

## NON-LINEARIZABLE REAL ALGEBRAIC ACTIONS OF $O(2, \mathbf{R})$ ON $\mathbf{R}^4$

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(Received March 18, 1994)

### 0. Introduction

In algebraic transformation groups, one of the important problems is the following.

**Linearization problem** ([6]). *Let  $G$  be a reductive complex algebraic group. Is any algebraic  $G$  action on affine space  $\mathbf{C}^n$  linearizable, i.e. isomorphic to some  $G$  module as  $G$  variety?*

Some positive answers to this problem have been given (see [1] for a survey article) but in 1989, G.W. Schwarz [17] constructed counterexamples for many noncommutative groups with  $O(2, \mathbf{C})$  being the most explicit case (in the case that the acting group is commutative, any counterexample have never found, and see [7], [9], [11], [12] for further recent results).

In this paper, we consider the analogous problem in the real algebraic category, which was posed in [15]. Then it would be appropriate to take a compact Lie group as acting group since there is a one-to-one correspondence between the family of compact Lie groups and that of reductive complex algebraic groups through the complexification (see [14] p.247).

Schwarz used the properties of complex algebraic geometry to find the counterexamples, so it is not clear whether his argument works in the real algebraic category because  $\mathbf{R}$  is not algebraically closed. We use the methods of Masuda-Petrie [11] to obtain the following result.

**Theorem.** *There is a continuous family of algebraically inequivalent, non-linearizable real algebraic  $O(2, \mathbf{R})$  actions on  $\mathbf{R}^4$ .*

Let  $G$  be a compact real algebraic group and  $G_{\mathbf{C}}$  be the reductive complex algebraic group obtained from  $G$  via the complexification. Let  $ACT(G, \mathbf{R}^n)$  (resp.  $ACT(G_{\mathbf{C}}, \mathbf{C}^n)$ ) be the set of equivalence classes of real algebraic  $G$  actions on  $\mathbf{R}^n$  (resp. complex algebraic  $G_{\mathbf{C}}$  actions on  $\mathbf{C}^n$ ), where the equivalence relation is defined by  $G$  variety (resp.  $G_{\mathbf{C}}$  variety) isomorphism. Then there is a complexification map

$$c_a : ACT(G, \mathbf{R}^n) \rightarrow ACT(G_{\mathbf{C}}, \mathbf{C}^n).$$

It is natural to ask that  $c_a$  is injective, but it turns out that the examples in the theorem above give a negative answer to this question.

**Proposition.** *The map  $c_a$  is not injective.*

This paper is organized as follows. We consider the relation between the linearization problem and algebraic  $G$  vector bundles in section 1 and construct non-trivial real (affine) algebraic  $O(2, \mathbf{R})$  vector bundles in section 2. In section 3 we consider the complexification of real algebraic  $G$  vector bundles and that of algebraic actions. In section 4 we prove the theorem above using vector bundles constructed in section 2, and apply the complexifications to the examples in the theorem. We give an explicit description of a non-linearizable real algebraic  $O(2, \mathbf{R})$  action in the appendix. Most of the results in this paper are from the author's master thesis [13].

ACKNOWLEDGEMENT. I would like to thank Professor Mikiya Masuda heartily for not only his many helpful suggestions for writing this paper but his lead to my research. I would also like to thank Professor Sung Sook Kim for her many useful suggestions for writing this paper.

## 1. Algebraic $G$ vector bundles and non-linearizable actions

Let  $K$  be the real numbers  $\mathbf{R}$  or the complex numbers  $\mathbf{C}$ . We say that  $X$  ( $\subset K^n$ ) is an *affine variety* if  $X$  is the set of the zeros of a map from  $K^n$  to some  $K^m$  whose coordinate functions are polynomials, and we say that  $f: X \rightarrow Y$ , where  $X$  ( $\subset K^n$ ) and  $Y$  ( $\subset K^m$ ) are affine varieties, is an *algebraic map* if  $f$  extends to a map from  $K^n$  to  $K^m$  whose coordinate functions are polynomials. A group  $G$  is an *algebraic group* if  $G$  is an affine variety and the map  $\varphi: G \times G \rightarrow G$  defined by  $(g_1, g_2) \mapsto g_1 g_2^{-1}$  is algebraic,  $X$  is an *(affine)  $G$  variety* if  $X$  is an affine variety and the action map  $\phi: G \times X \rightarrow X$  is algebraic, and  $f: X \rightarrow Y$  is an *algebraic  $G$  map* (here  $X$  and  $Y$  are  $G$  varieties) if  $f$  is algebraic and  $G$  equivariant. An algebraic  $G$  map is an *algebraic  $G$  isomorphism* if it is bijective and its inverse is also an algebraic  $G$  map. Two  $G$  varieties are *isomorphic* if there is an algebraic  $G$  isomorphism between them.

