

94. Generic Bifurcations of Varieties^{*)}

By Shyūichi IZUMIYA

Department of Mathematics, Nara Women's University

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Let $f: (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ be a smooth map germ. For each $u \in (\mathbf{R}^r, 0)$, we have a germ of "varieties" $f_u^{-1}(0)$ defined by $f_u = f|_{\mathbf{R}^r \times u}$. In this note, we shall announce some results about bifurcations of $f_u^{-1}(0)$ as u varies in $(\mathbf{R}^r, 0)$. Details will appear elsewhere.

1. Parametrised contact equivalence. The local ring $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r)$ is the ring of smooth function germs $(\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow \mathbf{R}$. This ring has a maximal ideal \mathfrak{M}_{n+r} consisting of all germs with $f(0) = 0$. For a smooth map germ $f: (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$, we denote $I(f) = f^*(\mathfrak{M}_p)C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r)$, where $f^*: C_0^\infty(\mathbf{R}^p) \rightarrow C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r)$ is defined by $f^*(h) = h \circ f$.

Definition 1. Map germs $f, g: (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ are P - \mathcal{K} -equivalent (resp. $S.P$ - \mathcal{K} -equivalent) if there exists a diffeomorphism germ on $(\mathbf{R}^n \times \mathbf{R}^r, 0)$ of the form $\Phi(x, u) = (\Phi_1(x, u), \phi(u))$ (resp. $\Phi(x, u) = (\Phi_1(x, u), u)$) such that $\Phi^*(I(f)) = I(g)$. We denote $f \sim_{P-\mathcal{K}} g$ (resp. $f \sim_{S.P-\mathcal{K}} g$).

For each smooth map germ $f: (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$, the *bifurcation map germ* $\pi_f: (f^{-1}(0), 0) \rightarrow (\mathbf{R}^r, 0)$ is defined by $\pi_f(x, u) = u$.

Definition 2. For two map germs $f, g: (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$, bifurcation map germs π_f, π_g are \mathcal{A} -equivalent if there are diffeomorphism germs Φ on $(\mathbf{R}^n \times \mathbf{R}^r, 0)$ and ϕ on $(\mathbf{R}^r, 0)$ such that $\Phi(f^{-1}(0)) = g^{-1}(0)$ and $\phi \circ \pi_f = \pi_g \circ \Phi$.

Remarks. i) If f, g are P - \mathcal{K} -equivalent, then π_f, π_g are \mathcal{A} -equivalent.

ii) For each $f: (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$, we define $D_f: (\mathbf{R}^n \times \mathbf{R}^p, 0) \rightarrow (\mathbf{R}^p, 0)$ by $D_f(x, y) = f(x) - y$. We can see that P - \mathcal{K} -equivalence theory is one of the generalization of Mather's \mathcal{A} -equivalence theory (cf. [3], [4]).

iii) The case when $r=1$, this equivalence relation has been studied by M. Golubitsky and D. Schaeffer ([1]). But the situation is quite different in the case of $r \geq 2$ (see the next section).

2. Finite determinacy. **Definition 3.** Let $f, g: (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ be smooth map germs. i) f, g are k -jet equivalent if $(f^* - g^*)(\mathfrak{M}_p) \subset \mathfrak{M}_{n+r}^{k+1}$. ii) f, g are (k_1, k_2) -jet equivalent if $(f^* - g^*)(\mathfrak{M}_p) \subset (\mathfrak{M}_n^{k_1+1} + \mathfrak{M}_r^{k_2+1})C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r)$.

These are clearly equivalence relations; we respectively denote $j_0^k f$ and $j_0^{(k_1, k_2)} f$ of equivalence classes represented by f .

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Definition 4. i) Map germ $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ is k -determined (resp. (k_1, k_2) -determined) relative to \mathcal{S} if every map germ $g : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ such that $j_0^k f = j_0^k g$ (resp. $j_0^{(k_1, k_2)} f = j_0^{(k_1, k_2)} g$) is \mathcal{S} -equivalent to f .
 ii) Map germ $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ is *finitely determined* (resp. *separated finitely determined*) relative to \mathcal{S} if there exists $k \in \mathbf{N}$ (resp. $(k_1, k_2) \in \mathbf{N} \times \mathbf{N}$) such that f is k -determined (resp. (k_1, k_2) -determined) relative to \mathcal{S} . Where \mathcal{S} is $P\text{-}\mathcal{K}$ or $S.P\text{-}\mathcal{K}$.

