

## CURVATURES OF THE PRODUCT OF TWO 3-SPHERES WITH DEFORMED METRICS

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### 1. Introduction

Let  $(S^3, g)$  be the 3-sphere with the canonical metric of constant curvature 1 and let  $(S^3 \times S^3, \tilde{g})$  be the Riemannian product of two  $(S^3, g)$ , where  $\tilde{g}$  denotes the product metric of two  $g$ . In §3 we consider Riemannian metrics which are left-invariant when we consider  $S^3 \times S^3$  as a Lie group  $SU(2) \times SU(2)$ . In §4 we study special type of left invariant metrics. Let  $\{\eta^1, \eta^2, \eta^3\}$  be a globally defined orthonormal coframe field on  $S^3$  and  $\{\eta^{\bar{1}}, \eta^{\bar{2}}, \eta^{\bar{3}}\}$  be one on the second  $S^3$ . Then the product metric  $\tilde{g}$  on  $S^3 \times S^3$  is expressed as  $\tilde{g} = \sum_{u=1}^3 \eta^u \otimes \eta^u + \sum_{v=1}^3 \eta^{\bar{v}} \otimes \eta^{\bar{v}}$ . We consider the following metric

$$(1.1) \quad \hat{g}(t) = \tilde{g} + t \sum_{u,v=1}^3 r_{u\bar{v}} (\eta^u \otimes \eta^{\bar{v}} + \eta^{\bar{v}} \otimes \eta^u)$$

on  $S^3 \times S^3$ , where  $t$  is a real parameter  $(-t_0 < t < t_0)$  and  $r = (r_{u\bar{v}}) = (r_{uv})$  is a constant real  $3 \times 3$  matrix. If  $r$  is symmetric, then we can assume that  $r$  is diagonal  $(r_u \delta_{uv})$  after some orthogonal change of frames if necessary.

The deformation given by (1.1) is natural. The purpose of this paper is to report that the phenomena of sectional curvatures for  $t > 0$  and  $t < 0$  are completely different in the most simplest case  $r = (\delta_{uv})$ .

**Theorem A.** *Suppose  $r = (-\delta_{uv})$  in (1.1). Then there is a positive number  $t_*$  such that  $\{\hat{g}(t), 0 \leq t < t_*\}$  is a one parameter family of left invariant metrics on  $S^3 \times S^3$  with non-negative sectional curvature. Here, the sections  $\{\tilde{X}, \tilde{Y}\}$  with zero sectional curvature are of the form  $\tilde{X} = (X, 0)$  and  $\tilde{Y} = (0, X)$  for  $t \in (0, t_*)$ .*

Contrary to Theorem A, we have the following:

**Theorem B.** *Suppose  $r = (\lambda_u \delta_{uv})$  with  $1 = \lambda_1 \geq \lambda_2 \geq \lambda_3 > 0$ . Then there is a positive number  $t'_*$  such that  $\{\hat{g}(t), 0 \leq t < t'_*\}$  is a one parameter family of left invariant metrics on  $S^3 \times S^3$  with the following properties.*

(i) *There are planes of the form  $\{\tilde{X}, \tilde{Y}\}$  with  $\tilde{X} = (X, 0)$  and  $\tilde{Y} = (0, \bar{Y})$  with*

zero sectional curvature with respect to each  $\hat{g}(t)$ . If  $\lambda_1 > \lambda_2 > \lambda_3 > 0$ , then the number of such planes is three (at each point).

- (ii) For any small positive number  $t$  there exist a plane  $\Pi$  and some positive number  $t_2 < t$  such that the sectional curvature  $\hat{K}(\Pi)$  is negative with respect to  $\hat{g}(t_2)$ .

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### 2. An orthonormal frame field on $(S^3, g)$

Let  $(S^3, g)$  be the 3-sphere with the canonical metric of constant curvature 1. We have an orthonormal frame field  $\{\xi_1, \xi_2, \xi_3\}$  on  $S^3$  satisfying  $[\xi_a, \xi_b] = 2\xi_c$  for  $\varepsilon(a, b, c) = 1$ , where  $\varepsilon(a, b, c)$  denotes the sign of the permutation  $(a, b, c) \rightarrow (1, 2, 3)$  (and  $\varepsilon(a, b, c) = 0$  if the set  $\{a, b, c\}$  is different from  $\{1, 2, 3\}$ ). We denote the dual of  $\{\xi_1, \xi_2, \xi_3\}$  by  $\{\eta^1, \eta^2, \eta^3\}$ . We define  $\phi^a$  by  $\phi^a = -\nabla \xi_a$  for  $a = 1, 2, 3$ , where  $\nabla$  denotes the Riemannian connection with respect to  $g$ . Then we have

$$\begin{aligned}
 (2.1) \quad & \phi^a \phi^a X = -X + \eta^a(X) \xi_a, \\
 (2.2) \quad & g(\phi^a X, \phi^a Y) = g(X, Y) - \eta^a(X) \eta^a(Y), \\
 (2.3) \quad & d\eta^a(X, Y) = 2g(X, \phi^a Y), \\
 (2.4) \quad & (\nabla_X \phi^a)(Y) = g(X, Y) \xi_a - \eta^a(Y) X
 \end{aligned}$$

for vector fields  $X$  and  $Y$  on  $S^3$  and  $a = 1, 2, 3$ . Furthermore,  $\xi_a = \phi^b \xi_c = -\phi^c \xi_b$  and

$$(2.5) \quad \phi^a = \phi^b \phi^c - \xi_b \otimes \eta^c = -\phi^c \phi^b + \xi_c \otimes \eta^b$$

hold for  $\varepsilon(a, b, c) = 1$ . For each  $a$ ,  $\{\eta^a, g\}$  is called a Sasakian structure on  $(S^3, g)$  and  $\{\eta^1, \eta^2, \eta^3, g\}$  is called a Sasakian 3-structure (cf. Blair [1], Tanno [3], etc.).

Let  $(\phi^a_u)$  be the components of  $\phi^a$  with respect to the frame field  $\{\xi_1, \xi_2, \xi_3\}$ . Then we have  $\phi^a_u = -\varepsilon(a, u, v)$ . Therefore, for example, we obtain

$$(2.6) \quad \phi^a_{uv} X^u Y^v = -(X \times Y)^a,$$

where  $X \times Y$  denotes the vector product in  $T_x S^3 \simeq E^3$  at each point  $x \in S^3$ . Furthermore, one may use  $\phi^a_{uv} = -\phi^u_{av}$ , etc. in the calculations, if necessary; for example, we have

$$(2.7) \quad A_u B_v \phi^{ua}_x \phi^{vx}_c X_a Y^c = -(A \times X, B \times Y),$$

where  $\langle \ , \ \rangle$  denotes the inner product defined by  $g$ . Here we recall the following

relation:

$$\langle A \times B, C \times D \rangle = \langle A, C \rangle \langle B, D \rangle - \langle A, D \rangle \langle B, C \rangle,$$

which will be used in §4.

### 3. Riemannian metrics on $S^3 \times S^3$

We fix the range of indices as follows:

$$1 \leq i, j, k, l, x, y \leq 6, \quad 1 \leq a, b, c, u, v \leq 3,$$

and we denote  $\bar{a} = a + 3$  generally (i.e., if  $\bar{a}$  is used in  $S^3$  then  $\bar{a}$  means simply  $a$ ; while if  $\bar{a}$  is used in  $S^3 \times S^3$  then  $\bar{a}$  means  $a + 3$ ).

We have a globally defined orthonormal frame field  $\{\xi_1, \xi_2, \xi_3, \xi_{\bar{1}}, \xi_{\bar{2}}, \xi_{\bar{3}}\}$  and its dual  $\{\eta^1, \eta^2, \eta^3, \eta^{\bar{1}}, \eta^{\bar{2}}, \eta^{\bar{3}}\}$  on the Riemannian product  $(S^3 \times S^3, \tilde{g})$ . Here  $\xi_a$  ( $\xi_{\bar{b}}$ , resp.) is identified with  $(\xi_a, 0)$  ( $(0, \xi_{\bar{b}})$ , resp.). The Riemannian connection with respect to  $\tilde{g}$  is denoted by  $\tilde{\nabla}$ . Then we have  $\tilde{\nabla} \xi_a = (\nabla \xi_a, 0)$  and  $\tilde{\nabla} \xi_{\bar{b}} = (0, \nabla \xi_{\bar{b}})$ , and hence we have  $\phi^a = -\tilde{\nabla} \xi_a$  and  $\phi^{\bar{a}} = -\tilde{\nabla} \xi_{\bar{a}}$  for  $a = 1, 2, 3$ . By  $(\phi^{ij}_k)$  we denote the components of  $\phi^i$  with respect to  $\{\xi_a, \xi_{\bar{a}}\}$ . One may notice that if one component  $\phi^{ij}_k$  has mixed indices  $i \leq 3$  and  $j \geq 4$  for example, then it vanishes.