Let  $G$  denote an algebraic group over  $K$  and let  $B, F, S$  denote  $G$  modules over  $K$  whose representation maps ( $: G \times B \rightarrow B$  etc.) are algebraic.

DEFINITION 1.1. Let  $Vec(B, F; S)$  be the set of algebraic  $G$  vector bundles  $E$  over  $B$  such that  $E \oplus S$  is isomorphic to  $F \oplus S$  as algebraic  $G$  vector bundle, where  $F = B \times F$  and  $S = B \times S$  are product bundles over  $B$ . We define  $VEC(B, F; S)$  to be the set of isomorphism classes of elements in  $Vec(B, F; S)$  as algebraic  $G$  vector

bundles.

We recall some results about  $Vec(B, F; S)$  from [11]. The following results are established in [11] when  $K = \mathbb{C}$ . But the same argument works when  $K = \mathbb{R}$ .

**DEFINITION 1.2.** Let  $sur(F \oplus S, S)$  be the set of algebraic  $G$  vector bundle surjections  $L: F \oplus S \rightarrow S$  which allow an algebraic  $G$  splitting map from  $S$  to  $F \oplus S$ , and let  $aut(F \oplus S)$  be the group of algebraic  $G$  vector bundle automorphisms  $\tau$  of  $F \oplus S$ .

**REMARK.** In the complex category, any algebraic  $G$  vector bundle surjection from  $F \oplus S$  to  $S$  has a splitting (see [2]). But in the real category, this is not the case. For example,  $f: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R} \times \mathbb{R}$  defined by  $(a, b) \mapsto (a, (a^2 + 1)b)$  has no splitting, where  $\mathbb{R} \times \mathbb{R}$  is viewed as a trivial bundle with the projection on the first factor  $\mathbb{R}$ .

The group  $aut(F \oplus S)$  acts on  $sur(F \oplus S, S)$  by  $L \mapsto L \circ \tau$  and  $L \in sur(F \oplus S, S)$  defines an element  $ker L$  in  $Vec(B, F; S)$ .

**Theorem 1.3** ([11]). *The map sending  $L \in sur(F \oplus S, S)$  to  $ker L \in Vec(B, F; S)$  induces a bijection*

$$sur(F \oplus S, S) / aut(F \oplus S) \cong VEC(B, F; S).$$

Because of the solution of the Serre conjecture (see [16], [19]), any vector bundle  $E \in Vec(B, F; S)$  is trivial if we forget the actions. So  $E$  gives an algebraic  $G$  action on some  $K^n$ . We consider the classification of (the total spaces of) elements in  $Vec(B, F; S)$  as  $G$  varieties.

**DEFINITION 1.4.** Let  $VAR(B, F; S)$  be the set of isomorphism classes of elements in  $Vec(B, F; S)$  as  $G$  varieties. Let  $Aut(B)^G$  be the group of  $G$  variety automorphisms of  $B$ .

The group  $Aut(B)^G$  acts on  $VEC(B, F; S)$  by taking pull back bundles and the trivial element in  $VEC(B, F; S)$  is fixed under the action. One easily sees that the natural map from  $VEC(B, F; S)$  to  $VAR(B, F; S)$  factors through the map

$$VEC(B, F; S) / Aut(B)^G \rightarrow VAR(B, F; S).$$

This map is often (but not always) bijective ([11]). We recall a sufficient condition for the above map to be bijective.

**DEFINITION 1.5.** Let  $E_1, E_2 \in Vec(B, F; S)$  and let  $f: E_1 \rightarrow E_2$  be a  $G$  variety isomorphism. We say that  $f$  maps  $B$  as graph if the composition  $pfs: B \rightarrow B$  is

in  $Aut(B)^G$ , where  $p: E_2 \rightarrow B$  is the projection and  $s: B \rightarrow E_1$  is the zero-section.

