

On a Differential Equation Characterizing a Riemannian Structure of a Manifold

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It often happens that the existence of a function on a riemannian manifold satisfying some condition gives informations about the topological, differentiable or riemannian structure of the manifold. In fact, in 1962, Obata characterized the euclidean sphere of radius $1/\sqrt{k}$ as the only complete riemannian manifold which has a nontrivial solution for the differential equation

$$(1) \quad \text{Hess } f + kfg = 0$$

with a positive constant k , where $\text{Hess } f$ is a symmetric $(0, 2)$ -tensor called the hessian of f defined by $(\text{Hess } f)(X, Y) = (\nabla_X df)(Y) = XYf - (\nabla_X Y)f$ for any vector fields X and Y , and g is the metric tensor: that is

THEOREM A (Obata [3, 4]). *Let $k > 0$. For a C^∞ complete riemannian manifold (M, g) of dimension $n (\geq 2)$, there is a C^∞ nontrivial function f on M satisfying (1), if and only if (M, g) is isometric to the euclidean n -sphere $(S^n, (1/k)g_0)$ of radius $1/\sqrt{k}$, where g_0 denotes the canonical metric on S^n with constant curvature 1.*

Also there is a work by Tanno [5] in which he investigated effects of some differential equations of order three on riemannian and kählerian manifolds.

In this article we give necessary and sufficient conditions for the existence of a nontrivial function f on (M, g) which satisfies (1) with a nonpositive constant k . A manifold is assumed to be of C^∞ and connected, unless otherwise indicated. Also all tensors (including functions, vector fields, etc.) are assumed to be C^∞ , unless otherwise indicated.

The case $k=0$ is reduced to the following trivial theorem:

THEOREM B. *A complete riemannian manifold (M, g) of dimension $n(\geq 2)$ has a nontrivial function f on M satisfying*

$$\text{Hess } f = 0,$$

if and only if (M, g) is the riemannian product $(\bar{M}, \bar{g}) \times (\mathbf{R}, g_0)$ of a complete riemannian manifold (\bar{M}, \bar{g}) and the real line (\mathbf{R}, g_0) , where g_0 denotes the canonical metric of \mathbf{R} .

A proof of Theorem B will be found in e.g. [7].

It is easily verified that every nontrivial function satisfying (1) with $k > 0$ has critical points and that any nontrivial function satisfying (1) with $k = 0$ does not have critical points. But the case $k < 0$ is divided into following two theorems:

THEOREM C. *Suppose that (M, g) is a complete riemannian manifold of dimension $n(\geq 2)$, and that $k < 0$. Then there is a nontrivial function f on M with a critical point which satisfies (1), if and only if (M, g) is the simply connected complete riemannian manifold $(H^n, -(1/k)g_0)$ of constant curvature k , where g_0 is the canonical metric on the hyperbolic space of constant curvature -1 .*

THEOREM D. *Let (M, g) and k be as in Theorem C. Then there is a function f on M without critical points which satisfies (1), if and only if (M, g) is the warped product $(\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ of a complete riemannian manifold (\bar{M}, \bar{g}) and the real line (\mathbf{R}, g_0) , warped by a function $\xi: \mathbf{R} \rightarrow \mathbf{R}$ such that $\ddot{\xi} + k\xi = 0$, $\xi > 0$, where g_0 denotes the canonical metric on \mathbf{R} ; $g_0 = dt^2$.*

The notion of warped products shall be reviewed in § 1, and proofs of Theorem C and Theorem D are given in § 2 and § 3, respectively.

By noting that the simply connected complete n -dimensional riemannian manifold $(H^n, -(1/k)g_0)$ of constant curvature k ($n \geq 2, k < 0$) is constructed as the warped product $(\mathbf{R}^{n-1}, g_0)_\xi \times (\mathbf{R}, g_0)$ with $\xi = e^{\pm \sqrt{-k}t}$ (see Lemma 3 in § 1), we conclude from Theorem C and Theorem D.

