

## 78. An Extremal Property of the Polar Decomposition in von Neumann Algebras

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1. In this paper, we shall concern with a polar decomposition of an operator in a von Neumann algebra in a connection with an extreme point of the unit ball of the algebra. Substantially, we shall show that an operator of a von Neumann algebra is the product of an extreme point of the unit ball and a positive operator in the algebra (Theorem 1).

As a few applications, we shall have a characterization of a finite von Neumann algebra and that every element of the unit ball of a von Neumann algebra is the average of two extreme points.

2. Let  $\mathcal{H}$  be a Hilbert space. By an operator we shall mean a bounded linear operator acting on  $\mathcal{H}$ . For a C\*-algebra  $\mathcal{A}$  of operators, by  $(\mathcal{A})_1$  we shall mean the *unit ball* of  $\mathcal{A}$ . An extreme point of  $(\mathcal{A})_1$  will be called simply an *extreme point* of  $\mathcal{A}$ . Following after Halmos [5; p. 63] if  $U$  and  $V$  are partial isometries, write  $U \leq V$  in case  $V$  agrees with  $U$  on the initial space of  $U$ .

Let  $\mathcal{L}(\mathcal{H})$  be the algebra of all operators on  $\mathcal{H}$ , then every element in  $\mathcal{L}(\mathcal{H})$  is the product of a maximal partial isometry (with respect to the above partial order) and a positive operator [5; p. 69]. A maximal partial isometry is an isometry or a co-isometry [5; p. 64]. By Kadison [6], for a factor, a necessary and sufficient condition that a partial isometry be an extreme point of the unit ball is that the partial isometry be an isometry or a co-isometry. Therefore, every operator on  $\mathcal{H}$  has a representation as the product of an extreme point of  $\mathcal{L}(\mathcal{H})$  and a positive operator.

Furthermore, let  $\mathcal{A}$  be a finite von Neumann algebra on  $\mathcal{H}$ . It is essentially known that any element in  $\mathcal{A}$  is the product of a unitary element and a positive element of  $\mathcal{A}$ , and in finite factors, this fact is used repeatedly (e.g. [1], [4]). In a finite von Neumann algebra, the set of all extreme points of the unit ball is that of all unitary operators (cf. [2], [7], [10]). Therefore, any element of  $\mathcal{A}$  is the product of an extreme point and a positive element.

We shall show the above fact is also true for a general von Neumann algebra:

**Theorem 1.** *Let  $\mathcal{A}$  be a von Neumann algebra. Then any ele-*

ment  $A$  in  $\mathcal{A}$  is represented by

$$A = VH,$$

where  $V$  is an extreme point of the unit ball of  $\mathcal{A}$  and  $H = (A^*A)^{\frac{1}{2}}$ .

**Proof.** Let  $E$  and  $F$  be the support projections of  $A$  and  $A^*$ , respectively. Then there exists by [3; p. 334] a partially isometric operator  $U$  in  $\mathcal{A}$  such that

$$A = UH, \quad U^*U = E \quad \text{and} \quad UU^* = F.$$

For  $1 - E$  and  $1 - F$ , applying the general comparability theorem [3; p. 228], we have a central projection  $G$  of  $\mathcal{A}$  such that

$$(1 - E)G < (1 - F)G$$

and

$$(1 - E)(1 - G) > (1 - F)(1 - G).$$

Hence there exist two partially isometric operators  $V$  and  $W$  in  $\mathcal{A}$  such that

$$\begin{aligned} V^*V &= (1 - E)G, \\ VV^* &\leq (1 - F)G, \\ W^*W &= (1 - F)(1 - G), \end{aligned}$$

and

$$WW^* \leq (1 - E)(1 - G).$$

Now, put  $E_1 = VV^*$ ,  $E_2 = WW^*$ , and  $V_0 = U + V + W^*$ . Then we have

$$V_0^*V_0 = U^*U + V^*V + WW^* = E + (1 - E)G + E_2$$

and

$$V_0V_0^* = UU^* + VV^* + W^*W = F + E_1 + (1 - F)(1 - G).$$

Hence  $V_0^*V_0$  and  $V_0V_0^*$  are the sums of mutually orthogonal projections of  $\mathcal{A}$ , that is,  $V_0$  is a partial isometry in  $\mathcal{A}$ . Since

$$V_0^*V_0 = E + (1 - E)G + E_2 = G + E(1 - G) + E_2 \geq G$$

and

$$V_0V_0^* = F + E_1 + (1 - F)(1 - G) = (1 - G) + FG + E_1 \geq 1 - G,$$

by [1; Theorem 1]  $V_0$  is an extreme point of  $\mathcal{A}$ .

On the other hand, we have

$$V_0H = UH + VH + W^*H = UH = A,$$

which completes the proof.