**Theorem 1.6** ([11]). *Suppose that any  $G$  variety isomorphism between elements in  $Vec(B,F;S)$  maps  $B$  as graph. Then the natural map:  $VEC(B,F;S) \rightarrow VAR(B,F;S)$  induces a bijection*

$$VEC(B,F;S) / Aut(B)^G \cong VAR(B,F;S).$$

*In particular, if  $E \in Vec(B,F;S)$  is non-trivial, then the  $G$  action on  $E$  is non-linearizable.*

## 2. Non-trivial $O(2, \mathbb{R})$ vector bundles

In this section we show that  $VEC(B,F;S)$  can be non-trivial. Let  $O(2, \mathbb{R})$  be the real orthogonal group. We identify it with  $S^1 \times \mathbb{Z}_2$ . Define a two dimensional real  $O(2, \mathbb{R})$  module  $W_n = \{(a, \bar{a}); a \in \mathbb{C}\}$  ( $n \in \mathbb{N}$ ) as follows (here  $\bar{a}$  denotes the complex conjugate of  $a$ ). For  $g \in S^1$  and  $1 \neq J \in \mathbb{Z}_2$ , the representation map is defined by

$$g \mapsto \begin{pmatrix} g^n & 0 \\ 0 & \bar{g}^n \end{pmatrix}, \quad J \mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

**Theorem 2.1.** *There exists a bijection:  $VEC(W_1, W_m; \mathbb{R}) \cong \mathbb{R}^{m-1}$ .*

In order to prove this theorem, we use Theorem 1.3. We first calculate  $sur(W_m \oplus \mathbb{R}, \mathbb{R})$  and  $aut(W_m \oplus \mathbb{R})$ .

**Lemma 2.2.** (1) *Any surjection  $L \in sur(W_m \oplus \mathbb{R}, \mathbb{R})$  is of the following form on the fiber over  $(a, \bar{a}) \in W_1$ ;*

$$L(a, \bar{a}) = (f \bar{a}^m, f a^m, h),$$

where  $f, h$  are relatively prime polynomials of  $t = |a|^2$  with real coefficients and  $h(0) \neq 0$ .

(2) *Any automorphism  $\tau \in aut(W_m \oplus \mathbb{R})$  is of the following form on the fiber over  $(a, \bar{a}) \in W_1$ ;*

$$\tau(a, \bar{a}) = \begin{pmatrix} u & a^{2m}l & a^m s \\ \bar{a}^{2m}l & u & \bar{a}^m s \\ \bar{a}^m r & a^m r & w \end{pmatrix},$$

where  $u, w, l, r, s$  are polynomials of  $t = |a|^2$  and  $u, w$  are congruent to non-zero constants modulo  $t^m$ .

**Proof.** (1)  $L$  is linear relative to each coordinate of  $W_m$  and  $\mathbb{R}$ , so one can write

$$L(a, \bar{a}) = (L_1(a, \bar{a}), L_2(a, \bar{a}), L_3(a, \bar{a})),$$

where  $L_i$  is a polynomial for  $i=1,2,3$ . The  $S^1$  equivariance of  $L$  means that

$$L_1(ga, \overline{ga}) = \bar{g}^m L_1(a, \bar{a}), \quad L_2(ga, \overline{ga}) = g^m L_2(a, \bar{a}), \quad L_3(ga, \overline{ga}) = L_3(a, \bar{a}).$$

An elementary computation shows that these imply

$$L_1(a, \bar{a}) = f_1(t) \bar{a}^m, \quad L_2(a, \bar{a}) = f_2(t) a^m, \quad L_3(a, \bar{a}) = h(t)$$

for some polynomials  $f_1, f_2$  and  $h$  with real coefficients. The  $Z_2$  equivariance shows that  $f_1$  coincides with  $f_2$ , which we denote by  $f$ . The property that  $f$  and  $h$  are relatively prime follows from the existence of a splitting of  $L$  and that  $h(0)$  is non-zero follows from the surjectivity of  $L$ .

(2) Because of  $O(2, \mathbf{R})$  equivariance, one can check that  $\tau$  is of the form in the statement. Since  $\tau$  is an automorphism,

$$\det(\tau(a, \bar{a})) = (u - t^m l)(uw - 2t^m rs + t^m lw)$$

must be a unit polynomial, which is a non-zero constant. So each factor at the right hand side is also a non-zero constant. It follows that  $u$  and  $uw$  are congruent to non-zero constants modulo  $t^m$ , hence so is  $w$ . □

NOTATION. Let  $L_{f,h}$  denote  $L$  in Lemma 2.2 (1) and  $E(f, h)$  denote the kernel of  $L_{f,h}$ . We abbreviate  $E(1, h)$  as  $E(h)$ . Then the vector bundle  $E(h)$  (with the obvious projection on  $W_1$ ) is written as follows;

$$E(h) = \{(a, \bar{a}, x, \bar{x}, z) \in W_1 \times W_m \times \mathbf{R}; \bar{a}^m x + a^m \bar{x} + h(t)z = 0\}.$$

Note that if  $h$  is a non-zero constant,  $E(h)$  is isomorphic to  $W_m$  through the correspondence  $(a, \bar{a}, x, \bar{x}, z) \mapsto (a, \bar{a}, x, \bar{x})$ .

