

INTEGERS REPRESENTED BY POSITIVE TERNARY QUADRATIC FORMS*

BY L. E. DICKSON

1. *Introduction.* Dirichlet† proved by the method of §2 the following two theorems:

THEOREM I. $A = x^2 + y^2 + z^2$ represents exclusively all positive integers not of the form $4^k(8n+7)$.

THEOREM II. $B = x^2 + y^2 + 3z^2$ represents every positive integer not divisible by 3.

Without giving any details, he stated that like considerations applied to the representation of multiples of 3 by B . But the latter problem is much more difficult and no treatment has since been published; it is solved below by two methods.

Ramanujan‡ readily found all sets of positive integers a, b, c, d such that every positive integer can be expressed in the form $ax^2 + by^2 + cz^2 + du^2$. He made use of the forms of numbers representable by

$$\begin{aligned} A, B, C &= x^2 + y^2 + 2z^2, \\ D &= x^2 + 2y^2 + 2z^2, \\ E &= x^2 + 2y^2 + 3z^2, \\ F &= x^2 + 2y^2 + 4z^2, \\ G &= x^2 + 2y^2 + 5z^2. \end{aligned}$$

He gave no proofs for these forms and doubtless obtained his results empirically. We shall give a complete theory for these forms. These cases indicate clearly methods of procedure for any similar form.

For a new theorem on forms in n variables, see §9.

* Presented to the Society, December 31, 1926.

† Journal für Mathematik, vol. 40 (1850), pp. 228–32; French translation Journal de Mathématiques, (2), vol. 4 (1859), pp. 233–40; Werke, vol. II, pp. 89–96.

‡ Proceedings of the Cambridge Philosophical Society, vol. 19 (1916–19), pp. 11–15. He overlooked the fact that $x^2 + 2y^2 + 5z^2 + 5u^2$ fails to represent 15.

2. *The Form B.* Let B represent a multiple of 3. Since -1 is a quadratic non-residue of 3, x and y must be multiples of 3. Thus $B = 3\beta$, $\beta = 3X^2 + 3Y^2 + z^2$. Since $\beta \equiv 0$ or $1 \pmod{3}$, β represents no integer $3n+2$. If β is divisible by 3, z is divisible by 3 and B is the product of a like form by 9. We shall prove that β represents every positive integer $3n+1$. These results and Theorem II give

THEOREM III. $x^2 + y^2 + 3z^2$ represents exclusively all positive integers not of the form $9^k(9n+6)$.

We shall change the notation from β to f and employ the fact that the only reduced positive ternary forms of Hessian 9 are*

$$\begin{aligned} f &= x^2 + 3y^2 + 3z^2, & g &= x^2 + y^2 + 9z^2, \\ h &= x^2 + 2y^2 + 5z^2 - 2yz, & l &= 2x^2 + 2y^2 + 3z^2 - 2xy. \end{aligned}$$

No one of g, h, l represents an integer $8m+7$. For g this follows from Theorem I, since $g \equiv A \pmod{8}$. Suppose $l \equiv 7 \pmod{8}$. Then z is odd, $2s \equiv 4 \pmod{8}$, where $s = x^2 + y^2 - xy$. Thus s is even and $(1+x)(1+y) \equiv 1 \pmod{2}$, x and y are even, and $s \equiv 0 \pmod{4}$, a contradiction. Finally, let $h \equiv 7 \pmod{8}$. If y is even, $h \equiv x^2 + z^2 \pmod{4}$. Hence y is odd and

$$3 \equiv h \equiv x^2 + (z-1)^2 + 1 \pmod{4},$$

so that x and $z-1$ are odd. Write $z = 2Z$. Then $h \equiv 3 + 4Z(Z-1) \equiv 3 \pmod{8}$.