COROLLARY E. *Let (M, g) and k be as in Theorem C. Then there is a nontrivial function f on M satisfying (1), if and only if (M, g) is the warped product $(\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ of a complete riemannian manifold (\bar{M}, \bar{g}) and the real line (\mathbf{R}, g_0) , warped by a function $\xi: \mathbf{R} \rightarrow \mathbf{R}$ such that $\ddot{\xi} + k\xi = 0$, $\xi > 0$.*

Combining the theorems mentioned above and Lemma 3 in § 1, we have

COROLLARY F. *Suppose that (M, g) is a complete riemannian manifold of dimension 2 and that k is any constant. If there is a nontrivial function f on M which satisfies (1), then (M, g) is of constant curvature k .*

In § 4, we will briefly discuss conformal vector fields on a riemannian manifold as an application of the above theorems, and generalize a theorem of Yano and Nagano [7] for (not necessarily complete) conformal vector fields on a complete Einstein manifold.

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§ 1. Warped products.

In this section, we review briefly the fundamental formulas for warped products (see, for detail, Bishop-O'Neill [1]).

Let I be an open interval in \mathbf{R} . For a riemannian manifold (\bar{M}, \bar{g}) and a function $\xi: I \rightarrow \mathbf{R}$ with positive values, the *warped product* $(M, g) = (\bar{M}, \bar{g})_\xi \times (I, g_0)$ of (\bar{M}, \bar{g}) and the interval (I, g_0) warped by ξ , is defined by

$$M = \bar{M} \times I, \quad g = \xi^2 \bar{g} + g_0,$$

where g_0 is the canonical metric of I . Note that any vector field X on \bar{M} is lifted onto M uniquely. Unless confusions may happen, the lift of X is also denoted by the same symbol X . Let ∇ and $\bar{\nabla}$ be the riemannian connections of (M, g) and (\bar{M}, \bar{g}) , respectively. Then the following lemma is easily verified, where T denotes the lift of the vector field d/dt on I .

LEMMA 1. *For any vector fields X, Y on \bar{M} ,*

- (a) $\nabla_X Y = \bar{\nabla}_X Y - \xi \dot{\xi} \bar{g}(X, Y) T$
- (b) $\nabla_T X = \nabla_X T = (\dot{\xi}/\xi) X$
- (c) $\nabla_T T = 0$.

A proof is found in [1]. This lemma implies

COROLLARY 2. *For any riemannian manifold (\bar{M}, \bar{g}) , the warped product $(M, g) = (\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ with $\ddot{\xi} - \xi = 0, \xi > 0$, has a solution of the equation $\text{Hess } f - fg = 0$ without critical points.*

PROOF. Take a function $F: \mathbf{R} \rightarrow \mathbf{R}$ as $\dot{\xi} \dot{F} - \xi F = 0$. Then the function $f: \bar{M} \times \mathbf{R} \rightarrow \mathbf{R}$ defined by $f(\bar{p}, t) = F(t)$ ($\bar{p} \in \bar{M}, t \in \mathbf{R}$) is a solution of the above equation without critical points.

Next, we calculate the curvature tensor and the Ricci curvature of a

warped product. Let R be the curvature tensor of $(M, g) = (\bar{M}, \bar{g})_\varepsilon \times (I, g_0)$ and \bar{R} the curvature tensor of (\bar{M}, \bar{g}) (here we adopt the sign convention $R(X, Y) = [\nabla_X, \nabla_Y] - \nabla_{[X, Y]}$). Also let Ric and \bar{Ric} be the Ricci tensors of (M, g) and (\bar{M}, \bar{g}) , respectively.

LEMMA 3. For any vector fields X, Y and Z on \bar{M} , the following hold:

- (a) $R(X, Y)Z = \bar{R}(X, Y)Z + \xi^2\{\bar{g}(X, Z)Y - \bar{g}(Y, Z)X\}$
- (b) $R(X, Y)T = 0$
- (c) $g(R(T, X)T, Y) = \xi\bar{g}(X, Y)$.

