Kasue, A Osaka J. Math. **32** (1995), 677-688

# CONVERGENCE OF RIEMANNIAN MANIFOLDS AND ALBANESE TORI

**ATSUSHI KASUE\*** 

(Received July 19, 1993))

# 0. Introduction

The purpose of this note is to prove the convergence of the Albanese tori of compact Riemannian manifolds which collapse to a lower dimensional space while keeping their curvatures and diameters bounded.

Given a compact Riemannian manifold M, we denote by  $H^1(M)$  the space of harmonic one-forms on M equipped with an inner product  $\langle , \rangle$  defined by

$$\langle \omega, \eta \rangle = \int_{M} (\omega, \eta) d\mu_{M},$$

where  $\mu_M$  stands for the normalized Riemannian measure of M with unit mass,  $\mu_M = d \operatorname{vol}_M/\operatorname{Vol}(M)$ . Let  $H^1(M)_Z$  be a lattice of  $H^1(M)$  which consists of harmonic one-forms of integral periods. Dividing the dual space  $H^1(M)^*$  by the dual lattice  $H^1(M)_Z^*$ , we obtain a flat torus, called the Albanese torus of M,

$$\mathcal{A}(M) = H^{1}(M)^{*}/H^{1}(M)^{*}_{Z}$$

We may view  $\mathcal{A}(M)$  as a map of the set of isometry classes of compact Riemannian manifolds M onto that of flat tori.

Given a positive integer m, a nonnegative number x and a positive one D, we write  $\mathscr{S}(m,x,D)$  for the set of isometry classes of compact Riemmanian m-manifolds M such that the Ricci curvature of M is bounded from below by  $-(m-1)x^2$  and the diameter of M is bounded from above by D. Then according to Gromov [7], for a Riemannian manifold M in  $\mathscr{S}(m, x, D)$ , the dimension of the Albanese torus  $\mathscr{A}(M)$ , namely, the first Betti number  $b_1(M)$ , has an upper bound depending only on the dimension m of M and xD. Using this, we shall show the following

<sup>\*</sup>partly supported by Grant-in-Aid for Scientific Research, The Ministry of Education, Science and Culture, Japan.

### A. KASUE

**Theorem 1.** Given  $m \in \mathbb{Z}^+$ ,  $x \ge 0$  and  $D \ge 0$ , there is a constant C depending only on m and xD such that

$$\operatorname{diam}(\mathcal{A}(M)) \leq C \operatorname{diam}(M)$$

for any M in  $\mathcal{S}(m, x, D)$ .

We would like to ask if the convergence of compact Riemannian manifolds in  $\mathscr{S}(m, \varkappa, D)$  with respect to the spectral distance would imply the convergence of their Albanese tori. Here we recall the definition of a *spectral distance* between two compact Riemannian manifolds which was introduced in [9]. Given two compact Riemannian manifolds M and N, a mapping  $f: M \rightarrow N$  is called an  $\varepsilon$ -spectral approximation if

$$e^{-(1/t+t)}|p_M(t, x, y)-p_N(t, f(x), f(y))| < \varepsilon$$

for all t > 0, and for all points x, y of M, where  $p_M(t, x, y)$  and  $p_N(t, u, v)$  denote respectively the heat kernel of M in  $L^2(M, \mu_M)$  and that of N in  $L^2(N, \mu_N)$ . The spectral distance, SD(M, N), between M and N is by definition the lower bound of the positive numbers  $\varepsilon$  such that there exist  $\varepsilon$ -spectral approximations  $f: M \to N$ and  $h: N \to M$ . According to [9], we know that (i) the metric space ( $\mathscr{A}(m, \varkappa, D)$ , SD) is precompact; (ii) the eigenvalues and eigenfunctions of compact Riemannian manifolds in  $\mathcal{S}(m, x, D)$  are continuous with respect to the spectral distance in a certain sense; (iii) the topology on  $\mathscr{S}(m, x, D)$  induced by the spectral distance is finer than that of measured Hausdorff convergence introduced by Fukaya [3] and hence that of the Gromov-Hausdorff distance. Moreover if we denote by  $\mathcal{H}(m, x, D)$  the set of isometry classes of compact Riemmanian *m*-manifolds such that the sectional curvatures are bounded by  $x^2$  in the absolute values and the diameters are not greater than D, then the topologies of the spectral distance and the measured Hausdorff convergence coincide on this set  $\mathcal{H}(m, x, D)$ . See [3], [8] and [9] for details. We note also that the spectral distance and the Gromov-Hausdorff distance induce the same topology on the set of flat tori.

The following theorem shows that the above question is affirmative if we restrict ourselves to the class  $\mathcal{H}(m, x, D)$  for given numbers m, x, and D.

**Theorem 2.** Let  $\{M_i\}$  be a sequence in  $\mathcal{H}(m, x, D)$  which converges with respect to the spectral distance. Then the Albanese torus  $\mathcal{A}(M_i)$  converges to a flat torus  $\mathcal{A}_{\infty}$  of dimension n with  $0 \le n \le \liminf_{i \to \infty} b_1(M_i)$ .

Here in our convention,  $\mathcal{A}_{\infty}$  stands for a point when n=0. We remark also that under the assumption of this theorem, we are able to show the convergence of the Albaness maps in a certain sense. See Section 3 for details.