Now we define Riemannian metrics  $\hat{g}(t)$  on  $S^3 \times S^3$  by

$$(3.1) \quad \hat{g}_{ij} = \tilde{g}_{ij} + t h_{ij},$$

where (and in many places below) we denote  $\hat{g}(t)$  simply by  $\hat{g}$ , and

$$(3.2) \quad h_{ij} = s_u \eta_i^u \eta_j^u + r_{u\bar{v}} (\eta_i^u \eta_j^{\bar{v}} + \eta_j^u \eta_i^{\bar{v}}) + \bar{s}_{\bar{v}} \eta_i^{\bar{v}} \eta_j^{\bar{v}}, \quad r_{\bar{u}v} = r_{v\bar{u}},$$

where  $r = (r_{u\bar{v}})$  is a constant real  $3 \times 3$  matrix; and  $s = (s_u)$ ,  $\bar{s} = (\bar{s}_{\bar{v}})$  are constant 3-vectors. Here  $t$  is a sufficiently small real number so that  $\hat{g} = (\hat{g}_{ij})$  is a Riemannian metric.

In the tensor calculus components of tensor fields are ones with respect to the natural frame of a local coordinate system. Otherwise, components are ones with respect to  $\{\xi_a, \xi_{\bar{a}}\}$ . This will be understood in the context.

Notice that  $(h_{ij})$  given above is a general form of  $(h_{ij})$  with constant coefficients. Indeed, let  $h_{ij} = \beta_{kl} \eta_i^k \eta_j^l$ . Then the first block  $(\beta_{ab})$  of  $(\beta_{ab} \eta_i^a \eta_j^b)$  is diagonalized to  $(s_u \delta_{uv})$  so that  $\beta_{ab} \eta_i^a \eta_j^b = s_u \eta_i^u \eta_j^u$  by some orthogonal transformation  $\{\xi_a\} \rightarrow \{\xi'_a\}$ . Similarly we have  $(\bar{s}_{\bar{v}})$  so that  $\beta_{\bar{a}\bar{b}} \eta_i^{\bar{a}} \eta_j^{\bar{b}} = \bar{s}_{\bar{v}} \eta_i^{\bar{v}} \eta_j^{\bar{v}}$ . So we have (3.2). Moreover,  $\hat{g}$  is a left invariant metric when we consider  $S^3 \times S^3$  as a Lie group  $SU(2) \times SU(2)$ .

The inverse matrix of  $\hat{g} = (\hat{g}_{ij})$  is denoted by  $\hat{g}^{-1} = (\hat{g}^{is})$ . Then, the difference  $W^i_{jk} = \hat{\Gamma}^i_{jk} - \tilde{\Gamma}^i_{jk}$  of the coefficients of the Riemannian connections with respect to  $\hat{g}$  and  $\tilde{g}$ , and the Riemannian curvature tensor  $\hat{R}^i_{jkl}$  are given by

$$(3.3) \quad W^i_{jk} = (t/2) \hat{g}^{is} (\tilde{\nabla}_j h_{sk} + \tilde{\nabla}_k h_{sj} - \tilde{\nabla}_s h_{jk}),$$

$$(3.4) \quad \hat{R}_{jkl}^i = \tilde{R}_{jkl}^i + \tilde{\nabla}_k W_{lj}^i - \tilde{\nabla}_l W_{kj}^i + W_{lj}^s W_{ks}^i - W_{kj}^s W_{ls}^i.$$

We denote components of a vector field  $\tilde{X}$  on  $S^3 \times S^3$  as

$$\tilde{X} = (\tilde{X}^i) = (X, \bar{X}) = (X^a, \bar{X}^a) = (X^1, X^2, X^3; \bar{X}^1, \bar{X}^2, \bar{X}^3),$$

where  $X$  ( $\bar{X}$ , resp.) is tangent to the first (second, resp.)  $S^3$ .

**Lemma 3.1.**  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$  is given by

$$(3.5) \quad \begin{aligned} \hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) &= \hat{g}_{hi} \tilde{R}_{jkl}^i \tilde{X}^h \tilde{X}^k \tilde{Y}^j \tilde{Y}^l \\ &+ [\tilde{\nabla}_k(\hat{g}_{hi} W_{lj}^i) - \tilde{\nabla}_l(\hat{g}_{hi} W_{kj}^i)] \tilde{X}^h \tilde{X}^k \tilde{Y}^j \tilde{Y}^l \\ &- \hat{g}^{xy} [(\hat{g}_{xp} W_{kh}^p)(\hat{g}_{yq} W_{lj}^q) - (\hat{g}_{xp} W_{lh}^p)(\hat{g}_{yq} W_{kj}^q)] \tilde{X}^h \tilde{X}^k \tilde{Y}^j \tilde{Y}^l. \end{aligned}$$

*Proof.* First we have

$$\hat{g}_{hi} [\tilde{\nabla}_k W_{lj}^i - \tilde{\nabla}_l W_{kj}^i] = \tilde{\nabla}_k(\hat{g}_{hi} W_{lj}^i) - \tilde{\nabla}_l(\hat{g}_{hi} W_{kj}^i) - t \tilde{\nabla}_k h_{hi} \cdot W_{lj}^i + t \tilde{\nabla}_l h_{hi} \cdot W_{kj}^i.$$

Next, using (3.3) we obtain  $t \tilde{\nabla}_k h_{hi} = \hat{g}_{hs} W_{ki}^s + \hat{g}_{is} W_{kh}^s$  and

$$-t \tilde{\nabla}_k h_{hi} \cdot W_{lj}^i + \hat{g}_{hi} W_{ks}^i W_{lj}^s = -\hat{g}^{xy} (\hat{g}_{xp} W_{kh}^p)(\hat{g}_{yq} W_{lj}^q).$$

Then applying these into (3.4), proof is completed. □

**Lemma 3.2.**  $\hat{g}_{is} W_{jk}^s$  is given by

$$(3.6) \quad \begin{aligned} \hat{g}_{is} W_{jk}^s &= -t[s_u(\phi^u_{ij} \eta_k^u + \phi^u_{ik} \eta_j^u) + \bar{s}_v(\phi^v_{ij} \eta_k^v + \phi^v_{ik} \eta_j^v)] \\ &+ r_{uv}(\phi^u_{ij} \eta_k^v + \phi^u_{ik} \eta_j^v + \phi^v_{ij} \eta_k^u + \phi^v_{ik} \eta_j^u). \end{aligned}$$

*Proof.* One may use relations;  $\tilde{\nabla}_i \eta_j^u = \phi^u_{ij}$ , etc. □

We continue some calculations to obtain the sectional curvature for a 2-plane determined by  $\tilde{X}$  and  $\tilde{Y}$ . Here we assume that  $\{\tilde{X}, \tilde{Y}\}$  is orthonormal with respect to  $\tilde{g}$ , i.e.,

$$\langle X, X \rangle + \langle \bar{X}, \bar{X} \rangle = 1, \quad \langle Y, Y \rangle + \langle \bar{Y}, \bar{Y} \rangle = 1, \quad \langle X, Y \rangle + \langle \bar{X}, \bar{Y} \rangle = 0.$$

**Lemma 3.3.** Let  $\{\tilde{X}, \tilde{Y}\}$  be an orthonormal pair with respect to  $\tilde{g}$  at a point of  $S^3 \times S^3$ . Then we can assume  $\langle X, Y \rangle = \langle \bar{X}, \bar{Y} \rangle = 0$ .