Consider the ternary form lacking the term xy :

$$(1) \quad \phi = ax^2 + by^2 + cz^2 + 2ryz + 2sxz.$$

Its Hessian H is $a\Delta - bs^2$, where $\Delta = bc - r^2$. Take $H = 9$, $s = 1$, $\Delta = 24t$, $t = 6k + 1$. Then $b = 3\beta$, $\beta = 8at - 3$. If a is not divisible by 3, $\beta = 48ak + 8a - 3$ is a linear function of k with relatively prime coefficients and hence represents an infinitude of primes.

* Eisenstein, *Journal für Mathematik*, vol. 41 (1851), p. 169. By the Hessian H of ϕ we mean the determinant whose elements are the halves of the second partial derivatives of ϕ with respect to x, y, z . Eisenstein called $-H$ the determinant of ϕ . The facts borrowed in this paper from Eisenstein's table have been verified independently by the writer.

Take $a = 3n + 1$. Then $\beta \equiv -1 \pmod{6}$,

$$(2) \quad \left(\frac{-3}{\beta}\right) = -1, \quad \left(\frac{2}{\beta}\right) = -1, \\ \left(\frac{t}{\beta}\right) = \left(\frac{\beta}{t}\right) = \left(\frac{-3}{t}\right) = 1, \quad \left(\frac{-\Delta}{\beta}\right) = 1.$$

Hence $w^2 \equiv -\Delta \pmod{\beta}$ is solvable. We can choose a multiple r of 3 such that $r \equiv w \pmod{\beta}$. Then $(\Delta + r^2)/b$ is an integer c . Since ϕ represents $b \equiv 7 \pmod{8}$, it is equivalent to no one of g, h, l and hence is equivalent to f . Thus f represents every $a = 3n + 1$.

THEOREM IV. $x^3 + 3y^2 + 3z^2$ represents exclusively all positive integers not of the form $9^k(3n + 2)$.

This theory for B made use of forms of the larger Hessian 9. We shall next show how to deduce a theory making use only of forms having the same Hessian 3 as B .

3. *A New Theory for B.* We proved that f is equivalent to a form (1) having $a = 3n + 1$, $b = 3\beta$, $r = 3\rho$, $s = 1$, where $\beta = 8at - 3$ is a prime. In $9 = H = a(bc - r^2) - 3\beta$, replace β by its value. Thence $c = (8t + 3\rho^2)/\beta \equiv 1 \pmod{3}$, $c = 1 + 3\gamma$. In (1) replace x by $X - z$. We get

$$\psi = aX^2 - 6nXz + 3(n + \gamma)z^2 + 3\beta y^2 + 6\rho yz.$$

Write $3z = Z$, $3y = Y$. Then

$$3\psi = 3aX^2 - 6nXZ + (n + \gamma)Z^2 + \beta Y^2 + 2\rho YZ$$

is equivalent to $3f = 3x^2 + Y^2 + Z^2$ and hence to B . In 3ψ , replace a by α , β by b , ρ by r . We conclude that (1) is equivalent to B if

$$(3) \quad a = 3\alpha, \quad \alpha = 3n + 1, \quad b = 8at - 3, \\ t = 6k + 1, \quad s = -3n = 1 - \alpha.$$

We shall now give a direct proof that there exists a form (1) of Hessian 3 which satisfies conditions (3) and is equivalent to B . In $H = 3$, replace a and s by their values in (3). We get

$$b + 3 + 3\alpha r^2 - abP = 0, \quad P = 3c + 2 - \alpha.$$

Replace the first term b by its value in (3), and cancel α . We get

$$(4) \quad 8t + 3r^2 - bP = 0, \quad -24t \equiv (3r)^2 \pmod{b}.$$

This congruence is solvable by (2) with β replaced by b . By (4), $8t(1-P) \equiv 0$, $P \equiv 1 \pmod{3}$. Hence the value of c determined by P is an integer. The only two reduced forms of Hessian 3 are B and $\chi = x^2 + 2\sigma$, where $\sigma = y^2 + yz + z^2$. Suppose $\chi \equiv 5 \pmod{8}$. Then x is odd and $\sigma \equiv 2 \pmod{4}$. Thus

$$(1+y)(1+z) \equiv 1, \quad y \equiv z \equiv 0 \pmod{2}, \quad \sigma \equiv 0 \pmod{4}.$$

This contradiction shows that b is not represented by χ . Since (1) represents b , it is not equivalent to χ and hence is equivalent to B . Thus B as well as ϕ represents* $a = 3\alpha$. This completes the new proof of Theorem III by using only forms of Hessian 3. The numbers represented by χ are given by Theorem XI.

