

## 82. Mordell-Weil Lattices and Galois Representation. III

By Tetsuji SHIODA

Department of Mathematics, Rikkyo University

(Communicated by Shokichi IYANAGA, M. J. A., Oct. 12, 1989)

5. Galois representation arising from the Mordell-Weil lattices. To explain the basic idea, let us consider the "elementary" situation. Let  $E$  be an elliptic curve defined over  $\mathbf{Q}(t)$ ,  $t$  being a variable over  $\mathbf{Q}$ , and let  $f: S \rightarrow \mathbf{P}^1$  be its Kodaira-Néron model, which is an elliptic surface defined over  $\mathbf{Q}$ . Assume as before that  $f$  is not smooth. Letting  $\bar{\mathbf{Q}}$  be the algebraic closure of  $\mathbf{Q}$  and  $K = \bar{\mathbf{Q}}(t)$ , consider the Mordell-Weil group of  $K$ -rational points  $E(K)$ . Obviously the Galois group  $\text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q})$  acts on  $E(K)$ , and it makes the height pairing stable. Thus we have the Galois representation on the Mordell-Weil lattice  $E(K)/(\text{tor})$  or  $E(K)^0$ : let

$$(5.1) \quad \rho: \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \longrightarrow \text{Aut}(E(K)^0).$$

There arises a natural question:

- Question 5.1.** (1) *How big can  $\text{Im}(\rho)$  be? and:*  
 (2) *How small can  $\text{Im}(\rho)$  be?*

The interest of the first question is obvious. The second one is also interesting, because if the image of  $\rho$  is trivial, then we have  $E(\bar{\mathbf{Q}}(t)) = E(\mathbf{Q}(t))$  so that the rank of  $E$  over  $\mathbf{Q}(t)$  can be relatively big. The intermediate case can be also of some interest (e.g. [5]).

Suppose, for instance, that  $S \otimes \bar{\mathbf{Q}}$  is a rational elliptic surface without reducible fibres. Then, by Theorem 2.1, the Mordell-Weil lattice is the root lattice  $E_8$ , and hence we have

$$(5.2) \quad \rho: \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \longrightarrow \text{Aut}(E_8) = W(E_8).$$

The Hasse zeta function of the surface  $S$  over  $\mathbf{Q}$  is given by

$$(5.3) \quad \zeta(S/\mathbf{Q}, s) = \zeta(s)\zeta(s-1)^2\zeta(s-2)L(\rho, s-1)$$

(up to finitely many Euler factors) where  $L(\rho, s)$  is the Artin  $L$ -function attached to  $\rho$  and  $\zeta(s)$  is the Riemann zeta function.

Now the first question asks: is it possible to have  $\text{Im}(\rho) = W(E_8)$  for some  $E/\mathbf{Q}(t)$ ? We can affirmatively answer this question (Theorem 7.1) and its variant for  $E_7, E_6$ , etc. Thus we obtain infinitely many Galois extensions of  $\mathbf{Q}$  with Galois group  $W(E_8)$ , having a natural representation  $\rho$  on the lattice  $E_8$ . Since  $W(E_8)$  contains a subgroup  $H$  of index 2 such that  $H/\{\pm 1\}$  is a simple group ([1, Ch. 6]),  $L(\rho, s)$  is essentially of non-abelian type.

Our results also answer the question, first remarked by Weil [9, p. 558] and then studied by Manin [4, Ch. 4] in more detail, concerning the image of the Galois representation arising from the 27 lines on a smooth cubic surface or more generally from the exceptional curves on a Del Pezzo surface (cf. Remark 6.3).

Now, for the second question as to the case  $\text{Im}(\rho)$  trivial, we have also a satisfactory answer. We obtain 8 parameter family of elliptic curves  $E_\lambda$  over  $\mathbf{Q}(t)$ , having 8 free generators of  $E_\lambda(\mathbf{Q}(t))$  which depend rationally on the parameter  $\lambda$  (Theorem 7.2).

Needless to say, the generalization of these results to the case of irrational elliptic surfaces (e.g. K3 surfaces) with high rank will be extremely interesting.

**6. Monodromy of the Mordell-Weil lattices.** To fix the idea, we consider the family of elliptic curves  $\{E_\lambda\}$  defined by the Weierstrass equation:

$$(6.1) \quad y^2 = x^3 + \left(\sum_{i=0}^3 p_i t^i\right)x + \left(\sum_{i=0}^3 q_i t^i + t^5\right),$$

where  $\lambda = (p_0, \dots, p_3, q_0, \dots, q_3) \in A^8$ , the 8-dimensional affine space over  $\mathbf{Q}$ , and  $t$  is a variable over the function field  $\mathbf{Q}(A^8)$ . For any  $\lambda$ ,  $E_\lambda$  is an elliptic curve defined over  $\mathbf{Q}(\lambda)(t)$ . Let  $S_\lambda$  denote the Kodaira-Néron model of  $E_\lambda$  over  $\mathbf{Q}(\lambda)(t)$ . It is a smooth algebraic surface defined over  $\mathbf{Q}(\lambda)$  such that  $S_\lambda \otimes \overline{\mathbf{Q}(\lambda)}$  is a rational surface, where  $\overline{\mathbf{Q}(\lambda)}$  denotes the algebraic closure of  $\mathbf{Q}(\lambda)$ . Since  $S_\lambda$  has a singular fibre of type II over  $t = \infty$ , we have the specialization map  $sp_\infty: E(\overline{\mathbf{Q}(\lambda)}(t)) \rightarrow \overline{\mathbf{Q}(\lambda)}$  (Lemma 3.3).