**Proof.** Assume  $\langle X, Y \rangle \neq 0$  and consider  $\tilde{Z} = \cos \theta \tilde{X} + \sin \theta \tilde{Y}$  and  $\tilde{W} = -\sin \theta \tilde{X} + \cos \theta \tilde{Y}$ . Then  $\langle Z, W \rangle$  for  $\tilde{Z} = (Z, \tilde{Z})$  and  $\tilde{W} = (W, \tilde{W})$  is given by

$$\langle Z, W \rangle = \sin \theta \cos \theta (\|Y\|^2 - \|X\|^2) + (\cos^2 \theta - \sin^2 \theta) \langle X, Y \rangle.$$

If  $\|Y\| = \|X\|$ , then we may put  $\theta = \pi/4$  to get  $\langle Z, W \rangle = 0$ . Then also  $\langle \tilde{Z}, \tilde{W} \rangle = 0$  follows. If  $\|Y\| \neq \|X\|$ , then we can find  $\theta$  such that  $\langle Z, W \rangle = 0$ . We have also  $\langle \tilde{Z}, \tilde{W} \rangle = 0$ .  $\square$

From now on we assume  $\langle X, Y \rangle = \langle \bar{X}, \bar{Y} \rangle = 0$  for our orthonormal pair  $\{\tilde{X}, \tilde{Y}\}$ . Since  $\tilde{g}$  is the product of Riemannian metrics of constant curvature 1, we obtain

$$(3.7) \quad \hat{g}_{hi} \tilde{R}_{jkl}^i \tilde{X}^h \tilde{X}^k \tilde{Y}^j \tilde{Y}^l = \|X \times Y\|^2 + \|\bar{X} \times \bar{Y}\|^2 + t[r(X, \bar{X}) + \|Y\|^2 s(X, X) + \|\bar{Y}\|^2 \bar{s}(\bar{X}, \bar{X})],$$

where  $s$  and  $\bar{s}$  are considered as matrices  $s = (s_u \delta_{uv})$  and  $\bar{s} = (\bar{s}_{\bar{u}} \delta_{\bar{u}\bar{v}})$ . By (2.4), (2.6) and (3.6), the second term of the right hand side of (3.5) is given by

$$(3.8) \quad [\tilde{\nabla}_k(\hat{g}_{hi} W_{jl}^i) - \tilde{\nabla}_l(\hat{g}_{hi} W_{kj}^i)] \tilde{X}^h \tilde{X}^k \tilde{Y}^j \tilde{Y}^l = t[r(X, \bar{X}) + 2r(Y, \bar{Y}) - 6r(X \times Y, \bar{X} \times \bar{Y}) + 2\|X\|^2 s(Y, Y) + \|Y\|^2 s(X, X) - 3s(X \times Y, X \times Y) + 2\|\bar{X}\|^2 \bar{s}(\bar{Y}, \bar{Y}) + \|\bar{Y}\|^2 \bar{s}(\bar{X}, \bar{X}) - 3\bar{s}(\bar{X} \times \bar{Y}, \bar{X} \times \bar{Y})].$$

1-forms  $(\hat{g}_{jp} W_{kh}^p \tilde{X}^k \tilde{X}^h)$  and  $(\hat{g}_{jp} W_{lh}^p \tilde{X}^h \tilde{Y}^l)$  are expressed as follows:

$$(3.9) \quad (\hat{g}_{jp} W_{kh}^p \tilde{X}^k \tilde{X}^h) = 2t(U(\tilde{X})_u, \bar{U}(\tilde{X})_{\bar{u}}),$$

$$U(\tilde{X}) = X \times (r(\bar{X}) + s(X)), \quad \bar{U}(\tilde{X}) = \bar{X} \times ({}^t r(X) + \bar{s}(\bar{X})),$$

$$(3.10) \quad (\hat{g}_{jp} W_{lh}^p \tilde{X}^h \tilde{Y}^l) = t(V(\tilde{X}, \tilde{Y})_u, \bar{V}(\tilde{X}, \tilde{Y})_{\bar{u}}),$$

$$V(\tilde{X}, \tilde{Y}) = X \times (r(\bar{Y}) + s(Y)) + Y \times (r(\bar{X}) + s(X)),$$

$$\bar{V}(\tilde{X}, \tilde{Y}) = \bar{X} \times ({}^t r(Y) + \bar{s}(\bar{Y})) + \bar{Y} \times ({}^t r(X) + \bar{s}(\bar{X})),$$

where  ${}^t r$  denotes the transpose of  $r$ .

In the next Proposition we study some special type of sections for later use.

**Proposition 3.4.**  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$  for an orthonormal pair  $\{\tilde{X} = (X, 0), \tilde{Y} = (0, \bar{Y})\}$  with respect to  $\tilde{g}$  is given by

$$\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) = t^2 \{ \hat{g}^{uv} (X \times r(\bar{Y}))_u (X \times r(\bar{Y}))_v + \hat{g}^{\bar{u}\bar{v}} (\bar{Y} \times {}^t r(X))_{\bar{u}} (\bar{Y} \times {}^t r(X))_{\bar{v}} + \hat{g}^{u\bar{v}} [2(X \times r(\bar{Y}))_u (\bar{Y} \times {}^t r(X))_{\bar{v}} - 4(X \times s(X))_u (\bar{Y} \times \bar{s}(\bar{Y}))_{\bar{v}}] \}.$$

Proof. By  $\bar{X} = Y = 0$  in (3.7) ~ (3.10), we have  $\hat{g}_{hi}\tilde{R}_{jkl}^i\tilde{X}^h\tilde{X}^k\tilde{Y}^j\tilde{Y}^l = 0$  and

$$\begin{aligned} & [\tilde{\nabla}_k(\hat{g}_{hi}W_{lj}^i) - \tilde{\nabla}_l(\hat{g}_{hi}W_{kj}^i)]\tilde{X}^h\tilde{X}^k\tilde{Y}^j\tilde{Y}^l = 0, \\ & (\hat{g}_{jp}W_{kh}^p\tilde{X}^k\tilde{X}^h) = 2t(X \times s(X), 0), \\ & (\hat{g}_{jp}W_{lh}^p\tilde{X}^h\tilde{Y}^l) = t(X \times r(\bar{Y}), \bar{Y} \times {}^t r(X)), \\ & (\hat{g}_{jp}W_{kh}^p\tilde{Y}^k\tilde{Y}^h) = 2t(0, \bar{Y} \times \bar{s}(\bar{Y})). \end{aligned}$$

Substituting these into (3.5), proof is completed. □

The sectional curvature  $\hat{K}(\tilde{X}, \tilde{Y})$  for an orthonormal pair  $\{\tilde{X}, \tilde{Y}\}$  with respect to  $\tilde{g}$  at a point of  $(S^3 \times S^3, \hat{g}(t))$  is given by

$$(3.11) \quad \hat{K}(\tilde{X}, \tilde{Y}) = \hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})/\tilde{D}(\tilde{X}, \tilde{Y}),$$

where  $\hat{D}(\tilde{X}, \tilde{Y}) = \hat{g}(\tilde{X}, \tilde{X})\hat{g}(\tilde{Y}, \tilde{Y}) - \hat{g}(\tilde{X}, \tilde{Y})^2$ . As far as we are concerned with the sign of sectional curvatures, it suffices to consider  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$ .

**4. The case where  $s = \bar{s} = 0$**

In this section we assume  $s = \bar{s} = 0$  in (3.2), i.e.

$$(4.1) \quad \hat{g} = \tilde{g} + {}^t r_{u\bar{v}}(\eta^u \otimes \eta^{\bar{v}} + \eta^{\bar{v}} \otimes \eta^u).$$

The restriction of  $\hat{g}$  to each factor  $S^3$  is identical with the canonical metric  $g$  on  $S^3$ . By Lemma 3.1 and (3.7) ~ (3.10), we obtain

**Proposition 4.1.** *For the metric (4.1) on  $S^3 \times S^3$ ,  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$  for an orthonormal pair  $\{\tilde{X}, \tilde{Y}\}$  with respect to  $\tilde{g}$  is given by*

$$(4.2) \quad \hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) = \|X \times Y\|^2 + \|\bar{X} \times \bar{Y}\|^2 + G_1 t + G_2 t^2,$$

where we have put  $G_1$  and  $G_2 = G_{21} + G_{22}$  as

$$(4.3) \quad G_1 = 2[r(X, \bar{X}) + r(Y, \bar{Y}) - 3r(X \times Y, \bar{X} \times \bar{Y})],$$

$$(4.4) \quad G_{21} = -4\hat{g}^{uv}(X \times r(\bar{X}))_u(Y \times r(\bar{Y}))_v \\ -4\hat{g}^{u\bar{v}}[(X \times r(\bar{X}))_u(\bar{Y} \times {}^t r(Y))_{\bar{v}} + (Y \times r(\bar{Y}))_u(\bar{X} \times {}^t r(X))_{\bar{v}}] \\ -4\hat{g}^{\bar{u}\bar{v}}(\bar{X} \times {}^t r(X))_{\bar{u}}(\bar{Y} \times {}^t r(Y))_{\bar{v}},$$

$$(4.5) \quad G_{22} = \hat{g}^{uv}(X \times r(\bar{Y}) + Y \times r(\bar{X}))_u(X \times r(\bar{Y}) + Y \times r(\bar{X}))_v \\ +2\hat{g}^{u\bar{v}}(X \times r(\bar{Y}) + Y \times r(\bar{X}))_u(\bar{X} \times {}^t r(Y) + \bar{Y} \times {}^t r(X))_{\bar{v}} \\ +\hat{g}^{\bar{u}\bar{v}}(\bar{X} \times {}^t r(Y) + \bar{Y} \times {}^t r(X))_{\bar{u}}(\bar{X} \times {}^t r(Y) + \bar{Y} \times {}^t r(X))_{\bar{v}}.$$