**Lemma 2.3.** *There are three vector bundle isomorphisms.*

- (1)  $E(f, h) \cong E(f, h/h(0))$ .
- (2)  $E(f, h) \cong E(h)$ .
- (3)  $E(h_1) \cong E(h_2)$  if and only if there is a non-zero constant  $c$  such that  $h_1 \equiv ch_2$  modulo  $t^m$ .

Proof. (1)  $(x, \bar{x}, z) \mapsto (x, \bar{x}, h(0)z)$  is the required isomorphism.

(2) By Theorem 1.3 and Lemma 2.2 (2), it suffices to show the existence of polynomials  $u, w, l, r, s$  such that

$$(\bar{a}^m \ a^m \ h) = (f \bar{a}^m \ f a^m \ h) \begin{pmatrix} u & a^{2m} l & a^m s \\ \bar{a}^{2m} l & u & \bar{a}^m s \\ \bar{a}^m r & a^m r & w \end{pmatrix}$$

and that the determinant of the above  $3 \times 3$  matrix is a non-zero constant. Choose polynomials  $\xi$  and  $\eta$  of  $t$  such that  $f\xi + h\eta = 1$  (this is possible since  $f$  and  $h$  are

relatively prime by Lemma 2.2 (1) and polynomials  $r'$  and  $r''$  of  $t$  such that  $hr' = (1-f) - t^m r''$  (this is possible since  $h(0) \neq 0$  by Lemma 2.2 (1)). Then one can check that

$$u = 1 + t^m l, \quad w = 1 - 2t^m f l, \quad s = h l, \quad l = \xi r'' / 2, \quad r = r' + t^m \eta r''$$

satisfies the required conditions.

(3) If  $E(h_1) \cong E(h_2)$  there is  $\tau \in \text{aut}(W_m \oplus R)$  such that  $L_{1,h_1} = L_{1,h_2} \circ \tau$ , i.e.

$$(\bar{a}^m \ a^m \ h_1) = (\bar{a}^m \ a^m \ h_2) \begin{pmatrix} u & a^{2m} l & a^m s \\ \bar{a}^{2m} l & u & \bar{a}^m s \\ \bar{a}^m r & a^m r & w \end{pmatrix},$$

where the determinant of the above  $3 \times 3$  matrix is a non-zero constant. Hence  $h_1 = h_2 w + 2t^m s$ . Since  $w$  is a non-zero constant modulo  $t^m$  by Lemma 2.2 (2), the necessity is clear. Conversely if  $h_1 = ch_2 + t^m h_0$  for some polynomial  $h_0$  of  $t$ , then  $\tau \in \text{aut}(W_m \oplus R)$  defined by

$$\tau(a, \bar{a}) = \begin{pmatrix} 1 & 0 & a^m h_0 / 2 \\ 0 & 1 & \bar{a}^m h_0 / 2 \\ 0 & 0 & c \end{pmatrix}$$

is the isomorphism between  $E(h_1)$  and  $E(h_2)$ . □

Proof of Theorem 2.1. By Theorem 1.3 and Lemma 2.2 (1), any element in  $VEC(W_1, W_m; R)$  is of the form  $[E(f, h)]$ , where  $[ \ ]$  denotes the isomorphism class. Then Lemma 2.3 implies that the correspondence

$$R^{m-1} \ni (a_1, \dots, a_{m-1}) \mapsto [E(h)],$$

where  $h(t) = 1 + a_1 t + \dots + a_{m-1} t^{m-1}$ , gives the bijection. □

### 3. Complexification

In this section, we assume that  $G$  is a real algebraic group and  $B, F, S$  are real  $G$  modules. We first define the complexification of real affine varieties and algebraic maps and prove some properties.

**DEFINITION 3.1.** Let  $X (\subset R^n)$  be a real affine variety and let  $I(X)$  be the ideal of polynomial maps from  $R^n$  to  $R$  which vanish on  $X$ . We define the complex affine variety  $X_C$  to be the common zeros of all the elements in  $I(X)$  regarded as maps from  $C^n$  to  $C$ , and we call  $X_C$  the *complexification* of  $X$ .

