

## 204. On the Dimension of Generators of a Polynomial Algebra over the Mod $p$ Steenrod Algebra

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1. It is well known that if  $H^*(X; Z_p)$  is a polynomial algebra (possibly truncated) on a generator  $x$  of dimension  $m$  and  $x^2 \neq 0$ , then  $m=1, 2, 4$ , or  $8$  [1]. If  $H^*(X; Z_p)$  is a truncated polynomial algebra on one generator with dimension  $2k$  of height  $q > p$ , then  $k$  is a divisor of  $p-1$  [3]—[5]. In the above cases the cohomology algebra has only one generator. In this paper, we are concerned with a truncated polynomial algebra with two generators over the mod  $p$  Steenrod algebra where  $p$  is an odd prime. On a finitely generated truncated polynomial algebra over the Steenrod algebra, E. Thomas and A. Clark have obtained some results [2][6].

By an algebra  $A$  over the mod  $p$  Steenrod algebra, we mean a commutative and associative graded  $Z_p$  algebra  $A$  on which the reduced powers and the Bockstein coboundary act just as if  $A$  were the cohomology algebra of a space.

Our results are the followings.

**Theorem. 1.** *Let  $A$  be a truncated polynomial algebra of height  $q > p$  with even dimensional generators  $a$  and  $b$  over the mod  $p$  Steenrod algebra. We put  $\dim a = m$ ,  $\dim b = n$ , and we assume that  $0 < m \leq n$  holds. Then, such an algebra only possible if the dimensions  $m$ ,  $n$  satisfy one of the following conditions.*

- (a)  $m = 2i$ ,  $n = 2j$ ,  $i \leq j < p$ , and
  - (i)  $i, j$  are divisors of  $p-1$ ,
  - (ii)  $i$  is a divisor of  $p-1$  and  $j$  is a divisor of  $i+p-1$ ,
  - (iii)  $j$  is a divisor of  $p-1$  and  $i$  is a divisor of  $j+p-1$ , or
  - (iv)  $i$  is a divisor of  $j+p-1$  and  $j$  is a divisor of  $i+p-1$ .
- (b)  $m = 2i$ ,  $n = 2(p+i-1)$ ,  $i$  is a divisor of  $2(p-1)$ .
- (c)  $m = 2i$ ,  $n = 2\epsilon p^f$ ,  $i, \epsilon$  are divisors of  $p-1$ ,  $f \geq 1$ ,
- (d)  $m = 2(\delta p^f + i)$ ,  $n = 2\epsilon p^f$ ,  $\epsilon$  is a divisor of  $p-1$ ,  $0 < \delta < \epsilon$ ,  $0 < i < p$ ,  $f \geq 1$ ,
- (e)  $m = 2\epsilon p^f$ ,  $n = 2(\epsilon p^f + p-1)$ ,  $\epsilon$  is a divisor of  $p-1$ ,  $f \geq 1$ ,
- (f)  $m \equiv 0$ ,  $n \equiv 0 \pmod{2p}$ .

**Remark.** If  $A$  is a finitely generated truncated polynomial algebra whose generators have fixed even dimensions  $m$  and  $n$ , then the same conclusion as above holds for this algebra  $A$ . The similar

generalization for Theorem 2 holds also.

**Theorem 2.** *Suppose that  $H^*(X; Z_p)$  is a truncated polynomial algebra of height  $q > p$  with two generators  $a$  and  $b$  which have even dimensions  $m$  and  $n$  respectively ( $m \leq n$ ). Then such a cohomology algebra is only possible if  $m$  and  $n$  satisfy one of the conditions (a) to (c) of Theorem 1.*

Throughout the paper, we shall denote by  $\lambda, \lambda', \alpha, \alpha', \dots$  non zero elements in  $Z_p$  and by  $x, y, x', \dots$  polynomials of  $a$  and  $b$  (possibly 0).

**2. Proof of Theorem 1.** This is accomplished by considering for each case divided as follows.

(I)  $m \not\equiv 0, n \not\equiv 0 \pmod{2p}$ .

For this case, we can use the subsequent result of A. Clark (Theorem 2[2]).