The inverse matrix of  $\hat{g} = (\hat{g}_{ij})$  is given by

$$(4.6) \quad \hat{g}^{ij} = \tilde{g}^{ij} + t^2 \sum_{l=1}^{\infty} t^{2(l-1)} \sum_{z,w=1}^3 [((r \cdot {}^t r)^l)_{zw} \xi_z^i \xi_w^j + (({}^t r \cdot r)^l)_{z\bar{w}} \xi_z^i \xi_{\bar{w}}^j] - t \sum_{l=1}^{\infty} t^{2(l-1)} \sum_{z,w=1}^3 (r({}^t r \cdot r)^{l-1})_{z\bar{w}} (\xi_z^i \xi_{\bar{w}}^j + \xi_z^j \xi_{\bar{w}}^i),$$

where  $r \cdot {}^t r$  means  $(r \cdot {}^t r)_{uw} = \sum_{\bar{v}} r_{u\bar{v}} r_{\bar{v}w} = \sum_{\bar{v}} r_{u\bar{v}} r_{w\bar{v}}$  and  ${}^t r \cdot r$  means  $({}^t r \cdot r)_{\bar{u}\bar{v}} = \sum_w r_{w\bar{u}} r_{w\bar{v}}$ . So we have  $({}^t r \cdot r \cdot {}^t r)_{\bar{v}z} = (r \cdot {}^t r \cdot r)_{z\bar{v}}$ , etc. Thus, we obtain the following:

**Lemma 4.2.** (i) *If  $r$  is an orthogonal matrix, then we have*

$$(4.7) \quad \hat{g}^{-1} = [1/(1 - t^2)]\tilde{g}^{-1} - [t/(1 - t^2)] \sum_{z,w=1}^3 r_{z\bar{w}} (\xi_z \otimes \xi_{\bar{w}} + \xi_{\bar{w}} \otimes \xi_z).$$

(ii) *If  $r$  is diagonal, i.e.,  $r = (\lambda_u \delta_{uv})$ , then*

$$(4.8) \quad \hat{g}^{uv} = \hat{g}^{\bar{u}\bar{v}} = [1/(1 - \lambda_u^2 t^2)]\delta^{uv}, \quad \hat{g}^{u\bar{v}} = -[\lambda_u t/(1 - \lambda_u^2 t^2)]\delta^{uv}.$$

**Proposition 4.3.** *If  $r \in O(3)$ , then*

$$(4.9) \quad (1 - (\det r)t)\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) = (1 - (\det r)t)(\|X \times Y\|^2 + \|\tilde{X} \times \tilde{Y}\|^2) + 2t(1 - (\det r)t)[r(X, \tilde{X}) + r(Y, \tilde{Y}) - 3r(X \times Y, \tilde{X} \times \tilde{Y})] + 2t^2[\|X \times r(\tilde{Y}) - Y \times r(\tilde{X})\|^2 - 4\langle X \times Y, r(\tilde{X}) \times r(\tilde{Y}) \rangle].$$

*Proof.* We apply (4.7) to (4.4) and (4.5). In the calculation one may notice that  $r \in O(3)$  satisfies  $r({}^t r(X) \times \tilde{X}) = (\det r)X \times r(\tilde{X})$ , etc. □

**Proposition 4.4.** *Let  $\{\tilde{X}, \tilde{Y}\}$  be an orthonormal pair with respect to  $\tilde{g}$  such that  $\tilde{X} = (X, 0)$  and  $\tilde{Y} = (0, \tilde{Y})$ . Then the sectional curvature  $\hat{K}(\tilde{X}, \tilde{Y})$  is non-negative.*

*$\hat{K}(\tilde{X}, \tilde{Y})$  vanishes with respect to  $\hat{g}(t)$  for each  $t \in (-t_o, t_o)$ , if and only if  $r(\tilde{Y})$  is proportional to  $X$  and  ${}^t r(X)$  is proportional to  $\tilde{Y}$ . So, let  $\tilde{Y}$  be a unit eigenvector of the symmetric matrix  ${}^t r \cdot r$  corresponding to a non-zero eigenvalue. We define  $X$  by  $X = r(\tilde{Y})/\|r(\tilde{Y})\|$ . Then the sectional curvature  $\hat{K}(\tilde{X}, \tilde{Y}) = 0$  for  $\tilde{X} = (X, 0)$  and  $\tilde{Y} = (0, \tilde{Y})$ .*

*Proof.* The first part is verified by Proposition 3.4 and the fact that  $\hat{g}(t)^{-1}$  is also positive definite. The second part follows from the expression of  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$ . □

**Corollary 4.5.** *We assume that  ${}^t r \cdot r$  has three different non-zero eigenvalues. Then for each point of  $(S^3 \times S^3, \hat{g}(t))$ , there are only three sections of the form  $\{\tilde{X}, \tilde{Y}\}$  with  $\tilde{X} = (X, 0)$  and  $\tilde{Y} = (0, Y)$  and with vanishing sectional curvature with respect to each  $\hat{g}(t)$ ,  $t \in (-t_o, t_o)$ .*

REMARK 1. If one expands (4.2) with respect to  $t$  up to  $[t^3]$ , then one obtains

$$(4.10) \quad \hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) = \|X \times Y\|^2 + \|\bar{X} \times \bar{Y}\|^2 \\ + 2t[r(X, \bar{X}) + r(Y, \bar{Y}) - 3r(X \times Y, \bar{X} \times \bar{Y})] \\ + t^2\{\|X \times r(\bar{Y}) - Y \times r(\bar{X})\|^2 + \|{}^t r(X) \times \bar{Y} - {}^t r(Y) \times \bar{X}\|^2 \\ - 4[\langle X \times Y, r(\bar{X}) \times r(\bar{Y}) \rangle + \langle \bar{X} \times \bar{Y}, {}^t r(X) \times {}^t r(Y) \rangle]\} + [t^3].$$

## 5. Proof of Theorem A

Let  $r = (-\delta_{uv})$  and let  $\{\tilde{X}, \tilde{Y}\}$  be an orthonormal pair with respect to  $\tilde{g}$ . We can assume  $\langle X, Y \rangle = \langle \bar{X}, \bar{Y} \rangle = 0$  by Lemma 3.3. By Proposition 4.1 and Lemma 4.2 we see that  $F(t, \tilde{X}, \tilde{Y}) = (1+t)\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$  is expressed as

$$(5.1) \quad F(t, \tilde{X}, \tilde{Y}) = \|X\|^2\|Y\|^2 + \|\bar{X}\|^2\|\bar{Y}\|^2 \\ + t[\|X\|^2\|Y\|^2 + \|\bar{X}\|^2\|\bar{Y}\|^2 - 2\langle X, \bar{X} \rangle - 2\langle Y, \bar{Y} \rangle \\ + 6\langle X, \bar{X} \rangle\langle Y, \bar{Y} \rangle - 6\langle X, \bar{Y} \rangle\langle \bar{X}, Y \rangle] \\ + 2t^2[\|X\|^2\|\bar{Y}\|^2 + \|\bar{X}\|^2\|Y\|^2 - \langle X, \bar{X} \rangle - \langle Y, \bar{Y} \rangle \\ + \langle X, \bar{X} \rangle\langle Y, \bar{Y} \rangle + \langle X, \bar{Y} \rangle\langle \bar{X}, Y \rangle - \langle X, \bar{Y} \rangle^2 - \langle \bar{X}, Y \rangle^2].$$

We put  $\varepsilon_0 = 1/100\sqrt{2}$ . If we have

$$\|X\|^2\|Y\|^2 + \|\bar{X}\|^2\|\bar{Y}\|^2 \geq \varepsilon_0^2,$$

then (5.1) shows that we have some real number  $t_3$  such that  $F(t, \tilde{X}, \tilde{Y}) > 0$  holds for any  $t \in (-t_3, t_3)$  (where  $t_3$  is independent of the choice of orthonormal pairs  $\{\tilde{X}, \tilde{Y}\}$ ). So, in the following we suppose

$$(5.2) \quad \|X\|^2\|Y\|^2 + \|\bar{X}\|^2\|\bar{Y}\|^2 < \varepsilon_0^2.$$

We can assume  $\|\bar{X}\| \leq \|X\|$ . Then  $\|Y\| \leq \|\bar{Y}\|$  follows from (5.2). Also we have  $\|\bar{X}\|\|\bar{Y}\| < \varepsilon_0$ . By  $\|\bar{Y}\| \geq 1/\sqrt{2}$ , we obtain  $\|\bar{X}\| < \sqrt{2}\varepsilon_0$ . Similarly we obtain  $\|Y\| < \sqrt{2}\varepsilon_0$ . Therefore we get  $\|X\|^2 > 1 - 2\varepsilon_0^2$  and  $\|\bar{Y}\|^2 > 1 - 2\varepsilon_0^2$ .