Here are some elementary properties about the complexification.

**Proposition 3.2.** (1) *Let  $I(X_C)$  be the ideal of polynomial maps from  $C^n$  to  $C$  which vanish on  $X_C$ . Then  $I(X_C) = I(X) \otimes C$ .*

(2)  $(X \times Y)_C = X_C \times Y_C$ .

(3) *Any algebraic map  $f: X \rightarrow Y$  extends to a unique algebraic map  $f_C: X_C \rightarrow Y_C$ .*

Proof. (1) It is clear that  $I(X_C) \supset I(X) \otimes C$  by definition. We prove the opposite inclusion. For  $f \in I(X_C)$ , we express  $f = f_1 + if_2$ , where  $f_1$  and  $f_2$  are polynomials with real coefficients. Then  $f_1|_X + if_2|_X = f|_X = 0$ , so  $f_1$  and  $f_2$  are in  $I(X)$ . This means that  $I(X_C) \subset I(X) \otimes C$ .

(2) The ideal  $I(X \times Y)$  is generated by the elements  $f_i h_s$ , where  $f_i \in I(X)$  and  $h_s \in I(Y)$ . This together with (1) shows that the ideal  $I((X \times Y)_C)$  is generated by the elements  $\tilde{f}_i \tilde{h}_s$ , where  $\tilde{f}_i \in I(X_C)$  and  $\tilde{h}_s \in I(Y_C)$ . This implies (2).

(3) Suppose  $X \subset R^n$  and  $Y \subset R^m$  and let  $F: R^n \rightarrow R^m$  be an extension of  $f$ . We regard  $F$  as a map from  $C^n$  to  $C^m$ . One easily checks that  $F$  maps  $X_C$  to  $Y_C$ . Therefore  $F|_{X_C}: X_C \rightarrow Y_C$  is an extension of  $f$ . Now we prove the uniqueness. Suppose that two maps  $f_1, f_2: X_C \rightarrow Y_C$  are extensions of  $f$ . Let  $F_j: C^n \rightarrow C^m$  be an extension of  $f_j$  ( $j=1,2$ ). Then  $F_1 - F_2$  is algebraic and vanishes on  $X$ . Therefore  $F_1 - F_2$  vanishes on  $X_C$  by (1). Hence  $f_1 - f_2 = (F_1 - F_2)|_{X_C} = 0$ , i.e.  $f_1 = f_2$ . □

We call  $f_C$  the *complexification* of  $f$ . By Proposition 3.2, we obtain the following.

**Corollary 3.3.** (1) *The complexification of a real algebraic group is a complex algebraic group.*

(2) *If  $G$  is a real algebraic group and  $X$  is a real  $G$  variety,  $X_C$  is a complex  $G_C$  variety.*

(3) *If  $X$  and  $Y$  are real  $G$  varieties and  $f: X \rightarrow Y$  is  $G$  equivariant, then  $f_C: X_C \rightarrow Y_C$  is  $G_C$  equivariant.*

(4) *If  $f: X \rightarrow Y$  and  $h: Y \rightarrow Z$  are algebraic  $G$  maps between real  $G$  varieties, then  $(f \circ h)_C = f_C \circ h_C$ .*

Now we define a complexification of elements in  $VEC(B, F; S)$  and an involution on  $VEC(B_C, F_C; S_C)$ . Note that the usual complexification of vector bundles means to complexify only fibers, but our definition means to complexify also base space. Let  $L$  be an element in  $sur(F \oplus S, S)$ . The map  $L_C: (F \oplus S)_C \rightarrow S_C$  is  $G_C$  equivariant and has a splitting because if  $P$  is an algebraic  $G$  splitting of  $L$  then  $P_C$  is an algebraic  $G_C$  splitting of  $L_C$ . Hence  $L_C$  is in  $sur((F \oplus S)_C, S_C)$ . Let  $L'$  be another element of  $sur(F \oplus S, S)$ . If  $L' = L \circ \tau$  for some  $\tau \in aut(F \oplus S)$ , then  $L'_C = L_C \circ \tau_C$  and  $\tau_C \in aut((F \oplus S)_C)$ . Therefore the following definition makes sense, i.e. it does not depend on the choice of  $L$ .

DEFINITION 3.4. Let  $[E] \in VEC(B, F; S)$  and let  $L \in sur(F \oplus S, S)$  represent  $E$ , i.e.