The proofs of Theorems 1 and 2 are respectively given in Sections 2 and 3. For the latter, we shall basically make use of some results in [8]. In this sense, the

present paper is a continuation of [8].

# 1. Albanese Tori

In this section, we shall consider a compact Riemannian manifold M endowed with a certain measure  $\mu$  and define the Albanese torus and the Albanese map of such a pair  $(M, \mu)$  (cf. [10], [11]).

Let  $M = (M, g_M)$  be a compact Riemannian manifold of dimension m and  $\mu$ a measure on M with smooth density function  $\chi > 0$ . A one-form  $\omega$  on M is said to be  $\mu$ -harmonic if  $\omega$  is closed and co-closed with respect to  $\mu$ , namely,  $d\omega = 0$  and

$$\delta_{\mu}\omega := -\operatorname{trace}(\nabla \omega) - \omega(\nabla \log \chi) = 0.$$

In other words,  $\mu$ -harmonic one-form  $\omega$  can be expressed locally as the differential of an  $L_{\mu}$ -harmonic function f,  $\omega = df$ . Here a smooth function f defined on an open set in M is called an  $L_{\mu}$ -harmonic function if

$$L_{\mu}f:=\frac{1}{\chi}\operatorname{div}(\chi \nabla f)=\varDelta f+\nabla \log \chi \cdot f=0.$$

We denote by  $H^1(M, \mu)$  the space of  $\mu$ -harmonic one-forms on M and by  $H^1(M, \mu)_z$  the lattice of  $H^1(M, \mu)$  which consists of one-forms with integral periods. The vector space  $H^1(M, \mu)$  is endowed with an  $L^2$  inner product  $\langle , \rangle$  defined by

$$\langle \omega, \eta \rangle_{\mu} = \int_{M} (\omega, \eta) d\mu.$$

The norm of  $\omega \in H^1(M, \mu)$  is denoted by  $\|\omega\|_{\mu}$ .

Similarly, given a compact Riemannian manifold N, we say a smooth mapping  $\psi$  of M into N is  $\mu$ -harmonic if it satisfies

$$\tau(\psi) + d\psi(\nabla \log \chi) = 0,$$

where  $\tau(\phi)$  stands for the tension field of the mapping  $\phi$ . A  $\mu$ -harmonic mapping  $\phi$  is a stationary point of the energy functional

$$E_{\mu}(\psi) = \int_{M} e(\psi) d\mu.$$

When N is a circle of length 1, N=R/Z, we write  $\mathcal{H}(M, \mu; R/Z)$  for the set of  $\mu$ -harmonic mappings of M into R/Z, which forms an additive group in an obvious manner. Since the derivative  $d\phi$  of a smooth mapping  $\phi$  of M into R/Z may be considered as an integral one-form on L, we have a natural surjective homomorphism d of  $\mathcal{H}(M, \mu; R/Z)$  onto  $H^1(M, \mu)_Z$  whose kernel is the set of constant mappings  $\theta \in R/Z$ . We note that the homomorphism d preserves the norms in the sense that

$$E_{\mu}(\psi) = \langle d\psi, d\psi \rangle_{\mu}$$

for  $\psi \in \mathcal{H}(M, \mu; R/Z)$ .

The Albanese torus  $\mathcal{A}(M, \mu)$  of a pair  $(M, \mu)$  is by definition a flat torus derived from dividing the dual space  $H^1(M, \mu)^*$  by the dual lattice  $H^1(M, \mu)^*_z$ ,

$$\mathcal{A}(M, \mu) = H^{1}(M, \mu)^{*}/H^{1}(M, \mu)^{*}_{Z}$$

Let  $\widetilde{M}$  be the universal covering of M and  $\pi$  the projection of  $\widetilde{M}$  onto M. If we fix a point p of M and take a point  $\widetilde{p}$  of  $\widetilde{M}$  with  $\pi(\widetilde{p})=p$ , then we have a map  $\widetilde{J}_{M,\mu}$  of  $\widetilde{M}$  into the dual space  $H^1(M, \mu)^*$  defined by

$$\widetilde{J}_{M,\mu}(\widetilde{x})(\omega) = \int_{\widetilde{p}}^{\widetilde{x}} \pi^* \omega.$$

This map induces a  $\mu$ -harmonic map  $J_{M,\mu}$  of M into  $\mathcal{A}(M, \mu)$  (with  $J_{M,\mu}(p)=0$ ). We call  $J_{M,\mu}$  the Albanese map of a pair  $(M, \mu)$ .

Let  $\Omega = \{\omega_1, \ldots, \omega_r\}$  be a basis of  $H^1(M, \mu)_Z$  and  $\Omega^* = \{\omega_1^*, \ldots, \omega_r^*\}$  the dual basis. Then a diffeomorphism  $T_{\Omega}$  of  $\mathcal{A}(M, \mu)$  onto  $R^r/Z^r$  is derived from a linear isomorphism of  $H^1(M, \mu)^*$  onto  $R^r$ :

$$\theta_1 \omega_1^* + \cdots + \theta_r \omega_r^* \rightarrow (\theta_1, \ldots, \theta_r).$$

