{\text{eq}}(X) \rightarrow K_0^{\text{eq}}(|X|)$ by the formula $I^M([\mathcal{F}]) = \sum (-1)^q [\mathcal{H}_q(\mathcal{L}|_M)]$. Here we have identified the Grothendieck group of equivariant coherent sheaves on $|M|$ with support on $|X|$ with $K_0^{\text{eq}}(|X|)$.

Let $\lambda_M \in K_{\text{eq}}^0(|M|) \otimes \Lambda$ be the alternating sum of exterior powers of the conormal bundle of $|M|$ in M ; λ_M is a unit in $K_{\text{eq}}^0(|M|) \otimes \Lambda$ (cf. [1], 0.5).

We define as in [1], the Lefschetz-Riemann-Roch map $L: K_0^{\text{eq}}(X) \rightarrow K_0^{\text{eq}}(|X|) \otimes \Lambda$ by $L := |\alpha|^*(\lambda_M^{-1}) \cap I^M$.

The fact that i_* is injective after localization at S follows immediately from the fact that $|\alpha|^*(\lambda_M)$ is invertible in $K_{\text{eq}}^0(|X|) \otimes \Lambda$ and the following lemma.

LEMMA 1. $I^M \circ i_*$ is equal to multiplication by $|\alpha|^*(\lambda_M)$ as an endomorphism of $K_0^{eq}(|X|)$.

Proof. Let \mathcal{F} be an equivariant coherent sheaf on $|X|$ and a resolution of $i_*\mathcal{F}$ by locally free equivariant sheaves on M ; \mathcal{L} is also a resolution of $|\alpha|_*\mathcal{F}$ on M . Since \mathcal{F} is a sheaf on $|X|$, then

$$\Lambda^q(N) \otimes_{\mathcal{O}_{|M|}} \mathcal{F} \approx (\Lambda^q(N) \otimes_{\mathcal{O}_{|M|}} \mathcal{O}_{|X|}) \otimes_{\mathcal{O}_{|X|}} \mathcal{F} \approx |\alpha|^*(\Lambda^q(N)) \otimes_{\mathcal{O}_{|M|}} \mathcal{F},$$

where $\Lambda^q(N)$ is the q th exterior power of the conormal bundle of $|M|$ in M equipped with its canonical endomorphism. Thus it suffices to show if \mathcal{F} is an equivariant coherent sheaf on $|M|$, then $\mathcal{H}_q(\mathcal{L}, |M|)$ is isomorphic to $\Lambda^q(N) \otimes_{\mathcal{O}_{|M|}} \mathcal{F}$. At the level of coherent sheaves on $|M|$, we have a canonical isomorphism from $\mathcal{H}_q(\mathcal{L}, |M|)$ to $\Lambda^q(N) \otimes_{\mathcal{O}_{|M|}} \mathcal{F}$ ([2], Prop. 12). We must see that this isomorphism is compatible with the endomorphisms of these coherent sheaves. Since this isomorphism is compatible when \mathcal{F} is a locally free equivariant sheaf on $|M|$ ([4], p. 91) and every coherent equivariant sheaf on $|M|$ has a resolution by locally free equivariant sheaves on $|M|$, it suffices to apply a dimension shifting argument: if $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$ is an exact sequence of coherent equivariant sheaves on $|M|$, then the isomorphism of [2] is compatible for \mathcal{F}_3 if it is compatible for \mathcal{F}_1 and \mathcal{F}_2 . After writing out the long exact sequence in the \mathcal{H}_q 's obtained from the short exact sequence of sheaves on $|M|$ and applying the compatibility hypothesis to \mathcal{F}_1 and \mathcal{F}_2 , we obtain the compatibility for \mathcal{F}_3 .

We record the following facts concerning Poincaré duality for later use:

Remark 1. Suppose M is nonsingular. The duality map from $K_{eq}^0(M)$ to $K_0^{eq}(M)$ which takes the class of a locally free sheaf to its class as a coherent sheaf is an isomorphism; if \mathcal{F} is a coherent sheaf and \mathcal{L} is a resolution by locally free equivariant sheaves on M , then $[\mathcal{F}] \mapsto \sum (-1)^i [\mathcal{L}_i]$ is the inverse map. If $y \in K_0^{eq}(M)$, we denote its "dual" element in $K_{eq}^0(M)$ by y^* . In section 3 we give an example of a singular variety for which the duality map is S -torsion.

Remark 2. Suppose M is nonsingular and imbed M in itself by the identity. The map I^M from $K_0^{eq}(M)$ to $K_0^{eq}(|M|)$ is compatible with the restriction map i^* from $K_{eq}^0(M)$ to $K_{eq}^0(|M|)$. Whence, the above lemma asserts if $y \in K_0^{eq}(M)$, we have

$$i^*((i_*(y))^*) = \lambda_M \cap y^* \tag{1}$$

in $K_{eq}^0(|M|)$. In particular, if \mathcal{L} is a resolution on M of the structure sheaf of $|M|$ with the identity endomorphism, then $i^*(\sum (-1)^i [\mathcal{L}_i]) = \lambda_M$, a unit in $K_{eq}^0(|M|) \otimes \Lambda$.

Remark 3. Since λ_M is invertible after localization at S , then the formula (1) asserts that the composition

$$K_0^{\text{eq}}(|M|) \xrightarrow{i_*} K_0^{\text{eq}}(M) \approx K_{\text{eq}}^0(M) \xrightarrow{i^*} K_{\text{eq}}^0|M|$$

is an isomorphism after localization at S (the middle map is the duality isomorphism).

