

## ON FALTINGS' LOCAL-GLOBAL PRINCIPLE OF GENERALIZED LOCAL COHOMOLOGY MODULES

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### Abstract

Let  $R$  be a commutative Noetherian ring,  $I$  an ideal of  $R$  and  $M, N$  finitely generated  $R$ -modules. Let  $0 \leq n \in \mathbf{Z}$ . This note shows that the least integer  $i$  such that  $\dim \text{Supp}(H_i^j(M, N)/K) \geq n$  for any finitely generated submodule  $K$  of  $H_i^j(M, N)$  equal to the number  $\inf\{f_{I_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) \mid \mathfrak{p} \in \text{Supp}(N/I_M N), \dim R/\mathfrak{p} \geq n\}$ , where  $f_{I_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}})$  is the least integer  $i$  such that  $H_i^j(M_{\mathfrak{p}}, N_{\mathfrak{p}})$  is not finitely generated, and  $I_M = \text{ann}(M/IM)$ . This extends the main result of Asadollahi-Naghipour [1] and Mehrvarz-Naghipour-Sedghi [8] for generalized local cohomology modules by a short proof.

### 1. Introduction

Let  $R$  be a commutative Noetherian ring,  $I$  an ideal of  $R$ , and  $M, N$  finitely generated  $R$ -modules. The Local-global Principle of Faltings for the finiteness of local cohomology modules [4, Satz 1] which states that for a given positive integer  $r$ , the  $R_{\mathfrak{p}}$ -module  $H_{IR_{\mathfrak{p}}}^i(N_{\mathfrak{p}})$  is finitely generated for all  $i < r$  and for all  $\mathfrak{p} \in \text{Spec } R$  if and only if the  $R$ -module  $H_I^i(N)$  is finitely generated for all  $i < r$ . Another statement of Faltings' local-global principle, particularly relevant for this paper, is in terms of the finiteness dimension  $f_I(N)$  of  $N$  relative to  $I$ , where  $f_I(N) = \inf\{0 \leq i \in \mathbf{Z} \mid H_I^i(N) \text{ is not finitely generated}\}$ , with the usual convention that the infimum of the empty set of integers is interpreted as  $\infty$ . K. Bahmanpour et al., in [2], introduced the notion of the  $n$ -th finiteness dimension  $f_I^n(N)$  of  $N$  relative to  $I$  by  $f_I^n(N) = \inf\{f_{IR_{\mathfrak{p}}}(N_{\mathfrak{p}}) \mid \mathfrak{p} \in \text{Supp}(N/IN), \dim(R/\mathfrak{p}) \geq n\}$ . In [1], Asadollahi-Naghipour introduced the class of in dimension  $< n$  modules, and they showed that if  $(R, \mathfrak{m})$  is a complete local ring,  $I$  an ideal of  $R$  and  $N$  a finitely generated  $R$ -module, then  $f_I^n(N) = \inf\{0 \leq i \in \mathbf{Z} \mid H_I^i(N) \text{ is not in dimension } < n\}$  for all  $n$  (see [1, Thm. 2.5]). Recently, in [8], they obtained this result without the condition that  $(R, \mathfrak{m})$  is

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complete local ring. The purpose of this note is to extend the main result of Mehrvarz-Naghypour-Sedghi (see [8, Thm. 2.10]) to the case of generalized local cohomology modules as follows: *Let  $R$  be a commutative Noetherian ring,  $I$  an ideal of  $R$ , and  $M, N$  finitely generated  $R$ -modules. Then  $f_I^n(M, N) = \inf\{0 \leq i \in \mathbf{Z} \mid H_I^i(M, N) \text{ is not in dimension } < n\}$ , where  $f_I^n(M, N) = \inf\{f_{IR_p}(M_p, N_p) \mid p \in \text{Supp}(N/I_M N), \dim(R/p) \geq n\}$  and  $f_p(M_p, N_p) = \inf\{0 \leq i \in \mathbf{Z} \mid H_p^i(M_p, N_p) \text{ is not finitely generated}\}$  (see Theorem 2.4). But we here use a new proof method (compare with [1] and [8]). Recall that the generalized local cohomology module  $H_I^j(M, N)$  is introduced by J. Herzog [5] as  $H_I^j(M, N) = \lim_n \text{Ext}_R^j(M/I^n M, N)$ , and for generalized local cohomology refer to [5], [6], [7], and [3].*