If we set a metric  $g_{\Omega}$  on  $R^r/Z^r$  by

$$g_{\Omega} = \sum_{\alpha,\beta=1,\cdots,r} \langle \omega_{\alpha}^*, \omega_{\beta}^* \rangle_{\mu} d\theta_{\alpha} d\theta_{\beta},$$

then  $T_{\mathcal{Q}}$  induces an isometry between  $\mathcal{A}(M, \mu)$  and  $(R^r/Z^r, g_{\mathcal{Q}})$ . Moreover if we take a  $\mu$ -harmonic map  $\psi_{\alpha} \colon M \to R/Z$  in such a way that

$$\psi_{\alpha}(p)=0, \quad \omega_{\alpha}=d\psi_{\alpha},$$

we see that

$$T_{\mathcal{Q}} \circ J_{M,\mu}(x) = (\psi_1(x), \ldots, \psi_r(x))$$

for  $x \in M$ . Here we remark that

$$E_{\mu}(J_{M,\mu})=b_1(M).$$

Given a pair  $(M, \mu)$ , we define a symmetric tensor  $Ric_{M,\mu}$  by

$$Ric_{M,\mu} = Ric_M - \frac{1}{\chi} \nabla^2 \chi (= Ric_M - d \log \chi \otimes d \log \chi - \nabla^2 \log \chi),$$

where  $Ric_{M}$  (resp.,  $\chi$ ) stands for the Ricci tensor of M (resp., the density function of  $\mu$ ,  $\mu = \chi d \operatorname{vol}_{M}$ ). Given m,  $\chi$  and D as before, we denote by  $\mathscr{S}_{w}^{*}(m, \chi, D)$  the set of equivalence classes of pairs  $(M, \mu)$  such that dim M = m, the diameter diam(M) of  $M \leq D$ ,  $\mu$  has unit mass, and  $Ric_{M,\mu} \geq -(m-1)\chi^{2}g_{M}$ . Here we say two pairs  $(M, \mu)$  and  $(N, \nu)$  are equivalent when there is an isometry  $f: M \to N$  which preserves the measures,  $f_{*}\mu = \nu$ . We remark that the spectral distance SD can be defined on the set of equivalence classes of pairs  $(M, \mu)$ . See [9] for some properties

of the metric space  $(\mathscr{S}^*_w(m, x, D), SD)$  as mentioned in Introduction.

In what follows, when  $\mu$  is the canonical Riemannian measure  $\mu_M$  with unit mass,  $\mu_M = d \operatorname{vol}/\operatorname{Vol}(M)$ , we omit to indicate the dependency of the measure  $\mu_M$  in some of the above notations (for example,  $\mathcal{A}(M)$  stands for  $\mathcal{A}(M, \mu_M)$ ).

# 2. Proof of Theorem 1

We recall first that there is a positive constant C' depending only on m and xD such that

(2.1) 
$$e(\psi) \leq C' E_{\mu_{\mathcal{M}}}(\psi)$$

for all  $\psi \in \mathcal{H}(M; R/Z)$ , because the energy density  $e(\psi)$  satisfies

$$\Delta e(\psi) \ge -2(m-1)x^2 e(\psi)$$

(cf. e.g., [8-a, §4]). In addition, we note that if  $\psi$  is not constant, the energy density  $e(\psi)$  must be greater than or equal to  $1/4 \operatorname{diam}(M)^2$  somewhere on M (otherwise, the distance between  $\psi(p)$  and  $\psi(q)$  in R/Z for any pair of points p, q of M would be less than 1/2, and hence the harmonic map  $\psi$  should be constant). Therefore we have

$$E_{\mu_M}(\phi) \ge \frac{1}{4C' \operatorname{diam}(M)^2}$$

for all nonconstant  $\psi \in \mathcal{H}(M; R/Z)$ ; in other words,

$$\langle \omega, \omega \rangle_{\mu_M} \ge \frac{1}{4C' \operatorname{diam}(M)^2}$$

for all nonzero  $\omega \in H^1(M)_z$ . This implies that the first eigenvalue  $\lambda_1(\mathcal{A}(M))$  is bounded from below by  $\pi^2/C' \operatorname{diam}(M)^2$ . On the other hand, we know that

$$\lambda_1(\mathscr{A}(M)) \leq \frac{C''}{\operatorname{diam}(\mathscr{A}(M))^2}$$

for some constant C'' depending only on the dimension of  $\mathcal{A}(M)$ , and hence on m and  $\mathcal{X}D$ , since

(2.2) 
$$b_1(M) \leq \frac{v_m(x^2, 5\operatorname{diam}(M))}{v_m(x^2, \operatorname{diam}(M))},$$

where  $v_m(x^2, r)$  stands for the volume of a metric ball in the simply connected space form of dimension *m* with constant curvature  $x^2$  (cf. [7]). Thus the assertion of Theorem 1 is clear. This completes the proof of Theorem 1.

Let  $(M, \mu)$  be a pair in  $\mathscr{S}_{w}^{*}(m, x, D)$ . Then it is not hard to see that the above submean value inequality (2.1) holds for any  $\mu$ -harmonic map  $\psi \in \mathscr{H}(M, \mu; R/Z)$ (cf. e.g., [8-a, §4]). Moreover it follows from the same reason as in deriving (2.2) that

$$b_1(M) \leq \frac{v_{m+1}(x^2, \operatorname{5diam}(M))}{v_{m+1}(x^2, \operatorname{diam}(M))},$$

because we have a Bishop-Gromov type inequality for the pair  $(M, \mu)$  (cf. [9, §2]). Thus Theorem 1 holds for  $(M, \mu)$ . Namely we have

**Theorem 1'.** Given m, x and D as before, there is a constant C' depending only on m and xD such that

$$\operatorname{diam}(\mathcal{A}(M, \mu)) \leq C' \operatorname{diam}(M).$$

for all  $(M, \mu) \in \mathscr{S}^*_w(m, \chi, D)$ .