Let $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p)$ be the $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r)$ -module of smooth map germs $(\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow \mathbf{R}^p$. For each germ $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$, we denote

$$T_e(P\text{-}\mathcal{K})(f) = \left\langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r) + \left\langle \frac{\partial f}{\partial u_1}, \dots, \frac{\partial f}{\partial u_r} \right\rangle C_0^\infty(\mathbf{R}^r) \\ + f^*(\mathfrak{M}_p) C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p),$$

$$T_e(S.P\text{-}\mathcal{K})(f) = \left\langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r) + f^*(\mathfrak{M}_p) C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p)$$

and

$$T(S.P\text{-}\mathcal{K})(f) = \mathfrak{M}_{n+r} \left\langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle C_0^\infty(\mathbf{R}^n \times \mathbf{R}^p) \\ + f^*(\mathfrak{M}_p) C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p).$$

Then we have the following theorems.

Theorem A (Characterization theorem). *The following are equivalent.*

- 1) f is finitely determined relative to $P\text{-}\mathcal{K}$ (resp. $S.P\text{-}\mathcal{K}$).
- 2) f is separated finitely determined relative to $P\text{-}\mathcal{K}$ (resp. $S.P\text{-}\mathcal{K}$).
- 3) For some integer k , $\mathfrak{M}_{n+r}^k C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) \subset T_e(P\text{-}\mathcal{K})(f)$ (resp. $T_e(S.P\text{-}\mathcal{K})(f)$).
- 4) $\dim_{\mathbf{R}} C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) / T_e(P\text{-}\mathcal{K})(f) < +\infty$ (resp. $\dim_{\mathbf{R}} C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) / T_e(S.P\text{-}\mathcal{K})(f) < +\infty$).

Theorem B. *Let $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ be a smooth map germ.*

i) *Let D be a $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r)$ -submodule of $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p)$.*

If $D \subset T_e(P\text{-}\mathcal{K})(f) + (\mathfrak{M}_n^{s_1} + \mathfrak{M}_r^{s_2}) C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p)$ and

$$(\mathfrak{M}_n^{s_1} + \mathfrak{M}_r^{s_2}) C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) \subset T(S.P\text{-}\mathcal{K})(f) + \mathfrak{M}_r D \\ + \mathfrak{M}_{n+r} (\mathfrak{M}_n^{s_1} + \mathfrak{M}_r^{s_2}) C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p)$$

then f is (s_1, s_2) -determined relative to $P\text{-}\mathcal{K}$.

ii) *If $r=1$ and $(\mathfrak{M}_n^{s_1} + \mathfrak{M}_1^{s_2}) C_0^\infty(\mathbf{R}^n \times \mathbf{R}, \mathbf{R}^p) \subset T(S.P\text{-}\mathcal{K})(f) + \mathfrak{M}_{n+1} (\mathfrak{M}_n^{s_1} + \mathfrak{M}_1^{s_2}) C_0^\infty(\mathbf{R}^n \times \mathbf{R}, \mathbf{R}^p)$ then f is (s_1, s_2) -determined relative to $S.P\text{-}\mathcal{K}$.*

Remarks. 1) In [1], there is the following estimate: If $\mathfrak{M}_{n+1}^k C_0^\infty(\mathbf{R}^n \times \mathbf{R}, \mathbf{R}^p) \subset T(S.P\text{-}\mathcal{K})(f)$, then f is k -determined relative to $P\text{-}\mathcal{K}$. The statement of ii) is better than their estimate.

2) We have many other estimates as corollaries of the above theorem. For example, if $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) = T_e(P\text{-}\mathcal{K})(f)$, then f is $(r+1, 1)$ -determined relative to $P\text{-}\mathcal{K}$. This is a generalization of

Mather's theorem (cf. [4], Proposition 3.5).

In the case where $r \geq 2$, situations are more complicated as follows.