If  $\bar{X} = Y = 0$ , then Proposition 4.4 shows that  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$  is non-negative. So, in the following in this section we assume  $\bar{X} \neq 0$  or  $Y \neq 0$ . By symmetry we assume  $Y \neq 0$ .

Now for any orthonormal pair  $\{\tilde{X}, \tilde{Y}\}$  we can change the frames  $\{\xi_u, \xi_{\bar{u}}\} \rightarrow \{\xi'_u, \xi'_{\bar{u}}\}$  by an orthogonal  $3 \times 3$  matrix  $A$  (i.e.,  $\xi'_u = A^u_v \xi_v$ ,  $\xi'_{\bar{u}} = A^v_u \xi_{\bar{v}}$ ) so that

$$(5.3) \quad \tilde{X} = (\sqrt{1 - \varepsilon_1^2}, 0, 0; \bar{X}_1, \bar{X}_2, \bar{X}_3), \quad \tilde{Y} = (0, \varepsilon_2, 0; \bar{Y}_1, \bar{Y}_2, \bar{Y}_3)$$

with the property;  $X_1 = \|X\| = \sqrt{1 - \varepsilon_1^2}$ ,  $Y_2 = \|Y\| = \varepsilon_2 > 0$  and

$$(5.4) \quad \begin{aligned} \bar{X}_1^2 + \bar{X}_2^2 + \bar{X}_3^2 &= \varepsilon_1^2, & \bar{Y}_1^2 + \bar{Y}_2^2 + \bar{Y}_3^2 &= 1 - \varepsilon_2^2, \\ \bar{X}_1 \bar{Y}_1 + \bar{X}_2 \bar{Y}_2 + \bar{X}_3 \bar{Y}_3 &= 0, \end{aligned}$$

where  $\varepsilon_1 = \|\tilde{X}\| < \sqrt{2}\varepsilon_0 = 1/100$  and  $\varepsilon_2 < 1/100$ .

Notice that the expression of  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X})$  is unchanged. By (5.1) we obtain

$$(5.5) \quad F(t, \tilde{X}, \tilde{Y}) = F_0 + F_1 t + F_2 t^2,$$

where we put  $F_0, F_1 = F_1(t, \tilde{X}, \tilde{Y})$  and  $F_2 = F_2(t, \tilde{X}, \tilde{Y})$  as

$$\begin{aligned} F_0 &= \varepsilon_1^2 + \varepsilon_2^2 - 2\varepsilon_1^2 \varepsilon_2^2, \\ F_1 &= -2X_1 \bar{X}_1 - 2\varepsilon_2 \bar{Y}_2 + 6\varepsilon_2 X_1 (\bar{X}_1 \bar{Y}_2 - \bar{X}_2 \bar{Y}_1) + \varepsilon_1^2 + \varepsilon_2^2 - 2\varepsilon_1^2 \varepsilon_2^2, \\ F_2 &= 2[\varepsilon_2 X_1 (\bar{X}_1 \bar{Y}_2 + \bar{X}_2 \bar{Y}_1) + \varepsilon_2^2 (\bar{X}_1^2 + \bar{X}_3^2) + X_1^2 (\bar{Y}_2^2 + \bar{Y}_3^2) - X_1 \bar{X}_1 - \varepsilon_2 \bar{Y}_2]. \end{aligned}$$

We consider  $t$  in the range  $0 < t < 1/100$ .

First we assume  $\varepsilon_1 = 0$ , i.e.,  $\bar{X}_1 = \bar{X}_2 = \bar{X}_3 = 0$  with respect to the expression (5.3). Putting  $\varepsilon = \varepsilon_2$ , we obtain

$$F(t, \tilde{X}, \tilde{Y}) = \varepsilon^2 + (\varepsilon^2 - 2\varepsilon \bar{Y}_2)t + 2(\bar{Y}_2^2 + \bar{Y}_3^2 - \varepsilon \bar{Y}_2)t^2.$$

By using an inequality  $-2\varepsilon \bar{Y}_2 t^2 \geq -(\varepsilon^2 + \bar{Y}_2^2)t^2$ , we get

$$F(t, \tilde{X}, \tilde{Y}) \geq (\varepsilon - \bar{Y}_2 t)^2 + 2\bar{Y}_3^2 t^2 + \varepsilon^2 t(1 - t) > 0.$$

Therefore, sectional curvatures are positive in this case. So, in the following in this section we assume  $\varepsilon_1 > 0$  and  $\varepsilon_2 > 0$ .

**Lemma 5.1.** *For fixed  $t, \varepsilon_1$  and  $\varepsilon_2$ , if  $F(t, \tilde{X}, \tilde{Y}) = F(t, \varepsilon_1, \varepsilon_2, \bar{X}_1, \bar{X}_2, \bar{X}_3, \bar{Y}_1, \bar{Y}_2, \bar{Y}_3)$  attains its minimum at  $(t, \tilde{X}^*, \tilde{Y}^*) = (t, \varepsilon_1, \varepsilon_2, \bar{X}_1^*, \bar{X}_2^*, \bar{X}_3^*, \bar{Y}_1^*, \bar{Y}_2^*, \bar{Y}_3^*)$ , then  $\bar{X}_3^* = \bar{Y}_3^* = 0$ .*

**Proof.** First we consider the following deformation;

$$\begin{aligned} \bar{X}_1(\theta) &= \cos \theta \bar{X}_1^* - \sin \theta \bar{X}_3^*, & \bar{X}_3(\theta) &= \sin \theta \bar{X}_1^* + \cos \theta \bar{X}_3^*, \\ \bar{Y}_1(\theta) &= \cos \theta \bar{Y}_1^* - \sin \theta \bar{Y}_3^*, & \bar{Y}_3(\theta) &= \sin \theta \bar{Y}_1^* + \cos \theta \bar{Y}_3^*, \end{aligned}$$

and  $\bar{X}_2(\theta) = \bar{X}_2^*$ ,  $\bar{Y}_2(\theta) = \bar{Y}_2^*$  for  $\theta \in (-\delta, \delta)$ . Calculating  $(dF(t, \tilde{X}(\theta), \tilde{Y}(\theta))/d\theta)(0) = 0$  and noticing  $X_1 > 0$ , we obtain

$$\bar{X}_3^* + 3\varepsilon_2(\bar{X}_2^*\bar{Y}_3^* - \bar{X}_3^*\bar{Y}_2^*) + [\bar{X}_3^* + 2X_1\bar{Y}_1^*\bar{Y}_3^* - \varepsilon_2(\bar{X}_2^*\bar{Y}_3^* + \bar{X}_3^*\bar{Y}_2^*)]t = 0.$$

Therefore we get

$$[1 - 3\varepsilon_2\bar{Y}_2^* + (1 - \varepsilon_2\bar{Y}_2^*)t]\bar{X}_3^* = [-3\varepsilon_2\bar{X}_2^* + (\varepsilon_2\bar{X}_2^* - 2X_1\bar{Y}_1^*)t]\bar{Y}_3^*,$$

and hence  $(1 - 3\varepsilon_2)|\bar{X}_3^*| \leq [3\varepsilon_1\varepsilon_2 + (2 + \varepsilon_1\varepsilon_2)t]|\bar{Y}_3^*|$ . Consequently, we obtain  $(3/4)|\bar{X}_3^*| \leq (3/100)|\bar{Y}_3^*|$ , and  $|\bar{X}_3^*| \leq (1/25)|\bar{Y}_3^*|$ .

