

## 9. The Second Dual Space for the Space $N^+$

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**1. Introduction.** Let  $D$  be the unit disk  $\{|z| < 1\}$ . A holomorphic function  $f(z)$  in  $D$  is said to belong to the class  $N$  of functions of bounded characteristic if

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta = O(1) \text{ as } r \rightarrow 1. \quad (1.1)$$

A function  $f(z) \in N$  is said to belong to the class  $N^+$  [2, p. 25] if

$$\lim_{r \rightarrow 1} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta = \int_0^{2\pi} \log^+ |f(e^{i\theta})| d\theta. \quad (1.2)$$

We showed in [7] that the class  $N^+$  becomes an  $F$ -space in the sense of Banach [1, p. 51] with the distance function

$$\rho(f, g) = \frac{1}{2\pi} \int_0^{2\pi} \log(1 + |f(e^{i\theta}) - g(e^{i\theta})|) d\theta \quad (1.3)$$

The space  $N^+$  with this metric (1.3) is not locally convex and not locally bounded [7, corollary to Theorem 2]. But  $N^+$  has sufficiently many continuous linear functionals to form a dual system  $\langle (N^+)^*, N^+ \rangle$  in the sense of Dieudonné and Mackey [5, p. 88].

Duren, Romberg, and Shields [3] studied the dual space  $(H^p)^*$  of  $H^p$ ,  $0 < p < 1$ , and defined the containing Banach space  $B^p \subset (H^p)^{**}$ . Treating the corresponding problems for  $N^+$ , instead of  $H^p$ , we defined the containing Fréchet space  $F^+$  for the class  $N^+$  [8]. We will show in this note that  $F^+$  is nothing but the second dual  $(N^+)^{**}$  of  $N^+$ , and will obtain some results on its properties.

**2. The space  $(N^+)^{**}$ .** We denote by  $S$  the collection of complex sequences  $\{b_n\}$  such that

$$\overline{\lim}_{n \rightarrow \infty} \{(1/\sqrt{n}) \log^+ |b_n|\} < 0. \quad (2.1)$$

(2.1) means: there are constants  $K = K(\{b_n\})$ ,  $c = c(\{b_n\}) > 0$  such that

$$|b_n| \leq K \exp[-c\sqrt{n}]. \quad (2.2)$$

In [7, Theorem 3] we showed:

Let  $\phi$  be a continuous linear functional on  $N^+$ . Then there is a unique holomorphic function  $g(z) = \sum b_n z^n$ , continuous on  $\bar{D}$ , such that for any  $f(z) = \sum a_n z^n \in N^+$

$$\begin{aligned} \phi(f) &= \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} f(re^{i\theta}) g(e^{-i\theta}) d\theta \\ &= \sum_{n=0}^{\infty} a_n b_n \text{ (absolutely convergent)} \end{aligned} \quad (2.3)$$

The Taylor coefficients  $\{b_n\}$  of the representing function  $g(z)$  satisfies (2.1). Conversely, if  $\{b_n\}$  satisfies (2.1), a continuous linear functional  $\phi$  on  $N^+$  is defined by (2.3).

Hence,  $S$  can be identified with the dual space of  $N^+$ .

We defined in [8] a Fréchet space  $F^+$  containing  $N^+$ . A function  $f(z)$  belongs to  $F^+$  if

$$\|f\|_{F^c} = \int_0^1 \exp \left[ \frac{-c}{1-r} \right] M(r, f) dr < \infty \tag{2.4}$$

for any  $c > 0$ , where

$$M(r, f) = \max_{|z|=r} |f(z)|. \tag{2.5}$$

$f(z) = \sum a_n z^n$  belongs to  $F^+$  if and only if

$$M(r, f) = O(\exp [o(1)/(1-r)]) \tag{2.6}$$

i.e.,

$$\varliminf_{r \rightarrow 1} \{(1-r) \log M(r, f)\} \leq 0,$$

or, equivalently,

$$a_n = O(\exp [o(\sqrt{n})])$$

i.e.,

$$\varliminf_{n \rightarrow \infty} (1/\sqrt{n}) \log |a_n| \leq 0.$$

$F^+$  is endowed with the family of semi-norms  $\{\|f\|_{F^c}\}_{c>0}$ , which is equivalent to the family of semi-norms  $\{\|f\|_c\}_{c>0}$ , where (see [8])

$$\|f\|_c = \sum_{n=0}^{\infty} |a_n| \exp [-c\sqrt{n}] \quad \text{for } f(z) = \sum a_n z^n. \tag{2.7}$$

$N^+$  is a dense subspace of  $F^+$ . We have shown in [8] that  $S$  can also be identified with the dual space of  $F^+$ . That is, if  $\{b_n\} \in S$ , a continuous linear functional  $\psi$  on  $F^+$  is defined by

$$\psi(f) = \sum_{n=0}^{\infty} a_n b_n \text{ (absolutely convergent)} \quad \text{for } f(z) = \sum_{n=0}^{\infty} a_n z^n.$$

**Theorem 1.** *Let  $E$  be a subset of  $F^+$ .  $E$  is bounded if and only if there is a constant  $A > 0$  and a sequence  $\{\lambda_n\}, \lambda_n \downarrow 0$ , such that*

$$|a_n| \leq A \exp [\lambda_n \sqrt{n}] \quad \text{for } f(z) = \sum a_n z^n \in E. \tag{2.8}$$