Proposition C. *Let $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ be a smooth map germ with $r \geq 2$. The following are equivalent.*

- 1) f is finitely determined relative to $S.P\text{-}\mathcal{K}$.
- 2) f is $S.P\text{-}\mathcal{K}$ -equivalent to the following germ ;

$$(x_1, \dots, x_n, u_1, \dots, u_r) \longmapsto (x_1, \dots, x_p).$$

3. **Versal deformations.** Definitions of the deformation of a smooth map germ and its versality with respect to $P\text{-}\mathcal{K}$ -equivalence is analogous to those of smooth section germs in [2]. Then we have the versality theorem for $P\text{-}\mathcal{K}$ -equivalence.

Theorem D. *Let $F : (\mathbf{R}^n \times \mathbf{R}^r \times \mathbf{R}^s, 0) \rightarrow (\mathbf{R}^p, 0)$ be a s -parameter deformation of $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$. If $C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) = T_e(P\text{-}\mathcal{K})(f) + \langle \partial F / \partial x_1 | \mathbf{R}^n \times 0, \dots, \partial F / \partial x_n | \mathbf{R}^n \times 0, e_1, \dots, e_p \rangle_{\mathbf{R}}$, then F is a $P\text{-}\mathcal{K}$ -versal deformation of f . Here, $e_i = (0, \dots, 0, 1, 0, \dots, 0)$.*

Remark. The above theorem is not a corollary of Theorem B in [2]. For the proof, we must use a generalization of the preparation theorem in ([5], Corollary 1.7).

4. **Classifications.** For each $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$, we define $df_x : T_0 \mathbf{R}^n \times \mathbf{R}^r \rightarrow T_0 \mathbf{R}^p$ by $df_x(v_i, w_j) = (\sum_{i=1}^n v_i (\partial f_i / \partial x_i))$, $df_u : T_0 \mathbf{R}^n \times \mathbf{R}^r \rightarrow T_0 \mathbf{R}^p$ by $df_u(v_i, w_j) = (\sum_{j=1}^r w_j (\partial f_i / \partial u_j))$, and $df_u^K = \pi \circ df_u | \text{Ker } df_x : \text{Ker } df_x \rightarrow \text{Coker } df_x$, where $\pi : T_0 \mathbf{R}^p \rightarrow \text{Coker } df_x$ is the canonical projection.

Definition 4. i) We say that f has the \sum_s^k -type at 0 if $\text{rank } df_x = \min(n, p) - k$ and $\text{rank } df_u^K = \min(r, p - \text{rank } df_x) - s$.

ii) We say that f is non-singular at 0 if f has the \sum_0^0 -type at 0.

The following is the implicit function theorem relative to $P\text{-}\mathcal{K}$.

Theorem E. *Let $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ be a non-singular germ.*

- 1) *If $n \geq p$, then f is $S.P\text{-}\mathcal{K}$ -equivalent to the following germ ;*
 $(x_1, \dots, x_n, u_1, \dots, u_r) \longmapsto (x_1, \dots, x_p)$.
- 2) *If $n < p$ and $r \leq p - n$, then f is $P\text{-}\mathcal{K}$ -equivalent to the following germ ;*
 $(x_1, \dots, x_n, u_1, \dots, u_r) \longmapsto (x_1, \dots, x_n, u_1, \dots, u_r, 0, \dots, 0)$.
- 3) *If $n < p$ and $r > p - n$, then f is $P\text{-}\mathcal{K}$ -equivalent to the following germ ;*
 $(x_1, \dots, x_n, u_1, \dots, u_r) \longmapsto (x_1, \dots, x_n, u_1, \dots, u_{p-n})$.

We now set $P\text{-}\mathcal{K}\text{-codim}(f) = \dim_{\mathbf{R}} C_0^\infty(\mathbf{R}^n \times \mathbf{R}^r, \mathbf{R}^p) / T_e(P\text{-}\mathcal{K})(f)$.

Theorem F (Classification theorem in the case of $P\text{-}\mathcal{K}$ -codimension = 0). *Let $f : (\mathbf{R}^n \times \mathbf{R}^r, 0) \rightarrow (\mathbf{R}^p, 0)$ be a smooth map germ with $P\text{-}\mathcal{K}\text{-codim}(f) = 0$. If f has the $\sum_{\min(r, p-s)-q}^{\min(n, p)-s}$ -type at 0, then $p = s + q$ and there exist smooth map germs $g, \xi_1, \dots, \xi_{r-q} : (\mathbf{R}^{n-s}, 0) \rightarrow (\mathbf{R}^q, 0)$ with $\text{rank } dg = 0$ and*