$E = \ker L$ . Then we define the *complexification* of  $[E]$  by  $[\ker L_C] \in \text{VEC}(B_C, F_C; S_C)$ .

Let  $X(\subset \mathbf{R}^n)$  be a real  $G$  variety. For  $x \in X_C (\subset \mathbf{C}^n)$ , the complex conjugation  $\bar{x}$  is also in  $X_C$  since  $f(\bar{x}) = 0$  for any  $f \in I(X)$ . Hence  $X_C$  has an involution defined by  $x \mapsto \bar{x}$ . Similarly,  $G_C$  has an involution. Since the action map:  $G \times X \rightarrow X$  is real algebraic, we have  $\overline{g \cdot x} = \bar{g} \cdot \bar{x}$  for any  $g \in G_C$  and  $x \in X_C$ .

DEFINITION 3.5. For  $L \in \text{sur}((F \oplus S)_C, S_C)$ , we define  $\bar{L}: (F \oplus S)_C \rightarrow S_C$  by

$$\bar{L}(b, f, s) = \overline{L(\bar{b}, \bar{f}, \bar{s})}.$$

One can check that  $\bar{L}$  is in  $\text{sur}((F \oplus S)_C, S_C)$ . So the correspondence  $L \mapsto \bar{L}$  induces an involution on  $\text{VEC}(B_C, F_C; S_C)$ . Since  $\overline{L_C} = L_C$  for  $L \in \text{sur}(F \oplus S, S)$ , the complexification in Definition 3.4 induces a map

$$c_b: \text{VEC}(B, F; S) \rightarrow \text{VEC}(B_C, F_C; S_C)^{Z_2}.$$

We ask

**Complexification problem (vector bundle case).** *Is the above map  $c_b$  bijective?*

We turn to the complexification of actions. Let  $ACT(G, \mathbf{R}^n)$  (resp.  $ACT(G_C, \mathbf{C}^n)$ ) be the set of the equivalence classes of real algebraic  $G$  actions on  $\mathbf{R}^n$  (resp. complex algebraic  $G_C$  actions on  $\mathbf{C}^n$ ), where the equivalence relation is defined by  $G$  variety (resp.  $G_C$  variety) isomorphism. By the complexification of real  $G$  varieties, we obtain a map

$$c_a: ACT(G, \mathbf{R}^n) \rightarrow ACT(G_C, \mathbf{C}^n).$$

**Complexification problem (action case).** *Is the above map injective?*

We deal with these problems in the next section.

#### 4. Non-linearizable actions and the complexification problems

We first classify the elements in  $\text{Vec}(W_1, W_m; \mathbf{R})$  as  $O(2, \mathbf{R})$  varieties, i.e. we calculate  $\text{VAR}(W_1, W_m; \mathbf{R})$ . We show that the assumption of Theorem 1.6 is satisfied.

**Lemma 4.1.** *Any  $O(2, \mathbf{R})$  variety isomorphism between elements in  $\text{Vec}(W_1, W_m; \mathbf{R})$  maps  $W_1$  as graph.*

Proof. Let  $E_1, E_2$  be elements in  $\text{Vec}(W_1, W_m; \mathbf{R})$  and  $f: E_1 \rightarrow E_2$  be an  $O(2, \mathbf{R})$  variety isomorphism. We show that  $pfs$  is in  $\text{Aut}(W_1)^{O(2, \mathbf{R})}$ , where  $p: E_2 \rightarrow W_1$  is the projection and  $s: W_1 \rightarrow E_1$  is the zero-section. Take the complexification

$f_C : (E_1)_C \rightarrow (E_2)_C$ , which is an  $O(2, \mathbb{C})$  variety isomorphism. According to [11],  $f_C$  maps  $(W_1)_C$  as graph, in fact,  $p_C f_C s_C : (W_1)_C \rightarrow (W_1)_C$  is a non-zero scalar multiplication. We recall the proof. The map  $f_C s_C$  is  $O(2, \mathbb{C})$  equivariant, so it is of the form

$$(W_1)_C \ni (a, b) \mapsto (af_0, bf_0, a^m h_0, b^m h_0, k_0),$$

where  $f_0, h_0$  and  $k_0$  are polynomials of  $t = ab$ . If  $f_0$  is not a non-zero constant,  $f_0$  has some zero  $t_0$ . Let  $\zeta$  be a primitive  $m$ -th root of 1. Then  $f_C s_C$  maps  $(t_0, 1)$  and  $(\zeta t_0, \zeta^{-1})$  to the same element  $(0, 0, a^m h_0(t_0), b^m h_0(t_0), k_0(t_0))$ , which contradicts to the injectivity of  $f_C s_C$ . Hence  $f_0$  must be a non-zero constant. Finally since  $p_C f_C s_C$  is the complexification of  $p f s$ , it preserves  $W_1$ . This proves that  $p f s \in \text{Aut}(W_1)^{O(2, \mathbb{R})}$ . □