**Lemma.** *Let  $A$  be a truncated polynomial algebra of height  $q > p$  with even dimensional generators over the mod  $p$  Steenrod algebra. If  $m$  is the dimension of a generator of  $A$ , then  $A$  has a generator with dimension  $n$  such that  $n \equiv 2(1-p) \pmod{m}$ , or else  $m \equiv 0 \pmod{2p}$ .*

In our case, since  $A$  has two generators  $a$  and  $b$  with dimensions  $m \not\equiv 0$  and  $n \not\equiv 0 \pmod{2p}$ , we have that  $m$  or  $n \equiv 2(1-p) \pmod{m}$  and  $m$  or  $n \equiv 2(1-p) \pmod{n}$ .

Therefore the following four cases are possible.

- (i)  $m \equiv 2(1-p) \pmod{m}$  and  $n \equiv 2(1-p) \pmod{n}$ . That is,  $m$  and  $n$  divides  $2(p-1)$ .
- (ii)  $m \equiv 2(1-p) \pmod{m}$  and  $m \equiv 2(1-p) \pmod{n}$ . That is,  $m$  divides  $2(p-1)$  and  $n$  divides  $m + 2(p-1)$ .
- (iii)  $n \equiv 2(1-p) \pmod{m}$  and  $n \equiv 2(1-p) \pmod{n}$ . That is,  $n$  divides  $2(p-1)$  and  $m$  divides  $n + 2(p-1)$ .
- (iv)  $n \equiv 2(1-p) \pmod{m}$  and  $m \equiv 2(1-p) \pmod{n}$ . This implies that  $n + 2(p-1) = sm$  and  $m + 2(p-1) = tn$

for some positive integers  $s$  and  $t$ . If  $n > 2p$ ,  $2(p-1) = tn - m = (t-1)n + n - m > 2(t-1)p$ . Thus we have  $t=1$  and  $4(p-1) = (s-1)m$  that is,  $m$  divides  $4(p-1)$ . If  $p=3$  and  $m=4(p-1)$ , then  $n=6(p-1) \equiv 0 \pmod{2p}$ . This contradicts the assumption  $n \not\equiv 0 \pmod{2p}$ . Therefore we have obtained that the cases (a) and (b) mentioned in Theorem 1 are only possible in the case (I).

(II)  $m \not\equiv 0, n \equiv 0 \pmod{2p}$ .

We put  $m = 2(kp + i), n = 2lp, 0 < i < p$ .

(1)  $k \geq 1$ . From the property of the cyclic reduced powers we have  $a^p = \mathcal{P}^{kp+i} a = \lambda(\mathcal{P}^1)^i \mathcal{P}^{kp} a$ . Since  $\dim \mathcal{P}^{kp} a \equiv 2i u \pmod{2p}$  and  $\mathcal{P}^{kp} a \neq 0$ , we have that  $\mathcal{P}^{kp} a = \alpha a b^v$ . This implies that

(i)  $kp(p-1) = lpv$ .

Next, we shall consider about  $\mathcal{P}^1a$ . Since  $\dim \mathcal{P}^1a < \dim a^2$ , we get  $\mathcal{P}^1a = 0$  or  $\alpha'b$ . Suppose that  $\mathcal{P}^1a = \alpha'b$ . Then  $2(kp+i) + 2(p-1) = 2lp$  holds and this implies that  $i=1$ . Therefore,  $m=2(kp+1)$ ,  $n=2(k+1)p$ . Thus we have that if  $k \geq 2$ ,  $\dim \mathcal{P}^1b < \dim a^2$ , and if  $k=1$  and  $p \geq 5$ ,  $\dim a^2 < \dim \mathcal{P}^1b < \dim a^3$ . Therefore, we can conclude  $\mathcal{P}^1b = 0$  with an exception of  $p=3$  and  $k=1$ , (that is  $m=8$  and  $n=12$ ). The exceptional case is included in (b) in this theorem and hereafter we shall not consider about this case. Since we get  $\mathcal{P}^1b = 0$ , we have  $a^p = \mathcal{P}^1 \mathcal{P}^{kp}a = \mathcal{P}^1(\alpha a b^v) = \alpha \alpha' b^{v+1}$ . This is a contradiction. Then we get  $\mathcal{P}^1a = 0$ . We know that any  $\mathcal{P}^k (k \neq p^i)$  is decomposable, and so, from the fact that  $\mathcal{P}^{kp}a \neq 0$  there is an integer  $f$  such that  $\mathcal{P}^{p^f}a \neq 0$ . Since we have proved  $\mathcal{P}^1a = 0$ ,  $f$  is positive. Since  $\dim \mathcal{P}^{p^f}a \equiv 2i$  and  $\dim a^u b^v \equiv 2iu \pmod{2p}$ , we have that  $\mathcal{P}^{p^f}a = \alpha''ab^{v'}$ . Thus we get  $p^f(p-1) = lpv'$ . This shows (ii)  $l = \varepsilon p^{f-1}$  where  $\varepsilon$  is a divisor of  $p-1$  and  $f \geq 1$ . We get  $k = \delta p^{f-1}$  from (i) and (ii). Therefore we can conclude that  $m = 2(\delta p^f + i)$ ,  $n = 2\varepsilon p^f$ , where  $\varepsilon$  is a divisor of  $p-1$ ,  $0 < \delta < \varepsilon$ , and  $f \geq 1$ .