Here we shall give an application of Theorem 1. For  $\mathcal{L}(\mathcal{A})$ , extreme points of the unit ball are maximal partial isometries and vice versa. In a general von Neumann algebra  $\mathcal{A}$ , introducing an order structure among partial isometries of  $\mathcal{A}$  as a substructure of partial isometries of  $\mathcal{L}(\mathcal{A})$ , as a corollary of Theorem 1, we have the following extension of the above fact:

**Corollary 2.** *Let  $\mathcal{A}$  be a von Neumann algebra, then a necessary and sufficient condition that a partial isometry in  $\mathcal{A}$  be maximal in  $\mathcal{A}$  is that the partial isometry be an extreme point of the unit ball of  $\mathcal{A}$ .*

3. For a commutative  $B^*$ -algebra with the unit element, Phelps [8] (cf. also [11]) proved, that the unit ball is the uniformly closed

convex hull of the extreme points. For a general  $B^*$ -algebra, the same property is established by Russo and Dye [9]. On the other hand, the unit ball of  $\mathcal{L}(\mathcal{H})$  is the convex hull of the extreme points (cf. [5; p. 265]). This suggests the following

**Theorem 3.** *Let  $\mathcal{A}$  be a von Neumann algebra. If  $A$  is an element in the unit ball of  $\mathcal{A}$ , then there exist two extreme points  $V$  and  $W$  of the ball such that*

$$A = \frac{V + W}{2},$$

that is,  $A$  is the average of two extreme points of the unit ball.

**Proof.** By Theorem 1, we have a polar decomposition  $A = UH$ , where  $U$  is an extreme point of the unit ball and  $H = (A^*A)^{\sharp}$ . Since  $H$  is hermitean and  $\|H\| \leq 1$ , by [3; p. 4], there exists a unitary operator  $V$  in  $\mathcal{A}$  such that

$$H = \frac{V + V^*}{2}.$$

Hence we have

$$A = UH = \frac{UV + UV^*}{2}.$$

It is clear that  $UV$  and  $UV^*$  are extreme points of the unit ball.

A necessary and sufficient condition that a von Neumann algebra  $\mathcal{A}$  be finite is that only unitary elements of  $\mathcal{A}$  be extreme points of the unit ball. By this result and Theorem 3, we have

**Theorem 4 (Russo-Dye [9]).** *Let  $\mathcal{A}$  be a von Neumann algebra.  $\mathcal{A}$  is finite if and only if the unit ball of  $\mathcal{A}$  is the convex hull of all unitary elements of  $\mathcal{A}$ .*

4. In this section, we shall consider the property of the set of all regular elements of a von Neumann algebra  $\mathcal{A}$ .

Feldman and Kadison [4] determined the element contained in the closure of the set of all regular elements of a von Neumann algebra, and consequently pointed out that all regular elements of a  $II_1$ -factor are uniformly dense.

On the other hand, it is well known that the set of all regular elements in  $\mathcal{L}(\mathcal{H})$  is uniformly dense if and only if  $\mathcal{H}$  is finite dimensional, cf. [5; p. 70].

The following theorem is an extension of both cases:

**Theorem 5.** *Let  $\mathcal{A}$  be a von Neumann algebra. Then  $\mathcal{A}$  is finite if and only if the set of all regular elements of  $\mathcal{A}$  is uniformly dense in  $\mathcal{A}$ .*

**Proof.** If  $\mathcal{A}$  is finite, then an extreme point of  $(\mathcal{A})_1$  is unitary. By Theorem 1, if  $A$  is an element of  $\mathcal{A}$ , then there is a unitary  $U$  in  $\mathcal{A}$  such as

$$A = U|A|, \quad |A| = (A^*A)^{\sharp}.$$

For any  $\varepsilon > 0$ , by the spectral theorem, there is a regular element  $B \in \mathcal{A}$  such that  $\| |A| - B \| < \varepsilon$ . Therefore, we have

$$\| A - UB \| = \| U |A| - UB \| \leq \| |A| - B \| < \varepsilon.$$

Since  $UB$  is regular, regular elements are uniformly dense in  $\mathcal{A}$ .

Suppose now that  $\mathcal{A}$  is not finite, then there exists a partial isometry  $V$  in  $\mathcal{A}$  such that  $V^*V = 1$  and  $VV^* < 1$ , [3; p. 308]. Let  $A$  be an element of  $\mathcal{A}$  such that  $\| A - V \| < 1$ , then (as [5; p. 267])

$$\| 1 - V^*A \| = \| V^*(V - A) \| \leq \| V - A \| < 1.$$

Hence  $V^*A$  is regular in  $\mathcal{A}$ . If  $A$  were regular, then  $V^*$  is regular and a contradiction. Therefore, we have proved that the set of all regular elements of  $\mathcal{A}$  can not be uniformly dense in  $\mathcal{A}$  if  $\mathcal{A}$  is not finite.

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