

ON THE VANISHING OF POINCARÉ SERIES OF RATIONAL FUNCTIONS

BY IRWIN KRA¹

1. Let Γ be a finitely generated nonelementary Kleinian group with region of discontinuity Ω and limit set Λ . Let $\lambda(z)|dz|$ be the Poincaré metric on Ω (normalized to have constant negative curvature -1). Let $q \in \mathbf{Z}$, $q \geq 2$. A cusp form for Γ of weight $(-2q)$ is a holomorphic function φ on Ω satisfying

$$(1) \quad \varphi(\gamma z)\gamma'(z)^q = \varphi(z), \quad \text{for all } \gamma \in \Gamma, \text{ for all } z \in \Omega,$$

and either (hence both) of the following equivalent conditions:

$$(2) \quad \iint_{\Omega/\Gamma} \lambda(z)^{2-q} |\varphi(z)| dz \wedge d\bar{z} < \infty;$$

$$(3) \quad \sup_{z \in \Omega} \{\lambda(z)^{-q} |\varphi(z)|\} < \infty.$$

The equivalence of (2) and (3) shows that the *Peterson scalar product*

$$(4) \quad \langle \varphi, \psi \rangle = i \iint_{\Omega/\Gamma} \lambda(z)^{2-2q} \varphi(z) \overline{\psi(z)} dz \wedge d\bar{z}$$

induces a Hilbert space structure on the space of cusp forms.

Let Δ be a Γ -invariant union of components of Ω , and define $\mathbf{A}_q(\Delta)$ to be the space of cusp forms for Γ of weight $(-2q)$ that vanish on $\Omega \setminus \Delta$. Abbreviate $\mathbf{A}_q(\Omega)$ by \mathbf{A}_q .²

Define R_q to be the space of rational functions f such that

(5) f is holomorphic on Ω ,

(6) f has only simple poles (on Λ), and

$$(7) \quad \begin{aligned} f(z) &= O(|z|^{-2q}), & z \rightarrow \infty \text{ if } \infty \in \Omega, \text{ and} \\ f(z) &= O(|z|^{-(2q-1)}), & z \rightarrow \infty \text{ if } \infty \in \Lambda. \end{aligned}$$

If $f \in R_q$, then the *Poincaré series*

$$(8) \quad \sum_{\gamma \in \Gamma} f(\gamma z)\gamma'(z)^q, \quad z \in \Omega,$$

converges absolutely and uniformly on compact subsets of Ω and defines a cusp form $\Theta_q f \in \mathbf{A}_q$. Bers [3] has shown that

Received by the editors August 16, 1982 and, in revised form, September 20, 1982.

1980 *Mathematics Subject Classification*. Primary 10D15, 30F40.

¹Research partially supported by NSF grant MCS8102621.

²The group Γ is fixed throughout this paper. We hence suppress in the notation the dependence on Γ of the various spaces and operators considered.

$$\Theta_q: R_q \rightarrow \mathbf{A}_q$$

is a surjective linear operator. The starting point of this investigation was the following theorem that quantitatively strengthens Bers' result.

THEOREM 1. *Let a_1, \dots, a_{2q-1} be $(2q-1)$ distinct points in Λ , and let $\gamma_1, \dots, \gamma_N$ generate Γ (define $\gamma_0 = I$). Then $\Theta_q|_{R_q^0}$ is surjective, where $R_q^0 = \{f \in R_q | f \text{ is holomorphic except possibly at } \gamma_j(a_k), k = 1, \dots, 2q-1, j = 0, \dots, N\}$.³*

In certain cases $\Theta_q|_{R_q^0}$ is an isomorphism. Spanning sets for Γ Fuchsian were obtained by Hejhal [4]. For $q = 2$, and Γ Fuchsian, Wolpert [11] obtained bases, as did Kra and Maskit [7] for Γ geometrically finite function groups.

2. We turn now to the more interesting *vanishing problem* raised by Poincaré [10, p. 249] (see also Petersson [9] and Hejhal [4]). Find necessary and sufficient conditions for $\Theta_q f$ to vanish identically on Ω (or Δ) for $f \in R_q$.