(2)  $k=0$ . We can see that  $\mathcal{P}^1a = \alpha a^u$ , by considering their dimensions. This implies that  $2(p-1) = 2i(u-1)$ . Therefore,  $i$  is a divisor of  $p-1$ , and furthermore, we have that  $\mathcal{P}^j a = \alpha_j a^{u_j}$  for  $j \leq i$ , and  $\mathcal{P}^j a = 0$  for  $j > i$ . Since  $b^v = \mathcal{P}^{1v}b \neq 0$ , there is an integer  $f \geq 0$  such that  $\mathcal{P}^{p^f}b \neq 0$ . Suppose that  $\mathcal{P}^{p^f}b = 0$  for any  $f > 0$ . Then  $\mathcal{P}^1b \neq 0$ . Since  $\dim \mathcal{P}^1b < \dim b^2$ , we have  $\mathcal{P}^1b = a \cdot y$ . Therefore  $b^p = \mathcal{P}^{1p}b = \sum \mathcal{P}^{p^f} \mathcal{P}^1b = \sum \mathcal{P}^{p^f} a \cdot y = a \cdot y'$ . This is a contradiction. Thus there exists a positive integer  $f$  such that  $\mathcal{P}^{p^f}b \neq 0$ . Then we get  $\dim \mathcal{P}^{p^f}b \not\equiv \dim a^u b^v \pmod{2p}$  for any  $u > 0$ . Hence we can conclude that  $\mathcal{P}^{p^f}b = \alpha' b^v$ . Therefore  $2(p-1)p^f = 2(v-1)lp$ . Consequently,  $l = \varepsilon p^{f-1}$ , where  $\varepsilon$  is a divisor of  $p-1$ . Thus we have proved in this case that  $m = 2i$ ,  $n = 2\varepsilon p^f$ , where  $i, \varepsilon$  are divisors of  $p-1$  and  $f \geq 1$ .

(III)  $m \equiv 0, n \not\equiv 0 \pmod{2p}$ .

We put  $m = 2kp, n = 2(lp+j), 0 < j < p$ . Since  $\dim \mathcal{P}^1a < \dim a^2$ ,  $\mathcal{P}^1a = 0$  or  $\alpha b$ . Suppose that  $\mathcal{P}^1a = 0$ . Since  $\mathcal{P}^{1v}b = \beta a^u b$ , then we get  $b^p = \lambda' (\mathcal{P}^1)^j p^{1v}b = a \cdot y$ . This is a contradiction. Thus we have  $\mathcal{P}^1a = \alpha b$  and this implies that  $m = 2kp$  and  $n = 2(kp+p-1)$ . Now there is an integer  $f$  such that  $\mathcal{P}^{p^f}b \neq 0, p^f \leq kp$ . Since  $\dim \mathcal{P}^1b < \dim b^2$ , and  $\dim \mathcal{P}^1b \equiv -4, \dim a^u \equiv 0$  and  $\dim a^u b \equiv -2 \pmod{2p}$ , we obtain  $\mathcal{P}^1b = 0$ . Therefore  $f > 0$ . Since  $\dim \mathcal{P}^{p^f}b \equiv -2$  and  $a^u b^v \equiv -2v \pmod{2p}$ , we can get  $\mathcal{P}^{p^f}b = \alpha' a^u b$ . From this equation, we can conclude  $k = \varepsilon p^{f-1}$ . Thus we have  $m = 2\varepsilon p^f, n = 2(\varepsilon p^f + p - 1)$ , where  $\varepsilon$  is a divisor of  $p-1$  and  $f \geq 1$ .