§ 2. Surjectivity of i_*

We now reduce the surjectivity of i_* after localization to a computation on projective space.

If p is a diagonal linear automorphism on projective n -space \mathbf{P} , then $K_{\text{eq}}^0(\mathbf{P})$ and $K_{\text{eq}}^0(|\mathbf{P}|)$ are free $Z[k]$ -modules of rank $(n+1)$ ([1], 2.3). Since the composition $i^* \circ i_*$ is an isomorphism of $K_{\text{eq}}^0(|\mathbf{P}|)$ after localization by Remark 3 of § 1, we conclude that i^* from $K_{\text{eq}}^0(\mathbf{P})$ to $K_{\text{eq}}^0(|\mathbf{P}|)$ is an isomorphism after localization at S . If \mathcal{L} is a resolution on \mathbf{P} of the structure sheaf of $|\mathbf{P}|$ by locally free equivariant sheaves on \mathbf{P} , then $i^*(\sum (-1)^i[\mathcal{L}_i])$ is a unit in $K^0(|\mathbf{P}|) \otimes \Lambda$ (Remark 2 of § 1) and so $\sum (-1)^i[\mathcal{L}_i]$ is a unit in $K_{\text{eq}}^0(\mathbf{P}^n) \otimes \Lambda$.

To prove for an arbitrary equivariant variety X that i_* is surjective after localization, we fix an embedding $\alpha: X \rightarrow \mathbf{P}$ where p is a diagonal linear automorphism of \mathbf{P} (cf. [1]). Let $\varphi = \alpha^*(\sum (-1)^i[\mathcal{L}_i])$ in $K_{\text{eq}}^0(X)$ where \mathcal{L} is a resolution of the structure sheaf of $|\mathbf{P}|$ by locally free equivariant sheaves on \mathbf{P} .

LEMMA 2. φ satisfies properties A and B:

- (A) φ is a unit in $K_{\text{eq}}^0(X) \otimes \Lambda$
- (B) if $\Psi \in K_0^{\text{eq}}(X)$, then $\varphi \wedge \Psi$ is in the image of i_* .

Proof. Since $\alpha^*: K_{\text{eq}}^0(\mathbf{P}) \rightarrow K_{\text{eq}}^0(X)$ is a ring homomorphism and $(\sum (-1)^i[\mathcal{L}_i])$ is a unit in $K_{\text{eq}}^0(\mathbf{P})$, then $\alpha^*(\sum (-1)^i[\mathcal{L}_i]) = \varphi$ is a unit in $K_{\text{eq}}^0(X)$. If Ψ is a coherent equivariant sheaf on X , then

$$\varphi \wedge \Psi = \sum (-1)^q (\alpha^*(\mathcal{L}_q) \otimes \Psi) = \sum (-1)^q \mathcal{H}_q(\alpha^*(\mathcal{L}_\bullet) \otimes \Psi),$$

where $\mathcal{H}_q(\alpha^*(\mathcal{L}_\bullet) \otimes \Psi)$ is the q th equivariant homology sheaf on X of the complex $\alpha^*(\mathcal{L}_\bullet) \otimes \Psi$. Since \mathcal{L} is exact on \mathbf{P} off $|\mathbf{P}|$ and the support of the tensor product of two complexes is contained in the intersection of their supports, then $\mathcal{H}_q(\alpha^*(\mathcal{L}_\bullet) \otimes \Psi)$ has support on $|X|$. Since i_* maps $K_0^{\text{eq}}(|X|)$ surjectively (in fact, isomorphically) to the Grothendieck group of equivariant coherent sheaves on X with support on $|X|$, then $\varphi \wedge \Psi$ is in the image of i_* .

Let φ in $K_{\text{eq}}^0(X)$ satisfy (A) and (B) and let $\Psi \in K_0^{\text{eq}}(X)$. There is γ in $K_0^{\text{eq}}(|X|)$ such that

$\varphi \cap \Psi = i_*(\gamma)$. After localizing this relation and all the K -groups in sight, $\Psi = \varphi^{-1} \cap i_*(\gamma) = i_*(i^*(\varphi^{-1}) \cap \gamma)$ by the projection formula. Whence, i_* is surjective after localization at S , which completes the proof.

§ 3. Computations

Remark 1. If all the local contributions on the fixed points of a singular variety are zero, i.e., $L(O_X) = 0$ in $K_0(|X|)_S$, then the module property of L implies that $L(\mathcal{E}) = 0$ for any locally free sheaf \mathcal{E} on X . Since L is an isomorphism after localization, then the duality map $K_{\text{eq}}^0(X) \rightarrow K_{\text{eq}}^{\text{ev}}(X)$ is S -torsion. For example, the curve $x^4 + y^4 + x^2z^2 = 0$ with the action $[x, y, z] \rightarrow [-x, iy, z]$ has this property; here the characteristic of k is not 2 and i is the square root of -1 .

Remark 2. If X is nonsingular, then L lifts to the Grothendieck group of equivariant locally free sheaves and $L: K_{\text{eq}}^0(X) \rightarrow K_{\text{eq}}^0(|X|)$ is given by $L(\Psi) = \lambda_X^{-1} \cap i^*(\Psi)$. To see this from the localization theorem alone, we need only remark that $i^*(\sum (-1)^i [\mathcal{L}_i])$ equals λ_X where \mathcal{L} is a resolution of the structure sheaf of $|X|$ by locally free equivariant sheaves on X (see Remark 2 of § 1).

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