We can check  $\text{Aut}(W_1)^{O(2, \mathbb{R})} = \mathbb{R}^*$  using the  $O(2, \mathbb{R})$  equivariance. Suppose that  $E(h_1)$  is isomorphic to  $E(h_2)$  as  $O(2, \mathbb{R})$  varieties. Then  $E(h_1)$  is isomorphic to  $c^* E(h_2)$  as  $O(2, \mathbb{R})$  vector bundles for some  $c \in \text{Aut}(W_1)^{O(2, \mathbb{R})} = \mathbb{R}^*$  by Theorem 1.6 and Lemma 4.1. The fiber of  $c^* E(h_2)$  over  $(a, \bar{a})$  is the set of points satisfying the equation;  $c^m(\bar{a}^m x + a^m \bar{x}) + h_2(c^2 t)z = 0$ . Then

$$\begin{aligned} c^* E(h_2) &= \{(a, \bar{a}, x, \bar{x}, z); c^m(\bar{a}^m x + a^m \bar{x}) + h_2(c^2 t)z = 0\} \\ &\cong \{(a, \bar{a}, x, \bar{x}, z); \bar{a}^m x + a^m \bar{x} + h_2(c^2 t)z = 0\} \end{aligned}$$

by Lemma 2.3 (1). Hence  $h_1(t)$  is congruent to  $h_2(c^2 t)$  modulo  $t^m$  by Lemma 2.3 (3) and we obtain the following bijection.

**Theorem 4.2.**  $\text{VAR}(W_1, W_m; \mathbb{R}) \cong \mathbb{R}^{m-1} / \mathbb{R}^*$ , where the  $\mathbb{R}^*$  action on  $\mathbb{R}^{m-1}$  is defined as follows. For  $c \in \mathbb{R}^*$  and  $(a_1, \dots, a_{m-1}) \in \mathbb{R}^{m-1}$ ,

$$(a_1, \dots, a_{m-1}) \xrightarrow{c} (c^2 a_1, c^4 a_2, \dots, c^{2(m-1)} a_{m-1}).$$

Proof of the Theorem (in introduction). By Theorem 1.6, it suffices to show that the set  $\text{VAR}(W_1, W_m; \mathbb{R})$  can be continuous density, but Theorem 4.2 says that the case  $m \geq 3$  satisfies this condition. □

Next we apply the complexification defined in section 3 to the  $O(2, \mathbb{R})$  case. We recall Schwarz's [17] and Masuda-Petrie's [11] results in the complex category. Here  $O(2, \mathbb{C}) = \mathbb{C}^* \times \mathbb{Z}_2$  and its action on  $(W_m)_C = \{(a, b) \in \mathbb{C}^2\}$  is defined as follows. For  $g \in \mathbb{C}^*, 1 \neq J \in \mathbb{Z}_2$  and  $(a, b) \in (W_m)_C$ ,

$$(a, b) \xrightarrow{g} (g^m a, g^{-m} b) \quad (a, b) \xrightarrow{J} (b, a).$$

**Theorem 4.3** ([11],[17]).  $\text{VEC}((W_1)_C, (W_m)_C; \mathbb{C}) \cong \mathbb{C}^{m-1}$ , where the correspon-

dence is defined similarly to Theorem 2.1.

**Theorem 4.4** ([11]).  $VAR((W_1)_C, (W_m)_C; C) \cong C^{m-1} / C^*$ , where the  $C^*$  action on  $C^{m-1}$  is defined similarly to Theorem 4.2.

We study the involution on  $VEC((W_1)_C, (W_m)_C; C)$ . Any element of  $VEC((W_1)_C, (W_m)_C; C)$  is represented by  $L \in sur((W_m \oplus R)_C, C)$  of the form;

$$L(a, b, x, y, z) = b^m x + a^m y + f(t)z,$$

where  $t = ab$  and  $f$  is a polynomial with real coefficients. Then

$$\bar{L}(a, b, x, y, z) = \overline{L(\bar{a}, \bar{b}, \bar{x}, \bar{y}, \bar{z})} = b^m x + a^m y + \bar{f}(t)z,$$

where  $\bar{f}$  is a polynomial whose coefficients are complex conjugate of those of  $f$ . So the involution on  $VEC((W_1)_C, (W_m)_C; C)$  coincides with the complex conjugate on  $C^{m-1}$  through the bijection in Theorem 4.3. This together with Theorem 2.1 shows that the complexification map

$$c_b : VEC(W_1, W_m; R) \rightarrow VEC((W_1)_C, (W_m)_C; C)^{Z_2}$$

is bijective.