(IV)  $m \equiv 0, n \equiv 0 \pmod{2p}$

We have some results for  $m$  and  $n$  in this case. However

they are somewhat complicated and so we omit them.

Thus we complete the proof of Theorem 1.

**3. Proof of Theorem 2.** To prove Theorem 2, we need the results about the factrization of cyclic reduced powers by secondary cohomology operations [3] [4].

There exist stable secondary operations  $R, \Psi_g (g=1, 2, \dots)$  such that

$$R : H^r(X; Z_p) \cap \text{Ker } \Delta \cap \text{Ker } \mathcal{P}^1 \longrightarrow H^{r+4(p-1)}(X; Z_p) / \mathcal{P}^2 H^r(X; Z_p) + \left( \frac{1}{2} \Delta \mathcal{P}^1 - \mathcal{P}^1 \Delta \right) H^{r-1+2(p-1)}(X; Z_p)$$

$$\Psi_g : H^r(X; Z_p) \cap \text{Ker } \Delta \cap \text{Ker } \mathcal{P}^1 \cap \text{Ker } \mathcal{P}^2 \cap \dots \cap \text{Ker } \mathcal{P}^{p^g} \longrightarrow H^{r+2p^g(p-1)}(X; Z_p) / \mathcal{P}^{p^g} H^r(X; Z_p) + \sum \vartheta_h H^{r-1+2p^h(p-1)}(X; Z_p)$$

where  $\vartheta_h$  are homogeneous elements of the Steenrod algebra  $A_p$  with odd degrees. We quote from [3] the following:

**Theorem A.** *There exists a constant  $\nu_{f-1}$ , non zero in  $Z_p$ , elements  $a_{f-1,g}, b_{f-1}, c_{f-1,\gamma}$  with possitive degrees in  $A_p$  and secondary cohomology operations  $\Gamma_\gamma$  with odd degree, such that*

$$\{\nu_{f-1} \mathcal{P}^{p^f}\} \equiv \sum_{g=1}^{f-1} a_{f-1,g} \Psi_g + b_{f-1} R + \sum c_{f-1,\gamma} \Gamma_\gamma.$$

*modulo the total indeterminacy of the right-hand side.*

From this, we have the following:

**Lemma 3.** *Let  $\Delta$  and  $\mathcal{P}^1$  operate trivially on a cohomology group  $H^*(X; Z_p)$ . Then  $\mathcal{P}^i$  operates trivially on  $H^*(X; Z_p)$  for any  $i$ .*

**Proof.** If a monomial  $c$  in  $A_p$  has odd degree,  $c$  contains  $\Delta$  as its factor. From the assumption, we have that if an element  $c$  in  $A_p$  has odd degree,  $c$  operates trivially on  $H^*(X; Z_p)$ . We know that  $\mathcal{P}^i, i \neq p^f$ , is decomposable. Hence if  $\mathcal{P}^{p^{f'}} = 0$  for  $0 \leq f' \leq f-1$ ,  $\mathcal{P}^i = 0$  for  $i < p^f$ . We shall show that under the assumption of  $\mathcal{P}^i = 0$  for  $i < p^f$ ,  $\mathcal{P}^{p^f} = 0$  holds. By Theorem A, there exists a non zero constant  $\nu_{f-1} \in Z_p$  such that  $\{\nu_{f-1} \mathcal{P}^{p^f}\} \equiv \sum_{g=1}^{f-1} a_{f-1,g} \Psi_g + b_{f-1} R$ . modulo  $a_{f-1,f-1} \mathcal{P}^{p^{f-1}} H^r(X; Z_p) + \dots + a_{f-1,1} \mathcal{P}^p H^r(X; Z_p) + b_{f-1} \mathcal{P}^2 H^r(X; Z_p)$ . It is noted that the above equation holds on  $H^*(X; Z_p)$ . Since  $0 < \dim a_{f-1,g}, \dim b_{f-1} < \dim \mathcal{P}^{p^f}, a_{f-1,g} = 0, g=1, 2, \dots, f-1$ , and  $b_{f-1} = 0$ . This shows that  $\mathcal{P}^{p^f} = 0$  and the proof is completed.

Now we shall prove the impossibility of (d), (e), and (f) in Theorem 1 under the assumption of Theorem 2.

(1) Case (f).