Next, we consider the following deformation;

$$\begin{aligned} \bar{X}_2(\tau) &= \cos \tau \bar{X}_2^* - \sin \tau \bar{X}_3^*, & \bar{X}_3(\tau) &= \sin \tau \bar{X}_2^* + \cos \tau \bar{X}_3^*, \\ \bar{Y}_2(\tau) &= \cos \tau \bar{Y}_2^* - \sin \tau \bar{Y}_3^*, & \bar{Y}_3(\tau) &= \sin \tau \bar{Y}_2^* + \cos \tau \bar{Y}_3^*, \end{aligned}$$

and  $\bar{X}_1(\tau) = \bar{X}_1^*$ ,  $\bar{Y}_1(\tau) = \bar{Y}_1^*$  for  $\tau \in (-\delta, \delta)$ . Calculating  $(dF(t, \tilde{X}(\tau), \tilde{Y}(\tau))/d\tau)(0) = 0$  and noticing  $\varepsilon_2 > 0$ , we obtain

$$\bar{Y}_3^* - 3X_1(\bar{X}_1^*\bar{Y}_3^* - \bar{X}_3^*\bar{Y}_1^*) + [\bar{Y}_3^* + 2\varepsilon_2\bar{X}_2^*\bar{X}_3^* - X_1(\bar{X}_3^*\bar{Y}_1^* + \bar{X}_1^*\bar{Y}_3^*)]t = 0.$$

If  $\bar{Y}_3^* > 0$  ( $< 0$ , resp.), we can show

$$\begin{aligned} \bar{Y}_3^* - 3X_1(\bar{X}_1^*\bar{Y}_3^* - \bar{X}_3^*\bar{Y}_1^*) &> 0, & (< 0, \text{ resp.}) \\ \bar{Y}_3^* + 2\varepsilon_2\bar{X}_2^*\bar{X}_3^* - X_1(\bar{X}_3^*\bar{Y}_1^* + \bar{X}_1^*\bar{Y}_3^*) &> 0, & (< 0, \text{ resp.}) \end{aligned}$$

using the inequality  $|\bar{X}_3^*| \leq (1/25)|\bar{Y}_3^*|$ . This is a contradiction. So we have  $\bar{Y}_3^* = 0$  and  $\bar{X}_3^* = 0$ .  $\square$

In the following we consider  $\tilde{X}$  and  $\tilde{Y}$  of the form;

$$(5.6) \quad \tilde{X} = (\bar{X}_1, \bar{X}_2, 0), \quad \tilde{Y} = (\bar{Y}_1, \bar{Y}_2, 0)$$

and we put  $\rho = |\bar{Y}_2|$ . Then we have

$$\bar{X}_1^2 = \rho^2\varepsilon_1^2/(1 - \varepsilon_2^2), \quad \bar{X}_2^2 = (1 - \varepsilon_2^2 - \rho^2)\varepsilon_1^2/(1 - \varepsilon_2^2), \quad \bar{Y}_1^2 = 1 - \varepsilon_2^2 - \rho^2.$$

We consider the following two cases (i) and (ii).

(i) The case where  $\rho \leq 4 \max\{\varepsilon_1, \varepsilon_2\}$ .

**Lemma 5.2.** *There is a positive number  $t_4$  such that  $F(t, \tilde{X}, \tilde{Y}) > 0$  holds for any  $t \in (0, t_4)$ .*

**Proof.** We put  $\hat{\varepsilon} = \max\{\varepsilon_1, \varepsilon_2\}$ . For example we have

$$|X_1\bar{X}_1| < |\bar{X}_1| < 2\rho\varepsilon_1 \leq 8\hat{\varepsilon}\varepsilon_1 \leq 4(\hat{\varepsilon}^2 + \varepsilon_1^2).$$

Therefore, we see that  $|F_1| < a(\varepsilon_1^2 + \varepsilon_2^2)$  holds for some positive number  $a$ . Similarly, we see that  $|F_2| < a'(\varepsilon_1^2 + \varepsilon_2^2)$  holds for some positive number  $a'$ . Then (5.5) shows

$$F(t, \tilde{X}, \tilde{Y}) > (\varepsilon_1^2 + \varepsilon_2^2)(1 - at - a't^2) - 2\varepsilon_1^2\varepsilon_2^2,$$

where  $a$  and  $a'$  are universal constant. So, we have some  $t_4$  so that  $1 - at - a't^2 > 1/2$  for  $t \in (0, t_4)$ . Since  $-2\varepsilon_1^2\varepsilon_2^2 > -\varepsilon_1\varepsilon_2$ , we have  $F(t, \tilde{X}, \tilde{Y}) > 0$  for any  $t \in (0, t_4)$ . □

(ii) The case where  $\rho \geq 4 \max\{\varepsilon_1, \varepsilon_2\}$ .

**Lemma 5.3.** *For fixed  $t, \varepsilon_1$  and  $\varepsilon_2$ , if  $F(t, \tilde{X}, \tilde{Y}) = F(t, \varepsilon_1, \varepsilon_2, \bar{X}_1, \bar{X}_2, 0, \bar{Y}_1, \bar{Y}_2, 0)$  attains its minimum at  $(t, \tilde{X}^*, \tilde{Y}^*) = (t, \varepsilon_1, \varepsilon_2, \bar{X}_1^*, \bar{X}_2^*, 0, \bar{Y}_1^*, \bar{Y}_2^*, 0)$ , then we have  $\bar{X}_1^* > 0$  and  $\bar{Y}_2^* > 0$ .*

**Proof.** We compare  $\bar{X}^* = (\bar{X}_1^*, \bar{X}_2^*, 0)$  and  $\bar{Y}^* = (\bar{Y}_1^*, \bar{Y}_2^*, 0)$  with

$$\bar{X} = (-\bar{X}_1^*, \bar{X}_2^*, 0), \quad \bar{Y} = (-\bar{Y}_1^*, \bar{Y}_2^*, 0).$$

By (5.5),  $F(t, \tilde{X}, \tilde{Y}) \geq F(t, \tilde{X}^*, \tilde{Y}^*)$  is expressed as

$$\bar{X}_1^* - 3\varepsilon_2(\bar{X}_1^*\bar{Y}_2^* - \bar{X}_2^*\bar{Y}_1^*) - [\varepsilon_2(\bar{X}_1^*\bar{Y}_2^* + \bar{X}_2^*\bar{Y}_1^*) - \bar{X}_1^*]t \geq 0,$$

which is equivalent to

$$[1 - 3\varepsilon_2\bar{Y}_2^* + (1 - \varepsilon_2\bar{Y}_2^*)t]\bar{X}_1^* \geq (t - 3)\varepsilon_2\bar{X}_2^*\bar{Y}_1^*.$$

If  $\bar{X}_1^* \leq 0$ , then we have  $(1 - 3\varepsilon_2)|\bar{X}_1^*| \leq 3\varepsilon_1\varepsilon_2$ . By  $|\bar{X}_1^*| = \rho\varepsilon_1/\sqrt{1 - \varepsilon_2^2}$ , we obtain

$$\rho \leq 3\varepsilon_2\sqrt{1 - \varepsilon_2^2} / (1 - 3\varepsilon_2) < 3\varepsilon_2 / (1 - 3\varepsilon_2).$$

This contradicts  $\rho \geq 4 \max\{\varepsilon_1, \varepsilon_2\}$  and we have  $\bar{X}_1^* > 0$ .