REMARKS. (1) When x=0 in Theorem 1, the classical Bochner theorem says that any harmonic one-form is parallel, so that  $J_M$  is a Riemannian submersion with totally geodesic fibers and in particular the diameter of  $\mathcal{A}(M)$  is less than or equal to the diameter of M (C=1 in Theorem 1). This is also true for Theorem 1' (cf. [12]). (2) A slightly different proof of Theorem 1 is presented in [6].

## 3. Proof of Theorem 2

The proof of the theorem is divided into 4 steps and the same notations as in the preceding sections will be used.

**Step 1.** We shall start with recalling the notions of convergence of Gromov-Hausdorff distance and measured Hausdorff topology introduced by Gromov [7] and Fukaya [3] respectively. Given a sequence of compact Riemannian manifolds,  $\{M_i\}$ , we say that  $M_i$  converges to a compact matric space X with respect to the Gromov-Hausdorff distance, if there are a sequence of positive numbers  $\{\varepsilon_i\}$  with  $\lim_{i\to\infty} \varepsilon_i = 0$  and mappings  $f_i: M_i \to X$  such that the  $\varepsilon$ -neighborhood of  $f_i(M_i)$ covers X and  $|d_{M_i}(x, y) - d_X(f_i(x), f_i(y))| < \varepsilon$  for all x, y of  $M_i$ . Moreover we say that  $M_i = (M_i, \mu_{M_i})$  converges to a pair  $(X, \mu)$  of X and a Borel measure  $\mu$  on X with respect to the measured Hausdorff topology, if  $f_i$  are Borel measurable and the push-forward  $f_{i*}\mu_{M_i}$  of the normalized Riemannian measure  $\mu_{M_i}$  via  $f_i$  converges to  $\mu$  in the weak\* topology.

Let  $M_i$  be a sequence in  $\mathcal{H}(m, x, D)$  which converges to a compact metric space  $M_{\infty}$  with respect to the Gromov-Haussdorff distance. Then there is a smooth manifold  $F_{\infty}$  with metric of class  $C^{1,\alpha}$  (for any  $\alpha \in (0, 1)$ ), on which the orthogonal group O(m) acts by isometries in such a way that the quotient space  $F_{\infty}/O(m)$  is isometric to  $M_{\infty}$ . In fact,  $F_{\infty}$  is a limit of the set of the frame bundles  $FM_i$  of  $M_i$ equipped with a canonical metric so that the action of O(m) is isometric, the projection of  $FM_i$  onto  $M_i$  is a Riemannian submersion with totally geodesic fibers, and the sectional curvature of  $FM_i$  remains bounded uniformly in *i*. When  $M_{\infty}$  is smooth, there is a fibration  $\Phi_i: M_i \rightarrow M_{\infty}$  (for large *i*) and a sequence of

positive numbers  $\{\varepsilon_i\}$  with  $\lim_{i\to\infty} \varepsilon_i = 0$  satisfying

(1) for all  $z \in M_{\infty}$ , the diameter of  $\Phi_i^{-1}(z) \le \varepsilon_i$ ;

(2)  $\Phi_i$  is an  $\varepsilon_i$ -almost Riemannian submersion, that is, for all  $z \in M_{\infty}$ ,  $x \in \Phi_i^{-1}(z)$  and  $X \in T_x M_i$  normal to the fiber  $\Phi_i^{-1}(z)$ ,

$$(1-\varepsilon_i)|d\Phi_i(X)| \le |X| \le (1+\varepsilon_i)|d\phi_i(X)|;$$

(3) the second fundamental form of the submersion  $\Phi_i$  is bounded uniformly in *i*.

For these assertions, see [2], [4] and [5].

Now as in Theorem 2, we suppose that  $M_i$  converges to  $(M_{\infty}, \mu_{\infty})$  with respect to the spectral distance and hence the measured Hausdorff topology. Then we may assume that the push-forward  $\Phi_{i*}\mu_{M_i}$  of the canonical Riemannian measure  $\mu_{M_i}$  of  $M_i$  converges to  $\mu_{\infty}$  in the weak<sup>\*</sup> topology. In case  $M_{\infty}$  is smooth, the density function  $\chi_{\infty}$  of  $\mu_{\infty}$  is a positive function of class  $C^{1,a}$ . Moreover we may assume that the above submersion  $\Phi_i$  has the following property : for all smooth function h on  $M_{\infty}$ ,

(4) 
$$|\mathcal{\Delta}_{M_i} \Phi_i^*(h) - \Phi_i^*(L_{\mu_{\infty}} h)| \leq \varepsilon_i \Phi_i^*(|Ddh| + |dh|).$$

See [8-a] for this and further properties of  $\Phi_i$ .

In the following Steps 2 and 3, we consider the case that the limit metric space  $M_{\infty}$  is a smooth manifold, and assume that the metric of  $M_{\infty}$  and the density  $\chi_{\infty}$  are smooth, to avoid some technical argument of approximation. Moreover  $\{\varepsilon_i\}$  stands for a sequence of positive constants which tends to zero as *i* goes to infinity.