**Proof.** “If” part is obvious.

“Only if” part. Suppose  $E$  is bounded. Take a  $c > 0$  and let

$$V = \{g \in F^+; \|g\|_c < \eta\} \tag{2.9}$$

be a neighborhood of 0. There is an  $\alpha$  such that  $\alpha E \subset V$ , hence

$$\sum_{n=0}^{\infty} |\alpha a_n| \exp [-c\sqrt{n}] < \eta, \quad f(z) = \sum a_n z^n \in E,$$

therefore

$$|a_n| \leq |\eta/\alpha| \exp [c\sqrt{n}].$$

Thus, if we put

$$a_n^* = \{\sup |a_n(f)|; f(z) = \sum a_n(f) z^n \in E\}$$

we get

$$a_n^* \leq |\eta/\alpha| \exp [c\sqrt{n}],$$

hence

$$\lim_{n \rightarrow \infty} (1/\sqrt{n}) \log a_n^* \leq c.$$

Since  $c$  is arbitrary, we know that  $a_n^* = O(\exp [o(\sqrt{n})])$ , and (2.8) holds.

Q.E.D.

Since boundedness and weakly-boundedness coincide in  $F^+$  [5, p. 255], we have obviously, from Theorem 1,

**Theorem 2.** *Let  $E$  be a subset of  $N^+$ .  $E$  is weakly bounded if and only if there is a constant  $A > 0$  and a sequence  $\{\lambda_n\}, \lambda_n \downarrow 0$  such that*

$$|a_n| \leq A \exp [\lambda_n \sqrt{n}] \quad \text{for } f(z) = \sum a_n z^n \in E. \quad (2.8')$$

We denote by  $(N^+)^*$  the space  $S$  with the topology of uniform convergence on weakly bounded subsets of  $N^+$ .

We also denote by  $(F^+)^*$  the space  $S$  with the topology induced by  $F^+$ , i.e., the topology of uniform convergence on bounded subsets of  $F^+$ . Then, from Theorems 1 and 2, we obtain

**Theorem 3.**  $(F^+)^* = (N^+)^*$ . (both set-theoretically and topologically).

Next we have

**Theorem 4.** *The space  $F^+$  is nuclear.*

Proof follows from the theorem of Grothendieck and Pietsch [6, p. 88, Theorem 6.1.2] and the definition of semi-norms (2.7).

**Corollary 1.**  *$F^+$  is a Montel space.*

Proof is known by Theorem 4 and [6, p. 73, Theorem 4.4.7].

**Corollary 2.**  *$F^+$  is reflexive. Hence  $(F^+)^*$  is reflexive.*

Proof. Every Montel space is reflexive [5, p. 372] and the strong dual of a reflexive space is reflexive [5, p. 305(5)].

By Theorem 3 and Corollary 2, we get

**Theorem 5.**  $(N^+)^{**} = (F^+)^{**} = F^+$ .

**3. Multipliers for  $F^+$ .** Let  $X$  and  $Y$  be some collections of complex sequences. A sequence of complex numbers  $M = \{\mu_n\}$  is said to be a *multiplier* for  $X$  into  $Y$ , denoted as  $M \in (X, Y)$ , if

$$\text{for any } f = \{a_n\} \in X, \text{ we have } M[f] = \{\mu_n a_n\} \in Y. \quad (3.1)$$

Multipliers for  $H^p$  or  $B^p$  have been studied by several authors, see for example [2, p. 99] or [4]. As an application of Theorem 1, we have

**Theorem 6.** *A sequence  $M = \{\mu_n\}$  is a multiplier for  $F^+$  into  $B^p$ ,  $0 < p < 1$ , if and only if  $\{\mu_n\}$  satisfies (2.1), i.e.,*

$$\mu_n = O(\exp [-c\sqrt{n}]) \quad (3.2)$$

for some constant  $c > 0$ .

Proof. The multiplier operator  $M = \{\mu_n\}$ , which assigns to  $f(z) = \sum a_n z^n \in F^+$  a function  $M[f](z) = \sum \mu_n a_n z^n \in B^p$ , is obviously linear and closed. Hence  $M$  is continuous, and maps bounded subsets of  $F^+$  to bounded subsets of  $B^p$ .

We note that for  $g(z) = \sum b_n z^n \in B^p$  there hold

$$|b_n| \leq C \|g\|_{B^p} \times n^{1/p-1} \quad (3.3)$$

with a constant  $C$  [3, p. 41], where  $\|g\|_{B^p}$  is the norm in the space  $B^p$ :

$$\|g\|_{B^p} = \int_0^1 (1-r)^{1/p-2} dr \frac{1}{2\pi} \int_0^{2\pi} |f(re^{i\theta})| d\theta. \quad (3.4)$$

Using (3.3) and [7, Lemma 1], we get our result.

Q.E.D.

#### 4. The space $(N^+)^*$ .

We have

**Theorem 7.**  $(N^+)^* = (F^+)^*$  is bornological.

**Proof.** By Corollary 2,  $F^+$  is reflexive Fréchet space. Hence its strong dual is bornological [5, p. 403(4)].

Q.E.D.

Finally we note the following

**Theorem 8.** Let  $E^*$  be a subset of  $(N^+)^*$ .  $E^*$  is bounded if and only if there are constants  $K = K(E^*) > 0$  and  $c = c(E^*) > 0$  such that

$$|b_n(\phi)| \leq K \exp[-c\sqrt{n}] \quad (4.1)$$

for all  $\phi = \{b_n(\phi)\} \in E^*$ .

**Proof** proceeds in a similar way as in Theorem 1, with neighborhood

$$V = \{\phi \in (N^+)^* ; \sup_{f \in E} |\phi(f)| < \eta\} \quad (4.2)$$

instead of (2.9), where  $E$  is a bounded subset of  $F^+$ .

Q.E.D.

### References

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