LEMMA 4. If X is a vector field on \bar{M} , then the following hold:

- (a) $Ric(X, X) = \bar{Ric}(X, X) - \{\xi/\xi + (n-1)(\xi/\xi)^2\}g(X, X)$
- (b) $Ric(T, T) = -n(\xi/\xi)$

where n is the dimension of \bar{M} .

Proofs are found in [1].

§ 2. Proof of Theorem C.

In this section, we give a proof of Theorem C. We begin with the following lemma.

LEMMA 5. Suppose that (N, g) is a (not necessarily complete) riemannian manifold of dimension $n (\geq 2)$, and that f is a function on (N, g) without critical points. If f satisfies the equation

$$(2) \quad \text{Hess } f - fg = 0,$$

then the following hold:

- (a) If ν is the vector field on N defined by $\nu = (1/|\text{grad } f|)\text{grad } f$, then $\nabla_\nu \nu = 0$, i.e., any integral curve of ν is a geodesic.
- (b) Every hypersurface $f^{-1}(a)$ is totally umbilic; in fact, if h is the second fundamental form of $f^{-1}(a)$ with ν as its unit normal vector field, then $h = -(f/|\text{grad } f|)g$.

PROOF. To prove (a) it suffices to show that $\nabla_{\text{grad } f} \text{grad } f$ is linearly dependent on $\text{grad } f$. By the definition of the hessian, we have $(\text{Hess } f)(X, \text{grad } f) = \langle \nabla_{\text{grad } f} \text{grad } f, X \rangle$ for any tangent vector X , where $g = \langle \cdot, \cdot \rangle$. This implies, with (2), that $\nabla_{\text{grad } f} \text{grad } f = f \text{grad } f$. Thus we have (a).

Next we prove (b). For any vector fields X, Y tangent to $f^{-1}(a)$, we have, by Weingarten's formula for hypersurfaces, that

$$-h(X, Y) = \langle \nabla_X \nu, Y \rangle = -\langle \nu, \nabla_X Y \rangle = -\frac{1}{|\text{grad } f|} (\nabla_X Y) f .$$

On the other hand, (2) implies that $(\nabla_X Y) f + f \langle X, Y \rangle = 0$. Thus we conclude (b).

PROOF OF THEOREM C. It suffices to prove Theorem C with $k = -1$. Also it is obvious that (H^n, g_0) , the simply connected n -dimensional complete riemannian manifold of constant curvature -1 , has a nontrivial function f with a critical point which satisfies $\text{Hess } f - fg_0 = 0$. In fact, if we choose any point p in H^n , the function f on H^n defined by $f(q) = \cosh r$, where $r = \text{dist}(p, q)$, is a nontrivial solution of the above equation with a critical point p . Now we shall show that the converse is also true. Let (M, g) be a complete riemannian manifold of dimension $n (\geq 2)$, and f a nontrivial function on (M, g) with a critical point which satisfies

$$(3) \quad \text{Hess } f - fg = 0 .$$

Note that if $\gamma: \mathbf{R} \rightarrow M$ is a geodesic with unit speed, then the equation (3) is written down, on γ , as

$$(4) \quad \frac{d^2}{dt^2} (f \circ \gamma) - f \circ \gamma = 0 .$$

This is a second order ordinary differential equation, so $f \circ \gamma$ is determined uniquely by the values $f \circ \gamma(0)$ and $df \circ \gamma(0)$. Without loss of generality, we may assume $f(p) = 1$ and $df(p) = 0$. Also we have easily that

$$(5) \quad f(q) = \cosh |X| \quad \text{for } q = \exp_p X$$

by (4). On the other hand, by joining p and q by a minimizing geodesic, we have

$$(6) \quad f(q) = \cosh r, \quad \text{where } r = \text{dist}(p, q) .$$

By (5) and (6), any geodesic through p is minimizing, and therefore,

$$(7) \quad \exp_p: T_p M \longrightarrow M \quad \text{is bijective.}$$

Let $\gamma: [0, \infty) \rightarrow M$ be an arbitrary geodesic such that $\gamma(0) = p$, $|\dot{\gamma}| \equiv 1$, and J a Jacobi field along γ such that $J(0) = 0$, $|J'(0)| = 1$ and that $\langle J, \dot{\gamma} \rangle \equiv 0$. We shall show that

