

## EUCLID'S ALGORITHM IN GLOBAL FIELDS

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Communicated by Gian-Carlo Rota, April 23, 1973

**1. Introduction.** The purpose of this note is to announce some results regarding the relationship between principal ideal domains and euclidean domains which are subrings of global fields.

Let  $A$  be an integral domain. We shall say that  $A$  is a euclidean ring, or simply " $A$  is euclidean", if there exists a map  $\varphi: A - \{0\} \rightarrow N$ ,  $N$  the nonnegative integers, satisfying the following two properties:

- (1) If  $a, b \in A - \{0\}$ , then  $\varphi(ab) \geq \varphi(a)$ .
- (2) If  $a, b \in A$ ,  $b \neq 0$ , then there exists  $q, r \in A$  such that  $a = bq + r$ , where  $r = 0$  or  $\varphi(r) < \varphi(b)$ .

It is easy to see that condition (1) is an unnecessary restriction; i.e. if there is a map  $\varphi: A - \{0\} \rightarrow N$  satisfying only condition (2), then there is always another map  $\varphi'$ , derived from  $\varphi$ , such that  $\varphi'$  satisfies both (1) and (2). Further, it is apparently unknown whether one enlarges the class of euclidean integral domains by enlarging  $N$  to a well-ordered set of arbitrary cardinality, but this question will not concern us here except to say that whenever  $A$  has finite residue classes, i.e.,  $A$  modulo any nonzero ideal is finite, then insisting on  $N$  as a set of values is no restriction. We refer the reader to an excellent paper by P. Samuel [7] in which all of the above and much more is exposed with great clarity.

Let  $A$  be as above. We define subsets  $A_n$  of  $A$  for  $n \in N$  by induction as follows:  $A_0 = \{0\}$  and if  $n \geq 1$ , then  $A'_n = \bigcup_{\alpha < n} A_\alpha$ . Finally  $A_n = \{b \in A \mid \text{there is a representative in } A'_n \text{ of every residue class of } A \text{ modulo } bA\}$ . Setting  $A' = \bigcup_{n \in N} A_n$ ,  $A$  is euclidean if and only if  $A' = A$  (see Motzkin [4]). Further when  $A' = A$  we get a map  $\varphi: A - \{0\} \rightarrow N$ , where if  $x \in A - \{0\}$  then there exists a unique  $n \geq 0$  such that  $x \in A_{n+1} - A_n$  and  $\varphi(x) = n$ . Now not only does  $\varphi$  satisfy conditions (1) and (2) above, but if  $\varphi'$  is any other map satisfying condition (2), then  $\varphi(x) \leq \varphi'(x)$  for all  $x \in A - \{0\}$ . Hence Motzkin justifiably calls  $\varphi$  the minimal algorithm for  $A$ .

Let  $F$  be a global field;  $F$  is a finite extension of the rational numbers  $\mathcal{Q}$ , or  $F$  is a function field of one variable over a finite field. Let  $S$  be a non-empty finite set of prime divisors of  $F$  such that  $S$  contains all infinite (i.e. archimedean) prime divisors. For each finite (i.e. nonarchimedean) prime divisor  $P$  we denote by  $O_P$  the valuation ring associated to  $P$  in  $F$ . Letting

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*AMS (MOS) subject classifications* (1970). Primary 12A05; Secondary 12A05.

*Key words and phrases.* Euclid's algorithm, function fields.

$P$  range over all prime divisors of  $F$  we get a ring for each such set  $S$  as follows:

$$O_S = \bigcap_{P \notin S} O_P.$$

For each such finite set  $S$ ,  $O_S$  is a Dedekind ring with finite residue classes. It is known that there always exists such a finite set  $S$  such that  $O_S$  is a principal ideal domain or as we shall say " $O_S$  is P.I.D." Further, as we have shown in [6], one can always find finite  $S$  so that  $O_S$  is euclidean. The question that concerns us here is: If  $S$  is a finite set of prime divisors, as above, and  $O_S$  is P.I.D., is it euclidean? That the answer to our question is not always yes is well known, but, as we shall see, there is excellent reason to believe that the only time the answer is no is in the finite number of examples already known.

In the next section we give an indication of the proof of the following: If  $F$  is a function field over a finite field and  $S$  is a nonempty finite set of prime divisors such that  $O_S$  is P.I.D., then  $O_S$  is euclidean if  $S$  contains at least two elements. Further we recall the evidence, due mostly to P. Weinberger (see [9]), that the above result is also true in the case when  $F$  is a number field. Full details of the proofs will appear elsewhere.