Next we compare  $\bar{X}^* = (\bar{X}_1^*, \bar{X}_2^*, 0)$  and  $\bar{Y}^* = (\bar{Y}_1^*, \bar{Y}_2^*, 0)$  with

$$\bar{X} = (\bar{X}_1^*, -\bar{X}_2^*, 0), \quad \bar{Y} = (\bar{Y}_1^*, -\bar{Y}_2^*, 0).$$

By (5.5),  $F(t, \tilde{X}, \tilde{Y}) \geq F(t, \tilde{X}^*, \tilde{Y}^*)$  is expressed as

$$\bar{Y}_2^* - 3X_1(\bar{X}_1^*\bar{Y}_2^* - \bar{X}_2^*\bar{Y}_1^*) - [X_1(\bar{X}_1^*\bar{Y}_2^* + \bar{X}_2^*\bar{Y}_1^*) - \bar{Y}_2^*]t \geq 0,$$

which is equivalent to

$$[1 - 3X_1\bar{X}_1^* + (1 - X_1\bar{X}_1^*)t]\bar{Y}_2^* \geq (t - 3)X_1\bar{Y}_1^*\bar{X}_2^*.$$

If  $\bar{Y}_2^* \leq 0$ , then we have  $(1 - 3\varepsilon_1)|\bar{Y}_2^*| \leq 3\varepsilon_1$ . This contradicts  $\rho = |\bar{Y}_2^*| \geq 4 \max\{\varepsilon_1, \varepsilon_2\}$  and we have  $\bar{Y}_2^* > 0$ .  $\square$

In the following we consider  $\tilde{X}$  and  $\tilde{Y}$  of the form;

$$\begin{aligned} \bar{X}_1 &= \rho\varepsilon_1 / \sqrt{1 - \varepsilon_2^2}, & \bar{X}_2 &= \beta\varepsilon_1\sqrt{1 - \varepsilon_2^2 - \rho^2} / \sqrt{1 - \varepsilon_2^2}, \\ \bar{Y}_1 &= -\beta\sqrt{1 - \varepsilon_2^2 - \rho^2}, & \bar{Y}_2 &= \rho, \end{aligned}$$

where  $\beta = \pm 1$ . Now  $F_1$  and  $F_2$  in (5.5) are expressed as

$$\begin{aligned} F_1 &= -2\rho\varepsilon_1\sqrt{1 - \varepsilon_1^2} / \sqrt{1 - \varepsilon_2^2} - 2\rho\varepsilon_2 + 6\varepsilon_1\varepsilon_2\sqrt{1 - \varepsilon_1^2}\sqrt{1 - \varepsilon_2^2} \\ &\quad + \varepsilon_1^2 + \varepsilon_2^2 - 2\varepsilon_1^2\varepsilon_2^2, \\ (5.7) \quad F_2/2 &= -\varepsilon_1\varepsilon_2\sqrt{1 - \varepsilon_1^2}\sqrt{1 - \varepsilon_2^2} + \rho\varepsilon_1(2\rho\varepsilon_2 - 1)\sqrt{1 - \varepsilon_1^2} / \sqrt{1 - \varepsilon_2^2} \\ &\quad + \rho^2\varepsilon_1^2\varepsilon_2^2/(1 - \varepsilon_2^2) + (1 - \varepsilon_1^2)\rho^2 - \rho\varepsilon_2. \end{aligned}$$

**Lemma 5.4.** *We have  $F_2 > 0$ .*

*Proof.* We neglect some positive terms of the right hand side of (5.7) and use an inequality  $1/\sqrt{1 - \varepsilon_2^2} < 1 + \varepsilon_2^2$ . Then we obtain

$$\begin{aligned} F_2/2 &> -\varepsilon_1\varepsilon_2 - \rho\varepsilon_1(1 + \varepsilon_2^2) + (1 - \varepsilon_1^2)\rho^2 - \rho\varepsilon_2 \\ &= (\rho^2/4 - \varepsilon_1\varepsilon_2) + \rho[(1/4 - \varepsilon_1^2)\rho - \varepsilon_1\varepsilon_2^2] + \rho(\rho/2 - \varepsilon_1 - \varepsilon_2) > 0. \end{aligned}$$

Therefore we have  $F_2 > 0$ .  $\square$

**Lemma 5.5.** *For fixed  $\rho, \varepsilon_1$  and  $\varepsilon_2$ , if  $F(t, \tilde{X}, \tilde{Y}) = F_2t^2 + F_1t + F_0$  takes its minimum at  $\hat{t}$ , then we have  $\hat{t} > (\varepsilon_1 + \varepsilon_2)/16$ .*

*Proof.* We estimate  $\hat{t} = -F_1/2F_2$ . Since  $\sqrt{1 - \mu} = 1 - \mu/2 - \mu^2/8 + [\mu^3]$  and  $1/\sqrt{1 - \mu} = 1 + \mu/2 + 3\mu^2/8 + [\mu^3]$ , we see that  $F_1$  and  $F_2$  are expressed as

$$\begin{aligned} F_1 &= -2\rho(\varepsilon_1 + \varepsilon_2) + \varepsilon_1^2 + \varepsilon_2^2 + 6\varepsilon_1\varepsilon_2 + \rho\varepsilon_1(\varepsilon_1^2 - \varepsilon_2^2) \\ &\quad - \rho\varepsilon_1\varepsilon_2(3\varepsilon_1^2 + 2\varepsilon_1\varepsilon_2 + 3\varepsilon_2^2) + (\rho\varepsilon_1/4)(\varepsilon_1^2 - \varepsilon_2^2)(\varepsilon_1^2 + 3\varepsilon_2^2) + [*], \\ (5.7') \quad F_2/2 &= \rho^2 - \rho(\varepsilon_1 + \varepsilon_2) - \varepsilon_1\varepsilon_2 + \rho^2\varepsilon_1(2\varepsilon_2 - \varepsilon_1) + (\rho\varepsilon_1/2)(\varepsilon_1^2 - \varepsilon_2^2) \end{aligned}$$

$$\begin{aligned}
 &+(\varepsilon_1\varepsilon_2/2)(\varepsilon_1^2 + \varepsilon_2^2) + \rho^2\varepsilon_1\varepsilon_2(\varepsilon_1\varepsilon_2 - \varepsilon_1^2 + \varepsilon_2^2) \\
 &+(\rho\varepsilon_1/8)(\varepsilon_1^2 - \varepsilon_2^2)(\varepsilon_1^2 + 3\varepsilon_2^2) + [*],
 \end{aligned}$$

where  $[*]$  denotes terms of higher order  $\varepsilon_1^a\varepsilon_2^b$  with  $a + b \geq 6$ . First we see that the terms of higher order  $\varepsilon_1^a\varepsilon_2^b$  with  $a + b \geq 3$  in  $F_1$  are covered by  $2(\varepsilon_1^2 + \varepsilon_2^2)$ . So we have

$$\begin{aligned}
 -F_1 &> 2\rho(\varepsilon_1 + \varepsilon_2) - 3\varepsilon_1^2 - 3\varepsilon_2^2 - 6\varepsilon_1\varepsilon_2 \\
 &= 2\rho(\varepsilon_1 + \varepsilon_2) - 3(\varepsilon_1 + \varepsilon_2)^2 \\
 &= (\rho/2)(\varepsilon_1 + \varepsilon_2) + 3(\varepsilon_1 + \varepsilon_2)(\rho/2 - \varepsilon_1 - \varepsilon_2) \\
 &\geq (\rho/2)(\varepsilon_1 + \varepsilon_2).
 \end{aligned}$$

Next neglecting the negative terms in (5.7') and putting  $\hat{\varepsilon} = \max\{\varepsilon_1, \varepsilon_2\}$ , we obtain

$$\begin{aligned}
 F_2/2 &< \rho^2 + 2\rho^2\varepsilon_1\varepsilon_2 + (\rho/2)\varepsilon_1^3 + 16\hat{\varepsilon}^4 \\
 &< \rho^2 + 2\rho^2\varepsilon_1\varepsilon_2 + (\rho^2/8)\varepsilon_1^2 + \rho^2\hat{\varepsilon}^2 < 2\rho^2 < 2\rho.
 \end{aligned}$$

Therefore we get  $-F_1/2F_2 > (\varepsilon_1 + \varepsilon_2)/16$ . □

Finally we show  $F(t, \tilde{X}, \tilde{Y}) > 0$  for  $t \in (0, 1/100)$ . We rewrite  $F(t, \tilde{X}, \tilde{Y})$  as  $F(t, \tilde{X}, \tilde{Y}) = J_2\rho^2 + J_1\rho + J_0$ , where we have put

$$\begin{aligned}
 J_0 &= (\varepsilon_1^2 + \varepsilon_2^2 - 2\varepsilon_1^2\varepsilon_2^2)(1 + t) + 2\varepsilon_1\varepsilon_2t(3 - t)\sqrt{1 - \varepsilon_1^2}\sqrt{1 - \varepsilon_2^2}, \\
 J_1 &= -2t(1 + t) \left( \varepsilon_2 + \varepsilon_1\sqrt{1 - \varepsilon_1^2} / \sqrt{1 - \varepsilon_2^2} \right), \\
 J_2 &= 2t^2 \left[ 1 - \varepsilon_1^2 + 2\varepsilon_1\varepsilon_2\sqrt{1 - \varepsilon_1^2} / \sqrt{1 - \varepsilon_2^2} + \varepsilon_1^2\varepsilon_2^2 / (1 - \varepsilon_2^2) \right].
 \end{aligned}$$