$$(8) \quad |J(r)| = \sinh r, \quad \text{for all } r \in [0, \infty) .$$

Fix $r \in (0, \infty)$. Then $\bar{M} = f^{-1}(\cosh r)$ is a hypersurface in M , and ν , the

restriction of $(1/|\text{grad } f|)\text{grad } f$ to \bar{M} , is a unit normal vector field of \bar{M} . Note that $J(r)$ is tangent to \bar{M} . Let h be the second fundamental form of \bar{M} . Then, by Lemma 5 and Weingarten's formula, we have

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \Big|_{t=r} \langle J, J \rangle &= \langle J, \nabla_\nu J \rangle|_{t=r} = \langle J, \nabla_J \nu \rangle|_{t=r} \\ &= -h(J(r), J(r)) = \frac{f}{|\text{grad } f|} \langle J, J \rangle|_{t=r} = \frac{\cosh r}{\sinh r} \langle J, J \rangle|_{t=r}. \end{aligned}$$

Thus (8) holds. By (8), we conclude that γ has no conjugate points of $p=\gamma(0)$. Combining this fact with (7), we have

$$(9) \quad \exp_p: T_p M \longrightarrow M \text{ is a diffeomorphism.}$$

(8) and (9) show that $M \setminus \{p\}$ is isometric to the warped product $(S^{n-1}, g_0)_\xi \times (I, g_0)$ with $I=(0, \infty)$, $\xi(t)=\sinh t$. In fact, identifying (S^{n-1}, g_0) with $\{X \in T_p M: |X|=1\}$, we can construct an isometry of $(S^{n-1}, g_0)_\xi \times (I, g_0)$ onto (M, g) by $(X, t) \mapsto \exp_p tX$, $X \in T_p M$, $|X|=1$; $t \in I$. But, by Lemma 3, $(S^{n-1}, g_0)_\xi \times (I, g_0)$ is of constant curvature -1 , and therefore, $M \setminus \{p\}$ is of constant curvature -1 . Because of the continuity of the curvature, M is of constant curvature -1 . Thus, with (9), we conclude that (M, g) is isometric to (H^n, g_0) . This completes the proof of Theorem C.

§ 3. Proof of Theorem D.

In this section, we give a proof of Theorem D. Without loss of generality, we may assume $k=-1$.

PROOF OF THEOREM D. We have already seen in Corollary 2 that a half of Theorem D holds. So it is sufficient to show that the converse is also true.

Suppose that (M, g) is a complete riemannian manifold of dimension $n(\geq 2)$, and that f is a function on M without critical points which satisfies (3). We shall show that (M, g) is isometric to the warped product $(\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ of some complete riemannian manifold (\bar{M}, \bar{g}) and the real line (\mathbf{R}, g_0) warped by a function $\xi: \mathbf{R} \rightarrow \mathbf{R}$ with $\xi > 0$, $\ddot{\xi} - \xi = 0$. Put $\nu = (1/|\text{grad } f|)\text{grad } f$. Fix $a \in \mathbf{R}$ so that $\bar{M} = f^{-1}(a) \neq \emptyset$, and let \bar{g} be the induced metric of \bar{M} . Also let φ be the flow of ν and define the map $\Psi: \bar{M} \times \mathbf{R} \rightarrow M$ by $\Psi(\bar{p}, t) = \varphi_t(\bar{p})$ for $\bar{p} \in \bar{M}$ and $t \in \mathbf{R}$. It is obvious that Ψ is a diffeomorphism. So \bar{M} is connected. Moreover $X \cdot \langle \text{grad } f, \text{grad } f \rangle = 2(\text{Hess } f)(X, \text{grad } f) = 0$ for any tangent vector X of \bar{M} , so $|\text{grad } f|$ is constant on \bar{M} . Since the value of f at $\Psi(\bar{p}, t)$ is determined by the

values of f and df at \bar{p} , f is given by

$$(10) \quad f \circ \Psi(\bar{p}, t) = Ae^t - Be^{-t}, \quad \bar{p} \in \bar{M}, \quad t \in \mathbf{R},$$