4. *The Form $C = x^2 + y^2 + 2z^2$.* By Theorem I, A represents every positive $4k+2$. Then just two of x, y, z are odd, say x and y , while $z = 2Z$. Then $x = X + Y$, $y = X - Y$ determine integers X and Y . Hence

$$X^2 + Y^2 + 2Z^2 = 2k + 1,$$

so that C represents all positive odd integers.† If

$$m \not\equiv 4^k(8n+7), m = X^2 + Y^2 + z^2,$$

by Theorem I. Hence

$$2m = (X+Y)^2 + (X-Y)^2 + 2z^2.$$

Conversely, if C is even, it is of the latter form.

THEOREM V. *C represents exclusively all positive integers not of the form $4^k(16n+14)$.*

5. *The Form $D = x^2 + 2y^2 + 2z^2$.* If m is odd and $\not\equiv 8n+7$, $m = x^2 + Y^2 + Z^2$ by Theorem I. Then $x+Y+Z$ is odd. Permuting, we may take x odd, and write $Y+Z = 2y$, $Y-Z = 2z$.

* If we apply the method of §2 when $H=3$ and hence take $s=1$, $b=3\beta$, where β is a prime $\equiv -1 \pmod{8}$, we find that it fails for all choices of Δ .

†Lebesgue, *Journal de Mathématiques*, (2), vol. 2 (1857), p. 149, gave a long proof by the method of §2.

Then $m = D$. Next, let $m = 2r$ be any even integer not of the form $4^k(8n+7)$. Then $r \neq 4^t(16n+14)$. By Theorem V, r is represented by C . Then $m = 2r$ is represented by D with x even.

THEOREM VI. D represents exclusively* all positive integers not of the form $4^k(8n+7)$.

6. *The Form* $F = x^2 + 2y^2 + 4z^2$. Every odd integer is represented by C with $x+y$ odd, whence one of x and y is even. Any integer $\neq 4^k(8n+7)$ is represented by D , and $2D = (2y)^2 + 2x^2 + 4z^2$.

THEOREM VII. F represents exclusively† all positive integers not of the form $4^k(16n+14)$.

The simple methods used in proving Theorems V–VII apply also to $x^2 + 2^r y + 2^s z$ when r and s are both ≤ 3 , and when $r = 1$ or 3 , $s = 4$.

7. *The Form* $G = x^2 + 2y^2 + 5z^2$. The only reduced forms of Hessian 10 are G , $J = x^2 + y^2 + 10z^2$, $K = 2x^2 + 2y^2 + 3z^2 + 2xz$, and $L = 2x^2 + 2y^2 + 4z^2 + 2yz + 2xz + 2xy$. Neither J nor K represents a number of the form $2(8n+3)$. For, if K is even, $z = 2Z$, $K = 2M$, $M = X^2 + y^2 + 5Z^2$, where $X = x + Z$. Since M is congruent to a sum of three squares modulo 4, it is congruent to 3 if and only if each square is odd, and then $M \equiv 7 \pmod{8}$. If J is even, $x = y + 2t$, $J = 2N$, $N = (y+t)^2 + t^2 + 5z^2 \not\equiv 3 \pmod{8}$.