Clearly we have  $J_2 > 0$ . To show  $F(t, \tilde{X}, \tilde{Y}) > 0$ , it suffices to show that the discriminant  $D = J_1^2 - 4J_0J_2$  is negative. After some calculation we obtain

$$\begin{aligned}
 D/4t^2 &= -(\varepsilon_1 - \varepsilon_2)^2(1 - \varepsilon_1^2 + 3\varepsilon_1\varepsilon_2) - 4t\varepsilon_1\varepsilon_2(2 - 3\varepsilon_1^2 + 4\varepsilon_1\varepsilon_2 - \varepsilon_2^2) \\
 &\quad + t^2[(\varepsilon_1 - \varepsilon_2)^2 + 8\varepsilon_1\varepsilon_2 - (\varepsilon_1^4 + 7\varepsilon_1^3\varepsilon_2 - 9\varepsilon_1^2\varepsilon_2^2 + \varepsilon_1\varepsilon_2^3)] + [*],
 \end{aligned}$$

where  $[*]$  denotes terms of higher order  $\varepsilon_1^a\varepsilon_2^b$  with  $a + b \geq 6$ . We see that  $\hat{\varepsilon}^5 > [*]$  holds. Neglecting some negative terms we obtain

$$\begin{aligned}
 D/4t^2 &< -(\varepsilon_1 - \varepsilon_2)^2(1 - \varepsilon_1^2) - 4t\varepsilon_1\varepsilon_2(2 - 3\varepsilon_1^2 - \varepsilon_2^2) \\
 &\quad + t^2[(\varepsilon_1 - \varepsilon_2)^2 + 8\varepsilon_1\varepsilon_2 + 9\varepsilon_1^2\varepsilon_2^2] + \hat{\varepsilon}^5 \\
 (5.8) \quad &< -(9/10)[(\varepsilon_1 - \varepsilon_2)^2 + 8t\varepsilon_1\varepsilon_2] + \hat{\varepsilon}^5.
 \end{aligned}$$

By Lemma 5.5, it suffices to show  $D < 0$  for  $t = (\varepsilon_1 + \varepsilon_2)/16$ . By symmetry of  $\varepsilon_1$  and  $\varepsilon_2$  in (5.8) we can assume  $\hat{\varepsilon} = \varepsilon_2 \geq \varepsilon_1$ . Then the inequality

$$-(9/20)[2(\varepsilon_1 - \varepsilon_2)^2 + \varepsilon_1\varepsilon_2(\varepsilon_1 + \varepsilon_2)] + \hat{\varepsilon}^5 < 0$$

is verified by considering two cases;  $\varepsilon_1 \leq \hat{\varepsilon}/2$  and  $\varepsilon_1 \geq \hat{\varepsilon}/2$ .

**Proof of Theorem A.** We define  $t_*$  by  $t_* = \min\{t_3, t_4, 1/100\}$ . Then sectional curvatures are non-negative. Furthermore, by Proposition 4.4 and the above discussion, we see that the sections  $\{\tilde{X}, \tilde{Y}\}$  with zero sectional curvature are of the form  $\tilde{X} = (X, 0)$  and  $\tilde{Y} = (0, X)$  for  $t \in (0, t_*)$ . □

**REMARK 1.** For  $\varepsilon_1 = \varepsilon_2 = \varepsilon$ , we consider  $\tilde{X} = (\rho, 0, 0; \varepsilon, 0, 0)$  and  $\tilde{Y} = (0, \varepsilon, 0; 0, \rho, 0)$  where  $\rho = \sqrt{1 - \varepsilon^2}$ . Then  $F_1$  and  $F_2$  are expressed as

$$F_1 = -4\rho\varepsilon + 8\varepsilon^2(1 - \varepsilon^2), \quad F_2 = 2 - 4\rho\varepsilon - 2\varepsilon^2 + 2\varepsilon^4.$$

Therefore,  $\hat{t} = -F_1/2F_2 = \varepsilon + \varepsilon^3/2 + [\varepsilon^4]$  and for  $t = \varepsilon + \varepsilon^3/2$ , we obtain

$$F(t, \tilde{X}, \tilde{Y}) = 4\varepsilon^3 - 2\varepsilon^4 + [\varepsilon^5].$$

### 6. Proof of Theorem B

Suppose  $r = (\lambda_u \delta_{uv})$  with  $1 = \lambda_1 \geq \lambda_2 \geq \lambda_3 > 0$ . (i) follows from Proposition 4.4 and Corollary 4.5. To prove (ii) we define  $\{\tilde{X}, \tilde{Y}\}$  by

$$\begin{aligned} \tilde{X} &= (X_1, 0, 0; -t, 0, 0), & X_1 &= \sqrt{1 - t^2}, \\ \tilde{Y} &= (0, -\lambda_2 t, 0; 0, \bar{Y}_2, 0), & \bar{Y}_2 &= \sqrt{1 - \lambda_2^2 t^2}. \end{aligned}$$

By Proposition 4.1 and Lemma 4.2, we have the following:

$$\begin{aligned} \|X\|^2 \|Y\|^2 + \|\tilde{X}\|^2 \|\tilde{Y}\|^2 &= t^2 + \lambda_2^2 t^2 - 2\lambda_2^2 t^4, \\ G_1 &= 2(-X_1 t - \lambda_2^2 \bar{Y}_2 t - 3\lambda_2 \lambda_3 X_1 \bar{Y}_2 t^2), \\ G_2 &= [1/(1 - \lambda_3^2 t^2)]\{\lambda_2^2 (t^2 - X_1 \bar{Y}_2)^2 + (\lambda_2^2 t^2 - X_1 \bar{Y}_2)^2 \\ &\quad + 2\lambda_2 \lambda_3 (t^2 - X_1 \bar{Y}_2)(\lambda_2^2 t^2 - X_1 \bar{Y}_2)t\}. \end{aligned}$$

Therefore, using  $X_1 = 1 - t^2/2 + [t^4]$  and  $\bar{Y}_2 = 1 - \lambda_2^2 t^2/2 + [t^4]$ , we get

$$(6.1) \quad (1 - \lambda_3^2 t^2)\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) = -4\lambda_2 \lambda_3 t^3 - (8\lambda_2^2 - \lambda_2^2 \lambda_3^2 - \lambda_3^2)t^4 + [t^5],$$

where  $[t^5]$  denotes the term of higher order. So, for a sufficiently small  $t$ , we obtain  $\hat{g}(\hat{R}(\tilde{X}, \tilde{Y})\tilde{Y}, \tilde{X}) < 0$  for  $\hat{g}(t)$  and  $\{\tilde{X}, \tilde{Y}\}$ . This proves Proposition B.

REMARK 1. By (6.1) we see that (ii) of Theorem B works for the cases;

$$(\lambda_1, \lambda_2, \lambda_3) = (+, +, 0), (+, -, 0), (+, -, -).$$

REMARK 2. Hopf problem asks whether  $S^2 \times S^2$  admits a Riemannian metric of positive sectional curvature. One of the related problems is whether  $S^3 \times S^3$  admits a Riemannian metric of positive sectional curvature. On the other hand, Hopf conjecture says that the Euler-Poincaré characteristic of a compact oriented  $2n$ -dimensional Riemannian manifold is  $> 0$  ( $\geq 0, \leq 0, < 0$  for  $n = 2r + 1; \geq 0, \geq 0, > 0$  for  $n = 2r$ , respectively), if and only if the sectional curvature is  $> 0$  ( $\geq 0, \leq 0, < 0$ , respectively). If  $2n = 4$ , the Hopf conjecture is true. However, for  $2n \geq 6$  this conjecture is open, and some people focus their study on 6-dimensional or 8-dimensional case (cf. Klembeck [2], etc.).  $S^3 \times S^3$  lies at a point of intersection of the above two problems.

Let  $\hat{g}(t)$  be one defined by (1.1). Then,  $(SU(2) \times SU(2), \hat{g}(t))$  admits Killing vector fields which are right invariant vector fields on  $SU(2) \times SU(2)$ . Since the Euler-Poincaré characteristic of  $S^3 \times S^3$  is zero,  $(SU(2) \times SU(2), \hat{g}(t))$  can not be of positive sectional curvature (cf. Weinstein [4]). Therefore, we have one question if it is possible to deform  $\hat{g}(t)$  in Theorem A to a Riemannian metric which is not left invariant and has positive sectional curvature.

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