$$\begin{aligned} C_0^\infty(\mathbf{R}^{n-s}, \mathbf{R}^q) / \left\langle \frac{\partial g}{\partial x_{s+1}}, \dots, \frac{\partial g}{\partial x_n} \right\rangle C_0^\infty(\mathbf{R}^{n-s}) + g^*(\mathfrak{M}_q) C_0^\infty(\mathbf{R}^{n-s}, \mathbf{R}^q) \\ = \langle \xi_1, \dots, \xi_{r-q}, e_1, \dots, e_q \rangle_{\mathbf{R}} \end{aligned}$$

such that f is P - \mathcal{K} -equivalent to the following germ ;

$$(x_1, \dots, x_n, u_1, \dots, u_r) \longmapsto (x_1, \dots, x_s, u_1 + g_1(x_{s+1}, \dots, x_n) + u_{q+1}\xi_1^1(x_{s+1}, \dots, x_n) + \dots + u_r\xi_{r-q}^1(x_{s+1}, \dots, x_n), \dots, u_q + g_q(x_{s+1}, \dots, x_n) + u_{q+1}\xi_1^q(x_{s+1}, \dots, x_n) + \dots + u_r\xi_{r-q}^q(x_{s+1}, \dots, x_n)).$$

Here, $g = (g_1, \dots, g_q)$ and $\xi_j = (\xi_j^1, \dots, \xi_j^q)$ for any $j = 1, \dots, r - q$.

Remark. In the above theorem, g is the \mathcal{K} -finite map in the sense of Mather.

In the case of positive codimensions, we have the following

Theorem G. Let $f : (\mathbb{R}^n \times \mathbb{R}, 0) \rightarrow (\mathbb{R}^p, 0)$ be a smooth map germ with $n \geq p$ and P - \mathcal{K} -codim $(f) \leq 4$.

1) If f has the \sum_0^1 -type at 0, it is P - \mathcal{K} -equivalent to one of the following germs :

P - \mathcal{K} -codim (f)	Normal forms
0	$(x_1, \dots, x_{p-1}, u + Q(x_p, \dots, x_n))$
1	$(x_1, \dots, x_{p-1}, u + x_p^3 + Q(x_{p+1}, \dots, x_n))$
2	$(x_1, \dots, x_{p-1}, u \pm x_p^4 + Q(x_{p+1}, \dots, x_n))$
3	$(x_1, \dots, x_{p-1}, u + x_p^5 + Q(x_{p+1}, \dots, x_n))$ $(x_1, \dots, x_{p-1}, u + x_p^3 + x_{p+1}^3 + Q(x_{p+2}, \dots, x_n))$ $(x_1, \dots, x_{p-1}, u + x_p^3 - x_p x_{p+1}^2 + Q(x_{p+2}, \dots, x_n))$
4	$(x_1, \dots, x_{p-1}, u \pm x_p^6 + Q(x_{p+1}, \dots, x_n))$ $(x_1, \dots, x_{p-1}, u \pm (x_p^2 x_{p+1} + x_{p+1}^4) + Q(x_{p+2}, \dots, x_n))$

2) If f has the \sum_1^1 -type at 0, then it is P - \mathcal{K} -equivalent to one of the following germs :

P - \mathcal{K} -codim (f)	Normal forms
1	$(x_1, \dots, x_{p-1}, \pm u^2 + Q(x_p, \dots, x_n))$
2	$(x_1, \dots, x_{p-1}, u^3 + Q(x_p, \dots, x_n))$ $(x_1, \dots, x_{p-1}, \pm u^2 + x_p^3 + Q(x_{p+1}, \dots, x_n))$
3	$(x_1, \dots, x_{p-1}, x_p^3 \pm u x_p + Q(x_{p+1}, \dots, x_n))$ $(x_1, \dots, x_{p-1}, \pm u^4 + Q(x_p, \dots, x_n))$ $(x_1, \dots, x_{p-1}, x_p^4 \pm u x_p + Q(x_{p+1}, \dots, x_n))$
4	$(x_1, \dots, x_{p-1}, u^5 + Q(x_p, \dots, x_n))$ $(x_1, \dots, x_{p-1}, x_p^5 \pm u x_p + Q(x_{p+1}, \dots, x_n))$

Here, $Q(x_1, \dots, x_n) = \pm x_i^2 \pm \dots \pm x_n^2$.

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