**Step 2.** Given a  $\mu_{\infty}$ -harmonic one-form  $\omega \in H^1(M_{\infty}, \mu_{\infty})$ , the pull-back  $\Phi_i^* \omega$  can be uniquely expressed as

$$\Phi_i^*\omega = \Gamma_i(\omega) + d\Lambda_i(\omega)$$

according to the orthogonal decomposition of d-closed one-forms  $Z(M_i)$  of  $M_i$ ,

$$Z^1(M_i) = H^1(M_i, \mu_{M_i}) \oplus dC^{\infty}(M_i).$$

Here the function  $\Lambda_i(\omega)$  is chosen in such a way that

$$\int_{M_i} \Lambda_i(\omega) d\mu_i = 0.$$

Now we claim that

$$(3.1) \qquad \qquad | \boldsymbol{\Phi}_{i}^{*} \boldsymbol{\omega} - \boldsymbol{\Gamma}_{i}(\boldsymbol{\omega}) |_{C^{0}(M_{i})} = | d\boldsymbol{\Lambda}_{i}(\boldsymbol{\omega}) |_{C^{0}(M_{i})} \leq \varepsilon_{i}$$

for any  $\omega \in H^1(M_{\infty}, \mu_{\infty})$  with unit norm,  $\|\omega\|_{\mu_{\infty}} = 1$ . Indeed, we fix a sufficiently small *a* and consider the metric ball  $B_{\infty}(p, 3a)$  of  $M_{\infty}$  around a point *p* of radius 3*a*. Let *f* be an  $L_{\infty}$ -harmonic function on  $B_{\infty}(p, 3a)$  such that  $\omega = df$  and

$$\int_{B_{\infty}(p,3a)} f d\mu_{\infty} = 0.$$

Then applying the Poincaré inequality, we have first

$$\int_{B_{\infty}(p,3a)} |f|^2 d\mu_{\infty} \leq C_1 \int_{B_{\infty}(p,3a)} |df|^2 d\mu_{\infty} \leq C_1$$

for some constant  $C_1$ . Since f is  $L_{\infty}$ -harmonic, it follows from the standard elliptic regularity estimates that

$$|f|_{C^{2,a}(B_{\infty}(p,2a))} \leq C_2$$

for some constant  $C_2$ , where  $\alpha \in (0, 1)$ . Hence in view of the property (4) of  $\Phi_i$ , we see that

$$|\Delta_{M_i} \Phi_i^* f| \leq \varepsilon_i$$

on  $\Phi_i^{-1}(B_{\infty}(p, a))$ . This shows that

$$|\Delta_{M_i}\Lambda_i(\omega)|\leq \varepsilon_i,$$

since  $d\Phi_i^* f = \Gamma_i(\omega) + d\Lambda_i(\omega)$  and  $\Gamma_i(\omega)$  is harmonic. Finally it follows from the regularity estimates again that

$$|\Lambda_i(\omega)|_{W^{2,p}(M_i, \mu_M)} \leq \varepsilon_i,$$

where  $p \in (1, \infty)$  (cf. [8-a, Lemma 1.3]), and hence

 $|\Lambda_i(\omega)|_{C^{1,\alpha}(M_i)} \leq \varepsilon_i.$ 

This proves (3.1).

Now this estimate (3.1) together with the property (3) of  $\Phi_i$  implies that

(3.2) 
$$(1-\varepsilon_i)\|\omega\|_{\mu_{\infty}} \leq \|\Gamma_i(\omega)\|_{\mu_i} \leq (1+\varepsilon_i)\|\omega\|_{\mu_{\infty}}$$

For all  $\omega \in H^1(M_{\infty}, \mu_{\infty})$ . In particular,  $\Gamma_i$  is injective (for large *i*). We observe further that  $\Gamma_i$  maps the lattice  $H^1(M_{\infty}, \mu_{\infty})_z$  into the lattice  $H^1(M_i)_z$ ,

$$\Gamma_i(H^1(M_\infty, \mu_\infty)_Z) \subset H^1(M_i)_Z.$$

**Step 3.** Given any number K, Theorem 4.3 in [8-a] says that for large i, a harmonic one-form  $\xi$  on  $M_i$  with integral periods must belong to the image  $\Gamma_i(H^1(M_{\infty}, \mu_{\infty})_z)$ , whenever the  $L^2$  norm  $\|\xi\|_{\mu_i}$  is less than K. In other words, there is a positive constant  $K_i$  with  $\lim_{i\to\infty} K_i = \infty$  such that

 $\|\xi\|_{\mu_i} \ge K_i$ 

for any  $\xi \in H^1(M_i)_Z \setminus \Gamma_i(H^1(M_{\infty}, \mu_{\infty})_Z)$  (if it exists).

Let us now take a basis  $\Omega = \{\omega_1, \ldots, \omega_r\}$  of  $H^1(M_{\infty}, \mu_{\infty})_z(r = b_1(M_{\infty}))$  in such a way that an element  $\omega$  of  $H^1(M_{\infty}, \mu_{\infty})_z$  is a linear combination of  $\omega_1, \ldots, \omega_{s-1}$ whenever  $\|\omega\|_{\mu_{\infty}}$  is less than  $\|\omega_s\|_{\mu_{\infty}}$  (cf. [1, Chap. VIII]). Then we choose a basis  $\Omega_i$  $= \{\omega_{i,1}, \ldots, \omega_{i,r_i}\}$  of  $H^1(M_i)_z(r_i = b_1(M_i))$  in such a way that

$$\omega_{i,s} = \Gamma_i(\omega_s) \quad (s=1,\ldots,r)$$

and any element  $\omega$  is linearly dependent of  $\omega_{i,1}, \ldots, \omega_{i,s-1}$  whenever  $\|\omega\|_{\mu_i}$  is less than  $\|\omega_{i,s}\|_{\mu_i}$  for s > r. We note that

$$\|\omega_{i,s}\| \ge K_i$$

for s > r (if  $r_i > r$ ).