**Theorem 6.1.** *For generic  $\lambda$  (i.e. for  $p_0, \dots, q_3$  independent variables) over  $\mathbf{Q}$ , let  $P_1, \dots, P_8$  be a basis of  $E_\lambda(\overline{\mathbf{Q}(\lambda)}(t)) \simeq E_8$ , and let  $u_i = sp_\infty(P_i)$ . Then the map*

$$(6.2) \quad \rho_\lambda: \text{Gal}(\overline{\mathbf{Q}(\lambda)}/\mathbf{Q}(\lambda)) \longrightarrow W(E_8)$$

*is surjective. If  $\mathfrak{K}_\lambda$  is the Galois extension of  $\mathbf{Q}(\lambda)$  which correspond to  $\text{Ker}(\rho_\lambda)$ , then  $\mathfrak{K}_\lambda = \mathbf{Q}(\lambda)(u_1, \dots, u_8) = \mathbf{Q}(u_1, \dots, u_8)$ . Therefore  $\mathbf{Q}(u_1, \dots, u_8)/\mathbf{Q}(p_0, \dots, q_3)$  is a Galois extension with Galois group  $W(E_8)$ .*

*Sketch of the proof.* To show the surjectivity of  $\rho_\lambda$ , it suffices to prove it after we make the base extension of  $\mathbf{Q}$  to  $\mathbf{C}$ . Then we observe that, for any (specialized)  $\lambda \in \mathbf{C}^8$ , the following are equivalent: (i) the Mordell-Weil lattice  $E_\lambda(\mathbf{C}(t)) \simeq E_8$ , (ii)  $S_\lambda$  has no reducible fibre and (iii) the affine surface  $S'_\lambda$  defined by (6.1) is smooth. Hence the locus of  $\lambda \in \mathbf{C}^8$  having Mordell-Weil lattice  $E_8$  is  $\mathbf{C}^8 - D$ ,  $D$  being the discriminant locus of (6.1). Now the family (6.1) can be viewed as the semi-universal deformation of the rational double point of type  $E_8: y^2 = x^3 + t^5$ , parametrized by  $A^8$ . Then, by the well-known result in the singularity theory due to Brieskorn, Tjurina and others (see e.g. [2], [7]), the monodromy representation

$$\pi_1(\mathbf{C}^8 - D) \longrightarrow W(E_8)$$

is surjective, which proves the assertion.

**Remark 6.2.** As the above sketched proof shows, the monodromy and “degeneration” of the Mordell-Weil lattices are closely related to the deformation of certain isolated singularities, not necessarily of rational double points.

**Remark 6.3.** Also there is a close connection between certain class of rational elliptic surfaces and the Del Pezzo surfaces. It seems that the geometry of the latter (cf. [4]), e.g. the exceptional curves on such, can be much

better understood in terms of the Mordell-Weil lattices. For instance, a rational elliptic surface  $S$  with Mordell-Weil lattice of type  $E_6$  is the blowing-up of three infinitely near points of smooth cubic surfaces  $V_1$  and  $V_2$ , and the 54 minimal sections are exactly the pull-back of the 27 lines on each  $V_i$ . We can explicitly write down “the equation of 27 lines” this way. Similarly, we have an algebraic equation of degree 240 whose roots are the 240 “roots” of the root lattice  $E_8$ !

**7. Arithmetic consequences.** The first consequence. By Hilbert’s irreducibility theorem ([3, Ch. 9]), we have:

**Theorem 7.1.** *There exist infinitely many  $\lambda=(p_0, \dots, q_3) \in \mathbf{Q}^8$  with the following properties (let  $\mathfrak{R}_\lambda$  be defined as before):*

- 1)  $\mathfrak{R}_\lambda/\mathbf{Q}$  is a Galois extension with Galois group  $W(E_8)$ .
- 2) The elliptic curve  $E_\lambda$  over  $\mathbf{Q}(t)$  has the Mordell-Weil group  $E_\lambda(\bar{\mathbf{Q}}(t)) = E_\lambda(\mathfrak{R}_\lambda(t)) \simeq E_8$  and  $E_\lambda(\mathbf{Q}(t))=0$ .
- 3) The Hasse zeta function of the surface  $S_\lambda$  over  $\mathbf{Q}$  is given by (5.3) in which the Artin  $L$ -function  $L(\rho_\lambda, s)$  is essentially of non-abelian type.

It seems possible to give explicit numerical examples as above. (N.B. The mere existence of infinitely many Galois extensions with Galois group  $W(E_8)$  (or any finite reflection group) is trivial in view of Chevalley’s theorem and Hilbert’s irreducibility theorem).