Now we turn to the case of actions. Remember that we have the complexification map

$$c_a : ACT(O(2, R), R^4) \rightarrow ACT(O(2, C), C^4).$$

The sets  $VAR(W_1, W_m; R)$  and  $VAR((W_1)_C, (W_m)_C; C)$  are subsets of  $ACT(O(2, R), R^4)$  and  $ACT(O(2, C), C^4)$  respectively and  $c_a$  maps  $VAR(W_1, W_m; R)$  into  $VAR((W_1)_C, (W_m)_C; C)$ . Through the bijections in Theorems 4.2 and 4.4, one can see that the map  $c_a$  restricted to  $VAR(W_1, W_m; R)$  is nothing but the map from  $R^{m-1} / R^*$  to  $C^{m-1} / C^*$  induced from the natural inclusion  $R^{m-1} \subset C^{m-1}$ . An elementary observation shows that the map from  $R^{m-1} / R^*$  to  $C^{m-1} / C^*$  is not injective, in fact, the inverse image of an element in  $C^{m-1} / C^*$  consists of one or two elements. This gives a negative answer to the complexification problem in the action case. However  $c_a^{-1}([0]) = [0]$ , where  $[0]$  denotes the element in  $R^{m-1} / R^*$  or  $C^{m-1} / C^*$  represented by 0. Since  $[0]$  corresponds to a linear action, we pose

**Weak complexification problem.** *If the complexification of a real algebraic action on  $R^n$  is linearizable, then is the action itself linearizable?*

**Appendix**

We give an explicit description of a non-linearizable real algebraic  $O(2, R)$  action on  $R^4$  obtained from Theorem 4.2. For example, we take  $E(1 - t^2) \in Vec(W_1, W_4; R)$ .

The following (nonequivariant) algebraic vector bundle automorphism of  $W_4 \oplus \mathbb{R}$  gives a trivialization of  $E(1-t^2) \cong W_4 \subset W_4 \oplus \mathbb{R}$ .

$$\tau(a, \bar{a}) = \begin{matrix} 1+it \\ 0 \\ a^{-4} \end{matrix} \begin{pmatrix} 0 & -a^4/2 \\ 1-it & -a^{-4}/2 \\ a^4 & 1-t^2 \end{pmatrix} .$$

We define  $\sigma: \mathbb{R}^4 \rightarrow W_4$  by  $(a, b, x, y) \mapsto (a+ib, a-ib, x+iy, x-iy)$ . Then it suffices to calculate the correspondence of the composition map in the following;

$$\mathbb{R}^4 \xrightarrow{\sigma} W_4 \xrightarrow{\tau^{-1}} E(1-t^2) \xrightarrow{\text{action}} E(1-t^2) \xrightarrow{\tau} W_4 \xrightarrow{\sigma^{-1}} \mathbb{R}^4 .$$

It turns out that the actions on  $\mathbb{R}^4$  of  $g = \cos \theta + i \sin \theta \in S^1$  and  $1 \neq J \in \mathbb{Z}_2$  ( $\subset O(2, \mathbb{R})$ ) are as follows.

$$\begin{aligned} \left( \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} x \\ y \end{pmatrix} \right) &\xrightarrow{g} \left( \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} \cos 4\theta & -\sin 4\theta \\ \sin 4\theta & \cos 4\theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \right) \\ \left( \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} x \\ y \end{pmatrix} \right) &\xrightarrow{J} \left( \begin{pmatrix} a \\ -b \end{pmatrix}, \begin{pmatrix} -f_2 t + 2t^4 - 2t^2 + 1 & f_1 t + t^5 - 2t^3 + 2t \\ -f_1 t + t^5 - 2t^3 + 2t & -f_2 t - 2t^4 + 2t^2 - 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \right), \end{aligned}$$

where  $t = a^2 + b^2$ , and  $f_1, f_2$  are polynomials of  $a, b$  with the real coefficients such that  $(a+ib)^8 = f_1 + if_2$ .

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