## 2. Main result

Let  $0 \leq n \in \mathbf{Z}$ . We first recall that an  $R$ -module  $T$  is called *in dimension  $< n$*  if there exists a finitely generated submodule  $K$  of  $T$  such that  $\dim \text{Supp}(T/K) < n$  (see [1, Def. 2.1]). Moreover, an  $R$ -module  $T$  is said to be *minimax*, if there exists a finitely generated submodule  $K$  of  $T$  such that  $T/K$  is Artinian (cf. [11] and [1]). Note that the class of minimax modules and the class of in dimension  $< n$  modules are Serre subcategories, i.e., it is closed under taking submodules, quotients and extensions (cf. [9, Sect. 4] and [8, Cor. 2.13]).

LEMMA 2.1. *Let  $0 \leq t \in \mathbf{Z}$ . Assume that  $H_I^j(M, N)$  is in dimension  $< n$  for all  $j < t$ . Then we have*

- i)  $\text{Hom}(R/I, H_I^t(M, N))$  is in dimension  $< n$ .
- ii)  $\text{Ass}(H_I^t(M, N)/K)_{\geq n}$  is finite for any minimax submodule  $K$  of  $H_I^t(M, N)$ , where we set  $S_{\geq n} = \{p \in S \mid \dim(R/p) \geq n\}$  for any subset  $S$  of  $\text{Spec } R$ .

*Proof.* i) We proceed by induction on  $t$ . The case  $t = 0$  is trivial because  $\text{Hom}(R/I, H_I^0(M, N)) \subseteq \Gamma_I(\text{Hom}(M, N))$ . Assume  $t > 0$  and the lemma is true for  $t - 1$ . Set  $\bar{N} = N/\Gamma_I(N)$ . From the short exact sequence  $0 \rightarrow \Gamma_I(N) \rightarrow N \rightarrow \bar{N} \rightarrow 0$  we get the following exact sequences

$$\text{Ext}_R^i(M, \Gamma_I(N)) \xrightarrow{f_i} H_I^i(M, N) \xrightarrow{g_i} H_I^i(M, \bar{N}) \xrightarrow{h_i} \text{Ext}_R^{i+1}(M, \Gamma_I(N)), \forall i,$$

$$0 \rightarrow \text{Im } f_t \rightarrow H_I^t(M, N) \rightarrow \text{Im } g_t \rightarrow 0, \quad 0 \rightarrow \text{Im } g_t \rightarrow H_I^t(M, \bar{N}) \rightarrow \text{Im } h_t \rightarrow 0.$$

Hence  $H_I^i(M, \bar{N})$  is in dimension  $< n$  for all  $i < t$  by the hypothesis; we also have  $\text{Hom}(R/I, H_I^t(M, N))$  is in dimension  $< n$  if and only if so is  $\text{Hom}(R/I, H_I^t(M, \bar{N}))$  since  $\text{Im } f_t$  and  $\text{Im } h_t$  are finitely generated. By replacing  $N$  by  $\bar{N}$ , we may henceforth assume that  $\Gamma_I(N) = 0$ . Thus there exists  $x \in I$  such that  $x$  is an  $N$ -regular element. So, we have the short exact sequence  $0 \rightarrow N \xrightarrow{x} N \rightarrow N/xN \rightarrow 0$ . This yields the exact sequence

$$H_I^i(M, N) \rightarrow H_I^i(M, N/xN) \rightarrow H_I^{i+1}(M, N)$$

for all  $i$ . Hence  $H_I^i(M, N/xN)$  is in dimension  $< n$  for all  $i < t - 1$ . So by the induction assumption, we get that  $\text{Hom}(R/I, H_I^{t-1}(M, N/xN))$  is in dimension  $< n$ .