The second consequence. For a moment, let  $\lambda=(p_0, \dots, p_3, q_0, \dots, q_3)$  be generic again. Then, with the notation of Theorem 6.1,  $u_1, \dots, u_8$  are independent variables over  $\mathbf{Q}$ . The ring of  $W(E_8)$ -invariants in  $\mathbf{Q}[u_1, \dots, u_8]$  is a graded polynomial ring with generators of degree 2, 8, 12, 14, 18, 20, 24 and 30 ([1, Ch. 6]). Indeed, we have

$$(7.1) \quad \mathbf{Q}[u_1, \dots, u_8]^{W(E_8)} = \mathbf{Q}[p_0, \dots, p_3, q_0, \dots, q_3]$$

and we can uniquely write

$$(7.2) \quad p_i = I_{20-6i}(u_1, \dots, u_8), \quad q_i = I_{30-6i}(u_1, \dots, u_8) \quad (0 \leq i \leq 3)$$

where  $I_w(u)$  are polynomials of degree  $w$  in  $u_1, \dots, u_8$  with  $\mathbf{Q}$ -coefficients. On the other hand, let  $u_j = sp_\infty(P_j)$  ( $j=1, \dots, 240$ ) be all the “roots”: each  $u_j$  is a  $\mathbf{Z}$ -linear combination of  $u_1, \dots, u_8$ . Let  $\delta(u) = \prod_{i < j} (u_i - u_j)$ . With these notation, we have

**Theorem 7.2.** *For any  $u=(u_1, \dots, u_8) \in \mathbf{Q}^8$  such that  $\delta(u) \neq 0$ , define  $p_i, q_i \in \mathbf{Q}$  by (7.2) and let  $\lambda=(p_0, \dots, p_3, q_0, \dots, q_3) \in \mathbf{Q}^8$ . Then the elliptic curve  $E_\lambda$  over  $\mathbf{Q}(t)$ , defined by (6.1), has the Mordell-Weil group  $E_\lambda(\mathbf{Q}(t))$  of rank 8 (without any constant field extension). Moreover there is a basis  $\{P_1, \dots, P_8\}$  of  $E_\lambda(\mathbf{Q}(t))$ , of the form  $P_i=(x_i, y_i)$  with*

$$(7.3) \quad x_i = u_i^{-2}t^2 + a_it + b_i, \quad y_i = u_i^{-3}t^3 + c_it^2 + d_it + e_i,$$

which depend rationally on the parameters  $u_1, \dots, u_8$ .

**Corollary 7.3.** *Fix  $u=(u_1, \dots, u_8) \in \mathbf{Q}^8$  and  $\lambda=(p_0, \dots, q_3) \in \mathbf{Q}^8$  as above. Then, specializing  $t$  to any rational number (with only finitely many exception), we obtain a family of elliptic curves  $E^{(v)}$ , given with  $\mathbf{Q}$ -rational points  $P_1^{(v)}, \dots, P_8^{(v)}$ , such that*

$$(7.4) \quad \lim_{h(t) \rightarrow \infty} \det(\langle P_i^{(t)}, P_j^{(t)} \rangle_{\text{can}} / h(t)) = 2^{-8}$$

where  $h(t)$  is the standard height of a point on  $P_{\mathbf{Q}}^1$  and  $\langle \cdot, \cdot \rangle_{\text{can}}$  is the canonical height on the Mordell-Weil group of an elliptic curve over  $\mathbf{Q}$  (cf. [8]).

This follows from Tate's theorem (loc. cit) and  $\det(E_8) = 1$ . The factor  $2^8$  is caused by our definition of the height pairing (the part I) which differs from that of [8] by multiplication by 2.

*Added in Proof.* The question 2.4 has a negative answer in general, as pointed out by K. Oguiso.

### References

- [1] Bourbaki, N.: Groupes et Algèbres de Lie. Chap. 4, 5 et 6, Hermann, Paris (1968).
- [2] Demazure, M., Pinkham, H., and Teissier, B.: Séminaire sur les Singularités des Surfaces. Lect. Notes in Math., **777** (1980).
- [3] Lang, S.: Fundamentals of Diophantine Geometry. Springer-Verlag (1983).
- [4] Manin, Ju.: Cubic Forms. 2nd ed., North-Holland (1986).
- [5] Shioda, T.: The Galois representation of type  $E_8$  arising from certain Mordell-Weil groups. Proc. Japan Acad., **65A**, 195–197 (1989).
- [6] —: Mordell-Weil lattices and Galois representation. I, II. Proc. Japan Acad., **65A**, 268–271; 296–299 (1989).
- [7] Slodowy, P.: Simple singularities and simple algebraic groups. Lect. Notes in Math., **815** (1980).
- [8] Tate, J.: Variation of the canonical height of a point depending on a parameter. Amer. J. Math., **105**, 287–294 (1983).
- [9] Weil, A.: Abstract versus classical algebraic geometry. Proc. Intern. Math. Congr. Amsterdam, vol. III, pp. 550–558 (1954); Collected Papers, vol. II, Springer-Verlag, pp. 180–188 (1980).