Since  $m = 2kp$  and  $n = 2lp$ , we have that  $\dim \mathcal{P}^1 a \equiv -2$  and  $\dim \mathcal{P}^1 b \equiv -2 \pmod{2p}$ . On the other hand,  $\dim a^u b^v \equiv 0 \pmod{2p}$ . This implies that  $\mathcal{P}^1 a = 0$  and  $\mathcal{P}^1 b = 0$ , that is,  $\mathcal{P}^1 = 0$ . By Lemma 3,  $\mathcal{P}^i = 0$  for any  $i$ . This contradicts to the fact that  $\mathcal{P}^{kp} a = a^p \neq 0$

and  $\mathcal{P}^{1p}b = b^p \neq 0$ .

(2) Case (e).

We have already obtained that  $m = 2\varepsilon p^f$  and  $n = 2(\varepsilon p^f + p - 1)$ , where  $\varepsilon$  is a divisor of  $p - 1$  and  $f \geq 1$ . We have also shown in the proof (III) of Theorem 1, that  $\mathcal{P}^1a = ab$  and  $\mathcal{P}^1b = 0$ .

Since  $b^p = \mathcal{P}^{(\varepsilon p^f + (p-1))}b \neq 0$ , there exists an integer  $f' \leq f$  such that  $\mathcal{P}^{p^{f'}}b \neq 0$ . If  $\mathcal{P}^{p^{f'}}b$ ,  $1 \leq f' \leq f$ , contains a term  $a^u b^v$ , we can see  $v = 1$  from the fact that  $\dim \mathcal{P}^{p^{f'}}b \equiv -2$ ,  $\dim a^u b^v \equiv -2v \pmod{2p}$  and  $v < p$  and we have that  $2(p-1)p^{f'} = 2\varepsilon p^f u$ . This means that  $f' = f$  and  $u = \frac{p-1}{\varepsilon}$ . Consequently  $\mathcal{P}^{p^{f'}}b = 0$  for  $f' < f$ ,  $\mathcal{P}^{p^f}b = \beta' a^{\frac{p-1}{\varepsilon}} \cdot b$ .

Therefore we can apply Theorem A to  $b$ . There exists a non-zero element  $\nu_{f-1}$  in  $Z_p$  such that  $\{\nu_f \mathcal{P}^{p^f} b\} \equiv \sum_{g=1}^{f-1} a_{f-1,g} \Psi_g b + b_{f-1} Rb \pmod{a_{f-1,f-1} \mathcal{P}^{p^{f-1}} H^n(X; Z_p) + \dots + a_{f-1,1} \mathcal{P}^p H^n(X; Z_p) + b_{f-1} \mathcal{P}^2 H^n(X; Z_p)}$ . It is easily seen that the above indeterminacy is zero. If  $Rb$  contains a term  $a^u b^v$ , we get  $v = 3$  from the fact that  $\dim Rb \equiv -6$  and  $\dim a^u b^v \equiv -2v \pmod{2p}$ . However,  $\dim Rb < \dim b^3$ . Hence we have that  $Rb = 0$ . By the similar way as above, we can see that  $\Psi_g b = 0$  for  $1 \leq g \leq f - 1$ . Thus, we have that  $\mathcal{P}^{p^f}b = 0$ . This is a contradiction and it is shown that the case (e) is not realized.

(3) Case (d).

We have already obtained that  $m = 2(\delta p^f + i)$ , and  $n = 2\varepsilon p^f$ , where  $\varepsilon$  is a divisor of  $p - 1$ ,  $0 < \delta < \varepsilon$ ,  $0 < i < p$ , and  $f \geq 1$ . We have also shown that unless  $p = 3$ ,  $m = 8$ , and  $n = 12$ ,  $\mathcal{P}^1a = 0$ ,  $\mathcal{P}^{p^f}a = \alpha ab^v$ ,  $\mathcal{P}^{p^f}a = \alpha'' ab^v$ . By the similar way as above, we can obtain that  $\mathcal{P}^{p^{f'}}a = 0$  for any  $f' < f$  and by using Theorem A, we have  $\mathcal{P}^{p^f}a = 0$ . This is a contradiction. Thus it is shown that this case (d) is not realized. It is noted that the case  $p = 3$ ,  $m = 8$ , and  $n = 12$  is possible and is contained in (b). Thus the proof is completed.

### References

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