Consider the long exact sequence

$$H_I^{t-1}(M, N) \xrightarrow{x} H_I^{t-1}(M, N) \xrightarrow{u} H_I^{t-1}(M, N/xN) \xrightarrow{v} H_I^t(M, N) \xrightarrow{x} H_I^t(M, N).$$

We split the above sequence into two the following exact sequences

$$(*) \quad 0 \rightarrow \text{Im } u \rightarrow H_I^{t-1}(M, N/xN) \rightarrow \text{Im } v \rightarrow 0,$$

$$(**) \quad 0 \rightarrow \text{Im } v \rightarrow H_I^t(M, N) \xrightarrow{x} H_I^t(M, N),$$

where  $\text{Im } u \cong H_I^{t-1}(M, N)/xH_I^{t-1}(M, N)$  is in dimension  $< n$ . The following sequence

$$\text{Hom}(R/I, H_I^{t-1}(M, N/xN)) \rightarrow \text{Hom}(R/I, \text{Im } v) \rightarrow \text{Ext}_R^1(R/I, \text{Im } u)$$

is exact by (\*). The left-most module is in dimension  $< n$ . Moreover, we get by [8, Cor. 2.16] that  $\text{Ext}_R^1(R/I, \text{Im } u)$  is in dimension  $< n$ , then so is  $\text{Hom}(R/I, \text{Im } v)$ . By (\*\*) we get the following exact sequence

$$0 \rightarrow \text{Hom}(R/I, \text{Im } v) \rightarrow \text{Hom}(R/I, H_I^t(M, N)) \xrightarrow{x} \text{Hom}(R/I, H_I^t(M, N)).$$

Thus  $\text{Hom}(R/I, H_I^t(M, N)) \cong \text{Hom}(R/I, \text{Im } v)$  is in dimension  $< n$  by the fact that  $x \in I \subseteq \text{ann}_R(\text{Hom}(R/I, H_I^t(M, N)))$ , as required.

ii) Let  $K$  be a minimax submodule of  $H_I^t(M, N)$ . We get the following exact sequence

$$\text{Hom}(R/I, H_I^t(M, N)) \xrightarrow{f} \text{Hom}(R/I, H_I^t(M, N)/K) \rightarrow \text{Ext}_R^1(R/I, K).$$

Hence the set  $\text{Ass}(\text{Hom}(R/I, H_I^t(M, N)/K))_{\geq n}$  is contained in  $\text{Ass}(\text{Im } f)_{\geq n} \cup \text{Ass}(\text{Ext}_R^1(R/I, K))_{\geq n}$ . Note that  $\text{Im } f$  is a quotient of  $\text{Hom}(R/I, H_I^t(M, N))$ , so  $\text{Im } f$  is in dimension  $< n$  by i); thus  $\text{Ass}(\text{Im } f)_{\geq n}$  is a finite set by [8, Lem. 2.6]. Moreover  $\text{Ass } \text{Ext}_R^1(R/I, K)_{\geq n}$  is finite by [8, Rmk. 2.2]. Therefore  $\text{Ass}(H_I^t(M, N)/K)_{\geq n} = \text{Ass}(\text{Hom}(R/I, H_I^t(M, N)/K))_{\geq n}$  is finite.  $\square$

**THEOREM 2.2.** *If  $H_I^0(M, N), \dots, H_I^t(M, N)$  is finitely generated locally at every  $\mathfrak{p} \in \text{Supp}(N/I_M N)_{\geq n}$  (i.e.  $H_I^0(M, N)_{\mathfrak{p}}, \dots, H_I^t(M, N)_{\mathfrak{p}}$  is finitely generated over  $R_{\mathfrak{p}}$  for all  $\mathfrak{p} \in \text{Supp}(N/I_M N)_{\geq n}$ , where  $I_M = \text{ann}(M/IM)$ ) then  $H_I^0(M, N), \dots, H_I^t(M, N)$  is in dimension  $< n$ .*