where A and B are constants such that $A, B \geq 0, A^2 + B^2 \neq 0$. Put $\xi(t) = (Ae^t + Be^{-t}) / (A + B)$. Now we prove that Ψ is an isometry from $(\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ onto (M, g) . To see this, it suffices to show that for each fixed $r \in \mathbf{R}$, $\psi = \Psi|_{\bar{M} \times \{r\}}$ maps (\bar{M}, \bar{g}) into (M, g) in such a way that

$$(11) \quad \{\xi(r)\}^2 \bar{g} = \psi^* g.$$

Fix $\bar{p} \in \bar{M}$ and put $\gamma(t) = \Psi(\bar{p}, t), \bar{p} \in \bar{M}, t \in \mathbf{R}$. Recall that γ is a geodesic in M . Let J be a Jacobi field along γ such that $J(0)$ is tangent to \bar{M} . Then, by Weingarten's formula, Lemma 5 and (10), we have

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \Big|_{t=r} \langle J, J \rangle &= \langle J, \nabla_J \nu \rangle \Big|_{t=r} = -h(J(r), J(r)) \\ &= \frac{f}{|\text{grad } f|} \langle J, J \rangle \Big|_{t=r} = \frac{Ae^r - Be^{-r}}{Ae^r + Be^{-r}} \langle J, J \rangle \Big|_{t=r}, \end{aligned}$$

where h is the second fundamental form of the hypersurface $f^{-1}(f \circ \gamma(r))$. Thus $|J(r)|^2 = \{\xi(r)\}^2 |J(0)|^2$, and, therefore, $|\psi_* J(0)|^2 = |J(r)|^2 = \{\xi(r)\}^2 |J(0)|^2$. Thus (11) holds. This completes the proof of Theorem D.

§ 4. Conformal vector fields.

In [7], Yano and Nagano established the fact that if a complete Einstein manifold of dimension $n (\geq 3)$ admits a complete nonhomothetic conformal vector field then the manifold is isometric to a sphere of constant curvature. Recall that a vector field V on a riemannian manifold (M, g) is said to be *conformal* if it satisfies

$$(12) \quad L_V g = 2fg$$

with some function f on M , where $L_V g$ denotes the Lie derivative of g with respect to V . Especially if (12) holds for a constant function f (resp. $f \equiv 0$), then V is said to be *homothetic* (resp. *isometric*). Also a vector field on a manifold M is said to be *complete* if its flow $\varphi_t(p), p \in M$, is defined for all $t \in \mathbf{R}$ and $p \in M$. In this section, we investigate conformal vector fields which is not necessarily complete. We begin with some examples.

EXAMPLES. (1) Let f be a nontrivial function on a riemannian manifold (M, g) satisfying (1) with $k \neq 0$. Since $L_{\text{grad } f} g = 2 \text{Hess } f$, the

gradient vector field $\text{grad } f$ is nonhomothetic and conformal. But for $k < 0$, $\text{grad } f$ is not complete even if (M, g) is complete (cf. [7]).

(2) A vector field V on the $n (\geq 2)$ dimensional euclidean space (\mathbf{R}^n, g_0) defined by

$$V = x^n \left(x^1 \frac{\partial}{\partial x^1} + \cdots + x^{n-1} \frac{\partial}{\partial x^{n-1}} \right) + \frac{1}{2} (x^n)^2 \frac{\partial}{\partial x^n}$$

is nonhomothetic and conformal, where (x^1, \dots, x^n) is the canonical coordinates. But V is not complete.

THEOREM G. *An $n (\geq 3)$ dimensional complete Einstein manifold (M, g) with scalar curvature $n(n-1)k$ admits a (not necessarily complete) nonhomothetic conformal vector field if and only if one of the following conditions holds:*

(i) $k > 0$ and (M, g) is isometric to the euclidean n -sphere $(S^n, (1/k)g_0)$ of radius $1/\sqrt{k}$.