For $\psi \in \mathbf{A}_q(\Delta)$, the unique Bers potential $F = F_\psi$ for the canonical generalized Beltrami coefficient $\mu = \lambda^{2-2q}\bar{\psi}$ that vanishes at $a_k, k = 1, \dots, 2q-1$, is given by

$$(9) \quad F(z) = \frac{(z-a_1)\cdots(z-a_{2q-1})}{2\pi i} \iint_{\Omega} \frac{\mu(\zeta) d\zeta \wedge d\bar{\zeta}}{(\zeta-z)(\zeta-a)\cdots(\zeta-a_{2q-1})}, \quad z \in \mathbf{C}.$$

For $z \in \Lambda \setminus \{a_1, \dots, a_{2q-1}\}$, we have (see Kra [5, Chapter V])

$$(10) \quad F_\psi(z) = \langle \varphi(z, \cdot), \psi \rangle,$$

where

$$(11) \quad \varphi(z, \cdot) = \Theta_q f(z, \cdot),$$

and

$$(12) \quad f(z, \zeta) = \frac{-1}{2\pi} \frac{1}{\zeta - z} \prod_{j=1}^{2q-1} \frac{z - a_j}{\zeta - a_j}.$$

Note that for $z \in \Lambda \setminus \{a_1, \dots, a_{2q-1}\}$, $f(z, \cdot) \in R_q$. We let

$$\mathcal{F}_{1-q}(\Delta) = \{\text{restrictions to } \Lambda \text{ of potentials } F_\psi \text{ with } \psi \in \mathbf{A}_q(\Delta)\}.$$

As usual $\mathcal{F}_{1-q} = \mathcal{F}_{1-q}(\Omega)$. Observe that $\mathcal{F}_{1-q}(\Delta)$ is a finite-dimensional space of continuous functions on Λ . Also $\mathcal{F}_{1-q}(\Delta) \subset \mathcal{F}_{1-q}$, for all Δ .

If $f \in R_q$, then we can find $m \geq 1$ distinct points b_1, \dots, b_m in $\Lambda \setminus \{a_1, \dots, a_{2q-1}\}$ and complex numbers β_1, \dots, β_m so that

$$(13) \quad f(\zeta) = \sum_{j=1}^m \beta_j f(b_j, \zeta), \quad \zeta \in \mathbf{C}.$$

The points b_1, \dots, b_m and the constants β_1, \dots, β_m are uniquely determined by f . We now define a surjective linear map

$$\mathcal{K}: R_q \rightarrow \mathcal{F}_{1-q}^*$$

³If $\gamma_j(a_k) = \infty$, then holomorphicity at this point means $f(z) = O(|z|^{-2q})$, $z \rightarrow \infty$. Conventions regarding ∞ will henceforth be ignored.

from R_q to the dual space of \mathcal{F}_{1-q} by the formula

$$(14) \quad \mathcal{K}(f)(F) = \sum_{j=1}^m \beta_j F(b_j), \quad F \in \mathcal{F}_{1-q},$$

where $f \in R_q$ is given by (13).

THEOREM 2. *Given $f \in R_q$, then*

$$(15) \quad \Theta_q f | \Delta = 0 \Leftrightarrow \mathcal{K}(f) | \mathcal{F}_{1-q}(\Delta) = 0.$$

The proof uses the duality given by the Petersson scalar product (4) and the identity (10).

Since \mathcal{K} is a very simple operator, Theorem 2 shows that the vanishing problem is completely solved if we can construct a basis for $\mathcal{F}_{1-q}(\Delta)$.

3. Let $PH^1_\Delta(\Pi_{2q-2})$ denote the Eichler cohomology group of Δ -parabolic cohomology classes (see Kra [5, Chapter V]), where Π_{2q-2} is the space of polynomials of degree $\leq 2q-2$, and let $PH^1(\Pi_{2q-2})$ denote the space cohomology classes that are parabolic with respect to all parabolic elements of Γ . Given $\psi \in \mathbf{A}_q(\Delta)$, then

$$(16) \quad \gamma \mapsto F_\psi(\gamma)(\gamma')^{1-q} - F_\psi, \quad \gamma \in \Gamma,$$

defines a cohomology class $\beta^*(\psi) \in PH^1(\Pi_{2q-2})$, known as the *Bers class* of ψ .

THEOREM 3. *If the Bers map*

$$\beta^* : \mathbf{A}_q \rightarrow PH^1(\Pi_{2q-2})$$

is surjective, then \mathcal{F}_{1-q} can be determined algebraically from the parabolic Π_{2q-2} -cocycles for the group Γ .