2. Let  $F$  be a global field and  $S$  a finite set of prime divisors of  $F$  such that  $S$  contains all infinite primes, the cardinality  $|S|$  of  $S$  is at least 2 and  $O_S$  is P.I.D. Let  $F_S$  denote the group of  $S$ -units of  $F$  (see [3]) and let  $M_S$  denote the set of prime divisors  $P$  such that the nonzero residue classes of  $O_P$  modulo its maximal ideal  $I_P$  are representable by elements of  $F_S$ . Let  $P_0$  be a prime divisor of  $F$  such that  $P_0 \notin S \cup M_S$ .

$\mathcal{P}_{P_0}$  denotes the rays modulo  $P_0$ , i.e. the group of principal ideals  $(\alpha)$ ,  $\alpha \in F$ , with  $|\alpha - 1|_{P_0} < 1$ ,  $|\cdot|_{P_0}$  the usual normalized valuation associated with  $P_0$ ;

$I_S$  denotes the group of divisors of  $F$  involving only finite members of  $S$ ;  
 $I(P_0)$  denotes the group of divisors prime to  $P_0$ .

Now we have a tower of subgroups  $I(P_0) \supseteq H_S(P_0) \supseteq \mathcal{P}_{P_0}$ , where  $H_S(P_0) = I_S \cdot \mathcal{P}_{P_0}$ . Thus according to class field theory (see [1]) we have an abelian extension  $E_{P_0}$  of  $F$  associated to  $H_S(P_0)$  under the Artin reciprocity map.

**THEOREM 1.** *Let  $C$  be any divisor class of  $I(P_0)$  modulo  $H_S(P_0)$  and denote by  $M_C$  the set of prime divisors  $P \in C$ . If  $F$  is a function field (i.e. a function field of one variable over a finite field), then  $M_S \cap M_C$  is an infinite set.*

**INDICATION OF PROOF.** Since  $|S| \geq 2$ , there exists  $t \in F - k$ ,  $k$  the exact field of constants, where  $t \in F_S$ . Let  $M_t$  denote the set of prime divisors  $P$  such that  $P \notin S$  and  $t$  represents the generator of the multiplicative group

of the field  $O_P/M_P$  (i.e.  $t$  is a primitive root modulo  $P$ ). In [2] there is produced an exact computation of the Dirichlet density  $\omega(M_t)$ . It is shown that the density exists and  $\omega(M_t) > 0$  modulo the generalized Riemann hypothesis.<sup>1</sup> Now in view of Weil [8], the generalized Riemann hypothesis holds for function fields over finite fields. Hence indeed  $\omega(M_t)$  exists and is positive. Finally our procedure is to show that  $M_t$  and  $M_C$  are independent sets in the sense of Dirichlet density. Having shown that, we get at once that

$$\omega(M_t \cap M_C) = \omega(M_t)\omega(M_C).$$

By an extension of Dirichlet's Theorem on primes in an arithmetic progression we have that  $\omega(M_C) = 1/n$ , where  $n = [E_{P_0}:F]$ . Now, observing  $M_t \cap M_C \subseteq M_S \cap M_C$  and that  $\omega(M_t \cap M_C) > 0$ , our result follows. Q.E.D.

**THEOREM 2.** *If  $F$  is a function field, then  $O_S$  is euclidean.*

**INDICATION OF PROOF.** Let  $A = O_S$  and recall the notation  $A_0, A_1, A_2, \dots$  used in §1. We have that  $A_0 = \{0\}$ ,  $A_1 = \{0\} \cup F_S$  and  $A_2 - A_1$  consists of all prime elements of  $A$  associated to the prime divisors of  $M_S$ . Further, in view of Theorem 1, every other prime element is contained in  $A_3 - A_2$ . Now if  $0 \neq b \in A$ , then  $b$  can be written uniquely, modulo elements of  $F_S$ , as a product of  $n_1$  prime elements taken from  $A_2$  and  $n_2$  prime elements from  $A_3 - A_2$ . We use double induction on  $n_1$  and  $n_2$  to deduce that  $b \in A'$  and thus show that  $A' = A$ .

**REMARK.** (1) If  $F$  is a function field, then the minimal algorithm  $\varphi_S$  on  $O_S$ , depends only on  $S$  and  $F$ , and lifts to a homomorphism from  $F^* = F - \{0\}$  onto the rational integers  $\mathbb{Z}$ . Further there are only finitely many Dedekind subdomains of function fields which are P.I.D. but not euclidean.

(2) Suppose now that  $F$  is a number field. The evidence is that all of the above results, including (1) above, are true in this case too. In fact a careful reading of [9] convinces one that all of the above is true modulo certain generalized Riemann hypotheses.

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<sup>1</sup> We choose  $t$  so that  $t \notin F^n$  for  $n$  prime to characteristic of  $F$ .

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