*Proof.* We prove the theorem by induction on  $t$ . The case of  $t = 0$  is trivial since  $H_I^0(M, N)$  is finitely generated. We assume that  $t > 0$  and the theorem is true for  $t - 1$ . By induction,  $H_I^0(M, N), \dots, H_I^{t-1}(M, N)$  are in dimension  $< n$ . So we have to show that  $H_I^t(M, N)$  is in dimension  $< n$ . We get by Lemma 2.1 ii) that  $\text{Ass}(H_I^t(M, N))_{\geq n}$  is a finite set. For convention we set  $H = H_I^t(M, N)$ . For any  $\mathfrak{p} \in \text{Ass}(H)_{\geq n}$ , we obtain that  $H_{\mathfrak{p}}$  is finitely generated over  $R_{\mathfrak{p}}$  by the hypothesis. Since  $H_{\mathfrak{p}}$  is  $I_{\mathfrak{p}}$ -torsion, so there exists  $n_{\mathfrak{p}} \in \mathbb{N}$  such that  $I^{n_{\mathfrak{p}}} H_{\mathfrak{p}} = 0$ . Set  $m = \max\{n_{\mathfrak{p}} \mid \mathfrak{p} \in \text{Ass}(H)_{\geq n}\}$ .

For any  $\omega \in H$ , we get that  $I^m \omega = \langle \omega_1, \dots, \omega_r \rangle$  is a finitely generated  $R$ -module for some  $\omega_1, \dots, \omega_r \in H$ . For each  $\mathfrak{p} \in \text{Ass}(H)_{\geq n}$ ,  $(I^m \omega)_{\mathfrak{p}} \subseteq (I^m H)_{\mathfrak{p}} = 0$ . It follows that  $\omega_i/1 = 0$  in  $H_{\mathfrak{p}}$  for all  $i = 1, \dots, r$ . Thus there exists  $s_i \in R \setminus \mathfrak{p}$

such that  $s_i\omega_i = 0$  for all  $i = 1, \dots, r$ . Set  $s_p = s_1s_2 \cdots s_r$ . Then  $s_p \in R \setminus \mathfrak{p}$  and  $s_p(I^m\omega) = 0$ . Let  $J$  be the ideal of  $R$  generated by the set  $\{s_p \mid \mathfrak{p} \in \text{Ass}(H)_{\geq n}\}$ . Hence  $J(I^m\omega) = 0$ . Note that  $s_p \in J$  and  $s_p \notin \mathfrak{p}$ , and so  $J \not\subseteq \mathfrak{p}$  for all  $\mathfrak{p} \in \text{Ass}(H)_{\geq n}$ . It yields that there exists  $y \in J$  such that  $y \notin \bigcup_{\mathfrak{p} \in \text{Ass}(H)_{\geq n}} \mathfrak{p}$ . Thus  $\dim \text{Supp}(0 : y)_H < n$  (indeed, for any  $\mathfrak{q} \in \text{Ass}((0 : y)_H) \subseteq \text{Ass}(H)$ , so  $\mathfrak{q} \supseteq \text{ann}((0 : y)_H) \ni y$ . Hence  $\dim(R/\mathfrak{q}) < n$ ). Since  $y(I^m\omega) \subseteq J(I^m\omega) = 0$ , it holds that  $I^m\omega \subseteq (0 : y)_H$ . It implies that  $\dim(I^m\omega) < n$  (\*\*\*) . Keep in mind that the following sequence

$$\text{Ext}_R^j(M, \Gamma_I(N)) \rightarrow H_I^j(M, N) \rightarrow H_I^j(M, \bar{N}) \rightarrow \text{Ext}_R^{j+1}(M, \Gamma_I(N))$$

is exact for all  $j$ , where  $\bar{N} = N/\Gamma_I(N)$ . Therefore we may assume that  $\Gamma_I(N) = 0$ . Hence we can choose  $x \in I$  such that  $x$  is a regular element of  $N$ . Thus  $x^m \in I^m$  is also a regular element of  $N$ . We then have  $\dim \text{Supp}(x^mH) < n$  (indeed, for any  $\mathfrak{p} \in \min \text{Ass}(x^mH)$ , then there is an element  $\omega \in H$  such that  $\mathfrak{p} = (0 : x^m\omega) = \text{ann}(x^m\omega)$ . Thus we have by (\*\*\*) that  $\dim(R/\mathfrak{p}) = \dim(x^m\omega) \leq \dim(I^m\omega) < n$ ). We get the following exact sequences

$$H_I^{j-1}(M, N) \rightarrow H_I^{j-1}(M, N/x^mN) \rightarrow H_I^j(M, N) \xrightarrow{x^m} H_I^j(M, N).$$