Let  $\Omega_i^* = \{\omega_{i,s}^*\}$   $(s=1, \ldots, r_i)$  be the dual basis of  $\Omega_i$  and  $\Gamma_i^*$ :  $H^1(M_i)^* \to H^1(M_{\infty}, \mu_{\infty})^*$  the dual mapping of  $\Gamma_i$ . Then  $\Gamma_i^*$  is surjective and its kernel is spanned by  $\omega_{i,s}(s=r+1, \ldots, r_i)$ . Hence  $\Gamma_i^*$  induces a surjective homomorphism, denoted by  $[\Gamma_i^*]$ , from the Albanese torus  $\mathcal{A}(M_i)$  of  $M_i$  onto  $\mathcal{A}(M_{\infty}, \mu_{\infty})$ . Then in view of (3.2) and (3.3),  $\mathcal{A}(M_i)$  converges via  $[\Gamma_i^*]$  to  $\mathcal{A}(M_{\infty}, \mu_{\infty})$  with respect to the Gromov-Hausdorff distance. We observe that  $[\Gamma_i^*]$ is affine, namely the second fundamental form vanishes identically. Moreover if we take a point  $p_{\infty}$  of  $M_{\infty}$  and choose  $p_i$  as a fixed point of  $M_i$  in such a way that  $\mathcal{O}_i(p_i)$  $= p_{\infty}$ , then the mappings  $J_{M_{\infty},\mu_{\infty}}$  and  $[\Gamma_i^*] \circ J_{M_i}$  are close for large i in the sense that

$$\max_{x \in M_i} \operatorname{dis}(J_{\mu_{\infty}} \circ \Phi_i(x), [\Gamma_i^*] \circ J_i(x)) \leq \varepsilon_i.$$

To be precise, let  $T_{\mathcal{Q}_i}: \mathcal{A}(M_i) \rightarrow (R^{r_i}/Z^{r_i}, g_{\mathcal{Q}_i})$  and  $T_{\mathcal{Q}}: \mathcal{A}(M_{\infty}, \mu_{\infty}) \rightarrow (R^r/Z^r, g_{\mathcal{Q}})$ respectively be isometries described in Section 1, and let  $\pi_i: R^{r_i}/Z^{r_i} \rightarrow R^r/Z^r$  be a canonical projection such that  $\pi_i(\theta_1, \ldots, \theta_{r_i}) = (\theta_1, \ldots, \theta_r)$ . Then  $T_{\mathcal{Q}_i} \circ J_{M_i}$  and  $T_{\mathcal{Q}} \circ J_{M_{\infty},\mu_{\infty}}$  respectively can be expressed as

$$T_{\Omega_i} \circ J_{M_i} = (\psi_{i,1,\cdots}, \psi_{i,r_i})$$

and

$$T_{\mathcal{Q}} \circ J_{M_{\infty},\mu_{\infty}} = (\psi_1, \ldots, \psi_r),$$

where  $\psi_{i,s}$  is the harmonic mapping of  $M_i$  to R/Z corresponding to  $\omega_{i,s}$  and also  $\psi_s$  is the  $\mu_{\infty}$ -harmonic mapping of  $M_{\infty}$  to R/Z corresponding to  $\omega_s$ . We note that for each s,  $1 \le s \le r$ ,  $\psi_{i,s}$  is homotopic to  $\psi_i \circ \Phi_i$  and further that

$$|\psi_{i,s} - \psi_s \circ \Phi_i|_{C^{2,a}(M_i)} \leq \varepsilon_i$$

(cf. [8-a, §4]). Thus the mappings  $J_{M_{\infty},\mu_{\infty}} \circ \Phi_i$  and  $[\Gamma_i^*] \circ J_{M_i}$  (for large *i*) are close with respect to the  $C^{2,\alpha}$  topology.

**Step 4.** It remains to prove Theorem 2 in case  $M_{\infty}$  is not smooth. In this case, we consider the frame bundle  $FM_i$  of each  $M_i$  equipped with a canonical metric  $\overline{g}_i$  in such a way that the sectional curvature and the diameter are bounded uniformly in *i*. We denote by  $\rho_i$  the canonical projection of  $FM_i$  onto  $M_i$ . Observe that the pull-back  $\rho_i^* \omega$  of a harmonic one-form  $\omega$  on  $M_i$  is harmonic on  $FM_i$  and further that this correspondence preserves the inner products,

$$\langle \rho_i^* \omega, \rho_i^* \omega' \rangle_{\overline{\mu}_i} = \langle \omega, \omega' \rangle_{\mu_i}$$

### A. KASUE

For this reason, the space of harmonic one-forms  $H^1(M_i)$  on  $M_i$  endowed with the  $L^2$  inner product can be considered as a subspace of  $H^1(FM_i)$ . In the same way, we identify the lattice  $H^1(M_i)_Z$  and the group  $\mathcal{H}(M_i; R/Z)$  respectively with a sublattice of  $H^1(FM_i)_Z$  and a subgroup of  $\mathcal{H}(FM_i; R/Z)$ . Under this identification, an element  $\overline{\psi}$  of  $\mathcal{H}(FM_i; R/Z)$  belongs to the subgroup  $\mathcal{H}(M_i; R/Z)$  if and only if  $\overline{\psi}$  is O(m)-invariant.