We now apply the method of §2 to prove that G represents every positive integer prime to 10. Take $\Delta = 16k$, $k = 10l \pm 3$. Then $b = 2\beta$, where $\beta = 8ka - 5$ represents infinitely many primes. Now

$$\left(\frac{-\beta}{k}\right) = \left(\frac{5}{k}\right) = \left(\frac{k}{5}\right) = -1,$$

$$\left(\frac{-\Delta}{\beta}\right) = -\left(\frac{k}{\beta}\right) = -\left(\frac{-\beta}{k}\right) = 1.$$

Since (1) represents b , which is of the form $2(8n+3)$, it is not equivalent to J or K . Since it represents the odd a , it is not

* $D = x^2 + (y+z)^2 + (y-z)^2 \neq 4^k(8n+7)$ by Theorem I.

† If F is even, x is even and F is the double of a form D .

equivalent to L . Hence (1) is equivalent to G , which therefore represents a .

If G represents a multiple of 5, it is the product of 5 by $g = 5X^2 + 10Y^2 + z^2$, whence G represents no $5(5n \pm 2)$. Also, g is divisible by 5 only when z is. Thus G is divisible by 25 only when it is a product of 25 by a form like G .

To prove* that G represents every 5α if $\alpha = 5n \pm 1$ is odd, employ (1) with $a = 5\alpha$, $b = 2\beta$, $\beta = 8\alpha t - 5$, $r = 2\rho$, $s = 1 \mp \alpha$. The Hessian of (1) is 10 if

$$\beta + 5 + 10\alpha\rho^2 - \alpha\beta P = 0, \quad P = 5c \pm 2 - \alpha.$$

Take t prime to 10 and replace the first β by its value. Thus $8t + 10\rho^2 - \beta P = 0$, $P \equiv \pm 1 \pmod{5}$. Hence P yields an integral value for c . Also,

$$\begin{aligned} \left(\frac{t}{\beta}\right) &= \left(\frac{-\beta}{t}\right) = \left(\frac{5}{t}\right), \\ (5) \quad \left(\frac{5}{\beta}\right) &= \left(\frac{\beta}{5}\right) = \left(\frac{\pm 8t}{5}\right) = -\left(\frac{t}{5}\right), \\ \left(\frac{-80t}{\beta}\right) &= -\left(\frac{5t}{\beta}\right) = 1. \end{aligned}$$

Next, if G is even, $x = z + 2w$ and $G = 2T$, where

$$T = y^2 + 2w^2 + 2wz + 3z^2, \quad S = x^2 + y^2 + 5z^2$$

are the only reduced forms of Hessian 5. Every positive integer a prime to 5 is represented by T . Take $\Delta = 8k$, $k = 10m \pm 1$. Then $b = a\Delta - 5$ represents an infinitude of primes, and

$$\left(\frac{-2}{b}\right) = 1, \quad \left(\frac{-\Delta}{b}\right) = \left(\frac{k}{b}\right) = \left(\frac{-b}{k}\right) = \left(\frac{5}{k}\right) = \left(\frac{k}{5}\right) = 1.$$

Now $b \equiv 3 \pmod{8}$ is not represented by S , as proved for M . Hence (1) is equivalent to T and not S . Thus T represents a .

* Or we may use the method of §2. Of the ten properly primitive reduced forms of Hessian 50, all except g fail to represent numbers $\equiv 14 \pmod{16}$. To prove that g represents $\alpha = 5n \pm 1$ when odd, take $\Delta = 80t$, whence $b = 10\beta$, $\beta = 8\alpha t - 5$; apply (5). From this proof was reconstructed the shorter one in the text.

We saw that $2T=G$ represents no $5(5m \pm 2)$. Thus T represents no $5(5n \pm 1)$. To prove that T represents every 5α , where $\alpha = 5n \pm 2$, employ (1) with $a = 5\alpha$, $s = 1 \pm 2\alpha$. Its Hessian is 5 if

$$b + 5 + 5\alpha r^2 - \alpha bP = 0, \quad P = 5c - 4\alpha \pm 4.$$

Replace the first term b by $8t\alpha - 5$, where t is prime to 10. Thus $8t + 5r^2 - bP = 0$. Hence $8t(1 \mp 2P) \equiv 0$, $P \equiv \pm 3 \pmod{5}$, and the resulting value of c is an integer. Also

$$\begin{aligned} \left(\frac{5}{b}\right) &= \left(\frac{b}{5}\right) = \left(\frac{\pm 16t}{5}\right) = \left(\frac{t}{5}\right), \\ \left(\frac{t}{b}\right) &= \left(\frac{-b}{t}\right) = \left(\frac{5}{t}\right), \quad \left(\frac{-40t}{b}\right) = 1. \end{aligned}$$

We have now proved the two theorems:

THEOREM VIII. T represents exclusively all $\neq 25^k(25n \pm 5)$.