(ii) $k = 0$ and (M, g) is isometric to the n -dimensional euclidean space (\mathbf{R}^n, g_0) .

(iii) $k < 0$ and (M, g) is isometric to the warped product $(\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ of a complete Einstein manifold (\bar{M}, \bar{g}) of scalar curvature $4(n-1)(n-2)kC_1C_2$ and the real line (\mathbf{R}, g_0) , warped by $\xi(t) = C_1 e^{\sqrt{-k}t} + C_2 e^{-\sqrt{-k}t}$, where C_1 and C_2 are nonnegative constants.

PROOF. It is an immediate consequence of Theorem A, Corollary E and the above examples that (M, g) admits a nonhomothetic conformal vector field if (i), (ii) or (iii) holds. So we shall prove that the converse is also true. Let V be a nonhomothetic conformal vector field on (M, g) with $L_V g = 2fg$. Since (M, g) is an Einstein manifold with $\text{Ric} = (n-1)kg$, we have $\text{Hess } f + kfg = 0$ (see [6], pp. 160-161). We continue the proof dividing into three cases.

(a) $k > 0$: In this case, (M, g) is isometric to $(S^n, (1/k)g_0)$, by Theorem A.

(b) $k < 0$: If f has a critical point, then Theorem C implies that (M, g) is isometric to $(H^n, -(1/k)g_0) = (\mathbf{R}^{n-1}, g_0)_\xi \times (\mathbf{R}, g_0)$, $\xi(t) = e^{\pm \sqrt{-k}t}$, and therefore, the condition (iii) holds. Now, suppose that f has no critical points. Since (M, g) has a nontrivial function f satisfying $\text{Hess } f + kfg = 0$, (M, g) is isometric to a warped product $(\bar{M}, \bar{g})_\xi \times (\mathbf{R}, g_0)$ with $\ddot{\xi} + k\xi = 0$, by Theorem D. Let Ric and $\bar{\text{Ric}}$ be the Ricci tensors of (M, g) and (\bar{M}, \bar{g}) , respectively. (M, g) is an Einstein manifold with $\text{Ric} = (n-1)kg$ and, hence, we have $\bar{\text{Ric}} = (n-2)(k\xi^2 + \dot{\xi}^2)\bar{g}$, by Lemma 4.

(c) $k = 0$: Since $\text{Hess } f = 0$ holds, (M, g) is isometric to a riemannian

product $(\bar{M}, \bar{g}) \times (\mathbf{R}, g_0)$ and f is defined by $f(\bar{p}, t) = At + B$, $\bar{p} \in \bar{M}$, $t \in \mathbf{R}$, by Theorem B. Decompose V as $V = \bar{V} + W$, where $V|_{\bar{M} \times \{t\}}$ is tangent to $\bar{M} \times \{t\}$ and $W|_{\{\bar{p}\} \times \mathbf{R}}$ is tangent to $\{\bar{p}\} \times \mathbf{R}$ for each fixed $\bar{p} \in \bar{M}$, $t \in \mathbf{R}$. Then for each fixed t and any vector fields X, Y tangent to $\bar{M} \times \{t\}$, $(L_{\bar{V}}\bar{g})(X, Y) = 2(At + B)\bar{g}(X, Y)$. Hence $\bar{M} \times \{t\}$ admits a nonisometric homothetic vector field $\bar{V}|_{\bar{M} \times \{t\}}$, for each fixed t such that $At + B \neq 0$. It is known that a complete riemannian manifold which admits a nonisometric homothetic vector field is isometric to the euclidean space ([2]). So (M, g) is isometric to $(\mathbf{R}^{n-1}, g_0) \times (\mathbf{R}, g_0) = (\mathbf{R}^n, g_0)$. This completes the proof of Theorem G.

ADDED IN PROOF. Just before this article comes to be published, I was announced by Prof. Y. Tashiro that our results (especially Theorem C and Theorem D mentioned in the introduction) had been already established in his paper [8; Theorem 2] where he investigated equations more general than those considered here. But his treatments seem to be different from ours in some points. I express my gratitude to Prof. Tashiro for his kind suggestions.

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