In what follows, we suppose that this sequence  $\{FM_i\}$  converges in the topology of measured Hausdorff convergence. Let  $\overline{M}_{\infty}$  and  $\overline{\mu}_{\infty}$  be the limit space and measure respectively. Then according to Fukaya [4, 5],  $\overline{M}_{\infty}$  is a smooth manifold with Riemannian metric  $\overline{g}_{\infty}$  of class  $C^{1,\alpha}$ , on which the orthogonal group O(m)acts as isometries in such a way that the quotient space  $\overline{M}_{\infty}/O(m)$  is isometric to  $M_{\infty}$ . Moreover there are O(m)-equivariant almost Riemannian submersions  $\widetilde{\Phi}_i$ :  $FM_i \rightarrow \overline{M}_{\infty}$  such that  $\widetilde{\Phi}_{i*} \overline{\mu}_{FM_i}$  converges to  $\overline{\mu}_{\infty}$  in the weak\* topology, where  $\overline{\mu}_{FM_i}$ stands as before for the normalized Riemannian measure of  $FM_i$ . We note that the limit measure  $\mu_{\infty}$  on  $M_{\infty}$  coincides with the push-forward  $\rho_{\infty*} \overline{\mu}_{\infty}$  of  $\overline{\mu}_{\infty}$  via the projection  $\rho_{\infty}: \overline{M}_{\infty} \rightarrow M_{\infty}$  and the density  $\overline{\chi}_{\infty}$  of  $\overline{\mu}_{\infty}$  with respect to the Riemannian measure of  $\overline{g}_{\infty}$  is O(m)-invariant.

Now perturbing the submersion  $\widetilde{\Phi}_i$  in the  $C^{1,\alpha}$  topology, we can obtain an almost Riemannian submersion  $\overline{\Phi}_i$  of  $FM_i$  onto  $\overline{M}_{\infty}$ , to which we can apply the same arguments as in the preceding steps. To be precise, we write first  $\mathcal{H}(M_{\infty}, \mu_{\infty}; R/Z)$  for the subgroup of  $\mathcal{H}(\overline{M}_{\infty}, \overline{\mu}_{\infty}; R/Z)$  consisting of O(m)invariant  $\overline{\mu}_{\infty}$ -harmonic maps  $\overline{\phi}: \overline{M}_{\infty} \rightarrow R/Z$ . We note that  $\mathcal{H}(M_{\infty}, \mu_{\infty}; R/Z)$  is determined by the pair  $(M_{\infty}, \mu_{\infty})$  itself (cf. [8-a, §4]). Then we denote by  $H^1(M_{\infty}, \mu_{\infty})_Z$  and  $H^1(M_{\infty}, \mu_{\infty})$  respectively the sublattice of  $H^1(\overline{M}_{\infty}, \overline{\mu}_{\infty})_Z$  corresponding to  $\mathcal{H}(M_{\infty}, \mu_{\infty}; R/Z)$ ,  $H^1(\overline{M}_{\infty}, \overline{\mu}_{\infty})_Z = d\mathcal{H}(M_{\infty}, \mu_{\infty}; R/Z)$ , and the vector space spanned by  $H^1(M_{\infty}, \mu_{\infty})_Z$ . Set

$$\mathcal{A}(M_{\infty}, \mu_{\infty}) = H^1(M_{\infty}, \mu_{\infty})^* / H^1(M_{\infty}, \mu_{\infty})^*_Z.$$

Then we obtain an O(m)-invariant  $\overline{\mu}_{\infty}$ -harmonic map  $\overline{J}_{M_{\infty},\mu_{\infty}}: \overline{M}_{\infty} \to \mathcal{A}(M_{\infty}, \mu_{\infty})$ , from which a Lipschitz map  $J_{M_{\infty},\mu_{\infty}}: M_{\infty} \to \mathcal{A}(M_{\infty}, \mu_{\infty})$  is derived. This map  $J_{M_{\infty},\mu_{\infty}}$ is  $\mu_{\infty}$ -harmonic on the set of regular points of  $M_{\infty}$ . Moreover as we have seen in Steps 2 and 3,  $\overline{\Phi}_i$  (for large *i*) induces a surjective homomorphism  $[\overline{\Gamma}_i^*]: \mathcal{A}(FM_i)$  $\to \mathcal{A}(F_{\infty}, \overline{\mu}_{\infty})$  such that  $\overline{J}_{M_{\infty},\mu_{\infty}} \circ \overline{\Phi}_i$  and  $[\overline{\Gamma}_i^*] \circ J_{FM_i}$  are close in the  $C^{2,\alpha}$  topology. Finally we obtain a surjective homomorphism  $[\Gamma_i^*]: \mathcal{A}(M_i) \to \mathcal{A}(M_{\infty}, \mu_{\infty})$  from  $[\overline{\Gamma}_i^*]$  such that  $J_{M_{\infty},\mu_{\infty}} \circ \Phi_i$  and  $[\Gamma_i^*] \circ J_{M_i}$  are close in the  $C^0$  topology for large *i*, where  $\Phi_i: M_i \to M_{\infty}$  is a Lipschitz map derived from the O(m)-equivariant submersion  $\widetilde{\Phi}_i$ . As *i* goes to infinity, the Albanese torus  $\mathcal{A}(M_i)$  converges to the torus  $\mathcal{A}(M_{\infty}, \mu_{\infty})$  via the surjective homomorphism  $[\Gamma_i^*]$ . This completes the proof of Theorem 2.