THEOREM IX. G represents exclusively all $\neq 25^k(25n \pm 10)$.

8. *The Form $E = x^2 + 2y^2 + 3z^2$.* We shall outline a proof of the following theorem.

THEOREM X. E represents exclusively all $\neq 4^k(16n + 10)$.

The only reduced forms of Hessian 6 are E and

$$Q = x^2 + y^2 + 6z^2, \quad R = 2x^2 + 2y^2 + 2z^2 + 2xy.$$

To prove that E represents every positive odd integer a , take $\Delta = 9k$, $k = 8t + 3$. Then $b = 3\beta$, where β represents primes. Also $(-\Delta/\beta) = 1$. The resulting form (1) represents the odd a and hence is not equivalent to R . Since it represents $b = 3(3n + 1)$, it is not equivalent to Q . For, if Q is divisible by 3, both x and y are.

If E is even, then $x = z + 2t$ and $E = 2U$,

$$U = y^2 + 2z^2 + 2zt + 2t^2$$

and conversely. In place of U we employ the like form χ of §3. To show that χ represents $a = 2\alpha$ when α is odd, take $\Delta = 9k$, $k = 4t + 1$. Then $b = a\Delta - 3 \equiv 6 \pmod{9}$ is not represented by the remaining reduced form B of Hessian 3 (Theorem III). Also $b = 3\beta$, $(-\Delta/\beta) = +1$.

Finally, χ represents every positive odd integer $a \not\equiv 5 \pmod{8}$. Write $\alpha = \frac{1}{2}(3a-1)$ and take $\Delta = 9k$, $k = 2h+1$. Then $b = 6q$, $q = 3ah + \alpha$. If $a = 8A + 1$, take $h = 4t$, t odd. Then $q = 12Ak + 12t + 1$,

$$\left(\frac{-\Delta}{q}\right) = \left(\frac{k}{q}\right) = \left(\frac{q}{k}\right) = \left(\frac{12t+1-k}{k}\right) = \left(\frac{4t}{k}\right) = \left(\frac{k}{t}\right) = 1.$$

If $a = 8A + 3$, take $h = 4t + 1$. If $a = 8A + 7$, take $h = 4t + 1$. In each case $(-\Delta/q) = 1$. In all three cases, q represents an infinitude of primes.

THEOREM XI. $x^2 + 2y^2 + 2yz + 2z^2$ represents exclusively all positive integers not of the form $4^k(8n+5)$.

9. *Forms in n Variables.* By a simple modification of Ramanujan's determination of quaternary forms which represent all positive integers, we readily prove*

THEOREM XII. *If, for $n \geq 5$, $f = a_1x_1^2 + \dots + a_nx_n^2$ represents all positive integers, while no sum of fewer than n terms of f represents all positive integers, then $n = 5$ and*

$$f = x^2 + 2y^2 + 5z^2 + 5u^2 + ev^2, \quad (e = 5, 11, 12, 13, 14, 15),$$

and these six forms f actually have the property stated.

After this paper was in type, I saw that J. G. A. Arndt gave† the Dirichlet type of proof which appears in §2 above, but not the improved new proof of §3. For the form G of §7, he treats only numbers not divisible by 5.

THE UNIVERSITY OF CHICAGO

*Note to appear in Proceedings of the National Academy.

†*Ueber die Darstellung ganzer Zahlen als Summen von sieben Kuben*, Dissertation, Göttingen, 1925.