We must explain what we mean by determining \mathcal{F}_{1-q} algebraically. Let us assume that a_1, \dots, a_{2q-1} are fixed points of loxodromic elements of Γ . Theorem 3 means that we can construct algebraically the values at the loxodromic fixed points of functions F_1, \dots, F_d that form a basis for \mathcal{F}_{1-q} . In the proof, we use the fact that if the continuous function F on Λ represents the cocycle χ ; that is, if

$$(17) \quad F(\gamma z)\gamma'(z)^{1-q} - F(z) = \chi(\gamma)(z), \quad z \in \Lambda,$$

then for $b \in \Lambda$, a fixed point of a loxodromic element $g \in \Gamma$, we must have

$$(18) \quad F(b) = \chi(g)(b)[g'(b)^{1-q} - 1]^{-1}.$$

4. The map β^* of Theorem 3 is surjective for many geometrically finite function groups (Nakada [8]); in particular, for Fuchsian, quasi-Fuchsian, and Schottky groups. In principle, there is an algorithm for each such group to decide when $\Theta_q f = 0$ for a given $f \in R_q$. We state our most explicit construction of such an algorithm in

THEOREM 4. *Let Γ be a Schottky group or a finitely generated Fuchsian or quasi-Fuchsian group of the first kind given by a standard presentation on a canonical set of generators. Let $f \in R_q$ have poles only at loxodromic fixed points. Then we can write down a (finite) algorithm that determines whether or not $\Theta_q f = 0$.*

5. Let Γ be a finitely generated Fuchsian group of the first kind acting on the unit disk Δ . Then $\Lambda = \partial\Delta$ = the unit circle, and $\Omega = \{z \in \mathbb{C} \mid |z| \neq 1\} \cup \{\infty\}$. To determine when a Poincaré series $\Theta_q f$, $f \in R_q$, vanishes identically only on Δ , we need to select $\mathcal{F}_{1-q}(\Delta)$ from \mathcal{F}_{1-q} . A not entirely satisfactory answer is contained in

THEOREM 5. *Let Γ be a finitely generated Fuchsian group of the first kind acting on the unit disk Δ . Then there exists an integer $n = n(q)$ such that for $F \in \mathcal{F}_{1-q}$, we have*

$$F \in \mathcal{F}_{1-q}(\Delta) \Leftrightarrow \int_0^{2\pi} e^{i(1-k-2q)\theta} F(e^{i\theta}) d\theta = 0 \quad \text{for } k = 0, 1, \dots, n.$$

The debt of this paper to the fundamental contributions of Ahlfors [1] and Bers [2] is obvious, and I am delighted to acknowledge it. Hejhal's paper [4], which contains a somewhat less explicit solution to the vanishing problem for a more limited class of groups, was a useful reminder that this problem should have an algebraic solution. Our solution differs radically from Hejhal's. We rely in very basic ways on the Eichler cohomology machinery [1, 2, 5]. I am happy to thank M. Sheingorn for his insistence that the vanishing problem is important and interesting. Complete proofs and applications will appear elsewhere [6].

REFERENCES

1. L. V. Ahlfors, *Finitely generated Kleinian groups*, Amer. J. Math. **86** (1964), 413–429; **87** (1965), 759.
2. L. Bers, *Inequalities for finitely generated Kleinian groups*, J. Analyse Math. **18** (1967), 23–41.
3. —, *Poincaré series for Kleinian groups*, Comm. Pure Appl. Math. **26** (1973), 667–672; **27** (1974), 583.
4. D. A. Hejhal, *Monodromy groups and Poincaré series*, Bull. Amer. Math. Soc. **84** (1978), 339–376.
5. I. Kra, *Automorphic forms and Kleinian groups*, Benjamin, Reading, Mass., 1972.
6. —, *On the vanishing of and spanning sets for Poincaré series for cusp forms* (to appear).
7. I. Kra and B. Maskit, *Bases for quadratic differentials*, Comment. Math. Helv. (to appear).
8. M. Nakada, *Quasi-conformal stability of finitely generated function groups*, Tôhoku Math. J. **30** (1978), 45–58.
9. H. Petersson, *Die linearen Relationen zwischen den ganzen Poincaréschen Reihen von reeller Dimension zur Modulgruppe*, Abh. Math. Sem. Hamb. Univ. **12** (1938), 415–472.
10. H. Poincaré, *Memoire sur les fonctions fuchsiennes*, Acta Math. **1** (1882), 193–294.
11. S. Wolpert, *The Fenchel-Nielsen deformation*, Ann. of Math. (2) **115** (1982), 501–528.

DEPARTMENT OF MATHEMATICS, STATE UNIVERSITY OF NEW YORK AT STONY BROOK, STONY BROOK, NEW YORK 11794