It is possible to apply the same arguments as above to a sequence of certain pairs  $(M_i, \mu_i)$  (cf. [8-a, Remark 3.3]). In fact, we can show the following

**Theorem 2.** Let  $\{(M_i, \mu_i)\}$  be a sequence in  $\mathscr{S}_w^*(m, x, D)$  which converges to  $(M_{\infty}, \mu_{\infty})$  with respect to the measured Hausdorff topology. Suppose that the sectional curvature of  $M_i$  is bounded uniformly and also the density function  $\chi_i$ of  $\mu_i$  satisfies

$$|Dd\chi_i| \leq C$$

for some constant C. Then for large *i*, there is a surjective homomorphism  $\Theta_i$  of the Albanese torus  $\mathcal{A}(M_i, \mu_i)$  onto a flat torus  $\mathcal{A}(M_{\infty}, \mu_{\infty})$  of dimension *n* such that  $0 \le n \le \liminf_{i \to \infty} b_1(M_i)$  and  $\mathcal{A}(M_i, \mu_i)$  converges to  $\mathcal{A}(M_{\infty}, \mu_{\infty})$  with respect to the Gromov-Hausdorff distance via  $\Theta_i$ .

Moreover there are a Lipschits map  $\Phi_i$  of  $M_i$  onto  $M_{\infty}$  through which  $(M_i, \mu_i)$  converges to  $(M_{\infty}, \mu_{\infty})$  and a  $(\mu_{\infty}$ -harmonic) map  $J_{M_{\infty},\mu_{\infty}}: M_{\infty} \to \mathcal{A}(M_{\infty}, \mu_{\infty})$  such that  $J_{M_{\infty},\mu_{\infty}} \circ \Phi_i$  and  $\Phi_i \circ J_{M_i,\mu_i}$  are close in the  $C^0$  topology, namely,

 $\lim_{i\to\infty}\max_{x\in M_i}\operatorname{dis}(J_{M_{\infty,\mu_{\infty}}}\circ \boldsymbol{\Phi}_i(x), \ \Theta_i\circ J_{M_i,\mu_i}(x))=0.$ 

The convergence holds in the  $C^{2,\alpha}$  topology when  $M_{\infty}$  is a smooth manifold.

As an immediate consequence of Theorem 2', we have the following

**Corollary 3.** Given numbers m, x and D, and given a flat torus T of dimension n, there is a constant such that the rank of the Albanese map  $J_M$  of a manifold M in  $\mathcal{K}(m, x, D)$  is greater than or equal to n, if the Gromov-Hausdorff distance between M and T is less than r, and in addition,  $J_M$  is a submersion if  $b_1(M) = n$ .

Finally we refer the reader to [12] for some results and problems related to this corollary.

### References

- [1] J.W.S. Cassel: An introduction to the geometry of numbers, Springer-Verlag, Berlin-Hidelberg-New York, 1959.
- [2] J. Cheeger, K. Fukaya and M. Gromov: Nilpotent structures and invariant metrics on collapsed manifolds, J. Amer. Math. Soc. 5 (1992), 327-372.
- K. Fukaya: Collapsing Riemannian manifolds and eigenvalues of the Laplace operator, Invent. Math. 87 (1987), 517-547.
- [4] K. Fukaya: A boundary of the set of the Riemannian manifolds with bounded curvatures and diameters, J. Differential Geom. 28 (1988), 1-21.
- [5] K. Fukaya: Collapsing Riemannian manifolds to ones of lower dimension II, J. Math. Soc. Japan 41 (1989), 333-356.
- [6] I. Fukuyama : Master Thesis, Osaka University, 1993.
- M. Gromov: Structure métrique pour les variétés riemanniennes (rédige par J. Lafontaine and P. Pansu), Cedic/Fernand Nathan, Paris, 1981.

#### A. KASUE

- [8] A. Kasue: (a) Measured Hausdorff convergence of Riemannian manifolds and Laplace operators, Osaka J. Math. 30 (1993), 613-651; (b)-II, Complex Geometry (ed. by G. Komatsu and Y. Sakane), Marcel Dekker, New York, 1992, 97-111.
- [9] A. Kasue and H. Kumura: Spectral convergence of Riemannian manifolds, Tohuku Math. J. 46 (1994), 147-179.
- [10] A. Lichnerowicz: Applications harmoniques et variétés kählériennes, Symp. Math. III Bologna (1970), 341-402.
- [11] A. Lichnerowicz: Variétés kählériennes à première classe de Chern nonnegative et variétés riemanniennes à courbure de Ricci généralisée nonnegative, J. Differential Geom. 6 (1971), 47-94.
- [12] T. Yamaguchi : Manifolds of almost nonnegative Ricci curvature, J. Differential Geom. 28 (1988), 157-167.

Department of Mathematics Osaka City University Sugimoto, Sumiyoshi-ku, Osaka 558, Japan