Then we get by the hypothesis that  $H_I^{j-1}(M, N/x^mN)$  is finitely generated locally at every  $\mathfrak{p} \in \text{Supp}(N/I_MN)_{\geq n}$  for all  $j \leq t$ . From this we obtain by the inductive hypothesis that  $H_I^{j-1}(M, N/x^mN)$  is in dimension  $< n$  for all  $j \leq t$ . In particular,  $H_I^{t-1}(M, N/x^mN)$  is in dimension  $< n$ . Therefore we get by the exact sequence  $H_I^{t-1}(M, N/x^mN) \rightarrow (0 : x^m)_H \rightarrow 0$  that  $(0 : x^m)_H$  is in dimension  $< n$ , where  $H = H_I^t(M, N)$ . We now consider the short exact sequence

$$0 \rightarrow (0 : x^m)_H \rightarrow H \rightarrow x^mH \rightarrow 0.$$

Since  $(0 : x^m)_H$  is in dimension  $< n$  and  $\dim \text{Supp}(x^mH) < n$ , we obtain by the above exact sequence that  $H$  is in dimension  $< n$ , as required.  $\square$

We next introduce an extension of the notion *n-th finiteness dimension*  $f_I^n(N)$  of  $N$  with respect to  $I$  of K. Bahmanpour et al., in [2].

DEFINITION 2.3. For any  $0 \leq n \in \mathbf{Z}$ , we set

$$f_I^n(M, N) = \inf\{f_{I_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) \mid \mathfrak{p} \in \text{Supp}(N/I_MN)_{\geq n}\},$$

where  $f_{I_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) = \inf\{0 \leq i \in \mathbf{Z} \mid H_{I_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}})$  is not finitely generated $\}$ . The number  $f_I^n(M, N)$  is called *n-th finiteness dimension*  $f_I^n(M, N)$  of  $M$  and  $N$  with respect to  $I$ . Note that

$$f_I^0(M, N) = f_I(M, N) = \inf\{0 \leq i \in \mathbf{Z} \mid H_I^i(M, N) \text{ is not finitely generated}\}.$$

THEOREM 2.4. Let  $R$  be a commutative Noetherian ring,  $I$  an ideal of  $R$ , and  $M, N$  finitely generated  $R$ -modules. Then we have

$$f_I^n(M, N) = \inf\{0 \leq i \in \mathbf{Z} \mid H_I^i(M, N) \text{ is not in dimension } < n\}.$$

*Proof.* Set  $h_I^n(M, N) = \inf\{0 \leq i \in \mathbf{Z} \mid H_I^i(M, N) \text{ is not in dimension } < n\}$ . It is clear that  $f_I^n(M, N) \geq h_I^n(M, N)$ , since if  $H_I^j(M, N)$  is in dimension  $< n$  for all  $j < h_I^n(M, N)$  then  $H_I^j(M, N)_{\mathfrak{p}}$  is finitely generated over  $R_{\mathfrak{p}}$  for all  $j < h_I^n(M, N)$  and all  $\mathfrak{p} \in \text{Supp}(N/I_M N)_{\geq n}$ . Conversely, if  $H_I^j(M, N)_{\mathfrak{p}}$  is finitely generated over  $R_{\mathfrak{p}}$  for all  $j < f_I^n(M, N)$  and all  $\mathfrak{p} \in \text{Supp}(N/I_M N)_{\geq n}$  then we get by Theorem 2.2 that  $H_I^j(M, N)$  is in dimension  $< n$  for all  $j < f_I^n(M, N)$ . Therefore  $f_I^n(M, N) \leq h_I^n(M, N)$ , as required.  $\square$

**COROLLARY 2.5** ([1, Thm. 2.5], [8, Thm. 2.10]). *Let  $R$  be a commutative Noetherian ring,  $I$  an ideal of  $R$ , and  $N$  finitely generated  $R$ -modules. Then we have  $f_I^n(N) = \inf\{i \in \mathbf{N} \mid H_I^i(N) \text{ is not in dimension } < n\}$ .*

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